

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
ANNUAL MEETING

1:00 p.m. EDT  
Thursday  
June 25, 2015

Hollingsworth Auditorium  
2421 Joe Johnson Drive  
Knoxville, Tennessee

ORDER OF BUSINESS

- I. Call to Order and Invocation
- II. Roll Call
- III. Remembering Dr. Edward J. Boling, 17<sup>th</sup> President of The University of Tennessee. Tab 1
- IV. Introductions
- V. Adoption of an Honorary Resolution ..... Tab 2
- VI. President’s Report..... Tab 3
- VII. Employee Request to Address the Board on Salary Increase Provisions in the FY 2016 Operating Budget
- VIII. FY 2016 Operating Budget, Student Tuition and Fees, and Compensation Guidelines for Additional Salary Increases During the Fiscal Year ..... Tab 4
  - A. FY 2016 Operating Budget and Student Tuition and Fees..... Tab 4.1
  - B. FY 2016 Compensation Guidelines for Additional Salary Increases During the Fiscal Year ..... Tab 4.2
  - C. Resolution Approving FY 2016 Operating Budget, Student Tuition and Fees, and Compensation Guidelines ..... Tab 4.3
- IX. Action Item from the Finance and Administration Committee
  - A. Amendment of the Traffic and Parking Rule for The University of Tennessee at Chattanooga – Roll Call Vote ..... Tab 5

X.	Action Items from the Academic Affairs and Student Success Committee	
	A. Amendment of the Student Conduct Rules .....	Tab 6
	1. UTC Student Conduct Rule – <b>Roll Call Vote</b> .....	Tab 6.1
	2. UTHSC Student Conduct Rule – <b>Roll Call Vote</b> .....	Tab 6.2
	3. UT, Knoxville Student Conduct Rule – <b>Roll Call Vote</b> .....	Tab 6.3
	4. UT Martin Student Conduct Rule – <b>Roll Call Vote</b> .....	Tab 6.4
	B. Amendment of the Honor Code Rule for The University of Tennessee Health Science Center – <b>Roll Call Vote</b> .....	Tab 7
XI.	Action Items from the Trusteeship Committee	
	A. Revised Audit Committee Charter .....	Tab 8
	B. Bylaw Amendments – <b>Roll Call Vote</b> .....	Tab 9
	C. Authorization for the Vice Chair to Make Committee and Committee Chair Appointments Before the Fall Meeting .....	Tab 10
XII.	Election of Chair and Vice Chair of the Board	
XIII.	Election of University Officers .....	Tab 11
XIV.	Report of the Audit Committee (including the 2015 Financial Review of UTC, UTK, and UTM Athletics Departments) .....	Tab 12
XV.	Consent Agenda	
	A. Minutes of the Last Meeting.....	Tab 13
	B. 2016 Board of Trustees Meeting Dates.....	Tab 14
	C. Resolution Appointing a Managerial Group for U.S. Government Contracts.....	Tab 15
	D. Ratification of Quasi-Endowments .....	Tab 16
	E. FY 2016 Distribution of UC Foundation Unrestricted Endowment Funds .....	Tab 17
	F. Cherokee Woodlot Easement (UTIA) .....	Tab 18
	G. Chi Phi Street Transfer from City of Knoxville (UT, Knoxville) .....	Tab 19
	H. Annual Report of Sale of Gift Property .....	Tab 20
	I. President Emeritus Agreement with Dr. Joseph E. Johnson .....	Tab 21
	J. Resolution on Corporate Authorization to Transfer Securities.....	Tab 22
	K. Converting Course Fees to Differential Tuition for UT, Knoxville College of Architecture and Design .....	Tab 23
	L. Revised Differential Tuition for UT, Knoxville Haslam College of Business .....	Tab 24
	M. Revised Differential Tuition for UTC College of Business.....	Tab 25
	N. Standard Business Practice for Calculating Increases to Differential Tuition Rates .....	Tab 26
	O. UTC Regional Tuition Rate Program for Undergraduates.....	Tab 27
	P. UTC Regional Tuition Rate Program for Graduate Students .....	Tab 28

Q.	Distribution from Julian G. Fleming M.D. Scholarship Quasi-Endowment (UTHSC) .....	Tab 29
R.	Distribution from Unrestricted Annual Giving Quasi-Endowment for Alumni and Development .....	Tab 30
S.	2015 AgResearch Voluntary Retirement Incentive Program for Staff .....	Tab 31
T.	UTHSC Long Range Master Plan.....	Tab 32
U.	2015 Comprehensive List of Academic Programs .....	Tab 33
V.	Authorization to Confer Degrees.....	Tab 34
W.	Honorary Bachelor’s Degree, UT Chattanooga .....	Tab 35
X.	Program of Study Leading to the Degree of Master of Social Work at UT Chattanooga .....	Tab 36
Y.	Program of Study Leading to the Degrees of Master of Interior Design and Master of Science in Interior Design at UT Chattanooga .....	Tab 37
Z.	Program of Study Leading to the Degree of Doctor of Entomology, Plant Pathology, and Nematology at UT, Knoxville .....	Tab 38
AA.	Program of Study Leading to the Degree of Doctor of Public Health at UT, Knoxville.....	Tab 39
BB.	UT, Knoxville Faculty Handbook Revisions Regarding Outreach, Community Engagement, and Extension.....	Tab 40
CC.	UT, Knoxville Faculty Handbook Revisions Regarding Faculty-Student Relationships .....	Tab 41
DD.	UT Chattanooga Strategic Plan.....	Tab 42
EE.	Tenure Recommendations .....	Tab 43
FF.	Naming the Science Lab Building at UT, Knoxville .....	Tab 44
GG.	Naming the Black Cultural Center at UT, Knoxville.....	Tab 45
XVI.	2015-2016 Scheduled Meetings and Workshops .....	Tab 46
XVII.	Adjournment	

**The University of Tennessee  
Board of Trustees**

**Resolution in Honor of Dr. Edward J. Boling  
June 25, 2015**

WHEREAS, Dr. Edward J. Boling was born on February 19, 1922 in Sevier County and had his first job as a theater usher; and

WHEREAS, Dr. Boling went to work at the Aluminum Company of America (ALCOA) as a cost accountant in the machine shop during the night shift; and

WHEREAS, his life connected to the University of Tennessee began when he read an article in the newspaper about students registering for class and decided to do the same after his shift at ALCOA; and

WHEREAS, in April 1943 his freshman year was interrupted when he was called to active duty in the Army; and

WHEREAS, following World War II he returned to UT to complete his bachelor's and master's degrees, and then was persuaded to take a job teaching statistics at UT despite having a job offer in accounting in New York City; and

WHEREAS, Dr. Boling then left UT to work at Union Carbide and later worked in state government as state budget director and commissioner of finance and administration; and

WHEREAS, upon completing his doctorate from Peabody College at Vanderbilt University, he was offered the job of vice president for development at UT by then-president Andy Holt and began UT's first modern fundraising program;

WHEREAS, Dr. Boling succeeded Dr. Holt as UT's 17<sup>th</sup> president in 1970 with wife Carolyn and sons Mark, Brian and Steve at his side, and served in the office for 18 years, the longest term of a UT president in modern times;

WHEREAS, during his presidency, the University experienced expanded enrollment and physical growth; he helped establish the Institute for Public Service and secured state support for creation of the College of Veterinary Medicine in Knoxville;

WHEREAS, Dr. Boling became president emeritus after his retirement in 1988 and remained an active advocate for the University until his death on June 18, 2015;

NOW THEREFORE BE IT RESOLVED that the University of Tennessee Board of Trustees, meeting in Knoxville, Tennessee, on June 25, 2015, honors the life of Dr. Edward J. Boling for his extraordinary service to the University and the State of Tennessee.



**The University of Tennessee  
Board of Trustees**

**Resolution in Honor of Bonnie E. Lynch  
June 25, 2015**

WHEREAS, Bonnie E. Lynch graduated from The University of Tennessee, Knoxville, with a bachelor of science in Honors Biochemistry and Cellular and Molecular Biology in 2012; and

WHEREAS, she was a member of several honor societies including the National Society of Collegiate Scholars, Mortar Board and Phi Kappa Phi; and

WHEREAS, she served as a student alumni associate at UT Knoxville and volunteered as a head counselor at Camp Koinonia; and

WHEREAS, she participated in other campus activities through the Student Government Association, Clinic Vols and UNITE Student Organization; and

WHEREAS, she began studies in the College of Medicine at The University of Tennessee Health Science Center in 2012 with expected graduation in May 2016; and

WHEREAS, she took part in the pediatric oncology education program at St. Jude Children's Research Hospital and a summer research course in the Department of Family Medicine; and

WHEREAS, she was appointed by Governor Bill Haslam in 2013 as a Student Trustee on The University of Tennessee Board of Trustees and served with distinction on the Academic Affairs and Student Success and the Research, Outreach, and Economic Development committees of the Board;

NOW THEREFORE BE IT RESOLVED that The University of Tennessee Board of Trustees, meeting in Knoxville, Tennessee, on June 25, 2015, congratulates Bonnie E. Lynch for her outstanding career as a student and commends her for her distinguished service to the Board and the University.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

ITEM: **FY 2016 Operating Budget, Student Tuition and Fees, and Compensation Guidelines for Additional Salary Increases During the Fiscal Year**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The proposed FY 2016 Operating Budget, including Student Tuition and Fees, (Tab 3.1) and Compensation Guidelines for Additional Salary Increases During the Fiscal Year (Tab 3.2) are presented in the following materials.

A formal Resolution of approval appears at Tab 3.3.

**MOTION:**

**That the Resolution on the FY 2016 Operating Budget, Student Tuition and Fees, and Compensation Guidelines for Additional Salary Increases During the Fiscal Year be adopted.**

# FY 2016 Proposed Budget

June 24-25, 2015

- The FY2016 Proposed Budget is balanced & within available resources.
- Undergraduate tuition increases lowest in over 30 years
- Funding for faculty & staff salary increases
- State Funding up \$24.4 million

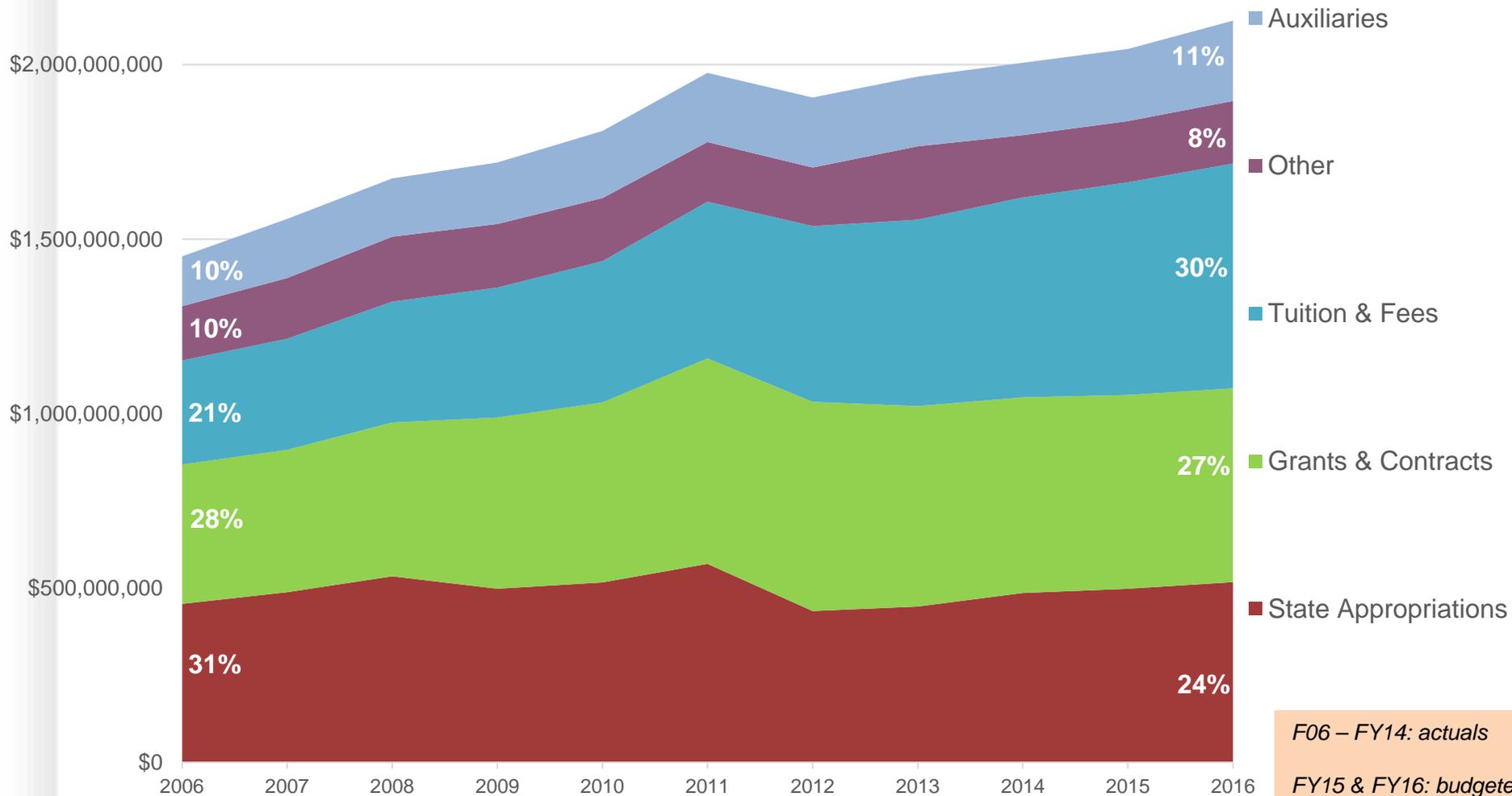
# Total Current Funds

## Unrestricted and Restricted E&G and Auxiliary

## Revenue Trends

### All Current Funds

Unrestricted, Restricted, E&G, and Auxiliary



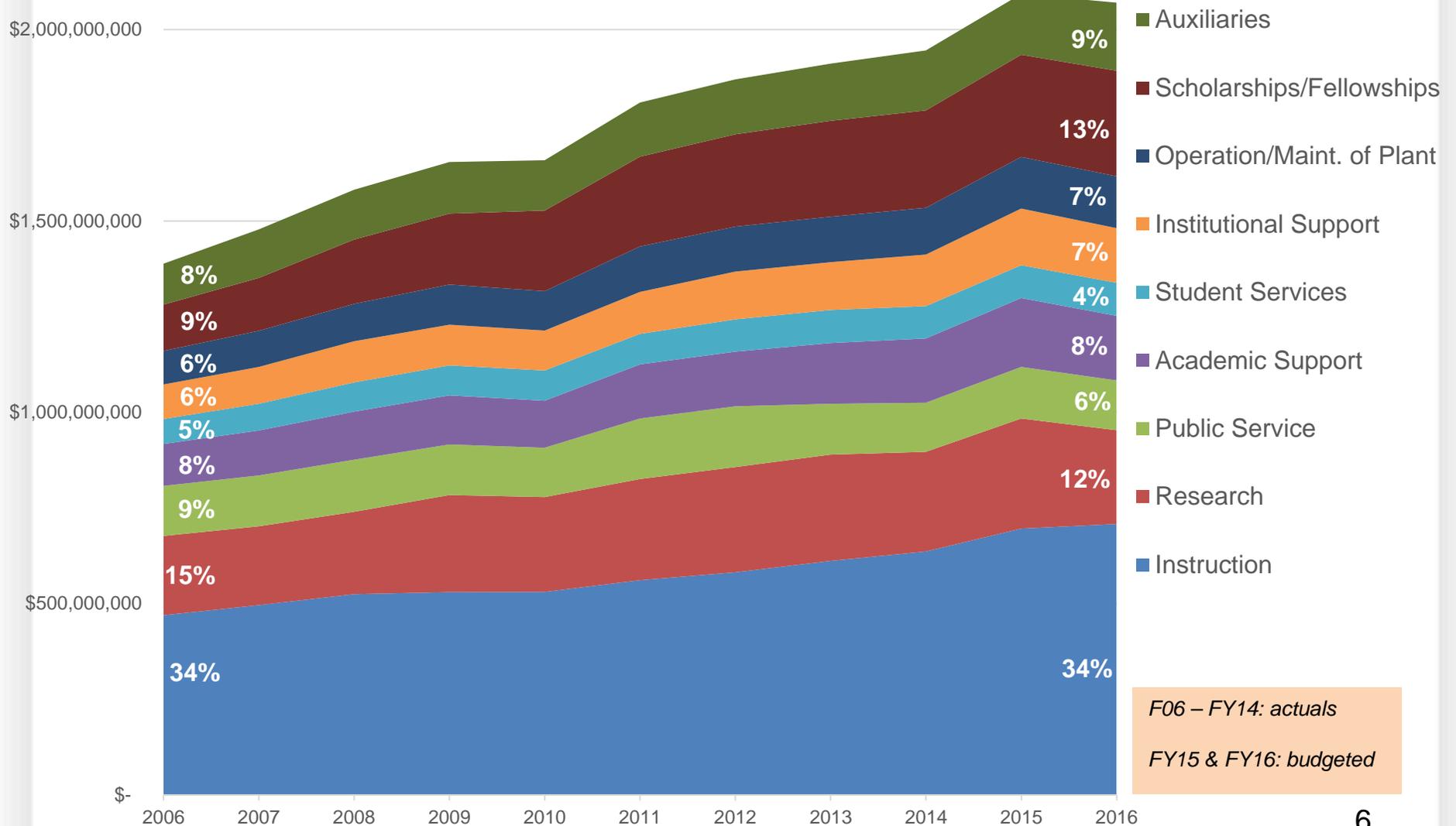
FY 2016 Proposed Budget

## Total Current Fund Revenues

Fund Group (\$millions)	FY2015	FY2016	Change	
Unrestricted E&G	\$1,236.0	\$1,297.6	\$61.6	5.0%
Restricted E&G	602.5	598.0	(4.5)	(0.7)%
Auxiliaries	206.6	230.4	23.8	11.5%
<b>TOTAL REVENUES</b>	<b>\$2,045.1</b>	<b>\$2,126.0</b>	<b>\$80.9</b>	<b>4.0%</b>

## Expenditure Trends

All Current Funds  
Unrestricted, Restricted, E&G, and Auxiliary

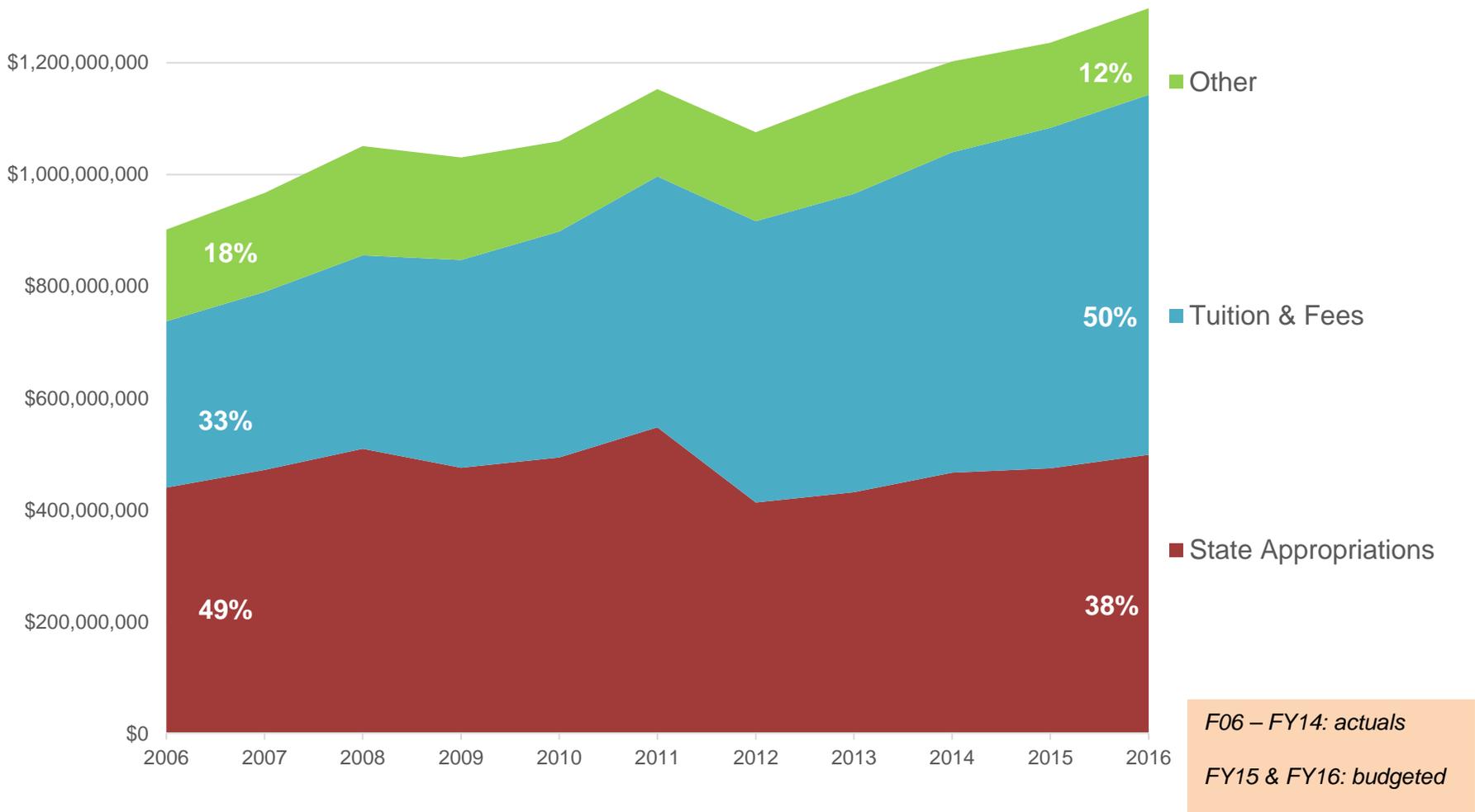


FY 2016 Proposed Budget

# Unrestricted Educational & General (E&G) Funds

*Unrestricted (E&G) funds support the core functions of the university.*

## Revenue Trends Unrestricted E&G Funds

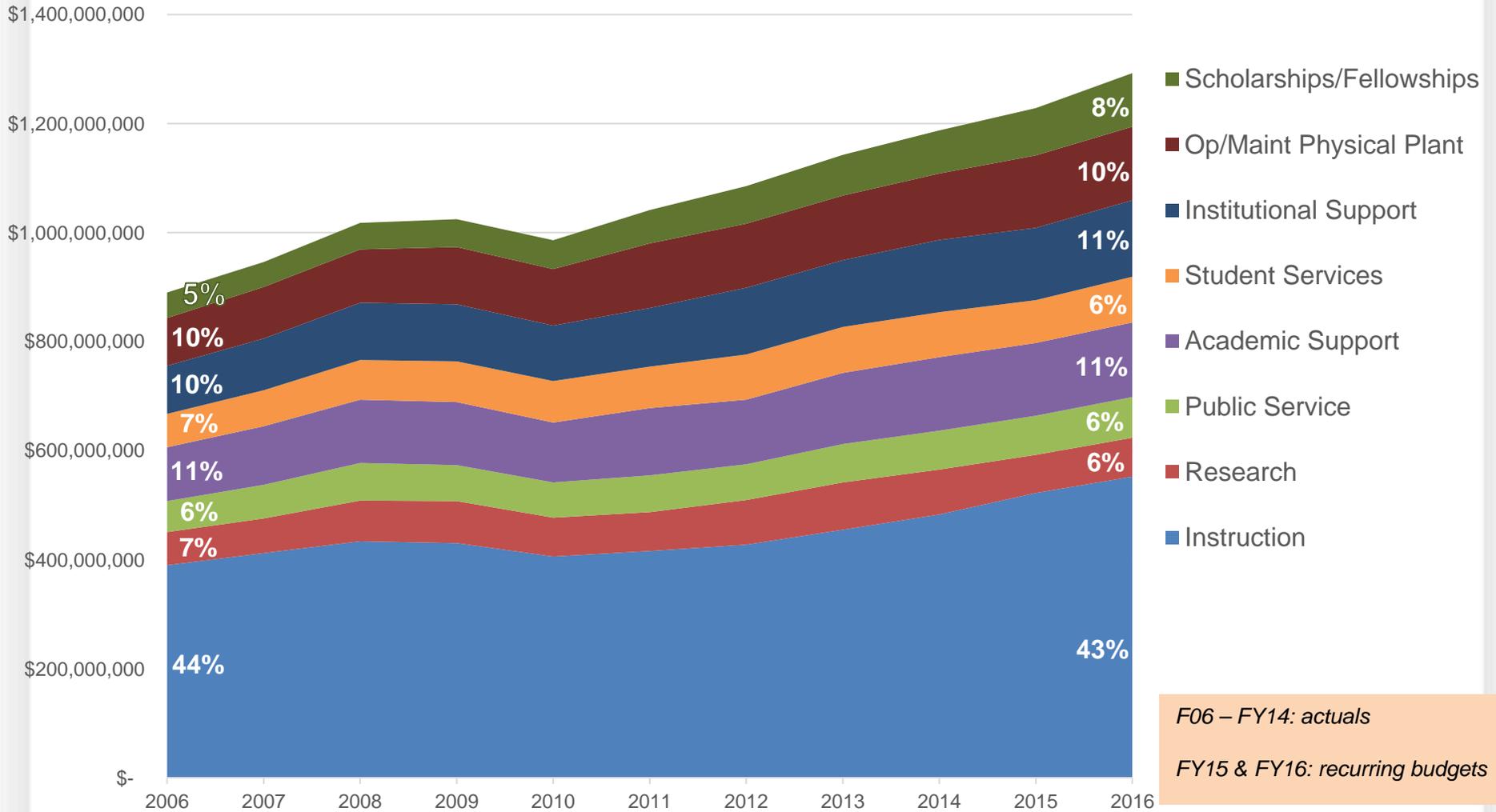


FY 2016 Proposed Budget

## Unrestricted E&G Revenues

Sources (\$millions)	FY2015	FY2016	Change	
Tuition & Fees	\$609.2	\$644.1	\$34.9	5.7%
State Appropriations	474.3	498.7	24.4	5.2%
Other Revenues	152.5	154.8	2.3	1.5%
<b>TOTAL REVENUE</b>	<b>\$1,236.0</b>	<b>\$1,297.6</b>	<b>\$61.6</b>	<b>5.0%</b>

## Expenditure Trends Unrestricted E&G Funds



FY 2016 Proposed Budget

## Recurring Unrestricted Expenditures

4

Functional Areas (\$millions)	FY2015	FY2016	Change	
Instruction	\$522.6	\$552.8	<b>\$30.2</b>	5.8%
Research	70.0	71.2	1.2	1.8%
Public Service	71.7	74.6	2.9	4.0%
Academic Support	133.5	136.7	3.2	2.4%
Student Services	78.7	83.8	5.1	6.6%
Institutional Support	132.5	140.2	7.7	5.9%
O&M of Plant	132.7	134.9	2.2	1.7%
Scholarships/Fellowships	87.0	98.1	11.1	<b>12.8%</b>
<b>TOTAL EXPEND.</b>	<b>\$1,228.5</b>	<b>\$1,292.3</b>	<b>\$63.8</b>	<b>5.2%</b>
Transfers	(1.4)	2.5	3.9	181%
<b>EXPEND. + TRANS.</b>	<b>\$1,227.1</b>	<b>\$1,294.9</b>	<b>\$67.7</b>	<b>5.5%</b>

FY 2016 Proposed Budget

11

## FY 2016 Salary Plan

- \$24.6 million allocated to faculty & staff salary increases
  - \$8.3 million from State Appropriations
  - \$16.3 million from fees, grants & contracts, endowments, and budget reallocations

Campus/Unit	Salary Plans (ATB = across-the-board)
Chattanooga	1.5% ATB & 1.5% market/merit
Knoxville (UTSI)	1.0% ATB & 2.0% market/merit
Martin	1.5% ATB; <i>market/merit pending fall enrollment</i>
Health Science Center	3.0% ATB
Institute of Agriculture	1.5% ATB & 1.5% market/merit
Institute for Public Service	1.0% ATB & 2.0% market/merit
System Administration	1.5% ATB & 1.5% market/merit

## Proposed Tuition Increases

Campus/Unit	Maintenance Fee <sup>(1)</sup>	Out-of-State <sup>(2)</sup>
<b>Undergraduate:</b>		
Chattanooga	3.0%	0.0%
Knoxville	3.0%	0.0%
Martin	3.0%	0.0%
<b>Graduate:</b>		
Chattanooga	3.0%	0.0%
Knoxville/UTSI	5.0%	0.0%
Martin	3.0%	0.0%
Health Science Center <sup>(3)</sup>	4.0%	4.0%
Veterinary Medicine	8.0%	0.0%

- 1) All in-state and out-of-state students pay the maintenance fee.
- 2) Portion of tuition paid only by out-of-state students. Those at units increasing only the maintenance fee will pay the same **dollar** increase as in-state students.
- 3) All colleges except the College of Medicine, which has no tuition increase.

## Other Fee Changes

Knoxville	Current	Proposed	Additional Revenues
Exec. MBA – Strategic Leadership	\$ 69,500	\$ 71,000	\$ 27,000
Exec. MBA – Global Supply Chain	82,500	85,000	45,000
Full-time MBA	10,165	10,871	49,420
College of Business Differential Tuition	68	95	2,000,000
College of Architecture Differential Tuition	NEW	105	800,000
Student Programs & Services Fees	790	898	2,700,000
Facilities Fee	360	390	750,000
Transportation Fee	120	150	750,000
Technology Fee	200	240	1,000,000
Library Fee	20	60	1,000,000

## Other Fee Changes

UTC, UTM, and UTHSC	Current	Proposed	Additional Revenues
UTC Online Prof. MBA (out-of-state)	\$ 29,004	\$ 27,888	\$ (16,740)
UTM Student Activity Fee – Athletic	308	408	500,000
UTHSC Computer Based Testing Fee	NEW	50	140,000
UTHSC Technology Fee	200	240	112,000

## Additional Tuition & Fee Revenues

Campus/Unit	Tuition	Other Fees	Total
Chattanooga	\$ 2,042,134	\$ (16,740)	\$ 2,025,394
Knoxville	6,000,000	9,121,420	15,121,420
Martin	1,397,400	500,000	1,897,400
UTSI	43,300		43,300
Health Science Center	1,878,000	252,000	2,130,000
Veterinary Medicine	534,055		534,055
<b>TOTAL</b>	<b>\$ 11,894,889</b>	<b>\$ 9,856,680</b>	<b>\$ 21,751,569</b>

Includes only revenues resulting from tuition and fee changes proposed for Board approval.

## Recommendation

- The FY 2016 Proposed Budget be approved:
  - Budgeted Revenues, Expenditures, and Transfers
  - Tuition & Fees
  - Salary Plan
  - Compensation Guidelines
- University administration be authorized to respond as needed to unforeseen developments

# Budget Document FY 2015 - 2016



THE UNIVERSITY *of* TENNESSEE  
KNOXVILLE, CHATTANOOGA, MARTIN, TULLAHOMA, MEMPHIS

# THE UNIVERSITY *of* TENNESSEE

University of Tennessee at Chattanooga

University of Tennessee, Knoxville

University of Tennessee at Martin

University of Tennessee Space Institute

University of Tennessee Health Science Center

Memphis Other Specialized Units

College of Medicine Units

Family Medicine Units

University of Tennessee Institute of Agriculture

Agricultural Experiment Station

Extension

College of Veterinary Medicine

University of Tennessee Institute for Public Service

Institute for Public Service

Municipal Technical Advisory Service

County Technical Assistance Service

University of Tennessee System Administration

# FY2016 PROPOSED BUDGET

---

## THE UNIVERSITY OF TENNESSEE

4.1

### Contents

MESSAGE FROM THE CFO	1
<hr/>	
OVERVIEW	2
<hr/>	
UNRESTRICTED E&G REVENUES	3
<hr/>	
UNRESTRICTED E&G EXPENDITURES	6
<hr/>	
AUXILIARY ENTERPRISES	9
<hr/>	
FY 2016 SALARY PLAN	10
<hr/>	
UNRESTRICTED CURRENT FUND BALANCES	11
<hr/>	
RECOMMENDATION	12
<hr/>	
SUPPORTING BUDGET SCHEDULES	14
<hr/>	
TUITION AND FEE RECOMMENDATIONS	49
<hr/>	

## Message from the CFO

### *Message from the CFO*

The FY 2016 proposed operating budget allocates available funding to the University's current operations for the fiscal year beginning July 1, 2015 and ending June 30, 2016. It is the result of input from hundreds of dedicated faculty, staff, students and citizens involved in setting priorities for the University's campuses, colleges, and institutes across the state of Tennessee.

This will be the first year of implementation of preliminary plans to achieve long-term financial sustainability. If no action is taken, the university's annual funding gap could grow to as large as \$377 million over the next decade. This would result in an unacceptable decline in the quality and effectiveness of UT's programs and undermine the state's **Drive to 55** initiative. UT can no longer shift the burden of declining state funds onto the backs of students and their families. President DiPietro is spearheading an unprecedented initiative to fundamentally restructure UT's business model. In his words, UT has chosen to own this problem and develop its own solutions. Each campus and institute is developing strategies around six areas of emphasis:

1. Program realignment and consolidation.
2. Allocation and reallocation plans.
3. Unfunded mandates for tuition waivers and discounts.
4. Tuition structure.
5. Non-formula unit fee structure.
6. Tenure and post-tenure review.

We are grateful for the support of the Governor and General Assembly during this year's appropriations process. The state's budget for FY 2016 includes funding to recognize the strong productivity gains made by UT's three "formula units" (Chattanooga, Knoxville, and Martin), evidence that UT's strategies to improve student success and completion are making a difference. UT's non-formula units also received additional operating funds to help offset inflation and fixed-cost increases. This funding is critical to support a wide variety of programs in the Health Science Center, Institute of Agriculture, and Institute for Public Service

that improve the health and quality of life of all Tennesseans and strengthen our state's economic vitality.

Salaries and benefits must be competitive to attract and retain the talent UT needs to effectively serve the students and citizens of Tennessee. FY 2016 state appropriations include partial funding for salary increases for university employees. This plus additional funds from other sources will enable UT to reward exceptional performance and move overall compensation closer to market levels. Each campus and institute has developed salary plans consistent with its long-term compensation strategy. These strategies continue to evolve as UT's Compensation Advisory Board works to guide UT's compensation philosophy, structure, and programs.

UT also will receive state funding for capital projects and capital maintenance. This includes funding for two of our top priorities: the West Tennessee 4-H Center and UTK Science Building. This would not have happened without the efforts of UT's statewide advocacy network and government relations staff.

The growth in state funding will enable UT to remain competitively priced compared to similar institutions. Undergraduate maintenance fees will increase by only 3%. The last time that all three formula units had increases of 3% or less was over thirty years ago. The additional fee revenues will help pay for the portion of the FY 2016 salary plan, inflation, and fixed-cost increases that were not funded by the state. Other fees will be adjusted as needed to offset cost increases and support further investments supporting student success. UT will continue to allocate additional funding to student aid to keep the *net* cost of student fees as low as possible - expenditures for scholarships and fellowships grew by over 230% from FY 2004 to FY 2014.

Revenue and expenditure data for each operating unit are provided in this budget document. Also included are detailed schedules on tuition and fees. A separate publication containing detailed supporting schedules is available in printed or electronic format.

Respectfully,



Charles M. Peccolo  
Treasurer and Chief Financial Officer

# FY2016 PROPOSED BUDGET

*“THE FY 2016 EDUCATIONAL AND GENERAL (E&G) AND AUXILIARY ENTERPRISES PROPOSED BUDGETS ARE BALANCED AND WITHIN AVAILABLE RESOURCES.”*

## Overview

The University of Tennessee FY 2016 proposed budget revenues total \$2.13 billion: \$1.30 billion in unrestricted educational and general (E&G) funds, \$598.0 million in restricted E&G funds and \$230.4 million in auxiliary funds. That represents an \$80.9 million (4.0%) increase from the FY 2015 probable budget.

The FY 2016 unrestricted E&G revenue budget is \$61.6 million (5.0%) over the FY 2015 probable budget. Restricted E&G revenues are essentially unchanged. Auxiliary revenues increase \$23.8 million (11.5%).

### Change in Total Revenues

Revenues (millions)	FY2015 Probable	FY2016 Proposed	Change	
Unrestricted E&G	\$ 1,236.0	\$ 1,297.6	\$ 61.6	5.0%
Restricted E&G	602.5	598.0	(4.5)	(0.7)%
Auxiliaries	206.6	230.4	23.8	11.5%
<b>Total</b>	<b>\$ 2,045.1</b>	<b>\$ 2,126.0</b>	<b>\$ 80.9</b>	<b>4.0%</b>

### FY 2016 Quick Facts

Enrollment (Fall 2014)	49,127
Total Revenues	\$ 2.13B
Positions (Fall 2014)	15,069
Capital Maintenance	\$ 39.4M

### Unrestricted E&G Funds

E&G Revenues	\$1.30B
Tuition & Fees	\$644.1M
% of Total Revenues	49.6%
State Appropriations	\$498.7M
% of Total Revenues	38.4%
Salaries & Benefits	\$883.7M
% of Total Expenditures	68.2%

Unrestricted education and general funds (unrestricted E&G) support the core operations of the university: instruction, research, public service, academic support, student services, institutional support, facilities operations and maintenance, and scholarships and fellowships. They are funded primarily through tuition and student fees, grants and contracts, state appropriations, and other sources including federal and local appropriations, sales and services, investment income, and endowment distributions.

Restricted funds must be used in accordance with purposes established by an external party; primarily grants, contracts, gift funds and endowments. They are not available for general university operations.

Auxiliaries are self-supporting enterprises which furnish services to students, faculty, and staff such as housing, bookstores, food service and UTK Athletics. Most auxiliary funds are unrestricted, but some UTK Athletics funds are restricted by gift agreements.

# FY2016 PROPOSED BUDGET

## Unrestricted E&G Revenues

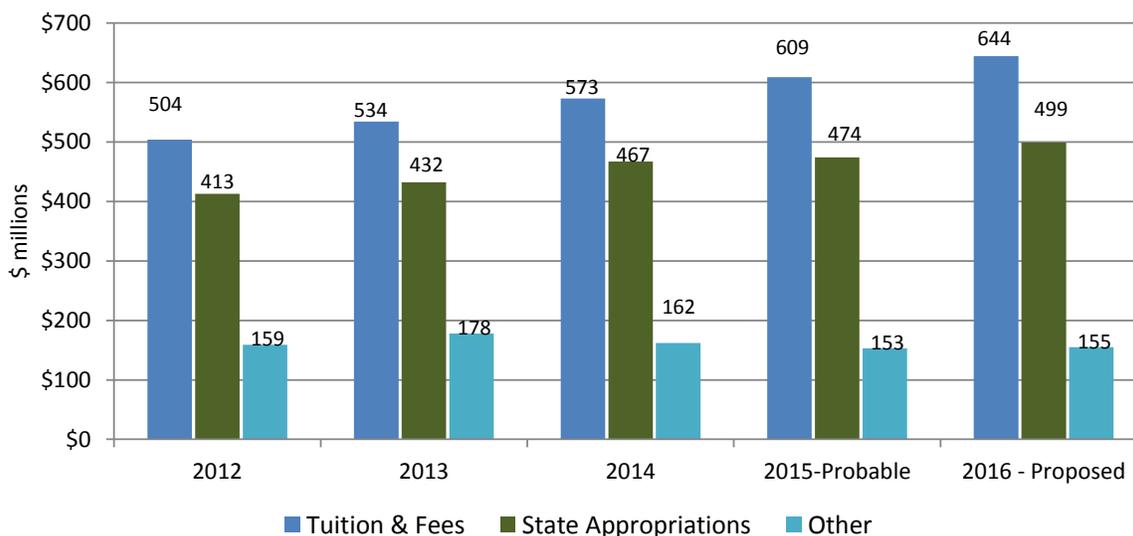
4.1

### Change in Unrestricted E&G Revenues

Revenues	FY 2015 Probable	FY 2016 Proposed	Change	
Tuition & Fees	\$ 609,191,543	\$ 644,076,728	\$ 34,885,185	5.7%
State Appropriations	474,281,512	498,711,549	24,430,037	5.2%
Other Revenues	152,548,972	154,815,538	2,266,566	1.5%
<b>Total</b>	<b>\$ 1,236,022,026</b>	<b>\$ 1,297,603,815</b>	<b>\$ 61,581,789</b>	<b>5.0%</b>

FY 2016 unrestricted E&G revenues are \$61.6 million (5.0%) higher than the FY 2015 probable budget, due primarily to increases in tuition & fees and state appropriations. The increases will fund general salary increases for faculty and staff, institutional scholarships, general inflation, fixed-cost increases (such as increases in health insurance premiums, utility costs, contractual service increases, and inflationary costs for campus facilities and library acquisitions), and modest investments in academic programs. Other uses include faculty promotions, start-up funding, campus infrastructure improvements, and salary adjustments.

### Unrestricted E&G Revenue History



## FY2016 PROPOSED BUDGET

### Tuition and Fees

4.1

Tuition and fee revenues are budgeted to increase \$34.9 million (5.7%). Nearly \$21.8 million of this revenue increase will be generated by the tuition and fee proposals presented in the *Tuition and Fees* section of this document. The remainder, \$13.1 million, results from projected enrollment changes and other factors that would occur with no changes to current fee levels. This income increase provides funds for the FY 2016 salary plan, faculty and student support positions, academic support, campus infrastructure, inflation, and fixed cost increases. It will also help fund increases for institutionally funded scholarships and fellowships, keeping students' *net* cost of attendance as low as possible.

#### Change in Tuition and Fee Revenues

Tuition and Fees	FY15 Probable	FY16 Proposed	Change	
Maintenance Fees	\$ 434,579,381	\$ 456,896,750	\$ 22,317,369	5.1%
Out-of-State Tuition	66,879,320	71,497,107	4,617,787	6.9%
Program and Service Fees	60,510,806	66,188,573	5,677,767	9.4%
Extension Enrollment Fees	7,427,371	7,564,271	136,900	1.8%
Other Student Fees	39,794,665	41,930,027	2,135,362	5.4%
<b>Total Tuition and Fees</b>	<b>\$ 609,191,543</b>	<b>\$ 644,076,728</b>	<b>\$ 34,885,185</b>	<b>5.7%</b>

UT remains competitively priced; fees at the three formula units – UTC, UTK, and UTM – are all comparable to peer group averages. Undergraduate maintenance fees are proposed to increase 3.0%. It has been over thirty years since maintenance fee increases at all three formula unit campuses were 3.0% or less. UT campuses are routinely cited as “best buys” in publications such as *The Princeton Review*, the *Fiske Guide to Colleges*, and *America’s 100 Best College Buys* (a listing compiled by Institutional Research & Evaluation, Inc.).

## FY2016 PROPOSED BUDGET

### State Appropriations

4.1

#### Change In Unrestricted E&G State Appropriations

State Appropriation Detail	Recurring (Base)	Non-Recurring	Total
<b>FY 2015 Probable Budget</b>	<b>\$ 472,419,035</b>	<b>\$ 1,862,477</b>	<b>\$ 474,281,512</b>
Remove FY15 Non-Recurring		(1,862,477)	(1,862,477)
Formula Adjustments	9,725,300		9,725,300
Non-Formula Operating Funds	4,659,800		4,659,800
Salary Increases	8,270,100		8,270,100
Benefits Adjustments	1,696,976		1,696,976
Access & Diversity Funds	117,800		117,800
Estimated Fee Waivers		1,078,600	1,078,600
401K Match Increase		743,938	743,938
<b>Change in Appropriations</b>	<b>\$ 24,469,976</b>	<b>\$ (39,939)</b>	<b>\$ 24,430,037</b>
<b>FY 2016 Proposed Budget</b>	<b>\$ 496,889,011</b>	<b>\$ 1,822,538</b>	<b>\$ 498,711,549</b>

State Appropriations increase 5.2%. The state provides \$8.3 million to partially fund general salary increases. Exceptional performance in improving student success and completion at Chattanooga, Knoxville, and Martin generate \$9.7 million through the state's funding formula. Non-formula units (Space Institute, Health Science Center, Institute of Agriculture, Institute for Public Service) gain \$4.7 million to help fund operating inflation and fixed-cost increases.

The schedule above does not include \$18.8 million of appropriations restricted to specific initiatives. The largest share of \$14.7 million goes to Governor's Chairs and Centers of Excellence. The Health Science Center also will receive \$3.0 million for a partnership with St. Jude's Children's Hospital to recruit pediatric physician scientists.

### Other Revenues

The largest increases are due to the Knoxville conference center, IPS leadership and forensics training programs, and rebates generated by the university's procurement card program.

#### Change in Other Unrestricted E&G Revenues

Other Revenues	FY15 Probable	FY16 Proposed	Change	
Grants & Contracts	\$ 44,803,194	\$ 43,996,040	\$ (807,154)	(1.8) %
Sales & Services	54,310,079	54,156,991	(153,087)	(0.3) %
Other Sources	53,435,699	56,662,507	3,226,808	6.0 %
<b>Total</b>	<b>\$ 152,548,972</b>	<b>\$ 154,815,538</b>	<b>\$ 2,266,566</b>	<b>1.5 %</b>

## FY2016 PROPOSED BUDGET

### Unrestricted E&G Expenditures

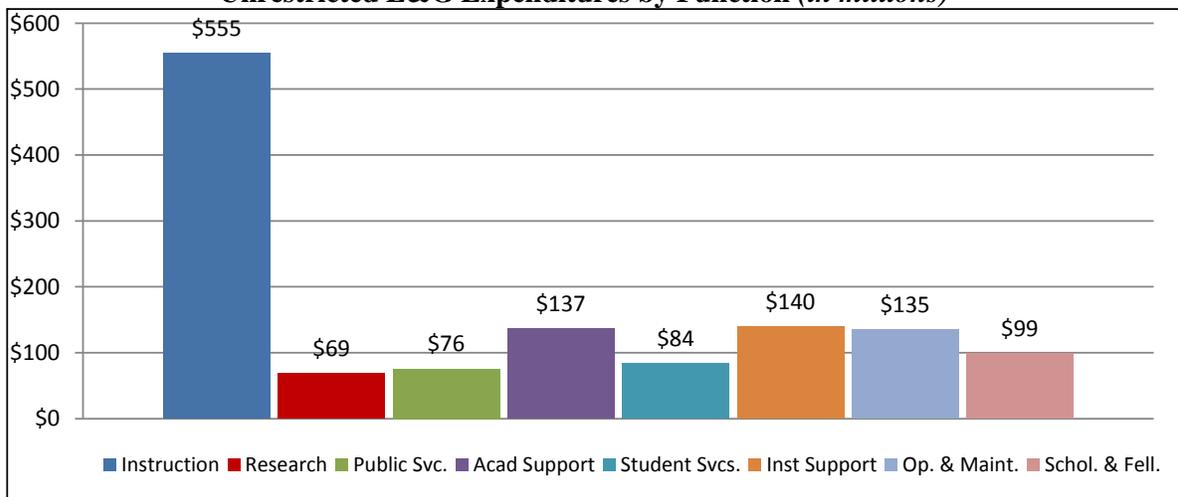
The FY 2016 budget for unrestricted E&G expenditures and transfers is \$1.3 billion, a moderate increase of \$18.5 million (1.4%) from FY 2015.

#### Unrestricted E&G Expenditures by Functional Category

Functional Category	FY 2015 Probable	FY 2016 Proposed	Change	
Instruction	\$ 544,329,126	\$ 555,228,221	\$ 10,899,095	2.0%
Research	106,228,750	68,852,995	(37,375,755)	(35.2)%
Public Service	80,799,656	75,781,248	(5,018,317)	(6.2)%
Academic Support	147,623,381	136,757,757	(10,865,624)	(7.4)%
Student Services	83,442,040	83,869,354	427,314	0.5%
Institutional Support	145,707,923	140,356,621	(5,351,302)	(3.7)%
Operations and Maintenance of Plant	133,889,955	134,988,183	1,098,228	0.8%
Scholarships and Fellowships	90,233,513	98,978,678	8,745,165	9.7%
<b>Total Expenditures</b>	<b>\$ 1,332,254,253</b>	<b>\$ 1,294,813,057</b>	<b>\$ (37,441,196)</b>	<b>(2.8)%</b>
Transfers	(50,741,374)	5,157,552	55,898,926	110.2%
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,281,512,879</b>	<b>\$ 1,299,970,609</b>	<b>\$ 18,457,730</b>	<b>1.4%</b>

Budgeted expenditures are down \$37.4 million (2.8%). Instruction shows the largest dollar increase while Scholarships and Fellowships shows the largest percentage increase. Student Services and Operation & Maintenance of Plant show little change. All other categories are significantly reduced. Budgeted research expenditures is by far the largest drop. The declines are due to non-recurring funds budgeted as expense in FY 2015 and do not reflect a change in long-term recurring operations. Much of these non-recurring funds will be expended during FY 2015, but some will carry forward into FY 2016 to fund future one-time projects. The plans for these will be developed mid-year when each unit has a clearer understanding of all available funds. Details will be presented to the Board at its winter meeting.

Unrestricted E&G Expenditures by Function (*in millions*)



## FY2016 PROPOSED BUDGET

### Recurring Unrestricted E&G Expenditures

4.1

The relatively large amount of non-recurring funds in FY 2015 probable expense budgets can make comparisons to FY 2016 proposed budgets difficult. The table below shows only recurring base budgets. These include funds for recurring operations, but do not include non-recurring budgets allocated to one-time projects in FY 2015. Recurring expenditures and transfer budgets increase \$67.8 million (5.5%). The largest dollar increase is in Instruction (\$30.2 million; 5.8%) while the largest percentage increase is for Scholarships & Fellowships (\$11.1 million; 12.8%). These reflect UT's commitment to continue improvements in the student experience and keep the *net* cost of attendance as low as possible. Budgeted expenditures for Public Service, Student Services, and Institutional Support also show relatively large percentage increases.

#### Recurring Unrestricted E&G Expenditures by Functional Category

Functional Category	FY 2015 Probable	FY 2016 Proposed	Change	
Instruction	\$ 522,571,347	\$ 552,757,111	\$ 30,185,764	5.8%
Research	69,958,715	71,217,178	1,258,463	1.8%
Public Service	71,727,083	74,566,866	2,839,783	4.0%
Academic Support	133,465,604	136,698,665	3,233,061	2.4%
Student Services	78,674,129	83,831,597	5,157,468	6.6%
Institutional Support	132,474,931	140,231,731	7,756,800	5.9%
Operations & Maintenance of Plant	132,651,657	134,940,048	2,288,391	1.7%
Scholarships & Fellowships	86,980,744	98,080,278	11,099,534	12.8%
<b>Total Expenditures</b>	<b>\$ 1,228,504,210</b>	<b>\$ 1,292,323,474</b>	<b>\$ 63,819,264</b>	<b>5.2%</b>
Transfers	(1,401,875)	2,542,266	3,944,141	181%
<b>Total Transfers and Expenditures</b>	<b>\$ 1,227,102,335</b>	<b>\$ 1,294,865,740</b>	<b>\$ 67,763,405</b>	<b>5.5%</b>

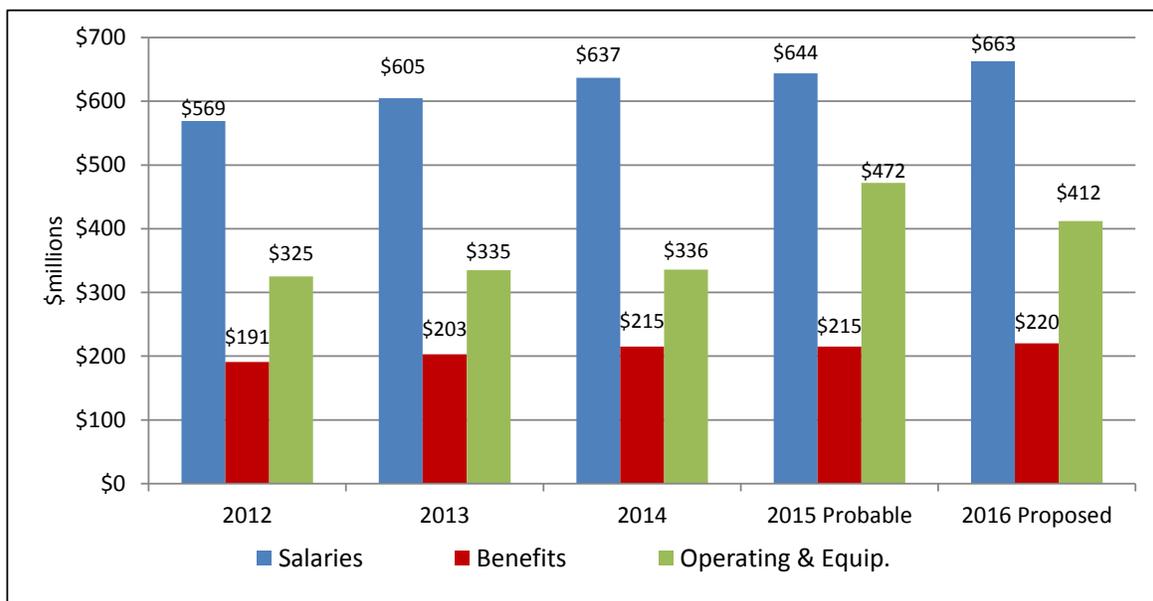
Another way to view the change in recurring expenditure budgets is by natural classification rather than function. Nearly 40% of the increase is for faculty and staff salary increases called for in UT's proposed salary plan for FY 2016 (described in more detail in a following section). Approximately \$14.0 million is required for operating inflation and fixed-cost increases. Another \$11.7 million will be invested in improvements to educational, research, and public service programs; support services to continue UT's progress in improving student success and completion; and development of facilities, technology, and campus infrastructure critical to support all operations. Transfers for debt service and future renewal and replacement needs increase \$3.9 million. The remaining funds are returned to the students in the form of scholarships and fellowships. This has been by far the fastest growing expense item in UT budgets for the last decade, ensuring access to public higher education for all students during a time of declining state support.

# FY2016 PROPOSED BUDGET

## Unrestricted E&G Expenditures by Natural Classifications

The chart below shows expenditures by natural classification for five years. Natural classification categories include salaries, benefits, operating expense, and equipment. Operating expense and equipment are combined.

**Unrestricted Expenditures by Natural Classification**



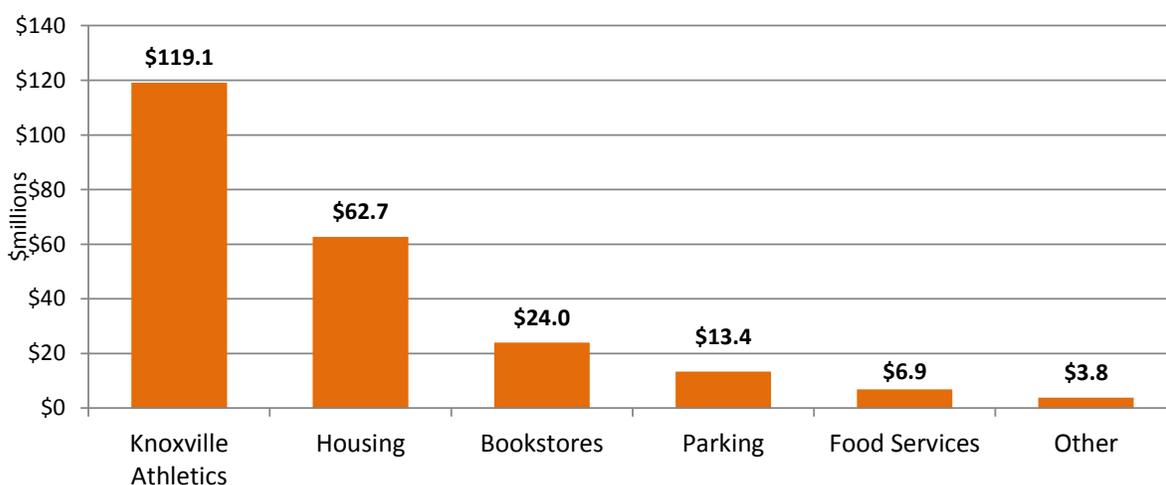
Salaries and benefits are budgeted to grow by \$24.0 million in FY 2016 due to general salary increases for faculty and staff. Operating & Equipment budgets are down, reflecting non-recurring funds budgeted for one-time purposes in FY 2015. Base *recurring* budgets for Operating & Equipment expenses actually increase \$34.0 million (9.0%) in FY 2016.

## FY2016 PROPOSED BUDGET

### Auxiliary Enterprises

Auxiliary enterprises furnish services to students, faculty, staff, and the public. Each is a business that is self-funded through sales, fees, and private gifts. These standalone operations include UTK Athletics, Housing, Food Services, Bookstores, Parking, and other miscellaneous operations. Budgeted FY 2016 unrestricted auxiliary revenues are \$229.9 million. The chart below reveals the relative size of each auxiliary enterprise.

**Auxiliary Revenues**



Revenue budgets increase 11.5%. The primary driver is increased revenues in UTK athletics, including ticket sales, licensing royalties, game guarantees, SEC revenue sharing, and bowl revenues. UTK VolShop sales are expected to increase by \$3.7 million. On the expenditure side, \$1.1 million of the \$19.3 million increase is allocated to salary increases for auxiliary employees. The remainder will fund operating improvements in all auxiliary functions, primarily UTK Athletics. Transfers needed for debt service and future renewal and replacement of facilities and equipment in athletics, housing, and parking increase \$4.5 million.

**Auxiliary Revenues, Expenditures, and Transfers**

Category	FY 2015 Probable	FY 2016 Proposed	Change	
<b>Revenues</b>	<b>\$ 206,119,665</b>	<b>\$ 229,909,048</b>	<b>\$ 23,789,383</b>	<b>11.5%</b>
Expenditures	158,275,653	177,535,190	19,259,537	12.2%
Transfers	47,844,012	52,373,858	4,529,846	9.5%
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 206,119,665</b>	<b>\$ 229,909,048</b>	<b>\$ 23,789,383</b>	<b>11.5%</b>

## FY2016 PROPOSED BUDGET

### FY 2016 Salary Plan

4.1

FY 2016 will be the first since FY 2014 in which faculty and staff will receive a general salary increase. Faculty and staff salaries and benefits must be competitive to attract and retain the talent UT needs to effectively serve its students and the citizens of Tennessee. FY 2016 state appropriations provide partial funding for a 1.5% salary pool. These appropriations plus additional funds from other sources will enable UT to reward exceptional performance and move overall compensation closer to market levels. Each campus and institute has developed salary plans consistent with its long-term compensation strategy. These strategies continue to evolve as UT's Compensation Advisory Board works to guide UT's compensation philosophy, structure, and programs.

Units may provide a 1.5% pool as mandated by the state or add additional funding to increase their salary pools. Each may allocate its entire pool to across-the-board increases or create a second pool for market and merit adjustments. All plans must include a pool of no less than 1.0% for across-the-board increases.

### FY 2016 Campus/Institute Salary Plans

Campus/Institute	Salary Plan
UT Chattanooga	1.5% across-the-board; 1.5% market/merit.
UT Knoxville/UTSI	1.0% across-the-board; 2.0% market/merit.
UT Martin	1.5% across-the-board; <i>market/merit pending fall enrollment results.</i>
UT Health Science Center	3.0% across-the-board.
UT Institute of Agriculture	1.5% across-the-board; 1.5% market/merit.
UT Institute for Public Service	1.0% across-the-board; 2.0% market/merit.
UT System Administration	1.5% across-the-board; 1.5% market/merit.

The total cost of the salary plan is \$24.6 million. It adds \$19.4 million to unrestricted E&G salary and benefits expenses. Restricted E&G costs paid through grants, contracts, gifts and endowments will be \$4.1 million. Auxiliary enterprises will spend \$1.1 million and recover the expense through their self-funded business models. Roughly one-third of the funding is provided through appropriations. The remainder will be funded by student fees, auxiliary revenues, grants and contracts, gifts and endowments, and budget reallocations.

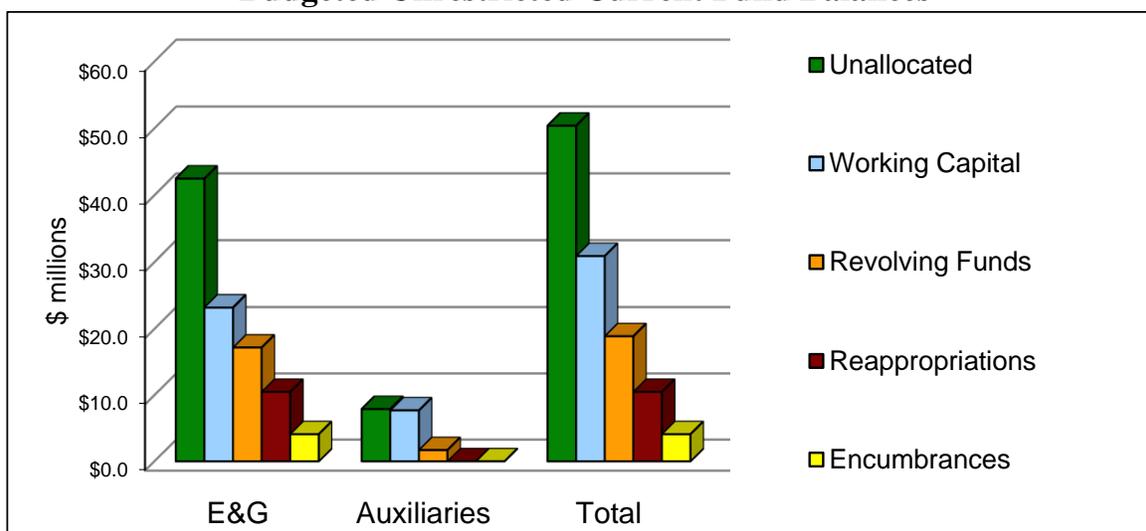
## FY2016 PROPOSED BUDGET

### Unrestricted Current Fund Balances

Current operating fund budgets for expenditures and transfers may not exceed available resources, which are defined as total revenues plus unrestricted current fund balances. The university keeps the unallocated portion of current fund balances within a target range of 2.0% and 5.0% of total expenditures and transfers. This unallocated fund balance serves as a rainy day fund to absorb minor fluctuations in revenues and expenditures from one year to the next.

The FY 2016 Proposed Budget projects a June 30, 2016 unrestricted E&G unallocated fund balance of \$42.5 million, or 3.27 percent of expenditures and transfers. The auxiliary enterprise unallocated fund balance is \$7.9 million, or 3.44 percent of expenditures and transfers. The combined unallocated balances equal \$50.4 million, which is 3.29 percent of expenditures and transfers. All are within their target ranges.

**Budgeted Unrestricted Current Fund Balances**



<b>\$42.5 million</b>	<b>\$7.9 million</b>	<b>\$50.4 million</b>	<b>Unallocated Balance</b>
<b>3.27%</b>	<b>3.44%</b>	<b>3.29%</b>	<b>% of Exp. &amp; Transfers</b>

# **FY2016 PROPOSED BUDGET**

---

RESOLUTION OF  
THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
JUNE 25, 2015

**FY 2016 Operating Budget, Student Tuition and Fees, and Compensation Guidelines  
for Additional Salary Increases During the Fiscal Year**

WHEREAS, the Bylaws require the Board of Trustees to approve an annual operating budget for the University; and

WHEREAS, the proposed FY 2016 Educational and General (E&G) budget is balanced and within available resources, as is the budget for Auxiliary Enterprises; and

WHEREAS, the proposed budget complies with all applicable policies and guidelines; and

WHEREAS, the administration needs to be able to respond quickly and effectively to a significant budget shortfall due to a state impoundment of funds or appropriation rescission; and

WHEREAS, mandatory furloughs without pay, reduction of time worked, across-the-board salary reductions, and similar salary-related measures may be required to address budget reductions or a budgetary shortfall; and

WHEREAS, the Bylaws further require the Board of Trustees to approve student tuition and fees;

NOW THEREFORE BE IT RESOLVED that:

1. The FY 2016 proposed operating budget is approved with the understanding that if the General Assembly or the Department of Finance and Administration further alters the FY 2016 appropriations or if changes in estimated resources require, the budget shall be modified accordingly so expenditures will not exceed available resources.

## **FY2016 PROPOSED BUDGET**

---

2. The Board of Trustees expressly authorizes the campus, institute and unit administrations, in response to budget reductions or a budgetary shortfall, to implement mandatory furloughs without pay, reduction of time worked, across-the-board salary reductions, and similar salary-related measures during FY 2016, subject to approval by the Executive and Compensation Committee, the President, and the Treasurer and Chief Financial Officer in consultation with the General Counsel and Human Resources.
3. The proposed tuition and fee schedules are adopted for FY 2015-16.
4. The proposed FY 2016 salary and wage compensation plan and the FY 2016 Compensation Guidelines for Additional Salary Increases During the Fiscal Year are approved.
5. Any additional general salary increases that exceed the FY 2016 salary and wage plan may only be granted upon approval by the Board of Trustees.
6. Any remaining Unrestricted Current Fund balances may be considered as a reserve for contingencies to be used for:
  - a. Employing additional staff where enrollments and reorganization requirements warrant;
  - b. Modifying departmental operating budgets where changing conditions during the year require funding adjustments;
  - c. Funding to make salary adjustments for personnel as may be necessary during the year in keeping with state and university salary guidelines;
  - d. Improving physical facilities for academic and research departments as opportunities arise;
  - e. Mandated cost increases; and
  - f. State impoundment of funds or appropriations rescission during the budget year.

All such changes shall be reported to the Board in a Revised Budget for the Board's approval. Adopted by the Board of Trustees, this 25<sup>th</sup> day of June, 2015.

## FY2016 PROPOSED BUDGET

4.1

<b>Schedule Number</b>	<b>FY 2016 Proposed Budget Schedule Description</b>	<b>Page</b>
<b>Chart 1</b>	<b>Unrestricted and Restricted Current Funds – Graphical Presentation</b>	<b>15</b>
<b>Chart 2</b>	<b>Unrestricted E&amp;G Current Funds – Graphical Presentation</b>	<b>16</b>
<b>1</b>	<b>State Appropriations Summary</b>	<b>17</b>
<b>2</b>	<b>State Appropriations Five-Year History</b>	<b>18</b>
<b>3</b>	<b>State Appropriations – Access &amp; Diversity</b>	<b>19</b>
<b>4</b>	<b>E&amp;G Unrestricted Net Assets</b>	<b>20</b>
<b>5</b>	<b>Auxiliary Unrestricted Net Assets</b>	<b>21</b>
<b>6</b>	<b>Proposed Budget – Unrestricted Current Funds</b>	<b>22</b>
<b>7</b>	<b>Proposed Budget – Unrestricted and Restricted Current Funds</b>	<b>23</b>
<b>8</b>	<b>Five-Year Summary Comparison – Unrestricted Current Funds</b>	<b>24</b>
<b>9</b>	<b>Five-Year Summary Comparison – Unrestricted and Restricted Current Funds</b>	<b>25</b>
<b>10</b>	<b>Proposed Budget Summary – Unrestricted &amp; Restricted Current Funds</b>	<b>26</b>
<b>11</b>	<b>Natural Classifications – Proposed Budget – Unrestricted Current Funds</b>	<b>27</b>
<b>12</b>	<b>Natural Classifications – Unrestricted Current Funds – Budget Summary</b>	<b>28</b>
<b>13</b>	<b>Auxiliaries – Proposed Budget Summary</b>	<b>29</b>
<b>14</b>	<b>Athletics – Proposed Budget Summary</b>	<b>30</b>
<b>15</b>	<b>Budget Summaries – Total University System, Campuses, and Institutes</b>	<b>31</b>
<b>15 - TOT</b>	<b>Total University of Tennessee System</b>	<b>31</b>
<b>15 - UTC</b>	<b>Chattanooga</b>	<b>32</b>
<b>15 - UTK</b>	<b>Knoxville</b>	<b>33</b>
<b>15 - UTM</b>	<b>Martin</b>	<b>34</b>
<b>15 - UTSI</b>	<b>Space Institute</b>	<b>35</b>
<b>15 - HSC</b>	<b>Health Science Center</b>	<b>36</b>
<b>15 - AG</b>	<b>Institute of Agriculture</b>	<b>40</b>
<b>15 - IPS</b>	<b>Institute for Public Service</b>	<b>44</b>
<b>15 - UWA</b>	<b>System Administration</b>	<b>48</b>

# The University of Tennessee

## FY 2016 Proposed Budget

### Unrestricted & Restricted Funds

#### Unrestricted & Restricted Revenues (\$millions)

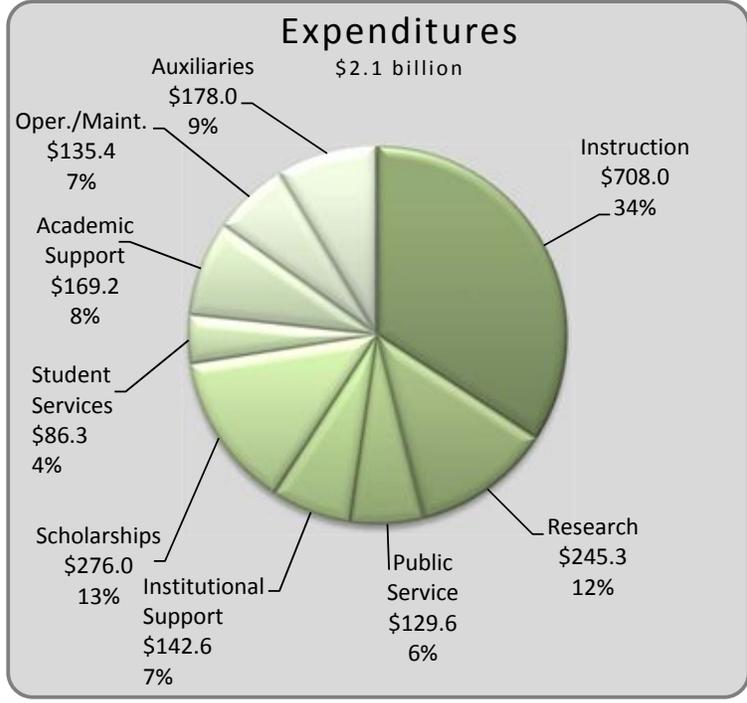
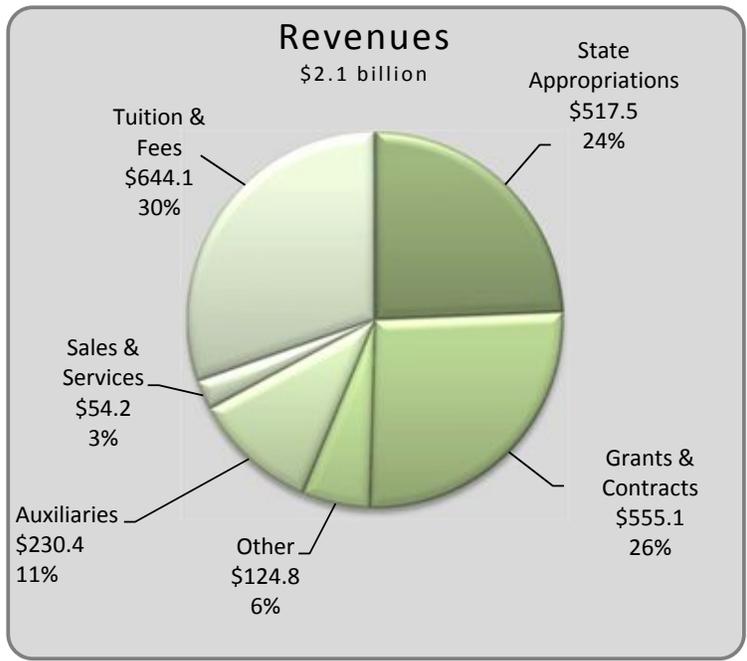
Chattanooga	\$221.3
Knoxville	1,062.7
Martin	143.3
Space Institute	11.5
Health Science Center	465.2
Institute of Agriculture	174.8
Inst. for Public Service	22.7
System Administration	<u>24.5</u>
<b>TOTAL</b>	<b>\$2,126.0</b>

#### Fall 2014 Headcount Enrollment

Knoxville	26,923
Chattanooga	11,670
Martin	7,042
Health Science Center	2,976
Vet Med	357
Space Institute	<u>130</u>
<b>TOTAL</b>	<b>49,098</b>

#### FTE Positions (Unrestricted & Restricted) October 31, 2014

Faculty	4,173
Administrative	833
Professional	3,945
Cler/Tech/Maint	<u>6,024</u>
<b>TOTAL</b>	<b>14,975</b>



# The University of Tennessee

## FY 2016 Proposed Budget

### Unrestricted E&G Funds

#### Current Fund Revenues (\$millions)

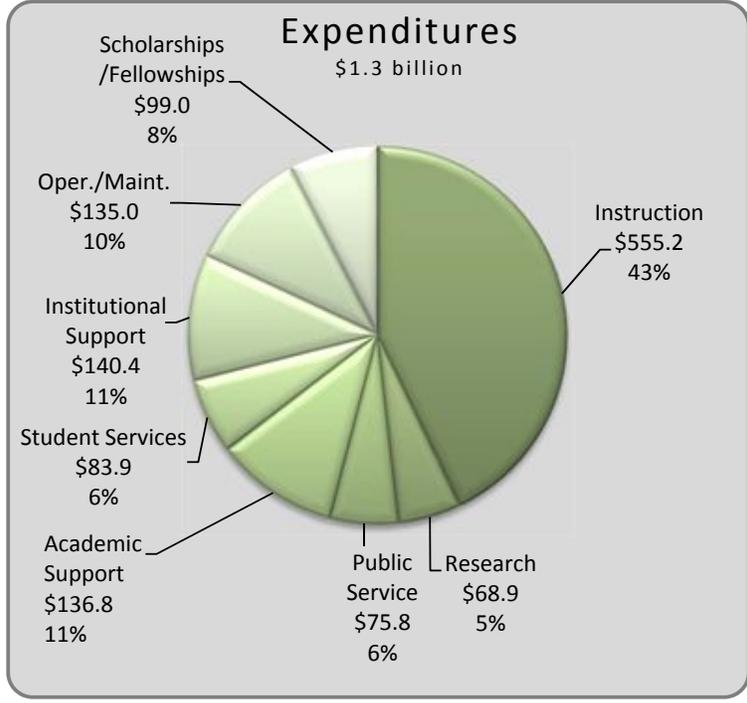
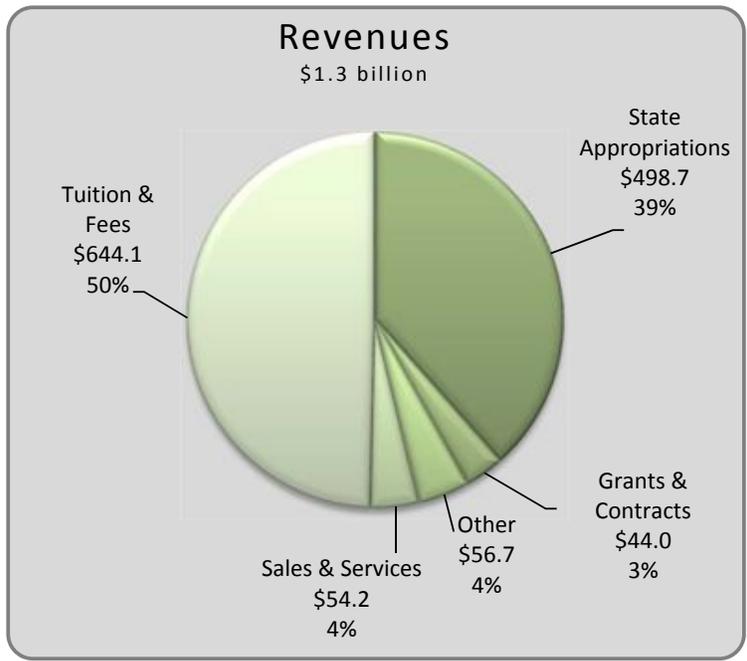
Chattanooga	\$150.1
Knoxville	617.6
Martin	93.8
Space Institute	9.9
Health Science Center	254.8
Institute of Agriculture	130.8
Inst. for Public Service	18.0
System Administration	<u>22.6</u>
<b>TOTAL</b>	<b>\$1,297.6</b>

#### Fall 2014 Headcount Enrollment

Knoxville	26,923
Chattanooga	11,670
Martin	7,042
Health Science Center	2,976
Vet Med	357
Space Institute	<u>130</u>
<b>TOTAL</b>	<b>49,098</b>

#### FTE Positions (Unrestricted E&G) October 31, 2014

Faculty	3,237
Administrative	688
Professional	2,017
Cler/Tech/Maint	<u>3,888</u>
<b>TOTAL</b>	<b>9,830</b>



**University of Tennessee System**  
**FY 2016 Proposed State Appropriations Summary**  
 Unrestricted Educational and General Funds

	FY 2014 ACTUAL	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				Amount	%
<b>STATE APPROPRIATIONS</b>					
Chattanooga	\$ 37,467,181	\$ 38,456,781	\$ 42,618,605	\$ 4,161,824	10.8 %
Knoxville	177,568,343	182,317,943	191,195,655	8,877,712	4.9 %
Martin	26,359,667	27,036,367	28,706,897	1,670,530	6.2 %
Space Institute	7,995,412	8,015,212	8,294,103	278,891	3.5 %
Health Science Center					
<i>Memphis Other Specialized Units</i>	\$ 71,883,051	\$ 72,430,740	\$ 75,933,521	\$ 3,502,781	4.8 %
<i>College of Medicine Units</i>	47,116,500	47,007,900	48,847,000	1,839,100	3.9 %
<i>Family Medicine Units</i>	10,470,800	10,518,000	10,956,000	438,000	4.2 %
Subtotal Health Science Center	\$ 129,470,351	\$ 129,956,640	\$ 135,736,521	\$ 5,779,881	4.4 %
Agricultural Units					
<i>Agricultural Experiment Station</i>	\$ 25,579,486	\$ 25,698,486	\$ 26,685,988	\$ 987,502	3.8 %
<i>Extension</i>	30,987,767	31,195,267	32,408,617	1,213,350	3.9 %
<i>College of Veterinary Medicine</i>	16,796,354	16,874,254	17,730,359	856,105	5.1 %
Subtotal Agricultural Units	\$ 73,363,607	\$ 73,768,007	\$ 76,824,964	\$ 3,056,957	4.1 %
Public Service Units					
<i>Institute for Public Service</i>	\$ 5,249,898	\$ 5,265,298	\$ 5,438,185	\$ 172,887	3.3 %
<i>Municipal Technical Advisory Service</i>	2,892,013	2,903,313	3,039,051	135,738	4.7 %
<i>County Technical Assistance Service</i>	1,758,013	1,767,913	1,863,151	95,238	5.4 %
Subtotal Public Service Units	\$ 9,899,924	\$ 9,936,524	\$ 10,340,387	\$ 403,863	4.1 %
System Administration	4,721,538	4,794,038	4,994,417	200,379	4.2 %
State Appropriations	\$ 466,846,023	\$ 474,281,512	\$ 498,711,549	\$ 24,430,037	5.2 %

*State appropriations allocated to restricted funds are not included in this schedule.*

## University of Tennessee System

### State Appropriations Five Year History

#### Unrestricted Educational and General Funds

	FY 2012 ACTUAL	FY 2013 ACTUAL	FY 2014 ACTUAL	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE FY 2012 TO FY 2016	
						AMOUNT	%
<b>STATE APPROPRIATIONS</b>							
Chattanooga	\$ 35,088,738	\$ 35,523,864	\$ 37,467,181	\$ 38,456,781	\$ 42,618,605	\$ 7,529,867	21.5 %
Knoxville	147,947,704	156,439,550	177,568,343	182,317,943	191,195,655	43,247,951	29.2 %
Martin	25,195,511	26,186,217	26,359,667	27,036,367	28,706,897	3,511,386	13.9 %
Space Institute	7,392,569	7,700,101	7,995,412	8,015,212	8,294,103	901,534	12.2 %
Health Science Center							
<i>Memphis Other Specialized Units</i>	\$ 64,831,856	\$ 67,383,999	\$ 71,883,051	\$ 72,430,740	\$ 75,933,521	\$ 11,101,665	17.1 %
<i>College of Medicine Units</i>	44,093,363	44,934,400	47,116,500	47,007,900	48,847,000	4,753,637	10.8 %
<i>Family Medicine Units</i>	9,386,338	9,882,100	10,470,800	10,518,000	10,956,000	1,569,662	16.7 %
Subtotal Health Science Center	\$ 118,311,558	\$ 122,200,499	\$ 129,470,351	\$ 129,956,640	\$ 135,736,521	\$ 17,424,963	14.7 %
Agricultural Units							
<i>Agricultural Experiment Station</i>	\$ 23,333,760	\$ 24,480,573	\$ 25,579,486	\$ 25,698,486	\$ 26,685,988	\$ 3,352,228	14.4 %
<i>Extension</i>	28,160,380	29,580,016	30,987,767	31,195,267	32,408,617	4,248,237	15.1 %
<i>Veterinary Medicine</i>	14,823,603	15,720,772	16,796,354	16,874,254	17,730,359	2,906,756	19.6 %
Subtotal Agricultural Units	\$ 66,317,743	\$ 69,781,361	\$ 73,363,607	\$ 73,768,007	\$ 76,824,964	\$ 10,507,221	15.8 %
Public Service Units							
<i>Institute for Public Service</i>	\$ 4,368,582	\$ 5,058,459	\$ 5,249,898	\$ 5,265,298	\$ 5,438,185	\$ 1,069,603	24.5 %
<i>Municipal Technical Advisory Service</i>	2,571,285	2,737,969	2,892,013	2,903,313	3,039,051	467,766	18.2 %
<i>County Technical Assistance Service</i>	1,534,985	1,650,969	1,758,013	1,767,913	1,863,151	328,166	21.4 %
Subtotal Public Service Units	\$ 8,474,852	\$ 9,447,397	\$ 9,899,924	\$ 9,936,524	\$ 10,340,387	\$ 1,865,535	22.0 %
System Administration	4,614,770	4,571,278	4,721,538	4,794,038	4,994,417	379,647	8.2 %
Total State Appropriations	\$ 413,343,445	\$ 431,850,267	\$ 466,846,023	\$ 474,281,512	\$ 498,711,549	\$ 85,368,104	20.7 %

State appropriations allocated to restricted funds are not included in this schedule.

**University of Tennessee System**  
**FY 2016 Revised State Appropriations Summary**  
 Access & Diversity

	FY 2014 ACTUAL	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED		
				Amount	%	
<b>STATE APPROPRIATIONS (Access &amp; Diversity)</b>						
Chattanooga	\$ 648,281	\$ 648,281	\$ 661,705	\$ 13,424	2.1%	
Knoxville	2,270,343	2,270,343	2,317,355	47,012	2.1%	
Martin	547,167	547,167	558,497	11,330	2.1%	
Space Institute	86,512	86,512	88,303	1,791	2.1%	
Health Science Center						
<i>Memphis Other Specialized Units</i>	\$ 1,504,028	\$ 1,504,028	\$ 1,535,172	\$ 31,144	2.1%	
<i>College of Medicine Units</i>						
<i>Family Medicine Units</i>						
Subtotal Health Science Center	\$ 1,504,028	\$ 1,504,028	\$ 1,535,172	\$ 31,144	2.1%	
Agricultural Units						
<i>Agricultural Experiment Station</i>	\$ 111,186	\$ 111,186	\$ 113,488	\$ 2,302	2.1%	
<i>Extension</i>	108,667	108,667	110,917	2,250	2.1%	
<i>College of Veterinary Medicine</i>	318,954	318,954	325,559	6,605	2.1%	
Subtotal Agricultural Units	\$ 538,807	\$ 538,807	\$ 549,964	\$ 11,157	2.1%	
Public Service Units						
<i>Institute for Public Service</i>	\$ 13,898	\$ 13,898	\$ 14,185	\$ 287	2.1%	
<i>Municipal Technical Advisory Service</i>	1,813	1,813	1,851	38	2.1%	
<i>County Technical Assistance Service</i>	1,813	1,813	1,851	38	2.1%	
Subtotal Public Service Units	\$ 17,524	\$ 17,524	\$ 17,887	\$ 363	2.1%	
System Administration	76,238	76,238	77,817	1,579	2.1%	
Total State Appropriations - Access & Diversity	\$ 5,688,900	\$ 5,688,900	\$ 5,806,700	\$ 117,800	2.1%	

## University of Tennessee System Educational and General Unrestricted Current Fund Balances

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center	Institute of Agriculture	Institute for Public Service	System Administration
<b>FY 2013-14 ACTUAL</b>									
<b>Estimated Net Assets at Beginning of Year</b>	\$ 157,691,363	\$ 8,517,786	\$ 26,386,355	\$ 8,526,661	\$ 506,978	\$ 68,425,965	\$ 22,814,859	\$ 1,093,279	\$ 21,419,480
Operating Funds									
Revenue	\$ 1,202,493,370	\$ 137,762,775	\$ 557,023,838	\$ 87,843,576	\$ 9,651,402	\$ 244,224,676	\$ 125,194,525	\$ 17,322,479	\$ 23,470,099
Less: Expenditures and Transfers	(1,214,787,223)	(137,241,778)	(556,228,102)	(88,313,543)	(9,930,593)	(250,586,667)	(130,658,769)	(17,242,328)	(24,585,442)
Carryover Funds To/(From) Net Assets	\$ (12,293,852)	\$ 520,997	\$ 795,736	\$ (469,967)	\$ (279,191)	\$ (6,361,991)	\$ (5,464,245)	\$ 80,151	\$ (1,115,343)
<b>Net Assets Detail:</b>									
<b>ALLOCATED</b>									
Working Capital	\$ 23,355,695	\$ 2,938,783	\$ 6,705,965	\$ 2,119,923	\$ 24,117	\$ 6,437,674	\$ 1,049,159	\$ 116,317	\$ 3,963,757
Revolving Funds	17,215,728		450,569			1,977,742			14,787,416
Encumbrances	5,337,549		1,689,347	122,492		2,284,203	1,241,507		
Unexpended Gifts	254,994								254,994
Reserve for Reappropriations	54,909,483			2,000,000		41,125,000	10,851,466	\$ 400,000	533,017
Total Allocated Net Assets	\$ 101,073,448	\$ 2,938,783	\$ 8,845,881	\$ 4,242,415	\$ 24,117	\$ 51,824,620	\$ 13,142,132	\$ 516,317	\$ 19,539,184
<b>UNALLOCATED</b>									
Total Net Assets	\$ 44,324,061	\$ 6,100,001	\$ 18,336,210	\$ 3,814,279	\$ 203,670	\$ 10,239,353	\$ 4,208,481	\$ 657,115	\$ 764,953
Total Net Assets	\$ 145,397,509	\$ 9,038,783	\$ 27,182,091	\$ 8,056,694	\$ 227,787	\$ 62,063,973	\$ 17,350,613	\$ 1,173,432	\$ 20,304,136
Percent Unallocated of Expend. & Transfers *	3.65%	4.44%	3.30%	4.32%	2.05%	4.09%	3.22%	3.81%	3.11%
<b>FY 2014-15 PROBABLE BUDGET</b>									
<b>Estimated Net Assets at Beginning of Year</b>	\$ 145,397,509	\$ 9,038,783	\$ 27,182,091	\$ 8,056,694	\$ 227,787	\$ 62,063,973	\$ 17,350,613	\$ 1,173,432	\$ 20,304,136
Operating Funds									
Revenue	\$ 1,236,022,026	\$ 144,113,730	\$ 579,554,199	\$ 90,557,959	\$ 9,575,205	\$ 246,159,431	\$ 127,636,531	\$ 17,068,780	\$ 21,356,191
Less: Expenditures and Transfers	(1,281,512,879)	(144,047,150)	(579,554,199)	(92,665,959)	(9,575,205)	(280,988,819)	(136,018,499)	(17,164,494)	(21,498,554)
Carryover Funds To/(From) Net Assets	\$ (45,490,853)	\$ 66,580	\$ -	\$ (2,108,000)	\$ -	\$ (34,829,388)	\$ (8,381,968)	\$ (95,714)	\$ (142,363)
<b>Net Assets Detail:</b>									
<b>ALLOCATED</b>									
Working Capital	\$ 23,006,477	\$ 2,815,312	\$ 6,705,965	\$ 2,119,924	\$ 24,117	\$ 6,437,674	\$ 1,011,381		\$ 3,892,104
Revolving Funds	17,215,727		450,569			1,977,742			14,787,416
Encumbrances	4,028,435	2,474	1,689,347	122,492		953,859	1,260,263		
Unexpended Gifts	254,994								254,994
Reserve for Reappropriations	12,659,898			1,500,000		8,311,085	2,498,813	\$ 350,000	
Total Allocated Net Assets	\$ 57,165,531	\$ 2,817,786	\$ 8,845,881	\$ 3,742,416	\$ 24,117	\$ 17,680,360	\$ 4,770,457	\$ 350,000	\$ 18,934,514
<b>UNALLOCATED</b>									
Total Net Assets	\$ 42,741,125	\$ 6,287,576	\$ 18,336,210	\$ 2,206,278	\$ 203,670	\$ 9,554,225	\$ 4,198,188	\$ 727,718	\$ 1,227,259
Total Net Assets	\$ 99,906,656	\$ 9,105,363	\$ 27,182,091	\$ 5,948,694	\$ 227,787	\$ 27,234,585	\$ 8,968,645	\$ 1,077,718	\$ 20,161,773
Percent Unallocated of Expend. & Transfers *	3.34%	4.36%	3.16%	2.38%	2.13%	3.40%	3.09%	4.24%	3.53%
<b>FY 2015-16 PROPOSED BUDGET</b>									
<b>Estimated Net Assets at Beginning of Year</b>	\$ 99,906,656	\$ 9,105,363	\$ 27,182,091	\$ 5,948,694	\$ 227,787	\$ 27,234,585	\$ 8,968,645	\$ 1,077,718	\$ 20,161,773
Operating Funds									
Revenue	\$ 1,297,603,815	\$ 150,086,059	\$ 617,612,208	\$ 93,768,755	\$ 9,861,648	\$ 254,827,114	\$ 130,778,524	\$ 18,012,937	\$ 22,656,570
Less: Expenditures and Transfers	(1,299,970,609)	(150,019,479)	(617,612,208)	(93,768,755)	(9,861,648)	(254,827,114)	(133,318,044)	(17,906,791)	(22,656,570)
Carryover Funds To/(From) Net Assets	\$ (2,366,794)	\$ 66,580	\$ -	\$ -	\$ -	\$ -	\$ (2,539,520)	\$ 106,146	\$ -
<b>Net Assets Detail:</b>									
<b>ALLOCATED</b>									
Working Capital	\$ 23,239,378	\$ 2,938,782	\$ 6,705,965	\$ 2,119,924	\$ 24,117	\$ 6,437,675	\$ 1,049,158		\$ 3,963,757
Revolving Funds	17,215,727		450,569			1,977,742			14,787,416
Encumbrances	4,084,755		1,689,347	122,492		953,859	1,319,057		
Unexpended Gifts	254,994								254,994
Reserve for Reappropriations	10,261,085			1,500,000		8,311,085		\$ 450,000	
Total Allocated Net Assets	\$ 55,055,939	\$ 2,938,782	\$ 8,845,881	\$ 3,742,416	\$ 24,117	\$ 17,680,361	\$ 2,368,215	\$ 450,000	\$ 19,006,167
<b>UNALLOCATED</b>									
Total Net Assets	\$ 42,483,922	\$ 6,233,160	\$ 18,336,210	\$ 2,206,278	\$ 203,670	\$ 9,554,224	\$ 4,060,910	\$ 733,864	\$ 1,155,605
Total Net Assets	\$ 97,539,862	\$ 9,171,943	\$ 27,182,091	\$ 5,948,694	\$ 227,787	\$ 27,234,585	\$ 6,429,125	\$ 1,183,864	\$ 20,161,773
Percent Unallocated of Expend. & Transfers *	3.27%	4.15%	2.97%	2.35%	2.07%	3.75%	3.05%	4.10%	3.22%

\* Recommended percent unallocated of expenditures and transfers is 2% to 5%. For UWA, transfers-in for system charge is excluded from this calculation.

## University of Tennessee System Auxiliary Unrestricted Current Fund Balances

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center
<b>FY 2013-14 ACTUAL</b>						
<b>Estimated Net Assets at Beginning of Year</b>	\$ 20,561,307	\$ 1,268,178	\$ 18,372,259	\$ 796,077	\$ 12,664	\$ 112,129
Operating Funds						
Revenue	\$ 206,143,803	\$ 13,733,782	\$ 178,979,903	\$ 11,711,339	\$ 168,557	\$ 1,550,222
Less: Expenditures and Transfers	(209,421,823)	(13,611,794)	(182,279,230)	(11,807,256)	(149,489)	(1,574,054)
Carryover Funds To/(From) Net Assets	\$ (3,278,020)	\$ 121,988	\$ (3,299,327)	\$ (95,917)	\$ 19,068	\$ (23,832)
<b>ALLOCATED</b>						
Working Capital	\$ 9,394,424	\$ 871,026	\$ 8,081,612	\$ 396,454	\$ 27,180	\$ 18,151
Revolving Funds	3,604,396		3,604,396			
Encumbrances	10,889			10,684		205
Total Allocated Net Assets	\$ 13,009,709	\$ 871,026	\$ 11,686,009	\$ 407,138	\$ 27,180	\$ 18,356
<b>UNALLOCATED</b>	\$ 4,273,579	\$ 519,140	\$ 3,386,924	\$ 293,022	\$ 4,551	\$ 69,941
<b>Total Net Assets</b>	<u>\$ 17,283,287</u>	<u>\$ 1,390,166</u>	<u>\$ 15,072,933</u>	<u>\$ 700,160</u>	<u>\$ 31,732</u>	<u>\$ 88,297</u>
<i>Percent Unallocated of Expend. &amp; Transfers *</i>	2.04%	3.81%	1.86%	2.48%	3.04%	4.44%
<b>FY 2014-15 PROBABLE BUDGET</b>						
<b>Estimated Net Assets at Beginning of Year</b>	\$ 17,283,287	\$ 1,390,166	\$ 15,072,933	\$ 700,160	\$ 31,732	\$ 88,297
Operating Funds						
Revenue	206,119,665	\$ 13,097,605	\$ 179,640,457	\$ 11,451,645	\$ 170,691	\$ 1,759,267
Less: Expenditures and Transfers	(206,119,665)	(13,097,605)	(179,640,457)	(11,451,645)	(170,691)	(1,759,267)
Carryover Funds To/(From) Net Assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>ALLOCATED</b>						
Working Capital	\$ 7,656,245	\$ 871,026	\$ 6,343,434	\$ 396,454	\$ 27,180	\$ 18,151
Revolving Funds	1,718,445		1,718,445			
Encumbrances	10,889			10,684		205
Total Allocated Net Assets	\$ 9,385,579	\$ 871,026	\$ 8,061,879	\$ 407,138	\$ 27,180	\$ 18,356
<b>UNALLOCATED</b>	\$ 7,897,708	\$ 519,140	\$ 7,011,054	\$ 293,022	\$ 4,552	\$ 69,941
<b>Total Net Assets</b>	<u>\$ 17,283,287</u>	<u>\$ 1,390,166</u>	<u>\$ 15,072,933</u>	<u>\$ 700,160</u>	<u>\$ 31,732</u>	<u>\$ 88,297</u>
<i>Percent Unallocated of Expend. &amp; Transfers *</i>	3.83%	3.96%	3.90%	2.56%	2.67%	3.98%
<b>FY 2015-16 PROPOSED BUDGET</b>						
<b>Estimated Net Assets at Beginning of Year</b>	\$ 17,283,287	\$ 1,390,166	\$ 15,072,933	\$ 700,160	\$ 31,732	\$ 88,297
Operating Funds						
Revenue	\$ 229,909,048	\$ 13,537,609	\$ 202,964,474	\$ 11,520,992	\$ 178,850	\$ 1,707,123
Less: Expenditures and Transfers	(229,909,048)	(13,537,609)	(202,964,474)	(11,520,992)	(178,850)	(1,707,123)
Carryover Funds To/(From) Net Assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>ALLOCATED</b>						
Working Capital	\$ 7,656,245	\$ 871,026	\$ 6,343,434	\$ 396,454	\$ 27,180	\$ 18,151
Revolving Funds	1,718,445		1,718,445			
Encumbrances	10,889			10,684		205
Total Allocated Net Assets	\$ 9,385,579	\$ 871,026	\$ 8,061,879	\$ 407,138	\$ 27,180	\$ 18,356
<b>UNALLOCATED</b>	\$ 7,897,708.44	\$ 519,140	\$ 7,011,054	\$ 293,022	\$ 4,552	\$ 69,941
<b>Total Net Assets</b>	<u>\$ 17,283,287</u>	<u>\$ 1,390,166</u>	<u>\$ 15,072,933</u>	<u>\$ 700,160</u>	<u>\$ 31,732</u>	<u>\$ 88,297</u>
<i>Percent Unallocated of Expend. &amp; Transfers *</i>	3.44%	3.83%	3.45%	2.54%	2.55%	4.10%

\* Recommended percent unallocated of expenditures and transfers is 3% to 5%

**University of Tennessee System**  
**FY 2016 Proposed Budget Summary**  
**Current Funds Revenues, Expenditures, and Transfers - UNRESTRICTED**

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center	Institute of Agriculture	Institute for Public Service	System Administration
<b>EDUCATIONAL AND GENERAL</b>									
<b>Revenues</b>									
Tuition & Fees	\$ 644,076,728	\$ 101,866,549	\$ 387,333,761	\$ 61,054,475	\$ 1,293,235	\$ 80,881,090	\$ 11,647,618		
State Appropriations	498,711,549	42,618,605	191,195,655	28,706,897	8,294,103	135,736,521	76,824,964	\$ 10,340,387	\$ 4,994,417
Grants & Contracts	43,996,040	453,856	22,560,000	198,400	254,926	16,476,390	3,833,071	219,397	
Sales & Service	54,156,991	4,903,549	5,140,925	3,203,983		18,929,120	21,979,414		
Other Sources	56,662,507	243,500	11,381,867	605,000	19,384	2,803,993	16,493,457	7,453,153	17,662,153
<b>Total Revenues</b>	<b>\$ 1,297,603,815</b>	<b>\$ 150,086,059</b>	<b>\$ 617,612,208</b>	<b>\$ 93,768,755</b>	<b>\$ 9,861,648</b>	<b>\$ 254,827,114</b>	<b>\$ 130,778,524</b>	<b>\$ 18,012,937</b>	<b>\$ 22,656,570</b>
<b>Expenditures and Transfers</b>									
Instruction	\$ 555,228,221	\$ 64,046,906	\$ 274,749,752	\$ 43,000,334	\$ 4,958,446	\$ 134,290,673	\$ 34,182,110		
Research	68,852,995	1,640,873	22,524,143	302,660	797,663	4,472,908	38,864,748		\$ 250,000
Public Service	75,781,248	2,555,051	11,820,571	555,555		42,696	45,196,487	\$ 15,610,888	
Academic Support	136,757,757	11,578,435	66,033,816	11,044,043	258,396	40,062,991	7,508,049	272,027	
Student Services	83,869,354	23,269,258	42,965,596	11,503,673	72,417	6,058,410			
Institutional Support	140,356,621	11,521,232	47,374,681	6,128,286	1,362,548	23,863,290	2,627,811	815,350	\$ 46,663,423
Op/Maint Physical Plant	134,988,183	20,424,303	71,101,548	11,254,053	1,932,380	26,887,718	3,388,181		
Scholarships & Fellowships	98,978,678	12,610,448	68,307,939	8,557,002	293,564	9,162,667	47,058		
<b>Subtotal Expenditures</b>	<b>\$ 1,294,813,057</b>	<b>\$ 147,646,506</b>	<b>\$ 604,878,046</b>	<b>\$ 92,345,606</b>	<b>\$ 9,675,414</b>	<b>\$ 244,841,353</b>	<b>\$ 131,814,444</b>	<b>\$ 16,698,265</b>	<b>\$ 46,913,423</b>
Mandatory Transfers	9,425,338	967,115	1,645,162	663,100		6,014,961			135,000
Non Mandatory Transfers	(4,267,786)	1,405,858	11,089,000	760,049	186,234	3,970,800	1,503,600	1,208,526	(24,391,853)
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,299,970,609</b>	<b>\$ 150,019,479</b>	<b>\$ 617,612,208</b>	<b>\$ 93,768,755</b>	<b>\$ 9,861,648</b>	<b>\$ 254,827,114</b>	<b>\$ 133,318,044</b>	<b>\$ 17,906,791</b>	<b>\$ 22,656,570</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (2,366,794)</b>	<b>\$ 66,580</b>					<b>\$ (2,539,520)</b>	<b>\$ 106,146</b>	
<b>AUXILIARIES</b>									
<b>Revenues</b>	\$ 229,909,048	\$ 13,537,609	\$ 202,964,474	\$ 11,520,992	\$ 178,850	\$ 1,707,123			
<b>Expenditures and Transfers</b>									
Expenditures	\$ 177,535,190	\$ 9,714,051	\$ 158,569,999	\$ 7,678,421	\$ 230,684	\$ 1,342,035			
Mandatory Transfers	32,894,294	1,803,780	27,545,274	3,180,152		365,088			
Non-Mandatory Transfers	19,479,564	2,019,778	16,849,201	662,419	(51,834)				
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 229,909,048</b>	<b>\$ 13,537,609</b>	<b>\$ 202,964,474</b>	<b>\$ 11,520,992</b>	<b>\$ 178,850</b>	<b>\$ 1,707,123</b>			
<b>Fund Balance Addition/(Reduction)</b>									
<b>TOTALS</b>									
<b>Revenues</b>	\$ 1,527,512,863	\$ 163,623,668	\$ 820,576,682	\$ 105,289,747	\$ 10,040,498	\$ 256,534,237	\$ 130,778,524	\$ 18,012,937	\$ 22,656,570
<b>Expenditures and Transfers</b>									
Expenditures	\$ 1,472,348,247	\$ 157,360,557	\$ 763,448,045	\$ 100,024,027	\$ 9,906,098	\$ 246,183,388	\$ 131,814,444	\$ 16,698,265	\$ 46,913,423
Mandatory Transfers	42,319,632	2,770,895	29,190,436	3,843,252		6,380,049			135,000
Non-Mandatory Transfers	15,211,778	3,425,636	27,938,201	1,422,468	134,400	3,970,800	1,503,600	1,208,526	(24,391,853)
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,529,879,657</b>	<b>\$ 163,557,088</b>	<b>\$ 820,576,682</b>	<b>\$ 105,289,747</b>	<b>\$ 10,040,498</b>	<b>\$ 256,534,237</b>	<b>\$ 133,318,044</b>	<b>\$ 17,906,791</b>	<b>\$ 22,656,570</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (2,366,794)</b>	<b>\$ 66,580</b>					<b>\$ (2,539,520)</b>	<b>\$ 106,146</b>	

# University of Tennessee System

## FY 2016 Proposed Budget Summary

Current Funds Revenues, Expenditures and Transfers - UNRESTRICTED AND RESTRICTED

4.1

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center	Institute of Agriculture	Institute for Public Service	System Administration
<b>EDUCATIONAL AND GENERAL</b>									
<b>Revenues</b>									
Tuition & Fees	\$ 644,076,728	\$ 101,866,549	\$ 387,333,761	\$ 61,054,475	\$ 1,293,235	\$ 80,881,090	\$ 11,647,618		
State Appropriations	517,509,138	43,374,182	201,703,112	28,997,369	9,108,863	141,666,629	77,324,179	\$ 10,340,387	\$ 4,994,417
Grants & Contracts	555,096,303	47,503,239	222,660,000	34,513,400	754,926	201,105,390	42,909,951	4,399,397	1,250,000
Sales & Service	54,156,991	4,903,549	5,140,925	3,203,983		18,929,120	21,979,414		
Other Sources	124,785,832	10,083,487	42,431,867	4,032,276	164,384	20,953,721	20,921,411	7,936,533	18,262,153
<b>Total Revenues</b>	<b>\$ 1,895,624,992</b>	<b>\$ 207,731,006</b>	<b>\$ 859,269,665</b>	<b>\$ 131,801,503</b>	<b>\$ 11,321,408</b>	<b>\$ 463,535,950</b>	<b>\$ 174,782,573</b>	<b>\$ 22,676,317</b>	<b>\$ 24,506,570</b>
<b>Expenditures and Transfers</b>									
Instruction	\$ 708,013,672	\$ 69,791,748	\$ 287,249,752	\$ 45,325,354	\$ 5,048,446	\$ 264,830,673	\$ 35,759,699		\$ 8,000.00
Research	245,344,488	4,267,630	125,524,143	479,304	2,113,423	50,082,611	61,945,377		932,000
Public Service	129,641,137	3,362,497	30,320,571	2,176,590		9,548,996	63,358,215	\$ 20,274,268	600,000
Academic Support	169,163,157	15,423,702	76,751,273	11,138,464	278,396	57,664,091	7,635,204	272,027	
Student Services	86,294,477	24,834,797	43,405,596	11,925,257	72,417	6,056,410			
Institutional Support	142,589,329	11,748,696	47,454,681	6,145,632	1,384,548	24,579,890	3,277,109	815,350	47,183,423
Op/Maint Physical Plant	135,447,183	20,449,303	71,521,548	11,254,053	1,932,380	26,887,718	3,402,181		
Scholarships/Fellowships	276,000,788	55,110,210	164,307,939	41,933,700	305,564	13,862,667	440,708		40,000
<b>Subtotal Expenditures</b>	<b>\$ 1,892,494,231</b>	<b>\$ 204,988,583</b>	<b>\$ 846,535,503</b>	<b>\$ 130,378,354</b>	<b>\$ 11,135,174</b>	<b>\$ 453,513,056</b>	<b>\$ 175,818,493</b>	<b>\$ 21,361,645</b>	<b>\$ 48,763,423</b>
Mandatory Transfers	9,425,338	967,115	1,645,162	663,100		6,014,961			135,000
Non Mandatory Transfers	(4,267,786)	1,405,858	11,089,000	760,049	186,234	3,970,800	1,503,600	1,208,526	(24,391,853)
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,897,651,783</b>	<b>\$ 207,361,556</b>	<b>\$ 859,269,665</b>	<b>\$ 131,801,503</b>	<b>\$ 11,321,408</b>	<b>\$ 463,498,817</b>	<b>\$ 177,322,093</b>	<b>\$ 22,570,171</b>	<b>\$ 24,506,570</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (2,026,791)</b>	<b>\$ 369,450</b>				<b>\$ 37,133</b>	<b>\$ (2,539,520)</b>	<b>\$ 106,146</b>	
<b>AUXILIARIES</b>									
<b>Revenues</b>	\$ 230,409,048	\$ 13,537,609	\$ 203,464,474	\$ 11,520,992	\$ 178,850	\$ 1,707,123			
<b>Expenditures &amp; Transfers</b>									
Expenditures	\$ 178,035,190	\$ 9,714,051	\$ 159,069,999	\$ 7,678,421	\$ 230,684	\$ 1,342,035			
Mandatory Transfers	32,894,294	1,803,780	27,545,274	3,180,152		365,088			
Non Mandatory Transfers	19,479,564	2,019,778	16,849,201	662,419	(51,834)				
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 230,409,048</b>	<b>\$ 13,537,609</b>	<b>\$ 203,464,474</b>	<b>\$ 11,520,992</b>	<b>\$ 178,850</b>	<b>\$ 1,707,123</b>			
<b>Fund Balance Addition/(Reduction)</b>									
<b>TOTALS</b>									
<b>Revenues</b>	\$ 2,126,034,040	\$ 221,268,615	\$ 1,062,734,139	\$ 143,322,495	\$ 11,500,258	\$ 465,243,073	\$ 174,782,573	\$ 22,676,317	\$ 24,506,570
<b>Expenditures &amp; Transfers</b>									
Expenditures	\$ 2,070,529,421	\$ 214,702,634	\$ 1,005,605,502	\$ 138,056,775	\$ 11,365,858	\$ 454,855,091	\$ 175,818,493	\$ 21,361,645	\$ 48,763,423
Mandatory Transfers	42,319,632	2,770,895	29,190,436	3,843,252		6,380,049			135,000
Non Mandatory Transfers	15,211,778	3,425,636	27,938,201	1,422,468	134,400	3,970,800	1,503,600	1,208,526	(24,391,853)
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 2,128,060,831</b>	<b>\$ 220,899,165</b>	<b>\$ 1,062,734,139</b>	<b>\$ 143,322,495</b>	<b>\$ 11,500,258</b>	<b>\$ 465,205,940</b>	<b>\$ 177,322,093</b>	<b>\$ 22,570,171</b>	<b>\$ 24,506,570</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (2,026,791)</b>	<b>\$ 369,450</b>				<b>\$ 37,133</b>	<b>\$ (2,539,520)</b>	<b>\$ 106,146</b>	

### University of Tennessee System

#### Five Year Budget Summary Comparison

Current Funds Revenues, Expenditures and Transfers - UNRESTRICTED

	FY 2012 ACTUAL	FY 2013 ACTUAL	FY 2014 ACTUAL	FY 2015 PROBABLE	FY 2016 PROPOSED	FIVE YEAR CHANGE	
						AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>							
<b>Revenues</b>							
Tuition & Fees	\$ 503,560,202	\$ 533,809,389	\$ 573,319,528	\$ 609,191,543	\$ 644,076,728	\$ 140,516,526	27.9 %
State Appropriations	413,343,445	431,850,267	466,846,023	474,281,512	498,711,549	85,368,104	20.7 %
Grants & Contracts	49,090,830	49,542,582	47,701,692	44,803,194	43,996,040	(5,094,790)	(10.4) %
Sales & Service	55,117,066	57,856,330	56,782,696	54,310,078	54,156,991	(960,075)	(1.7) %
Other Sources	54,833,187	70,098,212	57,843,432	53,435,699	56,662,507	1,829,320	3.3 %
<b>Total Revenues</b>	<b>\$ 1,075,944,729</b>	<b>\$ 1,143,156,780</b>	<b>\$ 1,202,493,370</b>	<b>\$ 1,236,022,026</b>	<b>\$ 1,297,603,815</b>	<b>\$ 221,659,086</b>	<b>20.6 %</b>
<b>Expenditures and Transfers</b>							
Instruction	\$ 427,881,549	\$ 455,174,572	\$ 483,317,352	\$ 544,329,126	\$ 555,228,221	\$ 127,346,672	29.8 %
Research	81,768,794	86,634,810	82,247,060	106,228,750	68,852,995	(12,915,799)	(15.8) %
Public Service	65,533,281	70,315,078	71,218,916	80,799,565	75,781,248	10,247,967	15.6 %
Academic Support	118,367,805	130,694,151	134,931,552	147,623,381	136,757,757	18,389,952	15.5 %
Student Services	82,788,622	84,118,134	82,207,540	83,442,040	83,869,354	1,080,732	1.3 %
Institutional Support	122,428,550	122,698,075	132,823,682	145,707,923	140,356,621	17,928,071	14.6 %
Op/Maint Physical Plant	117,451,028	118,493,896	121,814,088	133,889,955	134,988,183	17,537,155	14.9 %
Scholarships & Fellowships	68,903,135	74,479,780	78,873,759	90,233,513	98,978,678	30,075,543	43.6 %
<b>Subtotal Expenditures</b>	<b>\$ 1,085,122,764</b>	<b>\$ 1,142,608,497</b>	<b>\$ 1,187,433,948</b>	<b>\$ 1,332,254,253</b>	<b>\$ 1,294,813,057</b>	<b>\$ 209,690,293</b>	<b>19.3 %</b>
Mandatory Transfers	7,159,721	6,273,292	6,498,442	7,055,685	9,425,338	2,265,617	31.6 %
Non Mandatory Transfers	(3,050,514)	(17,523,145)	20,854,833	(57,797,059)	(4,267,786)	(1,217,272)	(39.9) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,089,231,971</b>	<b>\$ 1,131,358,644</b>	<b>\$ 1,214,787,223</b>	<b>\$ 1,281,512,879</b>	<b>\$ 1,299,970,609</b>	<b>\$ 210,738,638</b>	<b>19.3 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (13,287,242)</b>	<b>\$ 11,798,136</b>	<b>\$ (12,293,853)</b>	<b>\$ (45,490,853)</b>	<b>\$ (2,366,794)</b>		
<b>AUXILIARIES</b>							
<b>Revenues</b>	\$ 199,764,806	\$ 199,171,124	\$ 206,143,803	\$ 206,119,665	\$ 229,909,048	\$ 30,144,242	15.1 %
<b>Expenditures and Transfers</b>							
Expenditures	\$ 142,431,466	\$ 148,395,318	\$ 156,747,599	\$ 158,275,653	\$ 177,535,190	\$ 35,103,724	24.6 %
Mandatory Transfers	26,171,577	27,857,526	27,638,251	29,513,827	32,894,294	6,722,717	25.7 %
Non-Mandatory Transfers	37,636,923	17,254,499	25,035,971	18,330,185	19,479,564	(18,157,359)	(48.2) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 206,239,966</b>	<b>\$ 193,507,343</b>	<b>\$ 209,421,821</b>	<b>\$ 206,119,665</b>	<b>\$ 229,909,048</b>	<b>\$ 23,669,082</b>	<b>11.5 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (6,475,161)</b>	<b>\$ 5,663,782</b>	<b>\$ (3,278,018)</b>				
<b>TOTALS</b>							
<b>Revenues</b>	\$ 1,275,709,534	\$ 1,342,327,905	\$ 1,408,637,174	\$ 1,442,141,691	\$ 1,527,512,863	\$ 251,803,329	19.7 %
<b>Expenditures and Transfers</b>							
Expenditures	\$ 1,227,554,230	\$ 1,291,003,814	\$ 1,344,181,548	\$ 1,490,529,906	\$ 1,472,348,247	\$ 244,794,017	19.9 %
Mandatory Transfers	33,331,298	34,130,818	34,136,693	36,569,512	42,319,632	8,988,334	27.0 %
Non-Mandatory Transfers	34,586,409	(268,646)	45,890,804	(39,466,874)	15,211,778	(19,374,631)	(56.0) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,295,471,937</b>	<b>\$ 1,324,865,986</b>	<b>\$ 1,424,209,045</b>	<b>\$ 1,487,632,544</b>	<b>\$ 1,529,879,657</b>	<b>\$ 234,407,720</b>	<b>18.1 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (19,762,402)</b>	<b>\$ 17,461,918</b>	<b>\$ (15,571,871)</b>	<b>\$ (45,490,853)</b>	<b>\$ (2,366,794)</b>		

**University of Tennessee System**

**Five Year Budget Summary Comparison**

Current Funds Revenues, Expenditures and Transfers - UNRESTRICTED AND RESTRICTED

	FY 2012 ACTUAL	FY 2013 ACTUAL	FY 2014 ACTUAL	FY 2015 PROBABLE	FY 2016 PROPOSED	FIVE YEAR CHANGE	
						AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>							
<b>Revenues</b>							
Tuition & Fees	\$ 503,560,202	\$ 533,809,389	\$ 573,319,528	\$ 609,191,543	\$ 644,076,728	\$ 140,516,526	27.9 %
State Appropriations	434,160,502	447,473,296	486,122,116	498,159,254	517,509,138	83,348,636	19.2 %
Grants & Contracts	599,409,965	574,519,330	560,197,430	555,526,935	555,096,303	(44,313,662)	(7.4) %
Sales & Service	55,117,066	57,856,330	56,782,696	54,310,078	54,156,991	(960,075)	(1.7) %
Other Sources	113,360,279	152,144,385	121,741,019	121,330,866	124,785,832	11,425,553	10.1 %
<b>Total Revenues</b>	<b>\$ 1,705,608,013</b>	<b>\$ 1,765,802,731</b>	<b>\$ 1,798,162,787</b>	<b>\$ 1,838,518,676</b>	<b>\$ 1,895,624,992</b>		
<b>Expenditures and Transfers</b>							
Instruction	\$ 581,734,237	\$ 611,569,394	\$ 636,019,932	\$ 696,063,522	\$ 708,013,672	\$ 126,279,435	21.7 %
Research	275,074,925	277,762,160	260,705,414	287,526,944	245,344,488	(29,730,437)	(10.8) %
Public Service	159,006,576	133,120,201	127,928,093	134,564,454	129,641,137	(29,365,439)	(18.5) %
Academic Support	142,495,203	158,683,987	167,965,217	180,435,273	169,163,157	26,667,954	18.7 %
Student Services	84,436,897	86,057,765	84,674,075	85,857,163	86,294,477	1,857,580	2.2 %
Institutional Support	125,005,498	125,048,887	134,563,916	147,940,631	142,589,329	17,583,831	14.1 %
Op/Maint Physical Plant	117,662,170	119,145,974	122,246,472	134,628,955	135,447,183	17,785,013	15.1 %
Scholarships & Fellowships	241,007,048	250,331,559	254,606,577	267,255,623	276,000,788	34,993,740	14.5 %
Subtotal Expenditures	\$ 1,726,422,554	\$ 1,761,719,928	\$ 1,788,709,696	\$ 1,934,272,565	\$ 1,892,494,231	\$ 166,071,677	9.6 %
Mandatory Transfers	7,159,721	6,273,292	6,498,442	7,055,685	9,425,338	2,265,617	31.6 %
Non Mandatory Transfers	(3,050,514)	(17,523,145)	20,854,833	(57,797,059)	(4,267,786)	(1,217,272)	(39.9) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,730,531,761</b>	<b>\$ 1,750,470,075</b>	<b>\$ 1,816,062,971</b>	<b>\$ 1,883,531,191</b>	<b>\$ 1,897,651,783</b>	<b>\$ 167,120,022</b>	<b>9.7 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (24,923,748)</b>	<b>\$ 15,332,656</b>	<b>\$ (17,900,184)</b>	<b>\$ (45,012,515)</b>	<b>\$ (2,026,791)</b>		
<b>AUXILIARIES</b>							
<b>Revenues</b>	\$ 200,291,433	\$ 199,645,425	\$ 207,264,677	\$ 206,619,665	\$ 230,409,048	\$ 30,117,615	15.0 %
<b>Expenditures and Transfers</b>							
Expenditures	\$ 143,122,269	\$ 149,454,826	\$ 156,840,867	\$ 158,775,653	\$ 178,035,190	\$ 34,912,921	24.4 %
Mandatory Transfers	26,171,577	27,857,526	27,638,251	29,513,827	32,894,294	6,722,717	25.7 %
Non-Mandatory Transfers	37,636,923	17,254,499	25,035,971	18,330,185	19,479,564	(18,157,359)	(48.2) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 206,930,769</b>	<b>\$ 194,566,851</b>	<b>\$ 209,515,089</b>	<b>\$ 206,619,665</b>	<b>\$ 230,409,048</b>	<b>\$ 23,478,279</b>	<b>11.3 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (6,639,336)</b>	<b>\$ 5,078,574</b>	<b>\$ (2,250,412)</b>				
<b>TOTALS</b>							
<b>Revenues</b>	\$ 1,905,899,446	\$ 1,965,448,156	\$ 2,005,427,465	\$ 2,045,138,341	\$ 2,126,034,040	\$ 220,134,594	11.6 %
<b>Expenditures and Transfers</b>							
Expenditures	\$ 1,869,544,823	\$ 1,911,174,754	\$ 1,945,550,563	\$ 2,093,048,218	\$ 2,070,529,421	\$ 200,984,598	10.8 %
Mandatory Transfers	33,331,298	34,130,818	34,136,693	36,569,512	42,319,632	8,988,334	27.0 %
Non-Mandatory Transfers	34,586,409	(268,646)	45,890,804	(39,466,874)	15,211,778	(19,374,631)	(56.0) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,937,462,530</b>	<b>\$ 1,945,036,926</b>	<b>\$ 2,025,578,060</b>	<b>\$ 2,090,150,856</b>	<b>\$ 2,128,060,831</b>	<b>\$ 190,598,301</b>	<b>9.8 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (31,563,084)</b>	<b>\$ 20,411,230</b>	<b>\$ (20,150,595)</b>	<b>\$ (45,012,515)</b>	<b>\$ (2,026,791)</b>		

# University of Tennessee System

## FY 2016 Proposed Budget Summary

Unrestricted and Restricted Current Funds Revenues, Expenditures and Transfers

	FY 2014 Actual			FY 2015 Probable			FY 2016 Proposed			CHANGE Probable to Proposed	
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total	Amount	%
<b>EDUCATION AND GENERAL</b>											
<b>Revenues</b>											
Tuition & Fees	\$ 573,319,528		\$ 573,319,528	\$ 609,191,543		\$ 609,191,543	\$ 644,076,728		\$ 644,076,728	\$ 34,885,185	5.7 %
State Appropriations	466,846,023	\$ 19,276,093	486,122,116	474,281,512	\$ 23,877,742	498,159,254	498,711,549	\$ 18,797,589	517,509,138	19,349,884	3.9 %
Grants & Contracts	47,701,692	512,495,738	560,197,430	44,803,194	510,723,741	555,526,935	43,996,040	511,100,263	555,096,303	(430,632)	(0.1) %
Sales & Service	56,782,696		56,782,696	54,310,078		54,310,078	54,156,991		54,156,991	(153,087)	(0.3) %
Other Sources	57,843,432	63,897,587	121,741,019	53,435,699	67,895,167	121,330,866	56,662,507	68,123,325	124,785,832	3,454,966	2.8 %
<b>Total Revenues</b>	<b>\$ 1,202,493,370</b>	<b>\$ 595,669,417</b>	<b>\$ 1,798,162,787</b>	<b>\$ 1,236,022,026</b>	<b>\$ 602,496,650</b>	<b>\$ 1,838,518,676</b>	<b>\$ 1,297,603,815</b>	<b>\$ 598,021,177</b>	<b>\$ 1,895,624,992</b>	<b>\$ 57,106,316</b>	<b>3.1 %</b>
<b>Expenditures and Transfers</b>											
Instruction	\$ 483,317,352	\$ 152,702,580	\$ 636,019,932	\$ 544,329,126	\$ 151,734,396	\$ 696,063,522	\$ 555,228,221	\$ 152,785,451	\$ 708,013,672	\$ 11,950,150	1.7 %
Research	82,247,060	178,458,355	260,705,414	106,228,750	181,298,194	287,526,944	68,852,995	176,491,493	245,344,488	(42,182,456)	(14.7) %
Public Service	71,218,916	56,709,177	127,928,093	80,799,565	53,764,889	134,564,454	75,781,248	53,859,889	129,641,137	(4,923,317)	(3.7) %
Academic Support	134,931,552	33,033,665	167,965,217	147,623,381	32,811,892	180,435,273	136,757,757	32,405,400	169,163,157	(11,272,116)	(6.2) %
Student Services	82,207,540	2,466,535	84,674,075	83,442,040	2,415,123	85,857,163	83,869,354	2,425,123	86,294,477	437,314	0.5 %
Institutional Support	132,823,682	1,740,234	134,563,916	145,707,923	2,232,708	147,940,631	140,356,621	2,232,708	142,589,329	(5,351,302)	(3.6) %
Operation & Maintenance of Plant	121,814,088	432,384	122,246,472	133,889,955	739,000	134,628,955	134,988,183	459,000	135,447,183	818,228	0.6 %
Scholarships & Fellowships	78,873,759	175,732,818	254,606,577	90,233,513	177,022,110	267,255,623	98,978,678	177,022,110	276,000,788	8,745,165	3.3 %
<b>Subtotal Expenditures</b>	<b>\$ 1,187,433,948</b>	<b>\$ 601,275,748</b>	<b>\$ 1,788,709,696</b>	<b>\$ 1,332,254,253</b>	<b>\$ 602,018,312</b>	<b>\$ 1,934,272,565</b>	<b>\$ 1,294,813,057</b>	<b>\$ 597,681,174</b>	<b>\$ 1,892,494,231</b>	<b>\$ (41,778,334)</b>	<b>(2.2) %</b>
Mandatory Transfers	6,498,442		6,498,442	7,055,685		7,055,685	9,425,338		9,425,338	2,369,653	33.6 %
Non-Mandatory Transfers	20,854,833		20,854,833	(57,797,059)		(57,797,059)	(4,267,786)		(4,267,786)	53,529,273	92.6 %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,214,787,223</b>	<b>\$ 601,275,748</b>	<b>\$ 1,816,062,971</b>	<b>\$ 1,281,512,879</b>	<b>\$ 602,018,312</b>	<b>\$ 1,883,531,191</b>	<b>\$ 1,299,970,609</b>	<b>\$ 597,681,174</b>	<b>\$ 1,897,651,783</b>	<b>\$ 14,120,592</b>	<b>0.7 %</b>
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ (12,293,853)</b>	<b>\$ (5,606,331)</b>	<b>\$ (17,900,184)</b>	<b>\$ (45,490,853)</b>	<b>\$ 478,338</b>	<b>\$ (45,012,515)</b>	<b>\$ (2,366,794)</b>	<b>\$ 340,003</b>	<b>\$ (2,026,791)</b>		
<b>AUXILIARIES</b>											
<b>Revenues</b>											
	\$ 206,143,803	\$ 1,120,874	\$ 207,264,677	\$ 206,119,665	\$ 500,000	\$ 206,619,665	\$ 229,909,048	\$ 500,000	\$ 230,409,048	\$ 23,789,383	11.5 %
<b>Expenditures and Transfers</b>											
Expenditures	\$ 156,747,599	\$ 93,268	\$ 156,840,867	\$ 158,275,653	\$ 500,000	\$ 158,775,653	\$ 177,535,190	\$ 500,000	\$ 178,035,190	\$ 19,259,537	12.1 %
Mandatory Transfers	27,638,251		27,638,251	29,513,827		29,513,827	32,894,294		32,894,294	3,380,467	11.5 %
Non-Mandatory Transfers	25,035,971		25,035,971	18,330,185		18,330,185	19,479,564		19,479,564	1,149,379	6.3 %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 209,421,821</b>	<b>\$ 93,268</b>	<b>\$ 209,515,089</b>	<b>\$ 206,119,665</b>	<b>\$ 500,000</b>	<b>\$ 206,619,665</b>	<b>\$ 229,909,048</b>	<b>\$ 500,000</b>	<b>\$ 230,409,048</b>	<b>\$ 23,789,383</b>	<b>11.5 %</b>
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ (3,278,018)</b>	<b>\$ 1,027,606</b>	<b>\$ (2,250,412)</b>								
<b>TOTALS</b>											
<b>Revenues</b>											
	\$ 1,408,637,174	\$ 596,790,291	\$ 2,005,427,465	\$ 1,442,141,691	\$ 602,996,650	\$ 2,045,138,341	\$ 1,527,512,863	\$ 598,521,177	\$ 2,126,034,040	\$ 80,895,699	4.0 %
<b>Expenditures and Transfers</b>											
Expenditures	\$ 1,344,181,548	\$ 601,369,015	\$ 1,945,550,563	\$ 1,490,529,906	\$ 602,518,312	\$ 2,093,048,218	\$ 1,472,348,247	\$ 598,181,174	\$ 2,070,529,421	\$ (22,518,797)	(1.1) %
Mandatory Transfers	34,136,693		34,136,693	36,569,512		36,569,512	42,319,632		42,319,632	5,750,120	15.7 %
Non-Mandatory Transfers	45,890,804		45,890,804	(39,466,874)		(39,466,874)	15,211,778		15,211,778	54,678,652	138.5 %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,424,209,045</b>	<b>\$ 601,369,015</b>	<b>\$ 2,025,578,060</b>	<b>\$ 1,487,632,544</b>	<b>\$ 602,518,312</b>	<b>\$ 2,090,150,856</b>	<b>\$ 1,529,879,657</b>	<b>\$ 598,181,174</b>	<b>\$ 2,128,060,831</b>	<b>\$ 37,909,975</b>	<b>1.8 %</b>
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ (15,571,871)</b>	<b>\$ (4,578,724)</b>	<b>\$ (20,150,595)</b>	<b>\$ (45,490,853)</b>	<b>\$ 478,338</b>	<b>\$ (45,012,515)</b>	<b>\$ (2,366,794)</b>	<b>\$ 340,003</b>	<b>\$ (2,026,791)</b>		

**University of Tennessee System**  
**FY 2016 Proposed Budget - Natural Classifications**  
 Unrestricted Current Funds Expenditures

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center	Institute of Agriculture	Institute for Public Service	System Administration
<b>EDUCATIONAL AND GENERAL</b>									
<b>Salaries and Benefits</b>									
Salaries									
Academic	\$ 343,204,006	\$ 40,972,270	\$ 160,643,156	\$ 24,164,907	\$ 3,064,921	\$ 84,299,890	\$ 29,517,095	\$ 399,740	\$ 142,027
Non-Academic	311,964,472	32,710,112	119,793,455	20,376,984	2,952,066	62,205,334	42,108,721	8,878,431	22,939,369
Students	8,223,658	766,624	4,763,321	1,396,357		683,657	423,460	40,486	149,753
Total Salaries	\$ 663,392,136	\$ 74,449,006	\$ 285,199,932	\$ 45,938,248	\$ 6,016,987	\$ 147,188,881	\$ 72,049,276	\$ 9,318,657	\$ 23,231,149
Staff Benefits	220,282,925	26,670,209	91,706,568	16,831,319	1,768,429	45,183,964	27,382,965	3,134,684	7,604,787
Total Salaries and Benefits	\$ 883,675,061	\$ 101,119,215	\$ 376,906,500	\$ 62,769,567	\$ 7,785,416	\$ 192,372,845	\$ 99,432,241	\$ 12,453,341	\$ 30,835,936
Operating	393,183,034	44,838,386	215,039,807	28,215,059	1,849,998	50,956,882	32,052,331	4,153,084	16,077,487
Equipment and Capital Outlay	17,954,962	1,688,905	12,931,739	1,360,980	40,000	1,511,626	329,872	91,840	
Total Expenditures	\$ 1,294,813,057	\$ 147,646,506	\$ 604,878,046	\$ 92,345,606	\$ 9,675,414	\$ 244,841,353	\$ 131,814,444	\$ 16,698,265	\$ 46,913,423
<b>AUXILIAIRES</b>									
<b>Salaries and Benefits</b>									
Salaries									
Academic	\$ 509,704	\$ 7,000	\$ 499,641	\$ 3,063					
Non-Academic	46,512,007	1,414,399	43,194,504	1,483,479	72,440	347,185			
Students	4,537,003	118,498	3,856,161	562,344					
Total Salaries	\$ 51,558,714	\$ 1,539,897	\$ 47,550,306	\$ 2,048,886	\$ 72,440	\$ 347,185			
Staff Benefits	13,648,844	573,776	12,210,071	680,960	24,109	159,928			
Total Salaries and Benefits	\$ 65,207,558	\$ 2,113,673	\$ 59,760,377	\$ 2,729,846	\$ 96,549	\$ 507,113			
Operating	111,600,162	7,592,908	98,111,122	4,931,875	129,335	834,922			
Equipment and Capital Outlay	727,470	7,470	698,500	16,700	4,800				
Total Expenditures	\$ 177,535,190	\$ 9,714,051	\$ 158,569,999	\$ 7,678,421	\$ 230,684	\$ 1,342,035			
<b>TOTALS</b>									
<b>Salaries and Benefits</b>									
Salaries									
Academic	\$ 343,713,710	\$ 40,979,270	\$ 161,142,797	\$ 24,167,970	\$ 3,064,921	\$ 84,299,890	\$ 29,517,095	\$ 399,740	\$ 142,027
Non-Academic	358,476,479	34,124,511	162,987,959	21,860,463	3,024,506	62,552,519	42,108,721	8,878,431	22,939,369
Students	12,760,661	885,122	8,619,482	1,958,701		683,657	423,460	40,486	149,753
Total Salaries	\$ 714,950,850	\$ 75,988,903	\$ 332,750,238	\$ 47,987,134	\$ 6,089,427	\$ 147,536,066	\$ 72,049,276	\$ 9,318,657	\$ 23,231,149
Staff Benefits	233,931,769	27,243,985	103,916,639	17,512,279	1,792,538	45,343,892	27,382,965	3,134,684	7,604,787
Total Salaries and Benefits	\$ 948,882,619	\$ 103,232,888	\$ 436,666,877	\$ 65,499,413	\$ 7,881,965	\$ 192,879,958	\$ 99,432,241	\$ 12,453,341	\$ 30,835,936
Operating	504,783,196	52,431,294	313,150,929	33,146,934	1,979,333	51,791,804	32,052,331	4,153,084	16,077,487
Equipment and Capital Outlay	18,682,432	1,696,375	13,630,239	1,377,680	44,800	1,511,626	329,872	91,840	
Total Expenditures	\$ 1,472,348,247	\$ 157,360,557	\$ 763,448,045	\$ 100,024,027	\$ 9,906,098	\$ 246,183,388	\$ 131,814,444	\$ 16,698,265	\$ 46,913,423

**University of Tennessee System**  
**FY 2016 Proposed Budget Summary - Natural Classifications**  
 Unrestricted Current Funds Expenditures

4.1

	FY 2014 ACTUAL	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Salaries and Benefits</b>					
Salaries					
Academic	\$ 327,837,139	\$ 331,400,631	\$ 343,204,006	\$ 11,803,375	3.6 %
Non-Academic	298,049,132	303,702,207	311,964,472	8,262,265	2.7 %
Students	10,682,189	8,636,597	8,223,658	(412,939)	(4.8) %
Total Salaries	\$ 636,568,460	\$ 643,739,435	\$ 663,392,136	\$ 19,652,701	3.1 %
Staff Benefits	214,913,432	214,903,308	220,282,925	5,379,617	2.5 %
Total Salaries and Benefits	\$ 851,481,892	\$ 858,642,743	\$ 883,675,061	\$ 25,032,318	2.9 %
<b>Operating</b>	306,746,784	446,018,450	393,183,034	(52,835,416)	(11.8) %
<b>Equipment and Capital Outlay</b>	29,205,272	25,491,058	17,954,962	(7,536,096)	(29.6) %
Total Expenditures	\$ 1,187,433,948	\$ 1,330,152,251	\$ 1,294,813,057	\$ (35,339,194)	(2.7) %
<b>AUXILIARIES</b>					
<b>Salaries and Benefits</b>					
Salaries					
Academic	\$ 461,689	\$ 564,045	\$ 509,704	\$ (54,341)	(9.6)
Non-Academic	44,674,747	43,877,643	46,512,007	2,634,364	6.0 %
Students	3,915,316	4,467,994	4,537,003	69,009	1.5 %
Total Salaries	\$ 49,051,752	\$ 48,909,682	\$ 51,558,714	\$ 2,649,032	5.4 %
Staff Benefits	13,838,091	12,553,380	13,648,844	1,095,464	8.7 %
Total Salaries and Benefits	\$ 62,889,843	\$ 61,463,062	\$ 65,207,558	\$ 3,744,496	6.1 %
<b>Operating</b>	93,524,509	96,030,921	111,600,162	15,569,241	16.2 %
<b>Equipment and Capital Outlay</b>	333,247	781,670	727,470	(54,200)	(6.9)
Total Expenditures	\$ 156,747,599	\$ 158,275,653	\$ 177,535,190	\$ 19,259,537	12.2 %
<b>TOTALS</b>					
<b>Salaries and Benefits</b>					
Salaries					
Academic	\$ 328,298,828	\$ 331,964,676	\$ 343,713,710	\$ 11,749,034	3.5 %
Non-Academic	342,723,879	347,579,850	358,476,479	10,896,629	3.1 %
Students	14,597,505	13,104,591	12,760,661	(343,930)	(2.6) %
Total Salaries	\$ 685,620,212	\$ 692,649,117	\$ 714,950,850	\$ 22,301,733	3.2 %
Staff Benefits	228,751,523	227,456,688	233,931,769	6,475,081	2.8 %
Total Salaries and Benefits	\$ 914,371,735	\$ 920,105,805	\$ 948,882,619	\$ 28,776,814	3.1 %
<b>Operating</b>	400,271,293	542,049,371	504,783,196	(37,266,175)	(6.9) %
<b>Equipment and Capital Outlay</b>	29,538,520	26,272,728	18,682,432	(7,590,296)	(28.9) %
Total Expenditures	\$ 1,344,181,548	\$ 1,488,427,904	\$ 1,472,348,247	\$ (16,079,657)	(1.1) %

## University of Tennessee System

### FY 2016 Proposed Budget Summary

Auxiliary Enterprises Funds Revenues, Expenditures and Transfers

4.1

	FY 2014 ACTUAL	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>HOUSING</b>					
<b>Revenues</b>	\$ 62,359,620	\$ 61,936,440	\$ 62,683,670	\$ 747,230	1.2%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 37,621,865	\$ 39,719,237	\$ 41,811,033	\$ 2,091,796	5.3%
Mandatory Transfers	11,295,489	11,706,804	13,307,106	1,600,302	13.7%
Non-Mandatory Transfers	13,343,563	10,512,479	7,567,611	(2,944,868)	-28.0%
Total Expenditures and Transfers	\$ 62,260,917	\$ 61,938,520	\$ 62,685,750	\$ 747,230	1.2%
<b>Fund Balance Addition/(Reduction)</b>	\$ 98,703	\$ (2,080)	\$ (2,080)		
<b>FOOD SERVICE</b>					
<b>Revenues</b>	\$ 6,991,664	\$ 6,028,875	\$ 6,954,188	\$ 925,313	15.3%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 2,611,904	\$ 3,113,982	\$ 3,130,309	\$ 16,327	0.5%
Mandatory Transfers					
Non-Mandatory Transfers	4,034,304	2,889,138	3,797,961	908,823	31.5%
Total Expenditures and Transfers	\$ 6,646,208	\$ 6,003,120	\$ 6,928,270	\$ 925,150	15.4%
<b>Fund Balance Addition/(Reduction)</b>	\$ 345,456	\$ 25,755	\$ 25,918		
<b>BOOKSTORES</b>					
<b>Revenues</b>	\$ 19,305,400	\$ 20,341,110	\$ 24,041,110	\$ 3,700,000	18.2%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 18,189,385	\$ 18,133,842	\$ 21,743,005	\$ 3,609,163	19.9%
Mandatory Transfers	-	109,418	109,418	-	0.0%
Non-Mandatory Transfers	2,234,950	2,123,086	2,214,086	91,000	4.3%
Total Expenditures and Transfers	\$ 20,424,335	\$ 20,366,346	\$ 24,066,509	\$ 3,700,163	18.2%
<b>Fund Balance Addition/(Reduction)</b>	\$ (1,118,935)	\$ (25,236)	\$ (25,399)		
<b>PARKING</b>					
<b>Revenues</b>	\$ 11,808,489	\$ 13,160,118	\$ 13,405,465	\$ 245,347	1.9%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 6,802,960	\$ 8,280,514	\$ 8,667,982	\$ 387,468	4.7%
Mandatory Transfers	2,199,679	3,072,605	3,126,408	53,803	1.8%
Non-Mandatory Transfers	1,524,245	1,793,439	1,597,515	(195,924)	-10.9%
Total Expenditures and Transfers	\$ 10,526,884	\$ 13,146,558	\$ 13,391,905	\$ 245,347	1.9%
<b>Fund Balance Addition/(Reduction)</b>	\$ 1,281,605	\$ 13,560	\$ 13,560		
<b>ATHLETICS</b>					
<b>Revenues</b>	\$ 101,383,216	\$ 100,860,000	\$ 119,077,900	\$ 18,217,900	18.1%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 87,186,559	\$ 85,268,023	\$ 98,382,653	\$ 13,114,630	15.4%
Mandatory Transfers	14,143,083	14,625,000	16,351,362	1,726,362	11.8%
Non-Mandatory Transfers	665,946	966,977	4,343,885	3,376,908	349.2%
Total Expenditures and Transfers	\$ 101,995,588	\$ 100,860,000	\$ 119,077,900	\$ 18,217,900	18.1%
<b>Fund Balance Addition/(Reduction)</b>	\$ (612,372)				
<b>OTHER</b>					
<b>Revenues</b>	\$ 4,295,414	\$ 3,793,122	\$ 3,746,715	\$ (46,407)	-1.2%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 4,334,926	\$ 3,760,055	\$ 3,800,208	\$ 40,153	1.1%
Mandatory Transfers					
Non-Mandatory Transfers	3,232,963	45,066	(41,494)	(86,560)	-192.1%
Total Expenditures and Transfers	\$ 7,567,889	\$ 3,805,121	\$ 3,758,714	\$ (46,407)	-1.2%
<b>Fund Balance Addition/(Reduction)</b>	\$ (3,272,475)	\$ (11,999)	\$ (11,999)		
<b>TOTAL</b>					
<b>Revenues</b>	\$ 206,143,803	\$ 206,119,665	\$ 229,909,048	\$ 23,789,383	11.5%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 156,747,599	\$ 158,275,653	\$ 177,535,190	\$ 19,259,537	12.2%
Mandatory Transfers	27,638,251	29,513,827	32,894,294	3,380,467	11.5%
Non-Mandatory Transfers	25,035,971	18,330,185	19,479,564	1,149,379	6.3%
Total Expenditures and Transfers	\$ 209,421,821	\$ 206,119,665	\$ 229,909,048	\$ 23,789,383	11.5%
<b>Fund Balance Addition/(Reduction)</b>	\$ (3,278,018)				

Schedule 13 - Auxiliaries

## University of Tennessee System

### Athletics FY 2016 Proposed Budget Summary

E&G and Auxiliary Funds for Men's and Women's Athletics

	FY 2014 ACTUAL	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>KNOXVILLE</b>					
<b>Revenues</b>					
General Funds					
Student Fees for Athletics	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000		
Ticket Sales	31,477,399	33,100,000	34,324,400	\$ 1,224,400	3.7%
Gifts	26,452,705	27,850,000	26,600,000	(1,250,000)	-4.5%
Other	44,612,007	51,550,000	57,891,000	6,341,000	12.3%
<b>Total Revenues</b>	<b>\$ 103,542,111</b>	<b>\$ 113,500,000</b>	<b>\$ 119,815,400</b>	<b>\$ 6,315,400</b>	<b>5.6%</b>
<b>Expenditures and Transfers</b>					
Salaries and Benefits	\$ 35,525,838	\$ 37,150,000	\$ 39,562,426	\$ 2,412,426	6.5%
Travel	5,700,839	8,200,000	8,784,850	584,850	7.1%
Student Aid	10,353,696	11,450,000	13,394,792	1,944,792	17.0%
Other Operating	37,597,775	36,190,000	40,436,967	4,246,967	11.7%
Subtotal Expenditures	\$ 89,178,148	\$ 92,990,000	\$ 102,179,035	\$ 9,189,035	9.9%
Debt Service Transfers	13,018,083	16,351,362	16,351,365	3	0.0%
Other Transfers	1,285,000	1,285,000	1,285,000		
<b>Total Expenditures and Transfers</b>	<b>\$ 103,481,231</b>	<b>\$ 110,626,362</b>	<b>\$ 119,815,400</b>	<b>\$ 9,189,038</b>	<b>8.3%</b>
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ 60,880</b>	<b>\$ 2,873,638</b>			
<b>CHATTANOOGA</b>					
<b>Revenues</b>					
General Funds	\$ 6,302,997	\$ 6,338,909	\$ 5,842,704	\$ (496,205)	-7.8%
Student Fees for Athletics	4,908,216	4,991,503	4,991,503		
Ticket Sales	801,413	936,046	936,046		
Gifts	1,367,255	1,430,000	1,430,000		
Other	1,869,167	1,996,891	1,996,891		
<b>Total Revenues</b>	<b>\$ 15,249,048</b>	<b>\$ 15,693,349</b>	<b>\$ 15,197,144</b>	<b>\$ (496,205)</b>	<b>-3.2%</b>
<b>Expenditures and Transfers</b>					
Salaries and Benefits	\$ 5,948,777	\$ 5,720,354	\$ 5,819,479	\$ 99,125	1.7%
Travel	1,245,673	1,673,115	1,727,205	54,090	3.2%
Student Aid	4,661,141	5,506,609	5,036,936	(469,673)	-8.5%
Other Operating	3,421,063	2,623,270	2,443,524	(179,746)	-6.9%
Subtotal Expenditures	\$ 15,276,654	\$ 15,523,349	\$ 15,027,144	\$ (496,204)	-3.2%
Debt Service Transfers	165,187	170,000	170,000		
Other Transfers					
<b>Total Expenditures and Transfers</b>	<b>\$ 15,441,841</b>	<b>\$ 15,693,349</b>	<b>\$ 15,197,144</b>	<b>\$ (496,204)</b>	<b>-3.2%</b>
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ (192,793)</b>				
<b>MARTIN</b>					
<b>Revenues</b>					
General Funds	\$ 5,623,002	\$ 5,712,360	\$ 5,787,808	\$ 75,448	1.3%
Student Fees for Athletics	1,923,262	1,955,000	2,380,000	425,000	21.7%
Ticket Sales	131,823	164,640	140,557	(24,083)	-14.6%
Gifts	868,830	701,061	700,000	(1,061)	-0.2%
Other	1,758,643	1,890,484	1,467,223	(423,261)	-22.4%
<b>Total Revenues</b>	<b>\$ 10,305,560</b>	<b>\$ 10,423,545</b>	<b>\$ 10,475,588</b>	<b>\$ 52,043</b>	<b>0.5%</b>
<b>Expenditures and Transfers</b>					
Salaries and Benefits	\$ 3,553,966	\$ 3,698,476	\$ 3,932,107	\$ 233,631	6.3%
Travel	954,470	799,023	1,014,024	215,001	26.9%
Student Aid	4,166,125	4,453,210	4,050,096	(403,114)	-9.1%
Other Operating	1,476,611	1,247,836	1,326,961	79,125	6.3%
Subtotal Expenditures	\$ 10,151,172	\$ 10,198,545	\$ 10,323,188	\$ 124,643	1.2%
Debt Service Transfers	154,388	225,000	152,400	(72,600)	-32.3%
Other Transfers					
<b>Total Expenditures and Transfers</b>	<b>\$ 10,305,560</b>	<b>\$ 10,423,545</b>	<b>\$ 10,475,588</b>	<b>\$ 52,043</b>	<b>0.5%</b>
<b>Fund Balance Addition / (Reduction)</b>					
<b>TOTAL ATHLETICS</b>					
<b>Revenues</b>					
General Funds	\$ 11,925,999	\$ 12,051,269	\$ 11,630,512	\$ (420,757)	25.6
Student Fees for Athletics	7,831,478	7,946,503	8,371,503	425,000	29.2
Ticket Sales	32,410,635	34,200,686	35,401,003	1,200,317	(9.3)
Gifts	28,688,790	29,981,061	28,730,000	(1,251,061)	(4.7)
Other	48,239,817	55,437,375	61,355,114	5,917,739	12.8
<b>Total Revenues</b>	<b>\$ 129,096,719</b>	<b>\$ 139,616,894</b>	<b>\$ 145,488,132</b>	<b>\$ 5,871,238</b>	<b>4.2</b>
<b>Expenditures and Transfers</b>					
Salaries and Benefits	\$ 45,028,581	\$ 46,568,830	\$ 49,314,012	\$ 2,745,182	(4.0)
Travel	7,900,982	10,672,138	11,526,079	853,941	25.1
Student Aid	19,180,962	21,409,819	22,481,824	1,072,005	51.1
Other Operating	42,495,449	40,061,106	44,207,452	4,146,346	2.8
Subtotal Expenditures	\$ 114,605,974	\$ 118,711,893	\$ 127,529,367	\$ 8,817,474	8.9
Debt Service Transfers	13,337,658	16,746,362	16,673,765	(72,597)	45.3
Other Transfers	1,285,000	1,285,000	1,285,000	0	(89.2)
<b>Total Expenditures and Transfers</b>	<b>\$ 129,228,632</b>	<b>\$ 136,743,255</b>	<b>\$ 145,488,132</b>	<b>\$ 8,744,877</b>	<b>4.5</b>
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ (131,913)</b>	<b>\$ 2,873,639</b>	<b>\$ -</b>		

NOTES: Data includes unrestricted and restricted funds. Other revenue sources include NCAA conference income, tournament income, program sale radio, internet, endowments, investments, royalties, advertisements, sponsorships, game guarantees, licensing fees, and sports camps.

# University of Tennessee System

## FY 2016 Proposed Budget Summary

### Unrestricted Current Funds Revenues, Expenditures, and Transfers

4.1

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 573,319,528	\$ 609,191,543	\$ 644,076,728	\$ 34,885,185	5.7 %
State Appropriations	466,846,023	474,281,512	498,711,549	24,430,037	5.2 %
Grants & Contracts	47,701,692	44,803,194	43,996,040	(807,154)	(1.8) %
Sales & Service	56,782,696	54,310,078	54,156,991	(153,087)	(0.3) %
Other Sources	57,843,432	53,435,699	56,662,507	3,226,808	6.0 %
<b>Total Revenues</b>	<b>\$ 1,202,493,370</b>	<b>\$ 1,236,022,026</b>	<b>\$ 1,297,603,815</b>	<b>\$ 61,581,789</b>	<b>5.0 %</b>
<b>Expenditures and Transfers</b>					
Instruction	\$ 483,317,352	\$ 544,329,126	\$ 555,228,221	\$ 10,899,095	2.0 %
Research	82,247,060	106,228,750	68,852,995	(37,375,755)	(35.2) %
Public Service	71,218,916	80,799,565	75,781,248	(5,018,317)	(6.2) %
Academic Support	134,931,552	147,623,381	136,757,757	(10,865,624)	(7.4) %
Student Services	82,207,540	83,442,040	83,869,354	427,314	0.5 %
Institutional Support	132,823,682	145,707,923	140,356,621	(5,351,302)	(3.7) %
Operation & Maintenance of Plant	121,814,088	133,889,955	134,988,183	1,098,228	0.8 %
Scholarships & Fellowships	78,873,759	90,233,513	98,978,678	8,745,165	9.7 %
<b>Subtotal Expenditures</b>	<b>\$ 1,187,433,948</b>	<b>\$ 1,332,254,253</b>	<b>\$ 1,294,813,057</b>	<b>\$ (37,441,196)</b>	<b>(2.8) %</b>
Mandatory Transfers	6,498,442	7,055,685	9,425,338	2,369,653	33.6 %
Non-Mandatory Transfers	20,854,833	(57,797,059)	(4,267,786)	53,529,273	92.6 %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,214,787,223</b>	<b>\$ 1,281,512,879</b>	<b>\$ 1,299,970,609</b>	<b>\$ 18,457,730</b>	<b>1.4 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (12,293,853)</b>	<b>\$ (45,490,853)</b>	<b>\$ (2,366,794)</b>		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 206,143,803	\$ 206,119,665	\$ 229,909,048	\$ 23,789,383	11.5 %
<b>Expenditures and Transfers</b>					
Expenditures	156,747,599	158,275,653	177,535,190	19,259,537	12.2 %
Mandatory Transfers	27,638,251	29,513,827	32,894,294	3,380,467	11.5 %
Non-Mandatory Transfers	25,035,971	18,330,185	19,479,564	1,149,379	6.3 %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 209,421,821</b>	<b>\$ 206,119,665</b>	<b>\$ 229,909,048</b>	<b>\$ 23,789,383</b>	<b>11.5 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (3,278,018)</b>	<b>\$ -</b>	<b>\$ -</b>		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 1,408,637,174	\$ 1,442,141,691	\$ 1,527,512,863	\$ 85,371,172	5.9 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 1,344,181,548	\$ 1,490,529,906	\$ 1,472,348,247	\$ (18,181,659)	(1.2) %
Mandatory Transfers	34,136,693	36,569,512	42,319,632	5,750,120	15.7 %
Non-Mandatory Transfers	45,890,804	(39,466,874)	15,211,778	54,678,652	138.5 %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,424,209,045</b>	<b>\$ 1,487,632,544</b>	<b>\$ 1,529,879,657</b>	<b>\$ 42,247,113</b>	<b>2.8 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (15,571,871)</b>	<b>\$ (45,490,853)</b>	<b>\$ (2,366,794)</b>		

**Chattanooga**  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 94,436,452	\$ 98,598,645	\$ 98,903,364	\$ 304,719	0.3 %
State Appropriations	37,467,181	38,443,181	38,456,781	13,600	0.0 %
Grants & Contracts	912,181	453,856	621,721	167,865	37.0 %
Sales & Service	4,725,277	4,787,672	4,866,312	78,640	1.6 %
Other Sources	221,685	237,200	237,200	-	0.0 %
Total Revenues	<u>\$ 137,762,775</u>	<u>\$ 142,520,554</u>	<u>\$ 143,085,378</u>	<u>\$ 564,824</u>	<u>0.4 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 57,801,004	\$ 62,041,250	\$ 62,444,633	\$ 403,383	0.7 %
Research	3,212,076	1,890,428	1,698,558	(191,870)	(10.1) %
Public Service	2,387,884	2,310,559	2,676,223	365,664	15.8 %
Academic Support	11,023,003	9,817,880	13,270,772	3,452,892	35.2 %
Student Services	23,193,377	22,053,970	22,438,766	384,796	1.7 %
Institutional Support	10,892,431	11,070,543	12,250,579	1,180,036	10.7 %
Operation & Maintenance of Plant	14,806,376	18,996,112	18,681,687	(314,425)	(1.7) %
Scholarships & Fellowships	10,821,928	12,322,509	12,336,952	14,443	0.1 %
Subtotal Expenditures	<u>\$ 134,138,078</u>	<u>\$ 140,503,251</u>	<u>\$ 145,798,170</u>	<u>\$ 5,294,919</u>	<u>3.8 %</u>
Mandatory Transfers	687,455	774,165	774,165		
Non-Mandatory Transfers	2,416,245	1,176,558	(3,553,537)	(4,730,095)	(402.0) %
Total Expenditures & Transfers	<u>\$ 137,241,778</u>	<u>\$ 142,453,974</u>	<u>\$ 143,018,798</u>	<u>\$ 564,824</u>	<u>0.4 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 520,997</u>	<u>\$ 66,580</u>	<u>\$ 66,580</u>		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 13,733,782	\$ 9,404,266	\$ 9,597,605	\$ 193,339	2.1 %
<b>Expenditures and Transfers</b>					
Expenditures	9,003,458	5,601,297	5,774,047	172,750	3.1 %
Mandatory Transfers	1,399,953	1,948,402	1,803,780	(144,622)	(7.4) %
Non-Mandatory Transfers	3,208,383	1,854,567	2,019,778	165,211	8.9 %
Total Expenditures & Transfers	<u>\$ 13,611,794</u>	<u>\$ 9,404,266</u>	<u>\$ 9,597,605</u>	<u>\$ 193,339</u>	<u>2.1 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 121,988</u>				
<b>TOTALS</b>					
<b>Revenues</b>	\$ 151,496,558	\$ 151,924,820	\$ 152,682,983	\$ 758,163	0.5 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 143,141,536	\$ 146,104,548	\$ 151,572,217	\$ 5,467,669	3.7 %
Mandatory Transfers	2,087,408	2,722,567	2,577,945	(144,622)	(5.3) %
Non-Mandatory Transfers	5,624,628	3,031,125	(1,533,759)	(4,564,884)	(150.6) %
Total Expenditures & Transfers	<u>\$ 150,853,572</u>	<u>\$ 151,858,240</u>	<u>\$ 152,616,403</u>	<u>\$ 758,163</u>	<u>0.5 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 642,986</u>	<u>\$ 66,580</u>	<u>\$ 66,580</u>		

**Knoxville**  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 332,420,932	\$ 359,922,634	\$ 387,333,761	\$ 27,411,127	7.6 %
State Appropriations	177,568,343	182,317,943	191,195,655	8,877,712	4.9 %
Grants & Contracts	27,731,017	22,560,000	22,560,000		
Sales & Service	7,131,134	4,538,502	5,140,925	602,423	13.3 %
Other Sources	12,172,412	10,215,120	11,381,867	1,166,747	11.4 %
Total Revenues	\$ 557,023,838	\$ 579,554,199	\$ 617,612,208	\$ 38,058,009	6.6 %
<b>Expenditures and Transfers</b>					
Instruction	\$ 230,436,968	\$ 264,794,095	\$ 274,749,752	\$ 9,955,657	3.8 %
Research	30,367,552	42,258,365	22,524,143	(19,734,222)	(46.7) %
Public Service	12,994,444	11,216,141	11,820,571	604,430	5.4 %
Academic Support	62,483,109	64,961,140	66,033,816	1,072,676	1.7 %
Student Services	42,042,131	42,028,566	42,965,596	937,030	2.2 %
Institutional Support	42,602,462	45,683,845	47,374,681	1,690,836	3.7 %
Operation & Maintenance of Plant	61,585,123	70,670,926	71,101,548	430,622	0.6 %
Scholarships & Fellowships	50,930,642	60,007,375	68,307,939	8,300,564	13.8 %
Subtotal Expenditures	\$ 533,442,430	\$ 601,620,453	\$ 604,878,046	\$ 3,257,593	0.5 %
Mandatory Transfers	1,677,409	1,641,251	1,645,162	3,911	0.2 %
Non-Mandatory Transfers	21,108,263	(23,707,505)	11,089,000	34,796,505	146.8 %
Total Expenditures & Transfers	\$ 556,228,102	\$ 579,554,199	\$ 617,612,208	\$ 38,058,009	6.6 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 795,736				
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 178,979,903	\$ 179,640,457	\$ 202,964,474	\$ 23,324,017	13.0 %
<b>Expenditures and Transfers</b>					
Expenditures	139,179,254	139,678,733	158,569,999	18,891,266	13.5 %
Mandatory Transfers	22,816,983	24,164,986	27,545,274	3,380,288	14.0 %
Non-Mandatory Transfers	20,282,992	15,796,738	16,849,201	1,052,463	6.7 %
Total Expenditures & Transfers	\$ 182,279,229	\$ 179,640,457	\$ 202,964,474	\$ 23,324,017	13.0 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (3,299,326)				
<b>TOTALS</b>					
<b>Revenues</b>	\$ 736,003,741	\$ 759,194,656	\$ 820,576,682	\$ 61,382,026	8.1 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 672,621,684	\$ 741,299,186	\$ 763,448,045	\$ 22,148,859	3.0 %
Mandatory Transfers	24,494,392	25,806,237	29,190,436	3,384,199	13.1 %
Non-Mandatory Transfers	41,391,255	(7,910,767)	27,938,201	35,848,968	453.2 %
Total Expenditures & Transfers	\$ 738,507,331	\$ 759,194,656	\$ 820,576,682	\$ 61,382,026	8.1 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (2,503,590)				

***Martin***  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 57,162,082	\$ 59,066,719	\$ 61,054,475	\$ 1,987,756	3.4 %
State Appropriations	26,359,667	27,036,367	28,706,897	1,670,530	6.2 %
Grants & Contracts	150,156	198,400	198,400		
Sales & Service	3,562,079	3,654,173	3,203,983	(450,190)	(12.3) %
Other Sources	609,591	602,300	605,000	2,700	0.4 %
Total Revenues	\$ 87,843,576	\$ 90,557,959	\$ 93,768,755	\$ 3,210,796	3.5 %
<b>Expenditures and Transfers</b>					
Instruction	\$ 39,180,993	\$ 43,693,399	\$ 43,000,334	\$ (693,065)	(1.6) %
Research	459,124	416,224	302,660	(113,564)	(27.3) %
Public Service	675,732	592,448	555,555	(36,893)	(6.2) %
Academic Support	10,629,292	11,118,676	11,044,043	(74,633)	(0.7) %
Student Services	11,123,933	11,343,631	11,503,673	160,042	1.4 %
Institutional Support	5,027,293	6,274,077	6,128,286	(145,791)	(2.3) %
Operation & Maintenance of Plant	10,845,353	11,847,915	11,254,053	(593,862)	(5.0) %
Scholarships & Fellowships	8,024,981	8,415,512	8,557,002	141,490	1.7 %
Subtotal Expenditures	\$ 85,966,701	\$ 93,701,882	\$ 92,345,606	\$ (1,356,276)	(1.4) %
Mandatory Transfers	661,527	714,700	663,100	(51,600)	(7.2) %
Non-Mandatory Transfers	1,685,315	(1,750,623)	760,049	2,510,672	143.4 %
Total Expenditures & Transfers	\$ 88,313,543	\$ 92,665,959	\$ 93,768,755	\$ 1,102,796	1.2 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (469,967)	\$ (2,108,000)			
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 11,711,339	\$ 11,451,645	\$ 11,520,992	\$ 69,347	0.6 %
<b>Expenditures and Transfers</b>					
Expenditures	6,956,242	7,609,074	7,678,421	69,347	0.9 %
Mandatory Transfers	3,043,819	3,180,152	3,180,152		
Non-Mandatory Transfers	1,807,194	662,419	662,419		
Total Expenditures & Transfers	\$ 11,807,255	\$ 11,451,645	\$ 11,520,992	\$ 69,347	0.6 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (95,916)				
<b>TOTALS</b>					
<b>Revenues</b>	\$ 99,554,915	\$ 102,009,604	\$ 105,289,747	\$ 3,280,143	3.2 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 92,922,943	\$ 101,310,956	\$ 100,024,027	\$ (1,286,929)	(1.3) %
Mandatory Transfers	3,705,346	3,894,852	3,843,252	(51,600)	(1.3) %
Non-Mandatory Transfers	3,492,509	(1,088,204)	1,422,468	2,510,672	230.7 %
Total Expenditures & Transfers	\$ 100,120,798	\$ 104,117,604	\$ 105,289,747	\$ 1,172,143	1.1 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (565,884)	\$ (2,108,000)			

**Space Institute**  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 1,329,067	\$ 1,340,255	\$ 1,340,255		
State Appropriations	7,995,412	8,011,212	8,015,212	\$ 4,000	0.0 %
Grants & Contracts	269,668	204,816	204,816		
Sales & Service	14,000				
Other Sources	43,255	14,922	14,922	-	0.0 %
Total Revenues	\$ 9,651,402	\$ 9,571,205	\$ 9,575,205	\$ 4,000	0.0 %
<b>Expenditures and Transfers</b>					
Instruction	\$ 4,316,257	\$ 4,280,675	\$ 3,977,123	\$ (303,552)	(7.1) %
Research	1,004,844	961,548	2,036,261	1,074,713	111.8 %
Public Service					
Academic Support	325,317	317,852	255,669	(62,183)	(19.6) %
Student Services	31,120	33,375	72,433	39,058	117.0 %
Institutional Support	1,500,259	1,429,929	1,318,940	(110,989)	(7.8) %
Operation & Maintenance of Plant	2,186,598	1,912,428	1,940,078	27,650	1.4 %
Scholarships & Fellowships	314,901	285,112	284,249	(863)	(0.3) %
Subtotal Expenditures	\$ 9,679,295	\$ 9,220,919	\$ 9,884,753	\$ 663,834	7.2 %
Mandatory Transfers					
Non-Mandatory Transfers	251,298	350,286	(309,548)	(659,834)	(188.4) %
Total Expenditures & Transfers	\$ 9,930,593	\$ 9,571,205	\$ 9,575,205	\$ 4,000	0.0 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (279,191)				
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 168,557	\$ 170,691	\$ 170,691		
<b>Expenditures and Transfers</b>					
Expenditures	327,872	270,691	319,441	48,750	18.0 %
Mandatory Transfers					
Non-Mandatory Transfers	(178,383)	(100,000)	(148,750)	(48,750)	(48.8) %
Total Expenditures & Transfers	\$ 149,489	\$ 170,691	\$ 170,691	\$ -	0.0 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 19,067	\$ -	\$ -		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 9,819,958	\$ 9,741,896	\$ 9,745,896	\$ 4,000	0.0 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 10,007,167	\$ 9,491,610	\$ 10,204,194	\$ 712,584	7.5 %
Mandatory Transfers					
Non-Mandatory Transfers	72,915	250,286	(458,298)	(708,584)	(283.1) %
Total Expenditures & Transfers	\$ 10,080,082	\$ 9,741,896	\$ 9,745,896	\$ 4,000	0.0 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (260,124)				

**Health Science Center Total**  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 76,610,797	\$ 77,712,385	\$ 80,881,090	\$ 3,168,705	4.1 %
State Appropriations	129,470,351	129,956,640	135,736,521	5,779,881	4.4 %
Grants & Contracts	14,105,913	16,640,453	16,476,390	(164,063)	(1.0) %
Sales & Service	20,327,689	19,397,619	18,929,120	(468,499)	(2.4) %
Other Sources	3,709,927	2,452,334	2,803,993	351,659	14.3 %
Total Revenues	<u>\$ 244,224,676</u>	<u>\$ 246,159,431</u>	<u>\$ 254,827,114</u>	<u>\$ 8,667,683</u>	<u>3.5 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 123,486,647	\$ 137,698,360	\$ 134,290,673	\$ (3,407,687)	(2.5) %
Research	7,002,772	13,378,679	4,472,908	(8,905,771)	(66.6) %
Public Service	27,526	43,500	42,696	(804)	(1.8) %
Academic Support	42,541,896	49,458,777	40,062,991	(9,395,786)	(19.0) %
Student Services	5,816,979	6,401,853	6,058,410	(343,443)	(5.4) %
Institutional Support	23,569,881	31,095,097	23,863,290	(7,231,807)	(23.3) %
Operation & Maintenance of Plant	29,040,803	27,014,907	26,887,718	(127,189)	(0.5) %
Scholarships & Fellowships	8,736,712	9,212,327	9,162,667	(49,660)	(0.5) %
Subtotal Expenditures	<u>\$ 240,223,215</u>	<u>\$ 274,303,500</u>	<u>\$ 244,841,353</u>	<u>\$ (29,462,147)</u>	<u>(10.7) %</u>
Mandatory Transfers	3,021,421	3,677,619	6,014,961	2,337,342	63.6 %
Non-Mandatory Transfers	7,342,031	3,007,700	3,970,800	963,100	32.0 %
Total Expenditures & Transfers	<u>\$ 250,586,667</u>	<u>\$ 280,988,819</u>	<u>\$ 254,827,114</u>	<u>\$ (26,161,705)</u>	<u>(9.3) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (6,361,991)</b>	<b>\$ (34,829,388)</b>			
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 1,550,222	\$ 1,759,267	\$ 1,707,123	\$ (52,144)	(3.0) %
<b>Expenditures and Transfers</b>					
Expenditures	1,280,773	1,394,358	1,342,035	(52,323)	(3.8) %
Mandatory Transfers	377,496	364,909	365,088	179	0.0 %
Non-Mandatory Transfers	(84,215)				
Total Expenditures & Transfers	<u>\$ 1,574,054</u>	<u>\$ 1,759,267</u>	<u>\$ 1,707,123</u>	<u>\$ (52,144)</u>	<u>(3.0) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (23,831)</b>				
<b>TOTALS</b>					
<b>Revenues</b>	\$ 245,774,899	\$ 247,918,698	\$ 256,534,237	\$ 8,615,539	3.5 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 241,503,988	\$ 275,697,858	\$ 246,183,388	\$ (29,514,470)	(10.7) %
Mandatory Transfers	3,398,917	4,042,528	6,380,049	2,337,521	57.8 %
Non-Mandatory Transfers	7,257,816	3,007,700	3,970,800	963,100	32.0 %
Total Expenditures & Transfers	<u>\$ 252,160,721</u>	<u>\$ 282,748,086</u>	<u>\$ 256,534,237</u>	<u>\$ (26,213,849)</u>	<u>(9.3) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (6,385,822)</b>	<b>\$ (34,829,388)</b>			

**Health Science Center - Memphis Other Specialized Units****FY 2016 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers****4.1**

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 52,170,255	\$ 51,885,985	\$ 54,357,890	\$ 2,471,905	4.8 %
State Appropriations	71,883,051	72,430,740	75,933,521	3,502,781	4.8 %
Grants & Contracts	13,457,202	12,728,542	12,728,542		
Sales & Service	7,133,221	6,357,480	6,080,940	(276,540)	(4.3) %
Other Sources	2,992,404	1,722,334	2,148,513	426,179	24.7 %
<b>Total Revenues</b>	<b>\$ 147,636,132</b>	<b>\$ 145,125,081</b>	<b>\$ 151,249,406</b>	<b>\$ 6,124,325</b>	<b>4.2 %</b>
<b>Expenditures and Transfers</b>					
Instruction	\$ 46,965,164	\$ 51,872,295	\$ 53,120,622	\$ 1,248,327	2.4 %
Research	4,815,708	8,491,042	3,812,508	(4,678,534)	(55.1) %
Public Service		25,000	25,000		
Academic Support	35,481,731	42,990,450	35,552,226	(7,438,224)	(17.3) %
Student Services	5,292,524	5,794,332	5,190,950	(603,382)	(10.4) %
Institutional Support	22,752,957	30,221,556	23,015,290	(7,206,266)	(23.8) %
Operation & Maintenance of Plant	28,735,477	26,773,984	26,660,854	(113,130)	(0.4) %
Scholarships & Fellowships	6,598,684	6,908,881	7,081,924	173,043	2.5 %
<b>Subtotal Expenditures</b>	<b>\$ 150,642,244</b>	<b>\$ 173,077,540</b>	<b>\$ 154,459,374</b>	<b>\$ (18,618,166)</b>	<b>(10.8) %</b>
Mandatory Transfers	2,921,902	3,577,970	5,910,492	2,332,522	65.2 %
Non-Mandatory Transfers	2,007,874	3,298,959	(9,120,460)	(12,419,419)	(376.5) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 155,572,020</b>	<b>\$ 179,954,469</b>	<b>\$ 151,249,406</b>	<b>\$ (28,705,063)</b>	<b>(16.0) %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (7,935,888)</b>	<b>\$ (34,829,388)</b>			
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 1,550,222	\$ 1,759,267	\$ 1,707,123	\$ (52,144)	(3.0) %
<b>Expenditures and Transfers</b>					
Expenditures	1,280,773	1,394,358	1,342,035	(52,323)	(3.8) %
Mandatory Transfers	377,496	364,909	365,088	179	0.0 %
Non-Mandatory Transfers	(84,215)				
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,574,054</b>	<b>\$ 1,759,267</b>	<b>\$ 1,707,123</b>	<b>\$ (52,144)</b>	<b>(3.0) %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (23,831)</b>				
<b>TOTALS</b>					
<b>Revenues</b>	\$ 149,186,355	\$ 146,884,348	\$ 152,956,529	\$ 6,072,181	4.1 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 151,923,017	\$ 174,471,898	\$ 155,801,409	\$ (18,670,489)	(10.7) %
Mandatory Transfers	3,299,398	3,942,879	6,275,580	2,332,701	59.2 %
Non-Mandatory Transfers	1,923,659	3,298,959	(9,120,460)	(12,419,419)	(376.5) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 157,146,074</b>	<b>\$ 181,713,736</b>	<b>\$ 152,956,529</b>	<b>\$ (28,757,207)</b>	<b>(15.8) %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (7,959,720)</b>	<b>\$ (34,829,388)</b>			

**Health Science Center - College of Medicine****FY 2016 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers****4.1**

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE		
				PROBABLE TO PROPOSED AMOUNT	%	
<b>EDUCATIONAL AND GENERAL</b>						
<b>Revenues</b>						
Tuition & Fees	\$ 24,440,542	\$ 25,826,400	\$ 26,523,200	\$ 696,800	2.7 %	
State Appropriations	47,116,500	47,007,900	48,847,000	1,839,100	3.9 %	
Grants & Contracts	648,711	3,911,911	3,747,848	(164,063)	(4.2) %	
Sales & Service	2,032,905	2,133,283	1,887,114	(246,169)	(11.5) %	
Other Sources						
Total Revenues	<u>\$ 74,238,658</u>	<u>\$ 78,879,494</u>	<u>\$ 81,005,162</u>	<u>\$ 2,125,668</u>	<u>2.7 %</u>	
<b>Expenditures and Transfers</b>						
Instruction	\$ 56,790,062	\$ 64,901,047	\$ 59,911,338	\$ (4,989,709)	(7.7) %	
Research	2,187,064	4,887,637	660,400	(4,227,237)	(86.5) %	
Public Service	27,526	18,500	17,696	(804)	(4.3) %	
Academic Support	7,060,164	6,468,327	4,510,765	(1,957,562)	(30.3) %	
Student Services	524,455	607,521	867,460	259,939	42.8 %	
Institutional Support	267,727	91,975		(91,975)	(100.0) %	
Operation & Maintenance of Plant	70,209					
Scholarships & Fellowships	2,138,028	2,303,446	2,080,743	(222,703)	(9.7) %	
Subtotal Expenditures	<u>\$ 69,065,236</u>	<u>\$ 79,278,453</u>	<u>\$ 68,048,402</u>	<u>\$ (11,230,051)</u>	<u>(14.2) %</u>	
Mandatory Transfers						
Non-Mandatory Transfers	5,173,423	(398,959)	12,956,760	13,355,719	3,347.6 %	
Total Expenditures & Transfers	<u>\$ 74,238,659</u>	<u>\$ 78,879,494</u>	<u>\$ 81,005,162</u>	<u>\$ 2,125,668</u>	<u>2.7 %</u>	
<b>Fund Balance Addition/(Reduction)</b>						

**Health Science Center - Family Medical Units****FY 2016 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers****4.1**

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 10,470,800	\$ 10,518,000	\$ 10,956,000	\$ 438,000	4.2 %
Grants & Contracts					
Sales & Service	11,161,562	10,906,856	10,961,066	54,210	0.5 %
Other Sources	717,523	730,000	655,480	(74,520)	(10.2) %
Total Revenues	<u>\$ 22,349,886</u>	<u>\$ 22,154,856</u>	<u>\$ 22,572,546</u>	<u>\$ 417,690</u>	<u>1.9 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 19,731,421	\$ 20,925,018	\$ 21,258,713	\$ 333,695	1.6 %
Research					
Public Service					
Academic Support					
Student Services					
Institutional Support	549,197	781,566	848,000	66,434	8.5 %
Operation & Maintenance of Plant	235,117	240,923	226,864	(14,059)	(5.8) %
Scholarships & Fellowships					
Subtotal Expenditures	<u>\$ 20,515,735</u>	<u>\$ 21,947,507</u>	<u>\$ 22,333,577</u>	<u>\$ 386,070</u>	<u>1.8 %</u>
Mandatory Transfers	99,519	99,649	104,469	4,820	4.8 %
Non-Mandatory Transfers	160,734	107,700	134,500	26,800	24.9 %
Total Expenditures & Transfers	<u>\$ 20,775,988</u>	<u>\$ 22,154,856</u>	<u>\$ 22,572,546</u>	<u>\$ 417,690</u>	<u>1.9 %</u>
<b>Fund Balance Addition/(Reduction)</b>	\$ 1,573,898				

***Institute of Agriculture Total***  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

4.1

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 11,360,199	\$ 11,403,710	\$ 11,647,618	\$ 243,908	2.1 %
State Appropriations	73,363,607	73,768,007	76,824,964	3,056,957	4.1 %
Grants & Contracts	4,219,672	4,204,794	3,833,071	(371,723)	(8.8) %
Sales & Service	21,022,516	21,816,235	21,979,414	163,179	0.7 %
Other Sources	15,228,531	16,443,785	16,493,457	49,672	0.3 %
Total Revenues	<u>\$ 125,194,525</u>	<u>\$ 127,636,531</u>	<u>\$ 130,778,524</u>	<u>\$ 3,141,993</u>	<u>2.5 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 28,095,485	\$ 31,712,660	\$ 34,182,110	\$ 2,469,450	7.8 %
Research	39,973,390	45,539,963	38,864,748	(6,675,215)	(14.7) %
Public Service	40,397,891	50,820,539	45,196,487	(5,624,052)	(11.1) %
Academic Support	7,640,957	7,944,566	7,508,049	(436,517)	(5.5) %
Student Services					
Institutional Support	2,357,349	2,646,151	2,627,811	(18,340)	(0.7) %
Operation & Maintenance of Plant	3,349,835	3,304,573	3,388,181	83,608	2.5 %
Scholarships & Fellowships	44,595	47,058	47,058		
Subtotal Expenditures	<u>\$ 121,859,502</u>	<u>\$ 142,015,510</u>	<u>\$ 131,814,444</u>	<u>\$ (10,201,066)</u>	<u>(7.2) %</u>
Mandatory Transfers	315,421				
Non-Mandatory Transfers	8,483,847	(5,997,011)	1,503,600	7,500,611	125.1 %
Total Expenditures & Transfers	<u>\$ 130,658,770</u>	<u>\$ 136,018,499</u>	<u>\$ 133,318,044</u>	<u>\$ (2,700,455)</u>	<u>(2.0) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (5,464,245)</u>	<u>\$ (8,381,968)</u>	<u>\$ (2,539,520)</u>		

**Agricultural Experiment Station****FY 2016 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers****4.1**

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 25,579,486	\$ 25,698,486	\$ 26,685,988	\$ 987,502	3.8 %
Grants & Contracts	2,757,315	2,720,000	2,343,384	(376,616)	(13.8) %
Sales & Service	4,200,506	3,308,189	3,227,443	(80,746)	(2.4) %
Other Sources	6,342,787	6,850,453	6,850,453		
Total Revenues	<u>\$ 38,880,094</u>	<u>\$ 38,577,128</u>	<u>\$ 39,107,268</u>	<u>\$ 530,140</u>	<u>1.4 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ (511)				
Research	36,401,138	\$ 41,774,616	\$ 35,423,544	\$ (6,351,072)	(15.2) %
Public Service					
Academic Support	1,569,602	1,552,389	1,560,890	8,501	0.5 %
Student Services					
Institutional Support	941,677	1,115,111	1,102,393	(12,718)	(1.1) %
Operation & Maintenance of Plant	431,030	442,841	442,841		
Scholarships & Fellowships					
Subtotal Expenditures	<u>\$ 39,342,935</u>	<u>\$ 44,884,957</u>	<u>\$ 38,529,668</u>	<u>\$ (6,355,289)</u>	<u>(14.2) %</u>
Mandatory Transfers					
Non-Mandatory Transfers	3,986,050	(6,308,793)	577,600	6,886,393	109.2 %
Total Expenditures & Transfers	<u>\$ 43,328,985</u>	<u>\$ 38,576,164</u>	<u>\$ 39,107,268</u>	<u>\$ 531,104</u>	<u>1.4 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (4,448,891)</u>	<u>\$ 964</u>			

***UT Extension***  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 30,987,767	\$ 31,195,267	\$ 32,408,617	\$ 1,213,350	3.9 %
Grants & Contracts	566,134	515,000	515,000		
Sales & Service	4,159,190	4,886,422	4,867,731	(18,691)	(0.4) %
Other Sources	8,647,450	9,356,417	9,417,986	61,569	0.7 %
Total Revenues	<u>\$ 44,360,541</u>	<u>\$ 45,953,106</u>	<u>\$ 47,209,334</u>	<u>\$ 1,256,228</u>	<u>2.7 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 40,284,313	\$ 50,697,676	\$ 45,104,155	\$ (5,593,521)	(11.0) %
Academic Support	794,785	805,535	858,848	53,313	6.6 %
Student Services					
Institutional Support	726,396	746,634	743,108	(3,526)	(0.5) %
Operation & Maintenance of Plant					
Scholarships & Fellowships					
Subtotal Expenditures	<u>\$ 41,805,494</u>	<u>\$ 52,249,845</u>	<u>\$ 46,706,111</u>	<u>\$ (5,543,734)</u>	<u>(10.6) %</u>
Mandatory Transfers					
Non-Mandatory Transfers	1,099,094	355,290	543,900	188,610	53.1 %
Total Expenditures & Transfers	<u>\$ 42,904,588</u>	<u>\$ 52,605,135</u>	<u>\$ 47,250,011</u>	<u>\$ (5,355,124)</u>	<u>(10.2) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 1,455,953</u>	<u>\$ (6,652,029)</u>	<u>\$ (40,677)</u>		

**College of Veterinary Medicine****FY 2016 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers****4.1**

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 11,360,199	\$ 11,403,710	\$ 11,647,618	\$ 243,908	2.1 %
State Appropriations	16,796,354	16,874,254	17,730,359	856,105	5.1 %
Grants & Contracts	896,223	969,794	974,687	4,893	0.5 %
Sales & Service	12,662,820	13,621,624	13,884,240	262,616	1.9 %
Other Sources	238,294	236,915	225,018	(11,897)	(5.0) %
Total Revenues	<u>\$ 41,953,889</u>	<u>\$ 43,106,297</u>	<u>\$ 44,461,922</u>	<u>\$ 1,355,625</u>	<u>3.1 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 28,095,996	\$ 31,712,660	\$ 34,182,110	\$ 2,469,450	7.8 %
Research	3,572,252	3,765,347	3,441,204	(324,143)	(8.6) %
Public Service	113,578	122,863	92,332	(30,531)	(24.8) %
Academic Support	5,276,570	5,586,642	5,088,311	(498,331)	(8.9) %
Student Services					
Institutional Support	689,276	784,406	782,310	(2,096)	(0.3) %
Operation & Maintenance of Plant	2,918,805	2,861,732	2,945,340	83,608	2.9 %
Scholarships & Fellowships	44,595	47,058	47,058		
Subtotal Expenditures	<u>\$ 40,711,072</u>	<u>\$ 44,880,708</u>	<u>\$ 46,578,665</u>	<u>\$ 1,697,957</u>	<u>3.8 %</u>
Mandatory Transfers	315,421				
Non-Mandatory Transfers	3,398,703	(43,508)	382,100	425,608	978.2 %
Total Expenditures & Transfers	<u>\$ 44,425,196</u>	<u>\$ 44,837,200</u>	<u>\$ 46,960,765</u>	<u>\$ 2,123,565</u>	<u>4.7 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (2,471,307)</u>	<u>\$ (1,730,903)</u>	<u>\$ (2,498,843)</u>		

***Institute for Public Service Total*****FY 2016 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers****4.1**

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 9,899,924	\$ 9,936,524	\$ 10,340,387	\$ 403,863	4.1 %
Grants & Contracts	313,085	247,122	219,397	(27,725)	(11.2) %
Sales & Service					
Other Sources	7,109,470	6,885,134	7,453,153	568,019	8.2 %
Total Revenues	<u>\$ 17,322,479</u>	<u>\$ 17,068,780</u>	<u>\$ 18,012,937</u>	<u>\$ 944,157</u>	<u>5.5 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 14,735,439	\$ 15,461,335	\$ 15,610,888	\$ 149,553	1.0 %
Academic Support	287,979	249,975	272,027	22,052	8.8 %
Student Services					
Institutional Support	1,166,371	1,076,316	815,350	(260,966)	(24.2) %
Operation & Maintenance of Plant					
Scholarships & Fellowships					
Subtotal Expenditures	<u>\$ 16,189,790</u>	<u>\$ 16,787,626</u>	<u>\$ 16,698,265</u>	<u>\$ (89,361)</u>	<u>(0.5) %</u>
Mandatory Transfers					
Non-Mandatory Transfers	1,052,539	376,868	1,208,526	831,658	220.7 %
Total Expenditures & Transfers	<u>\$ 17,242,329</u>	<u>\$ 17,164,494</u>	<u>\$ 17,906,791</u>	<u>\$ 742,297</u>	<u>4.3 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 80,150</u>	<u>\$ (95,714)</u>	<u>\$ 106,146</u>		

***Institute for Public Service***  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 5,249,898	\$ 5,265,298	\$ 5,438,185	\$ 172,887	3.3 %
Grants & Contracts	292,867	219,347	191,622	(27,725)	(12.6) %
Sales & Service					
Other Sources	837,426	603,371	930,200	326,829	54.2 %
Total Revenues	<u>\$ 6,380,191</u>	<u>\$ 6,088,016</u>	<u>\$ 6,560,007</u>	<u>\$ 471,991</u>	<u>7.8 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 4,164,483	\$ 4,618,672	\$ 4,668,927	\$ 50,255	1.1 %
Academic Support					
Student Services					
Institutional Support	1,157,338	1,059,581	798,615	(260,966)	(24.6) %
Operation & Maintenance of Plant					
Scholarships & Fellowships					
Subtotal Expenditures	<u>\$ 5,321,822</u>	<u>\$ 5,678,253</u>	<u>\$ 5,467,542</u>	<u>\$ (210,711)</u>	<u>(3.7) %</u>
Mandatory Transfers					
Non-Mandatory Transfers	961,047	401,515	1,038,533	637,018	158.7 %
Total Expenditures & Transfers	<u>\$ 6,282,869</u>	<u>\$ 6,079,768</u>	<u>\$ 6,506,075</u>	<u>\$ 426,307</u>	<u>7.0 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 97,323</u>	<u>\$ 8,248</u>	<u>\$ 53,932</u>		

**Municipal Technical Advisory Service****FY 2016 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers****4.1**

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 2,892,013	\$ 2,903,313	\$ 3,039,051	\$ 135,738	4.7 %
Grants & Contracts	20,218	27,775	27,775		
Sales & Service					
Other Sources	3,267,493	3,201,729	3,374,236	172,507	5.4 %
Total Revenues	<u>\$ 6,179,724</u>	<u>\$ 6,132,817</u>	<u>\$ 6,441,062</u>	<u>\$ 308,245</u>	<u>5.0 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 5,725,726	\$ 5,906,642	\$ 6,006,119	\$ 99,477	1.7 %
Academic Support	287,979	249,975	272,027	22,052	8.8 %
Student Services					
Institutional Support	5,297	8,900	8,900		
Operation & Maintenance of Plant					
Scholarships & Fellowships					
Subtotal Expenditures	<u>\$ 6,019,002</u>	<u>\$ 6,165,517</u>	<u>\$ 6,287,046</u>	<u>\$ 121,529</u>	<u>2.0 %</u>
Mandatory Transfers					
Non-Mandatory Transfers	138,107	86,616	86,721	105	0.1 %
Total Expenditures & Transfers	<u>\$ 6,157,109</u>	<u>\$ 6,252,133</u>	<u>\$ 6,373,767</u>	<u>\$ 121,634</u>	<u>1.9 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 22,615</u>	<u>\$ (119,316)</u>	<u>\$ 67,295</u>		

## County Technical Assistance Service

### FY 2016 Proposed Budget Summary

#### Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 1,758,013	\$ 1,767,913	\$ 1,863,151	\$ 95,238	5.4 %
Grants & Contracts					
Sales & Service					
Other Sources	3,004,551	3,080,034	3,148,717	68,683	2.2 %
Total Revenues	\$ 4,762,564	\$ 4,847,947	\$ 5,011,868	\$ 163,921	3.4 %
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 4,845,230	\$ 4,936,021	\$ 4,935,842	\$ (179)	(0.0) %
Academic Support					
Student Services					
Institutional Support	3,736	7,835	7,835		
Operation & Maintenance of Plant					
Scholarships & Fellowships					
Subtotal Expenditures	\$ 4,848,966	\$ 4,943,856	\$ 4,943,677	\$ (179)	(0.0) %
Mandatory Transfers					
Non-Mandatory Transfers	(46,615)	(111,263)	83,272	194,535	174.8 %
Total Expenditures & Transfers	\$ 4,802,351	\$ 4,832,593	\$ 5,026,949	\$ 194,356	4.0 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (39,788)	\$ 15,354	\$ (15,081)		

**System Administration**  
**FY 2016 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2014 ACTUALS	FY 2015 PROBABLE	FY 2016 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 4,721,538	\$ 4,794,038	\$ 4,994,417	\$ 200,379	4.2 %
Grants & Contracts					
Sales & Service					
Other Sources	18,748,561	16,562,153	17,662,153	1,100,000	6.6 %
Total Revenues	<u>\$ 23,470,099</u>	<u>\$ 21,356,191</u>	<u>\$ 22,656,570</u>	<u>\$ 1,300,379</u>	<u>6.1 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research	\$ 227,303	\$ -	\$ 250,000	\$ 250,000	
Public Service					
Academic Support					
Student Services					
Institutional Support	45,707,635	\$ 45,728,883	\$ 46,663,423	\$ 934,540	2.0 %
Operation & Maintenance of Plant					
Scholarships & Fellowships					
Subtotal Expenditures	<u>\$ 45,934,938</u>	<u>\$ 45,728,883</u>	<u>\$ 46,913,423</u>	<u>\$ 1,184,540</u>	<u>2.6 %</u>
Mandatory Transfers	135,209	135,000	135,000		
Non-Mandatory Transfers	(21,484,705)	(24,365,329)	(24,391,853)	(26,524)	(0.1) %
Total Expenditures & Transfers	<u>\$ 24,585,442</u>	<u>\$ 21,498,554</u>	<u>\$ 22,656,570</u>	<u>\$ 1,158,016</u>	<u>5.4 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (1,115,343)</u>	<u>\$ (142,363)</u>			

## TUITION AND FEES

<b>SCHEDULE TITLE</b>	<b>PAGE NUMBER</b>
Tuition and Fee Recommendations	50
Chattanooga	57
Knoxville	59
Martin	64
Space Institute	66
Veterinary Medicine	67
Health Science Center	68
Other Fees	71

## THE UNIVERSITY OF TENNESSEE FY 2016 TUITION AND FEE RECOMMENDATIONS

4.1

### OVERVIEW

The FY 2016 recommended tuition<sup>1</sup> and fee increases continue the effort to provide students a quality education while keeping the cost to students at a reasonable level. This section presents anticipated tuition and fee revenue growth that will result from the proposed fee adjustments recommended to the Board of Trustees for FY 2015-16. It is provided to inform Board members in their consideration of the university's fee proposal. It includes revenue projections for specific fee changes at each campus and a discussion on how the additional revenues are planned to be used. This section does not address revenue changes related to enrollment changes and other factors that will occur if tuition and fee levels remain unchanged. More information can be found in the detailed fee proposal schedules presented to the Finance and Administration Committee at the Board's annual meeting.

Revenues generated from the increases are used to fund:

- Operating cost increases including general inflation ; increases in utility costs; contractual service increases; and inflationary costs for campus facilities and library acquisitions
- General salary increases for faculty and staff as described on page 10.
- Significant growth in scholarships and fellowships
- Academic reinvestment in support of Knoxville's Top 25 implementation plan
- Academic promotions and new full-time faculty positions
- Faculty start-up commitments and Honor's research projects
- Staff positions needed to support student services

### RECOMMENDATION

The recommended tuition and fee increases are based on considerable discussion with campus leadership and an analysis of expenditures funded at varying fee levels. The administration recommends approval of the proposed tuition and fee increases and adjustments. All other required tuition and fees are recommended for continuation at their current amounts.

---

<sup>1</sup> For the purposes of this document, tuition refers to both maintenance fees and out-of-state tuition.

**MAINTENANCE FEES AND TUITION  
FY 2016 RECOMMENDED PERCENT CHANGE**

<i>ACADEMIC UNITS</i>	<i>MAINTENANCE FEE *</i>	<i>OUT-OF-STATE TUITION</i>	<i>NEW REVENUES</i>
Chattanooga - Undergraduate and Graduate	3.0%	0.0%	\$ 2,042,134
Knoxville – Undergraduate	3.0%	0.0%	6,000,000
Knoxville – Graduate	5.0%	0.0%	
Knoxville - College of Law	0.0%	0.0 %	0
Martin – Undergraduate and Graduate	3.0%	0.0%	1,397,400
Space Institute	5.0%	0.0%	43,300
Health Science Center	0.0%	0.0%	
College of Medicine	0.0%	0.0%	0
College of Allied Health Sciences	4.0%	4.0%	308,400
College of Dentistry	4.0%	4.0%	582,600
College of Pharmacy	4.0%	4.0%	584,300
College of Nursing	4.0%	4.0%	222,000
Graduate Health Sciences	4.0%	4.0%	180,700
College of Veterinary Medicine	8.0%	0.0%	534,055
<b>TOTAL</b>			<b>\$ 11,894,889</b>

\*In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus out-of-state tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

In addition to changes in tuition, changes are recommended in the following campus specific fees:

**CAMPUS SPECIFIC FEES**  
**FY 2015 RECOMMENDED RATE INCREASE**

<b>CAMPUS</b>	<b>FEE</b>	<b>CURRENT ANNUAL RATE</b>	<b>PROPOSED ANNUAL RATE</b>	<b>CHANGE</b>	<b>NEW REVENUES</b>
Chattanooga	Online Professional MBA Out-of-State Tuition	\$ 29,004	\$ 27,888	\$ (1,116)	\$ (16,740)
Knoxville	Executive MBA for Strategic Leadership	69,500	71,000	1,500	27,000
	Executive MBA - Global Supply Chain	82,500	85,000	2,500	45,000
	MBA Full-time	10,165	10,871	706	49,420
	College of Business Differential Tuition	68	95	27	2,000,000
	College of Architecture Differential Tuition	NEW	105	105	800,000
	Student Program Fee	228	256	28	700,000
	Capital Fee (previously "Debt Service")	282	348	66	1,650,000
	Counseling Fee	82	96	14	350,000
	Facilities Fee (In-state Only)*	360	390	30	750,000
	Transportation Fee	120	150	30	750,000
	Technology Fee	200	240	40	1,000,000
	Student Library Fee	20	60	40	1,000,000
	Martin	Student Activity Fee - (Athletic)	308	408	100
HSC	Computer Based Testing Fee	NEW	50	50	140,000
	Technology Fee	200	240	40	112,000
<b>TOTAL</b>					<b>\$ 9,856,680</b>

\* Out-of-state students pay a higher facilities fee of \$620, which remains unchanged.

## PROPOSED USES OF NEW REVENUES

### UT CHATTANOOGA

- Maintenance Fee – A 3.0 % increase generates \$2.0 million in additional revenues. There is no increase for out-of-state tuition, but out-of-state students will pay their share of the maintenance fee increase.

\$500,000 of the increase is used to fund salary and benefit increases for merit and market adjustments, promotions, rollovers, and senior lecturers. \$919,000 will help fund the FY 2016 salary plan. \$200,000 is used to fund scholarships for the Transfer Bridge Program. \$302,000 is used for operating expense increases. These include general athletics programs, an increase in the UTSA system charge, and general operating expenses for the Chancellor. \$121,000 is used to fund campus support services. These include a campus administrative conference, a campus public insurance adjustment, and partial funding for utility storm water fees.

- Online Professional MBA Program - UTC’s review of competitive online education markets revealed a definite trend to charge the same rate to both in-state and out-of-state students. Because of this study, UTC is recommending a decrease of \$876 for out-of-state students. This results in an overall reduction in online out-of-state fee revenues amounting to \$16,740. A summary of online Professional MBA program fees is presented below:

PROGRAM (Online)	CURRENT FEE	PROPOSED FEE	CHANGE	EFFECTIVE DATE
Professional MBA (In-state)	\$ 27,348	\$ 28,128	\$780	Fall, 2015
Professional MBA (Out-of-state)	\$ 29,004	\$ 28,128	\$(876)	Fall, 2015

### UT KNOXVILLE

- Maintenance Fee – Knoxville proposes a 3.0% increase to the maintenance fee for undergraduate students and a 5% increase for graduate students. There is no increase for out-of-state tuition, but out-of-state students will pay their share of the maintenance fee increase.

The increase generates \$6.0 million in additional revenues. \$2.0 million is used for institutionally funded scholarships to help keep the *net* cost of attendance as low as possible. \$1.6 million is allocated towards faculty start up commitments and academic hires and promotions. \$1.5 million will be used for operating expenses including contract escalations, new building costs, and a central benefits pool increase. \$800,000 will be used for campus support services tied to our Top 25 academic reinvestment plans. The remaining funds are allocated to career path funding.

- Executive MBA Programs - The Executive MBA programs are self-funded and their fee revenues support faculty and staff time, technical support, program marketing costs, books, materials, and travel cost for international experiences. The increase in fees for the Executive MBA programs will generate \$121,000 in additional revenue. These fees are often paid by the students’ employers.
- College of Business Differential Tuition – the new fee level will generate additional revenues of \$2 million. This will primarily be used to increase support of student career management and placement services and investments in co-curricular initiatives that enhance educational program quality.

- College of Architecture Differential Tuition – the fee will generate additional revenues of \$800,000, providing greater financial stability for growth. It will support a design-build laboratory which is essential to the pedagogical experience of a design education, hire nationally and internationally recognized faculty, grow existing programs, and attract top quality students by offering awards. As with other campus differential tuition, ten percent of the revenue will be used towards need-based scholarships and the rate will increase.
- Student Program and Service Fees (SPSF) - An increase in Student Program and Service Fees will generate an increase of \$2.7 million in revenues.
  - Student Program Fee (\$700,000) - This portion of the SPSF is charged per credit hour and is used for operating expenses and equipment relating to student services, activities and programs, including student organized programming. These programs are organized and operated under the auspices of the Chief Student Affairs Officer
  - Capital Fee (previously “Debt Service Fee”) (\$1.7 million) - This portion of the SPSF is charged per credit hour and is used for the acquisition, construction, installation, maintenance, or enhancement of any University property or facilities primarily used for non-instructional purposes, including the satisfaction of indebtedness. It is also used for the purchase of equipment to support student services, activities and programs organized or operated under the auspices of the Chief Student Affairs Officer.
  - Counseling Fee (\$350,000) - This portion of the SPSF is a flat rate charge applied at the 9th credit hour and is used for expenses relating to health services, activities, and programs organized or operated under the auspices of the Chief Student Affairs Officer (e.g. operating expenses and equipment; wages, salaries, and benefits). Historically, Student Health Services has been funded from this portion of the fee.
- Other Dedicated Student Fees – An increase in other dedicated student fees will generate \$3.5 Million.
  - Facilities Fee (\$750,000) - The increase will provide funding for campus beautification, classroom upgrades, and the campus contribution to new academic building projects. This increase is for the instate portion only; out-of-state already pay a much higher facilities fee.
  - Transportation Fee (\$750,000) - The current fee rate is deficient in covering the transportation system. The increase would provide a fully funded model for the University's transportation system.
  - Technology Fee (\$1.0 Million) - The Technology Fee has remained the same since 1998 and has fully funded a variety of services including helpdesk and computer support, research support, wireless infrastructure and on-line learning infrastructure. The increase will fund additional classroom technology, instructional development, wireless infrastructure, and lab/virtual desktop support.
  - Student Library Fee (\$1.0 Million) - To address inflationary costs of materials, costs related to library discovery and enterprise systems, and costs associated with maintaining quality of services. Since 2011, no increase in the library materials budget has occurred outside of the student fee. Only about half of the existing fee has been available for the materials budget, with the remainder going to student wages and security for expanded library hours. In 2011, approximately \$700,000 in subscriptions were cancelled, as well as strategic materials cuts.

**UT MARTIN**

- Maintenance Fee – A 3.0% increase for the maintenance fee generates \$1.4 million in additional revenues. There is no increase for out-of-state tuition, but out-of-state students will pay their share of the maintenance fee increase.

\$433,000 is used to fund campus support services such as tuition revenue programs. \$309,000 helps fund operating expenses including utilities, contract escalations, and an increase in the UTSA system charge. \$200,000 is used for faculty promotions. \$180,000 supports the salary increase portion that is unfunded by state appropriations. \$150,000 is used for new scholarship initiatives.

- UT Online - Martin – The in-state maintenance course fee portion increases 3.0% per credit hour (PCH) for both undergraduate and graduate students. The online support fee remains unchanged at \$56. The new rates are as follows:

		COURSE FEE	SUPPORT FEE	TOTAL PCH RATE
Undergraduate	In-State	\$288	\$56	\$344
	Out-of-State	\$317	\$56	\$373
Graduate	In-State	\$492	\$56	\$548
	Out-of-State	\$541	\$56	\$597

- Student Activity Fee – Athletic – A \$100 increase will generate additional revenues of \$500,000 to fund new staff essential for compliance, debt service, and operational budgets for athletic teams.

**UT SPACE INSTITUTE**

- Maintenance Fee and Out-of-State Tuition – UTSI proposes a 5.0% increase to maintenance fees only. Out-of-state tuition remains unchanged, but out-of-state students will pay the higher maintenance fee. The increase generates additional revenues of \$43,000, which will be used to offset revenue declines due to an expected reduction in enrollment.

**UT HEALTH SCIENCE CENTER**

- Maintenance Fee – There are 4% proposed maintenance fee and out-of-state tuition increases this year. The increases amount to \$1,878,000. \$695,000 is allocated to help fund new faculty positions in Nursing and Pharmacy. \$545,000 will be used for expansion plans for Dentistry in Bristol and Union City and Pharmacy in Nashville. \$185,000 will support an increase of Academic Common Market and graduate tuition waivers. \$100,000 will be used for campus infrastructure projects for FY 2016. \$353,000 supports the FY 2016 salary plan. Detail by program is as follows:
- College of Medicine (No Increase) –The College of Medicine has had a 3% step increase between each class in the past which has been phased out over the past couple of years; this phase out process will be finished with the incoming class. This change is required in order to comply with accreditation recommendations for the College of Medicine.
- Allied Health Sciences (4% increase - \$308,400) – The new tuition revenue will support new faculty members in Health Professions along with helping to fund Academic Common Market waivers and Campus infrastructure projects. These funds will also help fund the FY 2016 proposed salary plan.
- Dentistry (4.0% increase - \$582,600) - The new tuition revenues will be used to fund the expansion of dentistry clinics at Union City and Bristol, TN and campus infrastructure projects. These funds will also help fund the FY 2016 proposed salary plan.

- Pharmacy (4% increase - \$584,300) – The new tuition revenue will be used to support new Pharmacy Faculty and the College of Pharmacy expansion in Nashville, TN. In addition the new tuition funds will support the proposed FY 2016 salary plan.
- Nursing (4.0% increase – \$182,000) –The new tuition revenues will be used to a support new Nursing Faculty. In addition the new tuition funds will support the proposed FY 2016 salary plan.
- Graduate Health (4.0% increase - \$180,700) –The new tuition revenue will be used to fund Graduate Tuition waivers and support the proposed FY 2016 salary plan.
- Computer Based Testing Fee – This is a new \$50 fee that will generate \$140,000 to fund the cost of software to prepare students to pass computer-based professional certification and board examinations.
- Technology Fee – A \$40 increase will generate additional revenues of \$112,000 to fund technology that supports student education and training. The fee has never been increased, but the cost of and need for technology has grown over the years.

#### **UT COLLEGE OF VETERINARY MEDICINE**

- Maintenance Fee – An 8.0 % increase generates \$534,000 in additional revenues. There is no increase for out-of-state tuition, but out-of-state students will pay their share of the maintenance fee increase. These new revenues will be used for the FY 2016 salary plan, faculty promotions, faculty and staff specialty board certifications, career ladder increases, and, and other minor compensation adjustments.

## Chattanooga

### FY 2015-16 Annual Tuition and Fees Undergraduate and Graduate Tuition and Fees

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 6,430	\$ 6,624	\$ 194	3.0%
<u>Other Fees:</u>				
Student Programs & Services Fee				
Student Activity	\$ 240	\$ 240		
Debt Service	300	300		
Health Services	120	120		
Total Program and Services	<u>\$ 660</u>	<u>\$ 660</u>		
Athletics	\$ 480	\$ 480		
Green Fee	20	20		
Technology	250	250		
Library Fee	50	50		
Transportation	48	72	\$ 24	50.0%
Facilities	200	200		
Total Other Fees	<u>\$ 1,708</u>	<u>\$ 1,732</u>	<u>\$ 24</u>	<u>1.4%</u>
Total Tuition and Fees	<u>\$ 8,138</u>	<u>\$ 8,356</u>	<u>\$ 218</u>	<u>2.7%</u>
<b>Graduate</b>				
Maintenance Fee *	\$ 7,708	\$ 7,938	\$ 230	3.0%
Other Fees	1,708	1,732		
Total Tuition and Fees	<u>\$ 9,416</u>	<u>\$ 9,670</u>	<u>\$ 230</u>	<u>2.4%</u>
<b>OUT-OF-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 6,430	\$ 6,624	\$ 194	3.0%
Out-of-State Tuition *	16,118	16,118		
Maintenance Fee & Out-of-State Tuition *	<u>\$ 22,549</u>	<u>\$ 22,743</u>	<u>\$ 194</u>	<u>0.9%</u>
Other Fees	1,708	1,732	24	1.4%
Total Tuition and Fees	<u>\$ 24,257</u>	<u>\$ 24,475</u>	<u>\$ 218</u>	<u>0.9%</u>
<b>Graduate</b>				
Maintenance Fee *	\$ 7,708	\$ 7,938	\$ 230	3.0%
Out-of-State Tuition *	16,118	16,118		
Maintenance Fee & Out-of-State Tuition *	<u>\$ 23,826</u>	<u>\$ 24,056</u>	<u>\$ 229</u>	<u>1.0%</u>
Other Fees	<u>\$ 1,708</u>	<u>\$ 1,732</u>		
Total Tuition and Fees	<u>\$ 25,534</u>	<u>\$ 25,788</u>	<u>\$ 229</u>	<u>0.9%</u>

\* In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus out-of-state tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

*The schedule above does not include differential fees assessed at \$55 per credit hour for School of Business, Nursing, and Engineering courses. There is no cap on these fees.*

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

**Chattanooga**  
**FY 2015-16 Annual Tuition and Fees**  
**Executive MBA Programs and Online Fees**

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>EXECUTIVE MBA PROGRAM</b>				
<b>2-YEAR PROGRAM - Chattanooga</b>				
Executive MBA - In-State	\$ 44,000	\$ 44,000		
Executive MBA - Out-of-State	49,000	49,000		
<b>UT ONLINE - Chattanooga</b>				
UT online course and support fees are charged per credit hour with no maximum credit hour cap on the distance campus. The online support fee also applies to each online credit hour taken by on-campus students.				
<b>UNDERGRADUATE</b>				
<b>IN-STATE</b>				
Course Fee	\$ 268	\$ 276	\$ 8	3.0%
Online Support	56	56		
Total	<u>\$ 324</u>	<u>\$ 332</u>	<u>\$ 8</u>	<u>2.5%</u>
<b>OUT-OF-STATE</b>				
Course Fee	\$ 294	\$ 302	\$ 8	2.7%
Online Support	56	56		
Total	<u>\$ 350</u>	<u>\$ 358</u>	<u>\$ 8</u>	<u>2.2%</u>
<b>GRADUATE</b>				
<b>IN-STATE</b>				
Course Fee	\$ 428	\$ 441	\$ 13	3.0%
Online Support	56	56		
Total	<u>\$ 484</u>	<u>\$ 497</u>	<u>\$ 13</u>	<u>2.7%</u>
<b>OUT-OF-STATE</b>				
Course Fee	\$ 475	\$ 488	\$ 13	2.8%
Online Support	56	56		
Total	<u>\$ 531</u>	<u>\$ 544</u>	<u>\$ 13</u>	<u>2.5%</u>
<b>ONLINE MBA PROGRAM *</b>				
In-State	\$ 27,348	\$ 28,128	\$ 780	2.9%
Out-of-State	29,004	28,128	(876)	-3.0%

\* Includes 3% increase for maintenance. No increase in out-of-state tuition. The online support fee has not changed. The School of Business differential fee increased 3%.

University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.

**Knoxville**  
**FY 2015-16 Annual Tuition and Fees**  
**Undergraduate Tuition and Fees**

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Admitted before fall 2013</b>				
Maintenance Fee *	\$ 8,766	\$ 9,028	\$ 262	3.0%
Other Fees	1,510	1,758	248	16.4%
Total Tuition and Fees	<u>\$ 10,276</u>	<u>\$ 10,786</u>	<u>\$ 510</u>	<u>5.0%</u>
<b>Admitted fall 2013, spring 2014, or summer 2014</b>				
Maintenance Fee *	\$ 10,074	\$ 10,376	\$ 302	3.0%
Other Fees	1,510	1,758	248	16.4%
Total Tuition and Fees	<u>\$ 11,584</u>	<u>\$ 12,134</u>	<u>\$ 550</u>	<u>4.7%</u>
<b>Admitted fall 2014 or later</b>				
Maintenance Fee *	\$ 10,366	\$ 10,678	\$ 312	3.0%
Other Fees	1,510	1,758	248	16.4%
Total Tuition and Fees	<u>\$ 11,876</u>	<u>\$ 12,436</u>	<u>\$ 560</u>	<u>4.7%</u>
<b>OUT-OF-STATE *</b>				
<b>Admitted before fall 2013</b>				
Maintenance Fee *	\$ 8,766	\$ 9,028	\$ 262	3.0%
Tuition (Non-residents only) *	18,190	18,190		
Total (Out-of-State Tuition) *	<u>\$ 26,956</u>	<u>\$ 27,218</u>	<u>\$ 262</u>	<u>1.0%</u>
Other Fees	1,770	1,988	218	12.3%
Total Out-of-State Tuition and Fees	<u>\$ 28,726</u>	<u>\$ 29,206</u>	<u>\$ 480</u>	<u>1.7%</u>
<b>Admitted fall 2013, spring 2014, or summer 2014</b>				
Maintenance Fee *	\$ 10,074	\$ 10,376	\$ 302	3.0%
Tuition (Non-residents only) *	18,190	18,190		
Total (Out-of-State Tuition) *	<u>\$ 28,264</u>	<u>\$ 28,566</u>	<u>\$ 302</u>	<u>1.1%</u>
Other Fees	1,770	1,988	218	12.3%
Total Out-of-State Tuition and Fees	<u>\$ 30,034</u>	<u>\$ 30,554</u>	<u>\$ 520</u>	<u>1.7%</u>
<b>Admitted fall 2014 or later</b>				
Maintenance Fee *	\$ 10,366	\$ 10,678	\$ 312	3.0%
Tuition (Non-residents only)*	18,190	18,190		
Total (Out-of-State Tuition)*	<u>\$ 28,556</u>	<u>\$ 28,868</u>	<u>\$ 312</u>	<u>1.1%</u>
Other Fees	1,770	1,988	218	12.3%
Total Out-of-State Tuition and Fees	<u>\$ 30,326</u>	<u>\$ 30,856</u>	<u>\$ 530</u>	<u>1.7%</u>

\* In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus out-of-state tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

The schedule above does not include differential fees assessed for certain courses: additional charge of \$62 per credit hour for Engineering courses; additional charge of \$127 per credit hour for 200, 300 and 400 level Nursing courses; additional charge of \$95 per credit hour except for 100, 500, 600 level Business courses; and additional charge of \$105 for the College of Architecture. There is no cap on these fees.

There is no summer school maximum for undergraduate students.

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

**Knoxville**  
**FY 2015-16 Annual Tuition and Fees**  
**Other Undergraduate Fee Details**

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Fall and Spring Semesters</b>				
Student Programs and Service Fees				
Student Program	\$ 228	\$ 256	\$ 28	12.3%
Capital	282	348	66	23.4%
Health Services	198	198		
Student Counseling	82	96	14	17.1%
Total Program and Service Fees	<u>\$ 790</u>	<u>\$ 898</u>	<u>\$ 108</u>	<u>13.7%</u>
Technology	200	240	40	20.0%
Facilities	360	390	30	8.3%
Transportation	120	150	30	25.0%
Library Fee	20	60	40	200.0%
International Education	20	20		
Total Other Fees	<u>\$ 1,510</u>	<u>\$ 1,758</u>	<u>\$ 248</u>	<u>16.4%</u>
<b>Summer Semester Only</b>				
Student Programs and Service Fees				
Student Program	\$ 69	\$ 78	\$ 9	13.0%
Capital	86	106	20	23.3%
Health Services	60	60		0.0%
Student Counseling	25	29	4	16.0%
Total Program and Service Fees	<u>\$ 240</u>	<u>\$ 273</u>	<u>\$ 33</u>	<u>13.8%</u>
Technology	\$ 100	\$ 120	\$ 20	20.0%
Facilities	180	195	15	8.3%
Transportation	60	75	15	25.0%
Library Fee *	10	30	20	200.0%
International Education *	10	10		
Total Other Fees	<u>\$ 600</u>	<u>\$ 703</u>	<u>\$ 103</u>	<u>17.2%</u>
<b>OUT-OF-STATE</b>				
<b>Fall and Spring Semesters</b>				
Student Programs and Service Fees	\$ 790	\$ 898	\$ 108	13.7%
Technology	200	240	40	20.0%
Facilities	620	620		
Transportation	120	150	30	25.0%
Library Fee	20	60	40	200.0%
International Education	20	20		
Total Other Fees	<u>\$ 1,770</u>	<u>\$ 1,988</u>	<u>\$ 218</u>	<u>12.3%</u>
<b>Summer Semester Only</b>				
Student Programs and Service Fees	\$ 240	\$ 273	\$ 33	13.8%
Technology	100	120	20	20.0%
Facilities	310	310		
Transportation	60	75	15	25.0%
Library Fee	10	30	20	200.0%
International Education	10	10		
Total Other Fees	<u>\$ 730</u>	<u>\$ 818</u>	<u>\$ 88</u>	<u>12.1%</u>

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

**Knoxville**  
**FY 2015-16 Annual Tuition and Fees**  
**Graduate Student Tuition and Fees**

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Fall and Spring Semesters</b>				
Maintenance Fee *	\$ 10,112	\$ 10,618	\$ 506	5.0%
<u>Other Fees:</u>				
Student Programs and Service Fees	\$ 790	\$ 898	\$ 108	13.7%
Technology	200	240	40	20.0%
Facilities	360	390	30	8.3%
Transportation	120	150	30	25.0%
Library Fee	20	60	40	200.0%
Total Other Fees	\$ 1,490	\$ 1,738	\$ 248	16.6%
Total Tuition and Fees	\$ 11,602	\$ 12,356	\$ 754	6.5%
<b>Summer Semester Only</b>				
Maintenance Fee *	\$ 5,056	\$ 5,309	\$ 253	5.0%
<u>Other Fees:</u>				
Student Programs and Service Fees	240	273	33	13.8%
Technology	100	120	20	20.0%
Facilities	180	195	15	8.3%
Transportation	60	75	15	25.0%
Library Fee	10	30	20	200.0%
Total Other Fees	\$ 590	\$ 693	\$ 103	17.5%
Total Tuition and Fees	\$ 5,646	\$ 6,002	\$ 356	6.3%
<b>OUT-OF-STATE</b>				
<b>Fall and Spring Semesters</b>				
Maintenance Fee *	\$ 10,112	\$ 10,618	\$ 506	5.0%
Out-of-State Tuition *	18,188	18,188		
Maintenance Fee & Out-of-State Tuition *	\$ 28,300	\$ 28,806	\$ 506	1.8%
<u>Other Fees:</u>				
Student Programs and Service Fees	\$ 790	\$ 898	\$ 108	13.7%
Technology	200	240	40	20.0%
Facilities	620	620		
Transportation	120	150	30	25.0%
Library Fee	20	60	40	200.0%
Total Other Fees	1,750	1,968	218	12.5%
Total Tuition and Fees	\$ 30,050	\$ 30,774	\$ 724	2.4%
<b>Summer Semester Only</b>				
Maintenance Fee *	\$ 5,056	\$ 5,309	\$ 253	5.0%
Out-of-State Tuition *	9,094	9,094		
Maintenance Fee & Out-of-State Tuition *	\$ 14,150	\$ 14,403	\$ 253	1.8%
<u>Other Fees:</u>				
Student Programs and Service Fees	240	273	33	13.8%
Technology	100	120	20	20.0%
Facilities	310	310	-	0.0%
Transportation	60	75	15	25.0%
Library Fee	10	30	20	200.0%
Total Other Fees	\$ 720	\$ 808	\$ 88	12.2%
Total Tuition and Fees	\$ 14,870	\$ 15,211	\$ 341	2.3%

\* In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus out-of-state tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

The schedule above does not include differential fees assessed for certain courses: additional charge of \$62 per credit hour for Engineering courses; additional charge of \$127 per credit hour for 200, 300 and 400 level Nursing courses; additional charge of \$95 per credit hour except for 100, 500, 600 level Business courses; and additional charge of \$105 for the College of Architecture. There is no cap on these fees.

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

**Knoxville**  
**FY 2015-16 Annual Tuition and Fees**  
**College of Law Tuition and Fees**

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
Maintenance Fee *	\$ 16,078	\$ 16,078		
<u>Other Fees:</u>				
Student Programs and Services Fee	\$ 790	\$ 898	\$ 108	13.7%
Technology	200	240	40	20.0%
Transportation	120	150	30	25.0%
Facilities	360	390	30	8.3%
Law Library Fee	250	250		
Law Enhancement Fee **	1,250	1,250		
Total Other Fees	\$ 2,970	\$ 3,178	\$ 208	7.0%
Total Tuition and Fees	\$ 19,048	\$ 19,256	\$ 208	1.1%
<b>Summer Semester Only</b>				
Maintenance Fee *	\$ 5,364	\$ 5,364		
<u>Other Fees:</u>				
Student Programs and Services Fee	\$ 240	\$ 273	\$ 33	13.8%
Technology	100	120	20	20.0%
Transportation	60	75	15	25.0%
Facilities	180	195	15	8.3%
Total Other Fees	\$ 580	\$ 663	\$ 83	14.3%
Total Tuition and Fees	\$ 5,944	\$ 6,027	\$ 83	1.4%
<b>OUT-OF-STATE</b>				
Maintenance Fee *	\$ 16,078	\$ 16,078		
Out-of-State Tuition *	18,444	18,444		
Maintenance Fee & Out-of-State Tuition *	\$ 34,522	\$ 34,522		
<u>Other Fees:</u>				
Student Programs and Services Fee	\$ 790	\$ 898	\$ 108	13.7%
Technology	200	240	40	20.0%
Transportation	120	150	30	25.0%
Facilities	620	620		
Law Library	250	250		
Law Enhancement Fee **	1,250	1,250		
Total Other Fees	\$ 3,230	\$ 3,408	\$ 178	5.5%
Total Tuition and Fees	\$ 37,752	\$ 37,930	\$ 178	0.5%
<b>Summer Semester Only</b>				
Maintenance Fee *	\$ 5,364	\$ 5,364		
Out-of-State Tuition *	6,145	6,145		
Maintenance Fee & Out-of-State Tuition *	\$ 11,509	\$ 11,509		
<u>Other Fees:</u>				
Student Programs and Services Fee	\$ 240	\$ 273	\$ 33	13.8%
Technology	100	120	20	20.0%
Transportation	60	75	15	25.0%
Facilities	310	310		
Total Other Fees	\$ 710	\$ 778	\$ 68	9.6%
Total Tuition and Fees	12,219	12,287	\$ 68	0.6%

\* In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'.

Out-of-state students pay the maintenance fee plus out-of-state tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

\*\* Students admitted prior to Fall 2013 are not assessed the \$250 law enhancement fee.

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

**Knoxville**  
**FY 2015-16 Annual Tuition and Fees**  
**Specialized Master's Programs and Distance Education**

	FY 2014-15	FY 2015-16	CHANGE Amount
<b>Master's Degree Programs</b>			
<b>MBA Programs</b>			
Full-Time MBA *	\$ 14,400	\$ 15,400	\$ 1,000
Senior Executive MBA **	69,500	71,000	1,500
Aerospace Executive MBA	66,000	66,000	
Professional Executive MBA	46,500	46,500	
Physician Executive MBA	76,000	76,000	
Global Supply Chain Executive MBA **	82,500	85,000	2,500
Health Care Leadership MBA	65,000	65,000	
<b>Specialty Master's Degree Programs</b>			
Masters of Science in Industrial Engineering	\$ 16,000	\$ 16,000	
Masters of Human Resource Management	3,000	3,000	
Master of Business Analytics Program in Statistics, Operations, and Management Science	4,500	4,500	
Master of Accountancy in Accounting and Information Management	4,500	4,500	
* Effective August, 2015			
** Effective January, 2016			

**DISTANCE EDUCATION - KNOXVILLE**

*(Distance Education Students Only)*

Distance Education Support	\$ 46	\$ 46	
----------------------------	-------	-------	--

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

**Martin****FY 2015-16 Annual Tuition and Fees  
Undergraduate and Graduate Tuition and Fees**

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 6,716	\$ 6,918	\$ 202	3.0%
<u>Other Fees:</u>				
Student Programs and Services Fees				
Student Activity - Non-Athletic	\$ 146	\$ 146		
Student Activity - Athletic	308	408	\$ 100	32.5%
Student Health and Counseling	60	60		
Debt Service	380	380		
Total Program and Services	<u>\$ 894</u>	<u>\$ 994</u>	<u>\$ 100</u>	<u>11.2%</u>
Technology	\$ 250	\$ 250		
Publications Fee (Undergraduates Only) **	14	14		
Facilities	150	150		
Total Other Fees	<u>\$ 1,308</u>	<u>\$ 1,408</u>	<u>\$ 100</u>	<u>7.7%</u>
Total Tuition and Fees	<u>\$ 8,024</u>	<u>\$ 8,327</u>	<u>\$ 303</u>	<u>3.8%</u>
<b>Graduate</b>				
Maintenance Fee *	\$ 8,014	\$ 8,254	\$ 240	3.0%
Other Fees	1,294	1,394	100	7.7%
Total Tuition and Fees	<u>\$ 9,308</u>	<u>\$ 9,649</u>	<u>\$ 341</u>	<u>3.7%</u>
<b>OUT-OF-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 6,716	\$ 6,918	\$ 202	3.0%
Out-of-State Tuition *	13,944	13,944		
Maintenance Fee & Out-of-State Tuition *	<u>\$ 20,660</u>	<u>\$ 20,862</u>	<u>\$ 202</u>	<u>1.0%</u>
Other Fees	1,308	1,408	100	7.7%
Total Tuition and Fees	<u>\$ 21,968</u>	<u>\$ 22,271</u>	<u>\$ 303</u>	<u>1.4%</u>
<b>Graduate</b>				
Maintenance Fee *	\$ 8,014	\$ 8,254	\$ 240	3.0%
Out-of-State Tuition *	13,944	13,944		
Total Tuition and Fees	<u>\$ 21,958</u>	<u>\$ 22,198</u>	<u>\$ 239</u>	<u>1.1%</u>
Other Fees	<u>\$ 1,294</u>	<u>\$ 1,394</u>		
Total Tuition and Fees	<u>\$ 23,252</u>	<u>\$ 23,592</u>	<u>\$ 239</u>	<u>1.0%</u>

\* In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus out-of-state tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

\*\* Formerly referred to as 'Yearbook Fee'.

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

***Martin***  
**FY 2015-16 Annual Tuition and Fees**  
**Online Fees**

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>UT ONLINE - Martin</b>				
UT online course fees are charged per credit hour with no maximum credit hour cap. This applies to all students, including on-campus students.				
<b><u>UNDERGRADUATE</u></b>				
<b>IN-STATE</b>				
Course Fee	\$ 280	\$ 288	\$ 8	3.0%
Online Support	56	56		
Total	<u>\$ 336</u>	<u>\$ 344</u>	<u>\$ 8</u>	<u>2.5%</u>
<b>OUT-OF-STATE</b>				
Course Fee	\$ 306	\$ 317	\$ 11	3.6%
Online Support	56	56		
Total	<u>\$ 362</u>	<u>\$ 373</u>	<u>\$ 11</u>	<u>3.0%</u>
<b><u>GRADUATE</u></b>				
<b>IN-STATE</b>				
Course Fee	\$ 478	\$ 492	\$ 14	3.0%
Online Support	56	56		
Total	<u>\$ 534</u>	<u>\$ 548</u>	<u>\$ 14</u>	<u>2.7%</u>
<b>OUT-OF-STATE</b>				
Course Fee	\$ 527	\$ 541	\$ 14	2.7%
Online Support	56	56		
Total	<u>\$ 583</u>	<u>\$ 597</u>	<u>\$ 14</u>	<u>2.4%</u>

*University Fees are set by the Board of Trustees and are subject to change at any regular meeting of the Board.*

## Space Institute

### FY 2015-16 Annual Tuition and Fees Graduate Tuition and Fees

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
Maintenance Fee *	\$ 10,112	\$ 10,618	\$ 506	5.0%
<u>Other Fees:</u>				
Student Programs and Services Fee	\$ 180	\$ 180		
Total Tuition and Fees	<u>\$ 10,292</u>	<u>\$ 10,798</u>	<u>\$ 506</u>	<u>4.9%</u>
<b>Summer Semester Only</b>				
Maintenance Fee*	\$ 5,056	\$ 5,309	\$ 253	5.0%
<u>Other Fees:</u>				
Student Programs and Services Fee	\$ 75	\$ 75		
Total Tuition and Fees	<u>\$ 5,131</u>	<u>\$ 5,384</u>	<u>\$ 253</u>	<u>4.9%</u>
<b>OUT-OF-STATE</b>				
Maintenance Fee*	\$ 10,112	\$ 10,618	\$ 506	5.0%
Out-of-State Tuition *	18,188	18,188		
Maintenance Fee & Out-of-State Tuition *	<u>\$ 28,300</u>	<u>\$ 28,806</u>	<u>\$ 506</u>	<u>1.8%</u>
<u>Other Fees:</u>				
Student Programs and Services Fee	\$ 180	\$ 180		
Total Tuition and Fees	<u>\$ 28,480</u>	<u>\$ 28,986</u>	<u>\$ 506</u>	<u>1.8%</u>
<b>Summer Semester Only</b>				
Maintenance Fee *	\$ 5,056	\$ 5,309	\$ 253	5.0%
Out-of-State Tuition *	9,094	9,094		
Maintenance Fee & Out-of-State Tuition *	<u>\$ 14,150</u>	<u>\$ 14,403</u>	<u>\$ 253</u>	<u>1.8%</u>
<u>Other Fees:</u>				
Student Programs and Services Fee	\$ 75	\$ 75		
Total Tuition and Fees	<u>\$ 14,225</u>	<u>\$ 14,478</u>	<u>\$ 253</u>	<u>1.8%</u>

\* In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus out-of-state tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

## ***Veterinary Medicine***

### FY 2015-16 Annual Tuition and Fees Graduate Tuition and Fees

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
Maintenance Fee *	\$ 23,770	\$ 25,672	\$ 1,902	8.0%
<u>Other Fees:</u>				
Student Programs and Services Fees				
Student Activity	\$ 228	\$ 256	\$ 28	12.3%
Debt Service	282	348	66	23.4%
Health Services	198	198		
Counseling	82	96	14	17.1%
Total Student Program and Services Fees	<u>\$ 790</u>	<u>\$ 898</u>	<u>\$ 108</u>	<u>13.7%</u>
Technology	200	240	40	20.0%
Transportation	120	150	30	25.0%
Facilities	360	390	30	8.3%
Total Other Fees	<u>\$ 1,470</u>	<u>\$ 1,678</u>	<u>\$ 208</u>	<u>14.1%</u>
Total Tuition and Fees	<u>\$ 25,240</u>	<u>\$ 27,350</u>	<u>\$ 2,110</u>	<u>8.4%</u>
<b>OUT-OF-STATE</b>				
Maintenance Fee *	\$ 23,770	\$ 25,672	\$ 1,902	8.0%
Out-of-State Tuition *	<u>27,800</u>	<u>27,800</u>		
Maintenance Fee & Out-of-State Tuition *	<u>\$ 51,570</u>	<u>\$ 53,472</u>	<u>\$ 1,902</u>	<u>3.7%</u>
<u>Other Fees</u>				
Student Programs and Services Fees	790	898	108	13.7%
Technology	200	240	40	20.0%
Transportation	120	150	30	25.0%
Facilities	620	620		
Total Other Fees	<u>1,730</u>	<u>1,908</u>	<u>178</u>	<u>10.3%</u>
Total Tuition and Fees	<u>53,300</u>	<u>55,380</u>	<u>2,080</u>	<u>3.9%</u>

\* In-state students pay only for the maintenance fee, which is commonly referred to as 'in-state tuition'. Out-of-state students pay the maintenance fee plus out-of-state tuition. The combined amount of these two fees is commonly referred to as 'out-of-state tuition'.

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

## Health Science Center

### FY 2015-16 Annual Tuition and Fees

#### Tuition and Fees

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Graduate Health Sciences</b>	\$ 10,080	\$ 10,484	\$ 404	4.0%
MS Pharmacology	15,000	15,000		
<b>Medicine</b>				
Class of 2019	\$ -	\$ 33,030	N/A	N/A
Class of 2018	33,030	33,030		
Class of 2017	33,030	33,030		
Class of 2016	32,070	32,070		
<b>Dentistry</b>				
General DDS	\$ 27,900	\$ 29,016	\$ 1,116	4.0%
Transitional DDS	70,000	70,000		
Dental Hygiene Bachelor of Science	7,546	7,848	302	4.0%
<b>Pharmacy</b>	\$ 21,020	\$ 21,862	\$ 842	4.0%
<b>Nursing</b>				
Bachelors	\$ 8,000	\$ 8,320	\$ 320	4.0%
Graduate	11,740	12,210	470	4.0%
<b>Health Professions</b>				
Entry Level Bachelor of Science				
Medical Technology	\$ 7,546	\$ 7,848	\$ 302	4.0%
Audiology & Speech Pathology ****	8,766	9,028	262	3.0%
Entry Level Advanced Degrees *	12,580	13,084	504	4.0%
Entry Lev Adv Degrees Audiology/Speech Path**	11,470	11,930	460	4.0%
Entry Lev Adv Degree Physician Assistant	14,000	14,560	560	4.0%
Post-Professional Degrees ***	9,120	9,484	364	4.0%
<b>OUT-OF-STATE</b>				
<b>Graduate Health Sciences</b>	\$ 29,040	\$ 30,204	\$ 1,164	4.0%
MS Pharmacology	23,000	23,000		
<b>Medicine</b>				
Class of 2019	\$ -	\$ 65,460	N/A	N/A
Class of 2018	65,460	65,460		
Class of 2017	65,460	65,460		
Class of 2016	63,560	63,560		
<b>Dentistry</b>				
General DDS	\$ 66,070	\$ 68,682	\$ 2,612	4.0%
Transitional DDS	70,000	70,000		
Dental Hygiene Bachelor of Science	25,570	26,592	1,022	4.0%
<b>Pharmacy</b>	40,600	42,214	1,614	4.0%
<b>Nursing</b>				
Bachelors	\$ 24,000	\$ 24,960	\$ 960	4.0%
Graduate	28,300	29,432	1,132	4.0%
<b>Health Professions</b>				
Entry Level Bachelor of Science				
Medical Technology	\$ 25,570	\$ 26,592	\$ 1,022	4.0%
Audiology & Speech Pathology ****	26,956	27,218	262	1.0%
Entry Level Advanced Degrees *	30,300	31,514	1,214	4.0%
Entry Lev Adv Degrees Audiology/Speech Path**	27,630	28,736	1,106	4.0%
Entry Lev Adv Degree Physician Assistant	24,000	24,960	960	4.0%
Post-Professional Degrees ***	26,870	27,944	1,074	4.0%

NOTE: Programs & Services and other fees are listed on page 67.

\* **Entry Level Advanced Degrees**  
 Doctor of Physical Therapy  
 Master of Cytopathology  
 Master of Occupational Therapy

\*\* **Entry Level Advanced Degrees Audiology/Speech Path**  
 Doctor of Audiology  
 Master of Science in Speech-Language Pathology  
 Transitional Doctor of Audiology

\*\*\* **Post-Professional Degrees**  
 Doctor of Science in Physical Therapy  
 Master of Science in Physical Therapy  
 Transitional Doctor of Physical Therapy  
 Master of Science in Clinical Lab Sciences

\*\*\*\* **Bachelor of Audiology & Speech Pathology**  
 This is a joint degree with UTK where UTHSC will teach the 4th year of the Bachelor's program but charge the UTK Tuition rate.

*University Fees are set by the Board of Trustees and are subject to change without notice*

**Health Science Center**  
**FY 2015-16 Annual Tuition and Fees**  
**Other Fee Details**

	FY 2014-15	FY 2015-16	CHANGE Amount
<b>Programs &amp; Services and Required Fees</b>			
<b>Student Programs &amp; Services Fees</b>			
Student Activity	\$ 26	\$ 26	
Campus Recreation	40	40	
Campus Improvement	50	50	
Debt Service	54	54	
Computer Based Testing Fee		50	\$ 50
Health Services	200	200	
Counseling	180	180	
Total Programs & Services Fees	<u>\$ 550</u>	<u>\$ 600</u>	<u>\$ 50</u>
<b>Technology Fee</b>	200	240	40
<b>Graduation/Yearbook</b>	50	50	
Total	<u>\$ 800</u>	<u>\$ 890</u>	<u>\$ 90</u>
<b>Other Fees</b>			
<b>Health Insurance</b>	\$ 2,223	\$ 2,420	\$ 197
<b>Hepatitis Immunization*</b>	230		(230)
<b>Disability Insurance</b>	48	48	
<b>Malpractice Insurance</b>			
Medicine			
Class of 2019	24	24	
Class of 2018	24	24	
Class of 2017	72	72	
Class of 2016	72	72	
Pharmacy	20	20	
Nursing	20	20	
Health Professions	20	20	
Dentistry	18	18	
<b>Other Fees - Allied Health</b>			
Laptop Computer Fee	\$ 1,200	\$ 1,312	\$ 112
Digital Course Materials Fee	865	865	
<b>Other Fees - Nursing</b>			
CON Pre-Licensure Digital Course Materials Fee-Year 1 Fall	\$ 1,705	\$ 2,136	\$ 431
CON Pre-Licensure Digital Course Materials Fee-Year 1 Spring	941	876	(65)
CON Pre-Licensure Digital Course Materials Fee-Year 2 Fall		828	828
CON BSN Digital Course Materials Fee - Year 1 Fall	803	872	69
CON BSN Digital Course Materials Fee - Year 1 Spring	403	342	(61)
CON BSN Digital Course Materials Fee - Year 2 Fall		302	302
CON BSN Digital Course Materials Fee - Year 2 Spring		320	320
CON CNL Digital Course Materials Fee	832	832	
CON Nursing Kit	220	357	137
CON Digital Equipment Fee	368	394	26
<b>Other Fees - Pharmacy</b>			
Pre-Naplex Exam Fee-4th Year all in Fall Semester		\$ 80	\$ 80
MTM Certificate Fee-3rd Year all in Fall Semester		100	100
<b>Other Fees - Dentistry</b>			
Dentistry Student Government	\$ 60	\$ 60	
Laboratory and Clinical Utilization Fee	2,200	2,200	
Graduate Endodontics Clinical Utilization Fee	12,750	12,750	
Graduate Orthodontics Clinical Utilization Fee	7,000	7,000	

\*Hepatitis Immunizations are no longer collected through student fees. The students pay this directly to provider.

*University Fees are set by the Board of Trustees and are subject to change without notice*

**Health Science Center**  
**FY 2015-16 Annual Tuition and Fees**  
**Online Fees**

	FY 2014-15	FY 2015-16	CHANGE	
			Amount	Percent
<b>HEALTH SCIENCE CENTER ONLINE</b>				
HSC online course fees are charged per credit hour with no maximum credit hour cap.				
<b><u>UNDERGRADUATE</u></b>				
<b>IN-STATE</b>				
Course Fee	\$ 350	\$ 350		
Online Support	46	46		
Total	<u>\$ 396</u>	<u>\$ 396</u>		
<b>OUT-OF-STATE</b>				
Course Fee	\$ 415	\$ 415		
Online Support	46	46		
Total	<u>\$ 461</u>	<u>\$ 461</u>		
<b><u>GRADUATE</u></b>				
<b>IN-STATE</b>				
Course Fee	\$ 640	\$ 640		
Online Support	46	46		
Total	<u>\$ 686</u>	<u>\$ 686</u>		
<b>OUT-OF-STATE</b>				
Course Fee	\$ 705	\$ 705		
Online Support	46	46		
Total	<u>\$ 751</u>	<u>\$ 751</u>		
<b><u>HEALTH INFORMATICS AND INFORMATION MANAGEMENT (NEW)</u></b>				
<b>IN-STATE</b>				
Course Fee	\$ -	\$ 500	\$ 500	NEW
Online Support		50	50	NEW
Total	<u>\$ -</u>	<u>\$ 550</u>	<u>\$ 550</u>	NEW
<b>OUT-OF-STATE</b>				
Course Fee	\$ -	\$ 550	\$ 550	NEW
Online Support		50	50	NEW
Total	<u>\$ -</u>	<u>\$ 600</u>	<u>\$ 600</u>	NEW

*University Fees are set by the Board of Trustees and are subject to change without notice*

**University of Tennessee System**  
**FY 2015-16 Annual Tuition and Fees**  
**Fees for Disabled and Elderly Persons**

	FY 2014-15	FY 2015-16	CHANGE Amount
<b>Disabled/Elderly Persons *</b>			
<b>COURSES FOR CREDIT</b>			
Per Semester Hour	\$ 7	\$ 7	
Maximum Fee per Semester	70	70	
<b>AUDIT COURSES</b>			
	No Charge	No Charge	

\* Course Fees are covered under Tennessee Code 49-7-113.

THE UNIVERSITY OF TENNESSEE  
 FY 2016 COMPENSATION GUIDELINES FOR ADDITIONAL SALARY INCREASES  
 DURING THE FISCAL YEAR

---

4.2

In addition to the general salary increases for FY 2015-16, other salary increases and incentive or bonus payments are also permissible during the fiscal year if the intended action falls under one of the categories listed below. The availability of funding, such as budgeted increases in externally funded proposals or use of payroll savings, are not by themselves sufficient justification for authorizing a salary increase or an incentive or bonus payment. Any of these compensation actions should be accomplished in consultation with the campus or institute human resources and business offices.

**Qualifying Compensation Actions in Addition to the General Salary Increase:**

- a. Promotions in rank.
- b. Promotions resulting from the hiring/transfer process in accordance with policy.
- c. Job reclassifications resulting from job reviews. Any salary adjustments are in accordance with policy. Job reviews involving potential compensation changes are currently limited to the following events:
  - i. Department or sub-department reorganization (requires explanation of reorganization)
  - ii. Reclassification of position(s) and/or assumption of additional duties (requires explanation)
  - iii. Cyclical position review according to current protocol
- d. To bring employees to salary schedule minimums.
- e. Advancement through approved career ladders.
- f. Contractual agreements.
- g. Compression: Increases based on comparison with others in the same job title and work unit who have comparable qualifications and performance.
- h. Market: Increases implemented under extraordinary circumstances, providing non-standard "off-cycle" salary increases to faculty and staff in positions acutely critical in support of the university's mission; implemented on a case-by-case basis, in consideration of the external job market for similar positions.
- i. Merit Pay: An increase in total compensation based on documented performance within a defined period with an established method to assess an employee's performance. Merit Pay may be an increase to base pay or a non-recurring lump-sum payment.
- j. Retention Pay: An exceptional circumstance in which an increase in total compensation is granted to a high-performing employee in a mission-critical position to retain his/her services. Such retention payments may or may not be associated with an offer of employment from another organization.
- k. Incentive Pay: A pre-determined lump-sum payment to an individual or to a defined group to reward the accomplishment of documented results achieved over a defined period of time.
- l. Bonuses: A discretionary lump-sum payment to recognize exceptional service at a point in time.
- m. Correction of any university error.

**REQUIRED APPROVALS APPEAR ON THE FOLLOWING PAGE**

**APPROVALS**

1. Employees will not be notified of proposed or pending salary increases or incentive or bonus payments until all required approvals are obtained.
2. Salary increases and incentive or bonus payments for coaches and athletic directors, regardless of the amount, require approval by the Chief Financial Officer or his designee before implementation. If the salary increase, incentive payment, or bonus payment is to be made in accordance with the terms of a written employment agreement, the Chief Financial Officer's approval of the employment agreement satisfies this requirement.
3. Salary increases and incentive or bonus payments for the Chancellors and other elected University Officers must be approved by the Board of Trustees on the recommendation of the President and the Executive and Compensation Committee.
4. Incentive Compensation Plans must be reviewed and recommended by the University's Compensation Advisory Board and approved by the Chief Financial Officer and the President.
5. Chancellors and Vice Presidents serving as Institute chief administrators, or their designees, are authorized to approve salary increases and incentive or bonus payments in accordance with these guidelines and are accountable for assuring campus/institute/system compliance.
6. Salary increases and incentive or bonus payments outside these guidelines, along with written justification documenting the need for an exceptional approval, must be submitted to the campus or institute chief business officer (CBO). If approved by the CBO, the request is submitted to the CFO for approval.
7. A retroactive salary increase has an effective date prior to the date it is processed, e.g., to correct a university error or to include external budget changes. For other situations, in consultation with the campus or institute human resources office, departments must provide supporting documentation of the need for a retroactive increase to the campus or institute CBO for approval.

RESOLUTION  
OF  
THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
JUNE 25, 2015

**FY 2016 Operating Budget, Student Tuition and Fees, and Compensation Guidelines  
for Additional Salary Increases During the Fiscal Year**

WHEREAS, the Bylaws require the Board of Trustees to approve an annual operating budget for the University; and

WHEREAS, the proposed FY 2016 Educational and General (E&G) budget is balanced and within available resources, as is the budget for Auxiliary Enterprises; and

WHEREAS, the proposed budget complies with all applicable policies and guidelines; and

WHEREAS, the administration needs to be able to respond quickly and effectively to a significant budget shortfall due to a state impoundment of funds or appropriation rescission; and

WHEREAS, mandatory furloughs without pay, reduction of time worked, across-the-board salary reductions, and similar salary-related measures may be required to address budget reductions or a budgetary shortfall; and

WHEREAS, the Bylaws further require the Board of Trustees to approve student tuition and fees;

NOW THEREFORE BE IT RESOLVED that:

1. The FY 2016 proposed operating budget is approved with the understanding that if the General Assembly or the Department of Finance and Administration further alters the FY 2016 appropriations or if changes in estimated resources require, the budget shall be modified accordingly so expenditures will not exceed available resources.
2. The Board of Trustees expressly authorizes the campus, institute and unit administrations, in response to budget reductions or a budgetary shortfall, to implement mandatory furloughs without pay, reduction of time worked, across-the-board salary reductions, and similar salary-related measures during FY 2016, subject to approval by the Executive and Compensation Committee, the

President, and the Treasurer and Chief Financial Officer in consultation with the General Counsel and Human Resources.

3. The proposed tuition and fee schedules are adopted for FY 2015-16.
4. The proposed FY 2016 salary and wage compensation plan and the FY 2016 Compensation Guidelines for Additional Salary Increases During the Fiscal Year are approved.
5. Any additional general salary increases that exceed the FY 2016 salary and wage plan may only be granted upon approval by the Board of Trustees.
6. Any remaining unrestricted current fund balances may be considered as a reserve for contingencies to be used for:
  - a. Employing additional staff where enrollments and reorganization requirements warrant;
  - b. Modifying departmental operating budgets where changing conditions during the year require funding adjustments;
  - c. Funding to make salary adjustments for personnel as may be necessary during the year in keeping with state and university salary guidelines;
  - d. Improving physical facilities for academic and research departments as opportunities arise;
  - e. Mandated cost increases; and
  - f. State impoundment of funds or appropriations rescission during the budget year.

All such changes shall be reported to the Board in a Revised Budget for the Board's approval.

Adopted by the Board of Trustees, this 25<sup>th</sup> day of June, 2015.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTC

ITEM: **Amendment of the Traffic and Parking Rule for The University of Tennessee at Chattanooga**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

UTC requests approval to increase the fines for following violations from \$20 to \$25: (1) parking without a permit; (2) other parking violations (e.g., parking in an unmarked space, exceeding the time allowed in 15 and 30 minute parking zones, parking in the wrong lot, having an expired parking decal, parking outside of the lines); and (3) committing a moving violation.

UTC has not increased its parking fines in the last 15 years. UTC requests an increase primarily to be more market driven with other UT campuses and the Chattanooga community. Although additional revenue is expected to be realized from this increase, the intent is to deter violators to create more spaces for students, faculty, and staff that purchase parking decals and park in the appropriate lots.

The estimated revenue for such an increase is \$105,540. These additional funds will be used primarily to cover unbudgeted City of Chattanooga Storm Water Fees (\$65,000-\$75,000/annually), general parking operations, and both major and minor maintenance.

Because the proposed revisions involve increases in fines, they must be promulgated under the rulemaking procedures of the Uniform Administrative Procedures Act, including a roll call vote in the full Board meeting. Chapter 1720-02-03 of the Rules of The University of Tennessee appears in its entirety in the following pages, with the proposed revisions redlined on page six.

**MOTION:**

**That the Board of Trustees adopt the amendment of Chapter 1720-02-03 of the Rules of The University of Tennessee to incorporate increases in the fines for certain parking violations at UT Chattanooga.**

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(CHATTANOOGA)  
  
CHAPTER 1720-02-03  
TRAFFIC AND PARKING REGULATIONS**

**1720-02-03-.01 INTRODUCTION.**

- (1) The purpose of these regulations is to facilitate the safe and orderly operation of University business and to provide parking facilities for this operation within the limits of available space.
- (2) The Departments of Parking Services and Campus Law Enforcement are responsible for implementation and enforcement of these regulations.
- (3) Any person operating a motor vehicle on the University campus is required to obey these regulations as a condition to parking or operating the vehicle on the campus.
- (4) The responsibility for locating legal parking space rests with the operator of the motor vehicle. Lack of space will not be considered a valid excuse for violating any parking regulations.
- (5) The University shall have no responsibility for loss or damage to any vehicle or its contents operated or parked on The University of Tennessee at Chattanooga Campus or on lots leased by The University of Tennessee at Chattanooga.

**1720-02-03-.02 REGISTRATION OF VEHICLES.**

- (1) All motor vehicles, including motorcycles, parked on U.T.C. property between 7:00 a.m. and 5:00 p.m. or on lots leased by U.T.C. must have current U.T.C. decal/disks and be registered with the University.
- (2) Decals/disks will be sold at registration, and after registration, at Parking Services.
- (3) The decal/disk must be hung on the rear view mirror of the vehicle.
- (4) Expired decals/disks should be removed (or covered) so that only the current decal/disk is displayed.
- (5) Decals/disks must be renewed each Fall semester.
  - (a) General Parking: Decals/disks must be renewed at the beginning of each

Fall semester and will be valid until the beginning of the following Fall semester so long as the registrant remains a student or a University employee. This vehicle may be parked in any General lot.

- (b) Reserved Parking: Decals/disks must be renewed at the beginning of each Fall semester and will be valid until the beginning of the following Fall semester so long as the registrant remains a student or a University employee. This vehicle may be parked in any General lot.
- (6) The person to whom a vehicle is registered is responsible for the vehicle and all violation citations issued thereto. If the person operating the vehicle is other than the registrant when a violation is committed, both the operator and the registrant may be cited.

**1720-02-03-.03 REPLACEMENT OF DECALS.**

- (1) A new decal/disk will be issued at no cost for a newly acquired vehicle which replaces a currently registered vehicle upon presentation of the original decal/disk to the cashier at Parking Services.
- (2) If it is necessary for you to drive a car other than your registered vehicle, the transferable decal/disk must be placed on rearview mirror of replacement vehicle.
- (3) Lost or stolen decal/disk will be replaced for \$2.00 upon proof of loss. Only one replacement decal may be obtained at the \$2.00 charge during the academic year. If additional replacement decals are required during the academic year the charge will be the current decal price.

**1720-02-03-.04 VEHICLE OPERATION.**

- (1) All persons operating a vehicle on University property or in the campus area, which includes City streets running through University property, must be properly licensed operators.
- (2) Pedestrians have the right-of-way at established pedestrian crossings, except where regulated by traffic control lights or police officers.
- (3) Under normal conditions the maximum speed limit on campus streets in 15 MPH and 30 MPH on the City streets. However, vehicles may not be operated at any speed which is excessive for the conditions which may exist as a result of weather, traffic congestion, pedestrians, etc.
- (4) Traffic control signs, devices, and directions of police officers must be obeyed.
- (5) All persons operating vehicles are responsible for maintaining control of the vehicle, safe operation, and observance of traffic control signs, barriers and

devices.

- (6) Operating a motor vehicle in any area other than a street or roadway intended for motor vehicle is prohibited.
- (7) All accidents must be reported to the University Police immediately (755-4357). All vehicle break-ins or incidents should be reported immediately.

**1720-02-03-.05 VIOLATIONS.** The following examples constitute violations of these regulations:

- (1) Parking Permits
  - (a) No current decal/disk (parking permit).
  - (b) Current decal/disk not visible in vehicle (not affixed to vehicle).
  - (c) Unauthorized possession of decal/disk.
  - (d) Falsification of decal registration information.
  - (e) Illegal use, reproduction or alteration of decal/disk and/or parking permit.
  - (f) Tampering with wheel-lock.
- (2) Other Parking Violations/Overtime Metered Space.
  - (a) In no-parking or loading zones or unmarked spaces.
  - (b) In unauthorized area.
  - (c) Overtime parking in metered space. (Even vehicles with UTC decals/disks when parking metered areas.)
  - (d) Tampering with wheel-lock.
  - (e) Disability parking violation, as defined by State law (e.g., an unauthorized use of a disabled parking space, ramp, plate, or placard; parking a vehicle so that a portion of the vehicle encroaches into a disabled parking space in a manner which restricts, or reasonably could restrict, a person confined to a wheelchair from exiting or entering a vehicle properly parked within the disabled parking space).
- (3) Moving
  - (a) Exceeding posted speed limit.

- (b) Excessive speed for existing conditions.
  - (c) Failure to obey traffic control signal or sign.
  - (d) Failure to obey police officer.
  - (e) Operating vehicle without valid operator's license.
  - (f) Driving off roadway or street.
  - (g) Reckless driving and/or street.
  - (h) Failure to yield right-of-way at pedestrian crossing.
  - (i) Leaving scene of accident by participant.
  - (j) Failure to signal turn or stop.
  - (k) Wrong way on one-way street.
  - (l) Following too closely.
  - (m) Operating mechanically unsafe vehicle.
  - (n) Driving while under the influence of alcohol or narcotics.
  - (o) Operating vehicle causing loud, or unnecessary noise, such as loud mufflers, horns, P.A. systems, etc.
- (4) Motorcycle Parking
- (a) All cycles must have parking decals/disks.
  - (b) No motorcycle may be driven within the confines of a housing perimeter. They must be walked.
  - (c) Motorcycles are to traverse hard surface areas only, not grassy areas.
  - (d) All motor cycles are to be parked on hard surfaces, not on the grass or soil.
  - (e) Motor cycles are not to block stairways, sidewalks, or pedestrian access.
  - (f) Motorcycles should not be the occasion for the clutter and debris on the property.

- (5) Impounded Vehicle/Towed Vehicle.
  - (a) The University may tow without advance notice those vehicles parked in a fire lane, designated disabled parking space, spaces reserved for designated vehicles or in such a manner as to impede the flow of traffic or disrupt the orderly affairs of the University.
  - (b) If a vehicle has unpaid parking citations the University may impound/tow the vehicle, if advance notice and opportunity to contest have been given. Windshield notices and/or other methods of notification will be used to provide the operator of the vehicle with advance notice of out intent to tow and the operator's right to a hearing.
- (6) Fire Lane and Obstruction
  - (a) Blocking or obstructing traffic, street, sidewalk, driveway, fire hydrant, building entrance or exit, another vehicle or fire lane.

**1720-02-03-.06 ENFORCEMENT.**

- (1) University violation citations must be answered at Parking Services within 72 hours (excluding Saturday, Sunday and holidays) after issuance, except that staff citations may be answered within 72 hours by mail or in person to Parking Services.
- (2) City citations must be answered as indicated on the citation.
- (3) A vehicle parked in a manner which blocks a fire zone, emergency exit, flow of traffic, designated disabled parking space, spaces reserved for designated vehicles, or otherwise poses a danger or disrupts the orderly affairs of the University may be impounded, immobilized, or towed.
  - (a) The owner of the above vehicle will be afforded a hearing by a University official prior to the assessment of any tow charges, fines, or penalties.
  - (b) If penalties are assessed after such hearing, impounded, towed or immobilized vehicles will be released upon proper identification and receipt for payment of all appropriate fines and penalties (see below).
- (4) A vehicle which has accumulated \$50.00 or more in traffic citations may be impounded or immobilized or towed if the owner of such vehicle has received advance notice and the opportunity to contest has been given. Windshield notices and/or methods of notification will be used to provide the operator of the vehicle with advance notice of intent to tow and the operator's right to a hearing. In the event the owner does not request a hearing or prevail at the hearing, his vehicle will be towed, wheel-locked or impounded whenever it is next found upon the University property whether parked legally or illegally.

1720-02-03-.07 PENALTIES.

(1) Violation Fines - Staff, Students and Visitors. All violation fines will be paid at Parking Services or the Bursar’s Office.

- (a) No parking permit ~~\$20.00~~25.00
- (b) Other parking violations ~~\$20.00~~25.00
- (c) Overtime on meter \$8.00
- (d) Moving violations ~~\$20.00~~25.00
- (e) Immobilized vehicles ~~\$20.00~~25.00 plus other fines owed
- (f) Impounded Vehicle/Towed Vehicles Amount of fine plus costs
- (g) Disability Parking Violation \$200.00  
 The fine for a disability parking violation is set by State law, Tennessee Code Annotated section 55-21-108. As of July 1, 2008, the fine was set at \$200. The fine imposed under these regulations will increase or decrease automatically when increased or decreased by State law. The fine shall not be suspended or waived.
- (h) Fire Lane/Obstruction \$40.00

(2) Other Penalties - Students.

- (a) Students who fail to pay violation fines or penalties will not be permitted to register for course work, to continue as a student, to receive credit, to receive a degree, or to obtain a transcript until the fines or penalties are paid.
- (b) A staff member who persists in violating these regulations or fails to answer a citation will be reported to his department head and/or penalties may be collected through payroll deduction as specified in University Personnel Policies.
- (c) Repeated violation of parking regulations will be grounds for towing away, impoundment or immobilization in accordance with regulations under enforcement.
- (d) Students who persist in violating these regulations or commit a single violation under extreme circumstances will be referred to the Dean of Students office for disciplinary action which may lead to suspension or

dismissal from the University.

- (e) Once automobile owner has accumulated \$50.00 of unpaid fines, his car, if found parked upon University property or lots leased by the University, will be wheel-locked or towed in accordance with regulations under ENFORCEMENT.
- (f) Any individual (student, faculty or staff) with outstanding traffic citations will not be allowed to register a vehicle, renew their parking permit or purchase a parking permit until indebtedness is cleared.
- (g) In addition to the fine imposed for a disability parking violation, not more than five (5) hours of community service work may be imposed. Any community service work requirements imposed shall be to assist the disabled community by monitoring disabled parking spaces, providing assistance to disability centers or to disabled veterans, or other such purposes.

**1720-02-03-.08 APPEALS.**

- (1) The Student Conduct Board will handle all student appeals.
- (2) Student may appeal a violation citation within 10 class days of issuance by making application for appeal when answering the citation through forms furnished by the Parking Services.
- (3) Students may request that their appeals be heard by the Student Conduct Board without their being present at the Board's meeting. Failure to appear without advance notice will result in the case being considered in the student's absence and the decision of the board will be binding.
- (4) Staff and visitors appeal a violation citation through appropriate administrative channels.
- (5) No appeals may be made through Campus Law Enforcement.
- (6) Anyone failing to appeal within ten class days of issuance of citation loses the right to appeal.

**1720-02-03-.09 RESTRICTIONS.** University streets or grounds may not be used by any firm, corporation or person for advertising or commercial purposes.

**1720-02-03-.10 SPECIAL OCCASIONS AND EMERGENCIES.** On special occasions, for example: athletic events, concerts, graduation exercises, etc., and in emergencies, parking and traffic limitation may be imposed by the Departments of Parking Services and Campus Law Enforcement as required by the conditions which prevail.

**1720-02-03-.11 PEDESTRIAN REGULATIONS.**

- (1) Students and staff members must not endanger their safety or constitute an unreasonable impediment to lawful vehicular traffic by crossing streets at other than authorized lanes or by willfully walking or congregating in the streets.
- (2) All personnel are expected to avoid walking across lawns or against traffic signs.
- (3) Violations of these regulations will be cited through appropriate channels for disciplinary action.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: All

ITEM: **Amendment of the Student Conduct Rules**

RECOMMENDATION: Approval

PRESENTED BY: Matthew M. Scoggins III, Deputy General Counsel

From April 2011 through April 2014, the United States Department of Education's Office for Civil Rights issued multiple guidance documents concerning the obligations of colleges and universities under Title IX of the Education Amendments of 1972 (Title IX) to investigate and respond to reports of student-on-student sexual violence. In 2013, Congress passed and the President signed the federal Violence Against Women Reauthorization Act (VAWA). VAWA imposes new obligations on universities relating to sexual assault, domestic violence, dating violence, and stalking. On July 1, 2015, regulations implementing VAWA will take effect.

During the 2014-2015 academic year, in response to OCR's Title IX guidance and VAWA, all campuses implemented interim policies on sexual misconduct, domestic violence, dating violence, and stalking. While the interim policies were in effect, the campuses sought and received input on the policies from students, faculty, and staff. In fall 2015, all campuses will issue final policies on sexual misconduct, domestic violence, dating violence, and stalking. All campuses have proposed revisions to their student conduct rules that make those rules consistent with the standards of conduct, definitions, rights, and procedures outlined in their policies on sexual misconduct, domestic violence, dating violence, and stalking.

The proposed revisions to the campus student conduct rules address two other issues that arose since the rules were revised in 2012. First, to address confusion expressed by some students, proposed revisions to the standards of conduct separate "disorderly" conduct from "lewd, indecent, or obscene conduct," but otherwise the language of the standards does not change. Second, a proposed revision to the definition of the term, "weapon,"

addresses concerns expressed by members of the Tennessee General Assembly's Government Operations Committee about the potential overbreadth of the former definition.

The proposed revisions to the student conduct rules for each campus appear in their entirety in redlined form in the following pages.

The proposed revisions to the campus student conduct rules must be promulgated under the Uniform Administrative Procedures Act (UAPA), which requires a roll call vote in the full Board meeting. Upon adoption by the Board, the revisions will be submitted to the Attorney General for approval and, if approved, filed with the Secretary of State.

**MOTIONS:** *(Four motions are required to comply with the requirements of the UAPA)*

- 1. That the Board of Trustees adopt the amendment of Chapter 1720-02-05 of the Rules of The University of Tennessee to incorporate revisions to the student conduct rules of The University of Tennessee at Chattanooga.**
- 2. That the Board of Trustees adopt the amendment of Chapter 1720-03-03 of the Rules of The University of Tennessee to incorporate revisions to the student conduct rules of The University of Tennessee Health Science Center.**
- 3. That the Board of Trustees adopt the amendment of Chapter 1720-04-03 of the Rules of The University of Tennessee to incorporate revisions to the student conduct rules of The University of Tennessee, Knoxville.**
- 4. That the Board of Trustees adopt the amendment of Chapter 1720-05-01 of the Rules of The University of Tennessee to incorporate revisions to the student conduct rules of The University of Tennessee at Martin.**

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(CHATTANOOGA)**

**CHAPTER 1720-02-05  
STUDENT CODE**

**6.1**

**1720-02-05-.01 INTRODUCTION.**

- (1) Students at the University of Tennessee are members of both the University community and the larger community of which the University is a part. Accordingly, students are responsible for conducting themselves in a lawful manner and in compliance with University rules and policies. The University has established the following rules in order to advance the mission of the University by maintaining a safe and secure learning environment, protecting the rights and privileges of all members of the University community, providing a basis for orderly conduct of the affairs of the University, promoting a positive relationship between the University and its surrounding community, preserving institutional integrity and property, encouraging students to engage in conduct that brings credit to themselves and the University, and ensuring that each student who matriculates at the University graduates ready to contribute to society as an ethical and law-abiding citizen.
- (2) The University of Tennessee is committed to respecting students' constitutional rights. Nothing in this chapter is intended or shall be interpreted to restrict students' constitutional rights, including, but not limited to, rights of freedom of speech and assembly.
- (3) Disputes between organizations (except in fraternity/sorority cases which may be within the jurisdiction of the appropriate Greek governing council and/or the Dean of Students office) shall be subject to the jurisdiction of the Vice Chancellor for Student Development or his or her designee.
- (4) The Vice Chancellor for Student Development or his or her designee shall have jurisdiction over disputes between individual students and organizations when such arbitration is requested by either of the parties involved.

**1720-02-05-.02 DEFINITIONS.**

- (1) The term "University" means the University of Tennessee at Chattanooga.
- (2) The term "student" means a person admitted, enrolled or registered for study at the University of Tennessee at Chattanooga, either full-time or part-time, pursuing undergraduate, graduate, or professional studies, as well as non-degree students. Persons not officially registered or enrolled for a particular term but

who are eligible to enroll or have a continuing relationship with the University also are considered students for purposes of these rules.

- (3) The term “student organization” means an organization composed of University students that has submitted a pending application or completed the process for registration according to University rules.
- (4) The term “University-controlled property” means all land, buildings, facilities, grounds, structures, or any other property owned, leased, used, maintained, or operated by the University. For purposes of this rule, University-controlled property includes all streets, alleys, sidewalks, and public ways abutting such property. University-controlled property also includes computers and network systems owned, maintained, or controlled by the University or funded by the University.
- (5) The term “University-affiliated activity” means any activity on or off University-controlled property that is initiated, aided, authorized, sponsored, or supervised by the University.
- (6) The term “University official” means an employee of the University, including faculty members and staff, or a University-recognized volunteer. Student employees may be considered University officials when acting in the performance of their duties (e.g., event staff, resident assistants, and teaching assistants).
- (7) The term “member of the University community” means any person who is a student, University official, campus visitor, or participant in a University-sponsored or University-affiliated activity.
- (8) The term “possession” means actual knowledge of a substance or property and/or being in such close proximity to the substance or property that it is a reasonable presumption that one had knowledge of the substance or property.
- (9) The term “weapon” means any device, instrument, or substance that is designed to, or reasonably could be expected to, inflict a wound, incapacitate, or cause serious bodily injury or death, including, but not limited to, firearms (loaded and unloaded, real firearms and devices that would reasonably appear to a law enforcement officer to be real firearms~~and replica~~), ammunition, electronic control devices (such as tasers and stun guns), devices designed to discharge an object (such as bb guns, air guns, pellet guns, potato guns, and slingshots, but not water guns), explosives, dangerous chemicals (such as mace, tear gas, and oleoresin capsicum), martial arts weapons, bows and arrows, artificial knuckles, nightsticks, blackjacks, dirks, daggers, swords, and knives with fixed blades longer than ~~3~~four (4) inches. The term “weapon” does not include pocket knives that fold (but not excluding switchblades); chemical repellents available over-the-counter for self-defense; instruments used solely for personal hygiene,

preparation of food, maintenance, University-related instruction, or University employment-related duties.

- (10) The term “notice” means notice given in writing and transmitted by United States mail, courier service, or hand delivery to the address the University’s Registrar has on file for the student; and/or by e-mail to a student’s University-provided e-mail account. When a notice is transmitted by United States mail or courier service, the notice is effective on the date that it is mailed or delivered to the courier service. When a notice is transmitted by hand delivery, the notice is effective on the date that it is delivered to the person to whom the notice is addressed. When a notice is transmitted by e-mail, the notice is effective on the date that the e-mail is sent.
- (11) The term “coercion” means words and/or conduct that, viewed from the perspective of a reasonable person, substantially impair a person’s ability to voluntarily choose whether to engage in a particular sexual act (e.g., sexual contact or sexual intercourse). Coercion is something more than mere seduction or persuasion. Coercion includes, without limitation: physical force; and words and/or conduct that would cause a reasonable person to fear imminent: harm to the person’s health, safety, or property or that of a third person; threat of the loss or impairment of a job benefit; threat of the loss or impairment of an academic benefit; kidnapping of the person or a third person; or disclosure of sensitive personal information (e.g., disclosure of a person’s sexual orientation, gender identity, or gender expression).
- (12) The term “consent” means an affirmative and voluntary agreement by a person to engage in a specific sexual act. Consent must be obtained, and the responsibility for obtaining consent rests with the individual who voluntarily and physically initiates a specific sexual act, even if the other person initiated the sexual encounter.
- (a) One’s own use of alcohol, drugs, or other substances does not diminish one’s responsibility to obtain Consent from the other person. Moreover, another person’s use of alcohol, drugs, or other substances does not diminish one’s responsibility to obtain consent from that person.
- (b) The term “affirmative,” as used in the definition of consent, means that consent is communicated only through words and/or non-verbal actions that convey a clear agreement to engage in a specific sexual act. Whether person has communicated an agreement to engage in a specific sexual act generally is evaluated from the perspective of what a reasonable person who perceived the individual’s words and/or non-verbal actions would have understood; however, in the context of a long-term relationship between persons that has involved sexual activity and a pattern of communicating consent, whether consent has been communicated may be evaluated based on a subjective standard (i.e., what did the specific person who initiated the specific sexual act conclude?). A verbal “no” (or

words equivalent to “no”), even if it sounds insincere or indecisive, always means that consent has not been communicated, or if previously communicated has been withdrawn. The absence of a verbal “no” does not necessarily mean that consent has been communicated. Because interpreting non-verbal actions may lead to misunderstanding and a violation of this policy, students are strongly encouraged to err on the side of caution and not rely solely on the non-verbal actions of another person in concluding that the other person has communicated consent. The University urges students to talk to one another before engaging in a sexual act to ensure that they both wish to engage in the same sexual act.

(c) Consent cannot be obtained by or inferred from:

1. silence that is not accompanied by non-verbal actions conveying a clear agreement to engage in a particular sexual act;
2. consent communicated by the other person on a previous occasion;
3. consent communicated to another person;
4. the other person’s failure to resist physical force (however, for purposes of this policy, the other person’s resistance to physical force will be viewed as a clear demonstration that the person has not communicated consent);
5. the sexual arousal of the other person;
6. a current or previous dating, romantic, intimate, or sexual relationship with the other person;
7. currently or previously cohabitating with the other person;
8. the other person’s attire;
9. the other person’s reputation;
10. the other person’s giving or acceptance of gifts; or
11. the other person’s extension or acceptance of an invitation to go to a private residence, room, or location.

(d) Consent is not voluntary if it is obtained by coercion. Nor is consent voluntary if it is obtained from a person who is incapacitated if one knows (or a reasonable person would know) that the other person is incapacitated. Because the incapacitation of another person may be difficult for one to discern, students are strongly encouraged to err on the

side of caution (i.e., when in doubt, assume that the other person is incapacitated and therefore unable to give consent.)

- (e) Consent must be continual, which means that consent must exist from the beginning to the end of each sexual encounter and for each specific sexual act that occurs during a sexual encounter. A person has a right to change his/her mind; thus, consent to engage in a specific sexual act may be withdrawn by a person at any time. A withdrawal of consent is communicated through clear words and/or clear non-verbal actions that indicate that a person no longer agrees to engage in a specific sexual act. Once a person's withdrawal of consent has been communicated, the other person must cease the specific sexual act and must obtain consent before reinitiating the specific sexual act. Consent is automatically withdrawn when a person becomes incapacitated. Consent to one type of sexual contact or sexual intercourse (e.g., oral intercourse) does not constitute or imply consent for another type of sexual contact or sexual intercourse (e.g., vaginal intercourse), whether during a sexual encounter or during a previous sexual encounter. The University urges students to communicate with one another throughout a sexual encounter to ensure that any progression of sexual activity is done with consent.
- (13) The term "course of conduct" means two (2) or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about another person, or interferes with another person's property.
- (14) The term "dating violence" means violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim of the violence. The existence of such a relationship shall be determined based on the reporting party's statement and with consideration of the following factors: (1) the length of the relationship; (2) the type of relationship; and (3) the frequency of interaction between the persons involved in the relationship. Dating violence includes, without limitation, sexual or physical abuse or the threat of such abuse.
- (15) The term "domestic violence" means a felony or misdemeanor crime of violence committed:
- (a) by a current or former spouse or intimate partner of the victim;
  - (b) by a person with whom the victim shares a child in common;
  - (c) by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner;

- (d) by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred; or
- (e) by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.
- (16) The term "good faith" means having a belief in the truth of information that a reasonable person in the same situation could have, based on the information known to the person communicating the information at the time the information was communicated by that person. Information is not communicated in good faith if it is communicated with knowing or reckless disregard for information that would negate the former information.
- (17) The term "incapacitated" or "incapacitation" means a temporary or permanent physical or mental state in which a person cannot make informed, rational judgments (e.g., judgments concerning sexual contact, sexual intercourse, or sexual exploitation) because: the person lacks the physical or mental capacity to understand the nature or consequences of their words and/or conduct; and/or the person is unable to physically or verbally communicate consent. Incapacitation can be voluntary or involuntary. Incapacitation is determined based on the totality of the circumstances. Incapacitation may result from: sleep; unconsciousness; intermittent consciousness; temporary or permanent physical or mental disability; involuntary physical restraint; or the influence of alcohol, drugs, or other substances, including, without limitation, substances used to facilitate sexual assault (e.g., Rohypnol, Ketamine, GHB, and Burundanga). Alcohol and drugs are common causes of incapacitation. When alcohol or drugs are involved, Incapacitation is a state beyond mere drunkenness or intoxication. The impact of alcohol and drugs varies from person to person; however, warning signs of incapacitation may include, without limitation: lack of control over physical movements (e.g., inability to dress/undress without assistance; inability to walk without assistance); lack of awareness of circumstances or surroundings; vomiting; unresponsiveness; and inability to communicate coherently. A person who is under the age of eighteen (18) (i.e., a minor) is incapable of giving consent; however, a person who is at least the age of thirteen (13) and less than the age of eighteen (18) is capable of giving consent to sexual acts with another person who is less than four (4) years older than them.
- (18) The term "reasonable person" means a sober, objectively reasonable person in the same situation, and with the same sex, gender identity, and sexual orientation as the person whose words and/or conduct are being evaluated.
- (19) The term "relationship violence" means dating violence and/or domestic violence.

- (20) The term “retaliation” means an act (i) taken by a student (including an act taken through a third party) because of another person’s participation in a protected activity (ii) that would discourage a reasonable person from engaging in protected activity. Protected activity includes a person’s good faith: (i) opposition to conduct prohibited under the Standards of Conduct; (ii) report to the University about conduct prohibited under the Standards of Conduct to the University; (iii) participation (or reasonable expectation of participation) in any manner in an investigation, meeting, hearing, or interim measure; or (iv) exercise of rights or responsibilities under any provision of the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act. Retaliation violates the Standards of Conduct regardless of whether the underlying allegation of a violation of the Standards of Conduct is ultimately found to have merit. Retaliation can include an act taken against a person’s family, friends, advisors, and/or other persons reasonably expected to provide information in connection with a University investigation or hearing.
- (21) The term “sexual assault” means engaging in sexual contact or sexual intercourse with another person without the consent of that person.
- (22) The term “sexual contact” means the intentional touching of another person (including another person’s clothing) in a sexual manner with any part of one’s body or with any object. Sexual contact also means intentionally causing another person to touch themselves (including their clothing) in a sexual manner. Whether a touching was done in a sexual manner is determined from the perspective of a sober, objectively reasonable person in the same situation and with the same sex, gender identity, and sexual orientation as the person who was touched.
- (23) The term “sexual exploitation” means an act or attempted act by a person for the purpose of sexual arousal or gratification, financial gain, or other personal benefit through the abuse or exploitation of another person’s sexuality. Examples of sexual exploitation include, without limitation: observation of a person who is undressed or engaging in sexual contact or sexual intercourse, without the consent of all persons being observed (in a place where a person has a reasonable expectation of privacy); creation or distribution of images, photography, an audiotape, or a videotape of sexual contact, sexual intercourse, or a person’s intimate parts (i.e., genitalia, groin, breasts, buttocks) without the consent of all persons being recorded or photographed; prostituting another person; allowing others to observe, either in person or electronically, sexual contact or sexual intercourse without the consent of all persons involved in the sexual contact or sexual intercourse (in a place where a person has a reasonable expectation of privacy); and knowingly exposing another person to a sexually transmitted infection without informing the other person that one has a sexually transmitted infection.
- (24) The term “sexual harassment” means with respect to the conduct of a student, unwelcome conduct of a sexual nature that is so severe or pervasive, and

objectively offensive, that it substantially interferes with the ability of a person to work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall the term "sexual harassment" be construed to prohibit speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech). Sexual harassment may include, for example, unwelcome sexual advances, requests for sexual favors, and acts of sexual assault. The term "sexual harassment" also means, with respect to the conduct of a student-employee (when acting as a student-employee): unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature when: submission to such conduct is made either explicitly or implicitly a term or condition of an individual's participation in an educational program; submission to or rejection of such conduct by an individual is used as the basis for evaluation or advancement in an educational program; or such conduct has the purpose or effect of unreasonably interfering with an individual's educational performance or creates an intimidating, hostile or offensive educational environment. Sexual harassment is a form of sex discrimination. To determine whether conduct constitutes sexual harassment, consideration shall be given to the totality of the circumstances, including without limitation: the context in which the conduct and/or words occurred; and the frequency, nature, and severity of the conduct and/or words.

- (25) The term "sexual intercourse" means the penetration, no matter how slight, of the vagina or anus with any body part or object; or oral penetration by a sex organ of another person.
- (26) The term "sexual misconduct" means sexual harassment, sexual assault, and/or sexual exploitation.
- (27) The term "stalking" means engaging in a course of conduct directed at a specific person that would cause a reasonable person to: (1) fear for the person's safety or the safety of others; or (2) suffer substantial emotional distress. For the purposes of this definition, the term "reasonable person" means a reasonable person under similar circumstances and with similar identities to the victim.
- (28) The term "substantial emotional distress" means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

#### **1720-02-05-.03 JURISDICTION.**

- (1) The Standards of Conduct, Chapter 1720-02-05-.04, apply to conduct that occurs on University-controlled property.
- (2) The University also has the discretion to discipline a student for an act in violation of the Standards of Conduct that occurs off University-controlled

property if the conduct adversely affects the interests of the University, including, but not limited to, conduct which:

- (a) occurs in connection with a University-affiliated activity, including, but not limited to, an overseas study program or a clinical, field, internship, or in-service experience;
- (b) involves another member of the University community; or
- (c) threatens, or indicates that the student may pose a threat to, the health or safety of him/herself or others or the security of any person's property, including, but not limited to, alcohol-related offenses, drug-related offenses, arson, battery, fraud, hazing, participation in group violence, rape, sexual assault or misconduct, stalking, and theft.

The above-listed examples of off-campus conduct that is subject to discipline are separate and independent grounds for discipline, and one ground for discipline shall not be interpreted in any manner to modify, explain, or limit any other ground for discipline.

- (3) The Standards of Conduct have been adopted in furtherance of the University's interests and serve to supplement, rather than substitute for, the enforcement of the civil and criminal law. Accordingly, University disciplinary action may be instituted against a student charged with conduct that potentially violates both the criminal law and the Standards of Conduct without regard to the pendency of criminal charges or civil litigation. At the discretion of the Vice Chancellor for Student Development, or his/her designee, disciplinary action relating to a violation of the Standards of Conduct may be carried out prior to, simultaneously with, or following criminal proceedings. Students accused of violating the Standards of Conduct may not challenge the University disciplinary proceedings on the grounds that criminal charges, civil litigation, or other University proceedings regarding the same incident are pending or have been terminated, dismissed, reduced, or not yet adjudicated. The University may refer matters to federal, state, or local authorities for prosecution when appropriate.
- (4) Each student shall be responsible for his/her conduct from the time of application for admission through the actual awarding of a degree, even though conduct may occur before classes begin or after classes end, as well as during the academic year and during periods between terms of actual enrollment (and even if conduct is not discovered by the University until after a degree is awarded). Should a student withdraw from the University with disciplinary charges pending, the student's academic record and/or ability to register for classes may be encumbered by the appropriate University office.
- (5) Graduate or professional programs within the University may initiate charges against students for alleged violations of professional standards or ethics as a

separate issue or as an extension of alleged acts of academic dishonesty or other violations of the Standards of Conduct.

**1720-02-05-.04 STANDARDS OF CONDUCT.** A student or student organization may be disciplined for the following types of misconduct:

- (1) Cheating, plagiarism, or any other act of academic dishonesty, including, but not limited to, an act in violation of The Honor Code.
- (2) Providing false information to a University official.
- (3) Falsifying, distorting, misrepresenting, or withholding information in connection with a University investigation or hearing.
- (4) Forging, altering, destroying, falsifying, or misusing records, identification, or documents.
- (5) Causing physical harm to any person (including oneself); endangering the health or safety of any person (including oneself); engaging in conduct that causes a reasonable person to fear harm to his/her health or safety; or making an oral or written statement that an objectively reasonable person hearing or reading the statement would interpret as a serious expression of an intent to commit an act of unlawful violence to a particular individual or group of individuals, ~~although the speaker need not mean to carry out the act of unlawful violence in order to constitute a violation of this rule.~~
- (6) Harassment, which is defined as unwelcome conduct that is so severe or pervasive, and objectively offensive, that it substantially interferes with the ability of a person to work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech).
- (7) ~~Engaging in sexual misconduct, relationship violence, or stalking. Sexual assault or misconduct. "Sexual assault" is defined as any sexual act or attempt to engage in any sexual act with another person without the consent of the other person, or in circumstances in which the person is unable to give consent due to age, disability, or an alcohol/chemical or other impairment. "Sexual misconduct" is defined as any intimate touching of another person, or forcing a person to engage in intimate touching of another, without the consent of the other person, or in circumstances in which the person is unable to give consent due to age, disability, or an alcohol/chemical or other impairment. It is the responsibility of the person initiating sexual activity to ensure the other person is capable of consenting to that activity. Consent is given by an affirmative verbal response or acts that are unmistakable in their meaning. Consent to one form of sexual activity does not mean consent is given to another type of sexual activity.~~

- (8) Invasion of another person’s privacy when that person has a reasonable expectation of privacy, including, but not limited to, using electronic or other means to make a video or photographic record of any person in a location in which the person has a reasonable expectation of privacy, without the person’s knowledge or consent. This includes, but is not limited to, making a video or photographic record of a person in shower/locker rooms or restrooms. The storing, sharing, and/or distributing of such unauthorized recordings by any means is also prohibited.
- (9) Theft, misappropriation, unauthorized possession, or unauthorized sale of private or public property, including but not limited to University-controlled property.
- (10) Vandalizing, destroying, damaging, engaging in conduct that reasonably could cause damage to, or misusing private or public property, including but not limited to University-controlled property.
- (11) Participating in hazing. "Hazing" is defined as any intentional or reckless act, on or off University-controlled property, by one student, acting alone or with others, which is directed against any other student, that endangers the mental or physical health or safety of that student, or which induces or coerces a student to endanger his or her mental or physical health or safety, and includes treatment of a violent, abusive, shameful, insulting, or humiliating nature. Without limiting the foregoing, such action is prohibited when connected with initiation into or affiliation with an organization and does not include participation in customary athletic events or similar competition.
- (12) Engaging in disorderly conduct, which means: fighting or other physically violent or physically threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.
- ~~(12)~~(13) Engaging in ~~disorderly~~,—lewd, indecent, or obscene conduct. ~~“Disorderly” conduct means fighting or other physically violent or threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.~~ “Lewd, indecent, or obscene” conduct includes, but is not limited to, public exposure of one’s sexual organs, public urinating, and public sexual acts.
- ~~(13)~~(14) Engaging in speech, either orally or in writing, that is directed to inciting or producing imminent lawless action and is likely to incite or produce such action.
- ~~(14)~~(15) Any act of arson; falsely reporting a fire, the presence of an explosive or

incendiary device, or other emergency; setting off a false fire alarm; or tampering with, removing, or damaging fire alarms, fire extinguishers or any other safety or emergency equipment from its proper location except when removed in a situation in which there is a reasonable belief of the need for such equipment.

~~(15)~~(16) \_\_\_\_\_ Possessing, using, or duplicating University keys, access cards, or identification cards without authorization; possessing, using, or entering University-controlled property without authorization.

~~(16)~~(17) \_\_\_\_\_ Theft, misuse, or unauthorized use of information technology facilities, resources, or access codes, including, but not limited to: unauthorized entry into or transfer of a file; using another person's identification and/or password without that person's consent; using information technology facilities or resources to interfere with the work of another student, faculty member, staff member, or other member of the University community; using information technology facilities or resources to interfere with normal operation of a University information technology system or network; circumventing University information technology system or network security; using information technology facilities or resources in violation of copyright laws; falsifying an e-mail header; and conduct that violates the University's policy on the Acceptable Use of Information Technology Resources.

~~(17)~~(18) \_\_\_\_\_ Possessing, using, storing, or manufacturing any weapon or any facsimile of a weapon on University-controlled property or in connection with a University-affiliated activity, unless authorized in writing by the Chief of Police or his/her designee.

~~(18)~~(19) \_\_\_\_\_ Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages on University-controlled property or in connection with a University-affiliated activity.

~~(19)~~(20) \_\_\_\_\_ Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages, if prohibited by federal, state, or local law.

~~(20)~~(21) \_\_\_\_\_ Providing an alcoholic beverage to a person younger than twenty-one (21) years of age.

~~(21)~~(22) \_\_\_\_\_ Using, manufacturing, possessing, distributing, selling, dispensing, or being under the influence of drugs or drug paraphernalia, if prohibited by federal, state, or local law; using or possessing a prescription drug if the prescription was not issued to the student; or distributing or selling a prescription drug to a person to whom the prescription was not originally issued.

~~(22)~~(23) \_\_\_\_\_ Failing to pay a University bill, account, or other University financial obligation.

~~(23)~~(24) Failing to respond to a request to report to a University administrative office; failing to comply with a lawful directive of a University employee or other public official acting within the scope of his/her duties; or failing to identify oneself to a University employee or other public official acting within the scope of his/her duties when requested to do so.

(24)(25) Failing to appear at a University hearing, including, but not limited to, a hearing of a University judicial board, following a request to appear either as a party or as a witness.

~~(25)~~(26) Violating the terms of an interim suspension, a no-contact directive, or a disciplinary penalty imposed by the University.

~~(26)~~(27) Obstructing or disrupting teaching, learning, studying, research, public service, administration, disciplinary proceedings, emergency services, or any other University-affiliated activity, or the free flow of pedestrian or vehicular traffic. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution.

~~(27)~~(28) Violating a University policy or rule as stipulated herein or as promulgated and announced by authorized personnel, including but not limited to University policies or rules relating to facilities use, smoking, the acceptable use of information technology resources, research or service misconduct, finder's fees relating to clinical investigations involving human subjects or access to University data or materials, University libraries, dining services, parking or transportation, University identification card use, sexual harassment, residence halls, and registered student organizations.

~~(28)~~(29) Committing an act that is prohibited by local, state, or federal law.

(30) Attempting to commit a violation of a Standard of Conduct or being an accessory to the commission of an act or attempted act in violation of a Standard of Conduct.

~~(29)~~(31) Engaging in retaliation.

#### 1720-02-05-.05 INVESTIGATIONS OF STUDENT CONDUCT.

- (1) All University investigations shall be conducted in an ethical manner, keeping in mind the rights of students. The following regulations shall be strictly observed.
- (2) In accordance with Title IX of the Education Amendments of 1972, the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, and other applicable law, the University will investigate and resolve reports of sexual misconduct, relationship violence, and stalking in accordance with the University's Policy on Sexual Misconduct, Relationship Violence, and Stalking.

(3) Inspection and Search Policy. Entry by University officials into occupied rooms in residence halls will be divided into three categories: inspection, search, and emergency. Inspection is defined as the entry into an occupied room by University officials in order to ascertain the health and safety conditions in the room, or to make repairs on facilities, or to perform cleaning and janitorial operations. Search is defined as the entry into an occupied room by on-campus authorities for the purpose of investigating suspected violations of campus regulations and/or city, state, or federal law. An emergency situation exists when the delay necessary to obtain search authorization constitutes a danger to persons, property, or the building itself.

- (a) Inspection: Scheduled inspections by University officials, with the exception of daily janitorial and maintenance operations, shall be preceded, if possible, by twenty-four hours notice to the residents. During the inspection, there will be no search of drawers or closets or personal belongings.
- (b) Search: University officials will not enter a room for purposes of search except in compliance with state law or with the permission of the resident or the written permission of the Vice Chancellor for Student Development or his or her designee. University officials shall have, if possible, the Resident Director or his or her designee accompany them on the search.

#### 1720-02-05-.06 DUE PROCESS AND STUDENT RIGHTS.

- (1) Due process shall guarantee to the accused student the following:
  - (a) The right to be informed of charges in writing prior to the hearing.
  - (b) The right of reasonable time in which to prepare a defense.
  - (c) The right to a fair and just hearing.
  - (d) The right to challenge all charges and testimony used against the accused student and to question witnesses.
  - (e) The right to be informed in writing of:
    - 1. The final decision of his or her case.
    - 2. The proper procedure for appeal.
  - (f) The right to be accompanied by an advisor he or she chooses, at his or her own expense.

1. The accused student is responsible for presenting his or her own information, and therefore, advisors are not to speak or participate directly in a University hearing.
  2. Students should select as an advisor a person whose schedule allows attendance at the scheduled date and time for the University hearing.
  3. Delays will not normally be allowed due to the scheduling conflicts of an advisor.
- (2) Any student or student organization shall be formally charged in writing with the alleged offense. The date, time, and place of occurrence of the offense shall be stated on the statement of charges.
- (3) The Dean of Students office must keep accurate records of each hearing and the disposition of each case.
- (4) ~~In accordance with Title IX of the Education Amendments of 1972, the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, and other applicable law, in a case involving an allegation of sexual misconduct, relationship violence, or stalking, the accused student and the alleged victim shall have the rights outlined in the University's Policy on Sexual Misconduct, Relationship Violence, and Stalking. In cases of involving a complaint of sexual assault or misconduct, the alleged victim shall have the right to:~~
- ~~(a) notice concerning the process by which the University will handle the complaint and an opportunity to ask questions about the process;~~
  - ~~(b) a prompt, thorough, and impartial investigation of the complaint;~~
  - ~~(c) the same opportunity as the accused student to present his/her explanation of the facts during the University's investigation;~~
  - ~~(d) have the investigation of the complaint concluded within sixty (60) days of the University's receipt of a complaint, unless circumstances make it impracticable for the University to complete its investigation within that timeframe;~~
  - ~~(e) notice of the outcome of the University's investigation;~~
  - ~~(f) have a disciplinary hearing conducted within thirty (30) days of a University charge that the accused student committed sexual assault or misconduct, unless circumstances make it impracticable for the University to conduct a hearing within that timeframe;~~

- ~~(g) — notice of the date, time, and location of the disciplinary hearing, the right to have the disciplinary hearing closed to the public, and the right to request rescheduling of the hearing for good cause;~~
- ~~(h) — the same access as the accused student to any information or documents that will be used by the University during a disciplinary hearing, unless prohibited by law;~~
- ~~(i) — challenge the seating of any Student Conduct Board member for good cause, which will be determined at the discretion of the University Hearing Officer;~~
- ~~(j) — be accompanied by an advisor of his/her choosing during the University's investigation or a disciplinary hearing, but the advisor shall not be permitted to speak for the victim during a disciplinary hearing;~~
- ~~(k) — the same opportunity as the accused student to be present during the hearing, present witnesses and other evidence, challenge the admissibility of evidence, and cross-examine adverse witnesses during the disciplinary hearing;~~
- ~~(l) — testify or remain silent at his/her option; however, choosing to remain silent may result in the University dismissing the charges against the accused student or the Student Conduct Board or University Hearing Officer finding that there is insufficient evidence to find the accused student guilty of the charges against him/her;~~
- ~~(m) — not to be questioned personally by the accused student during the disciplinary hearing;~~
- ~~(n) — submit a written impact statement to the Student Conduct Board or University Hearing Officer for consideration during the sanctioning phase of the disciplinary hearing, if the accused student is found guilty of the charges against him/her;~~
- ~~(o) — notice of the decision of the Student Conduct Board or University Hearing Officer within three (3) business days of the disciplinary hearing; and~~
- ~~(p) — appeal the decision of the Student Conduct Board or University Hearing Officer to the Vice-Chancellor for Student Development.~~

#### 1720-02-05-.07 STUDENT CONDUCT HEARING PROCEDURES.

- (1) Complaints

- (a) Any member of the University community may present a complaint to the Dean of Students office against a student for violations of the Student Code. Any complaint should be submitted as soon as possible after the event takes place. Any charge(s) shall be prepared in writing by the Dean of Students office.
  - (b) All charges shall be presented to the accused student in written form.
- (2) Hearing Options
- (a) An accused student desiring to contest the charge(s) against him or her must do so by requesting a hearing within five (5) days of his or her receipt of written notice of the charge(s).
  - (b) The accused student(s) may, at his or her option, request a hearing before a panel of individuals (Student Conduct Board) or by a University Hearing Officer selected by the Vice Chancellor for Student Development (or his or her designee). A hearing before a Student Conduct Board will be chaired by a University Hearing Officer similarly selected. Board members and hearing officers shall be impartial and anyone lacking such impartiality shall recuse him/herself or may be removed by the Vice Chancellor for Student Development upon request of any party to a University hearing.
  - (c) A requested hearing shall be scheduled promptly after receipt of the request.
  - (d) In the absence of a voluntary written waiver of the accused student's right to a hearing under the provisions of the ~~Tennessee~~-Uniform Administrative Procedures Act (UAPA) (T.C.A. § 4-5-108 et seq.), a requested hearing will be conducted in accordance with the University's rules for conducting contested case proceedings under the UAPA, Chapter 1720-01-05, ~~APA hearing procedures~~ and these procedures in this Section .07 shall not apply.
- (3) Hearing Procedures - The Student Conduct Board or University Hearing Officer sitting alone will conduct the hearing within the following general guidelines:
- (a) All University hearings shall be closed to the public.
  - (b) The accused student (and certain "victims" where permitted by law) and his or her advisor, if any, shall be allowed to attend the entire portion of the University Hearing at which information is received (excluding deliberations).
  - (c) Each party will be afforded a full and fair opportunity to present all evidence, including witnesses, reasonably relating to the charge or action

at issue; evidence which is irrelevant, immaterial, repetitious or voluminous may be limited.

- (d) All procedural questions are determined by the University Hearing Officer.
  - (e) The Student Conduct Board or University Hearing Officer sitting alone will consider all evidence presented, giving due consideration to the credibility or weight of each item presented; technical rules of evidence will not apply.
  - (f) The standard for a finding of responsible is a preponderance of the evidence.
  - (g) Following the conclusion of the University hearing, the Student Conduct Board or University Hearing Officer sitting alone will consider the evidence and present written findings.
  - (h) An appropriate record will be made of the hearing procedures. Deliberations shall not be recorded. Defects in the record will not invalidate the proceedings.
- (4) In cases involving an allegation of sexual assault or misconduct, the hearing procedures shall be modified to afford the alleged victim all of the rights described in Chapter 1720-2-5-.06(4).

**1720-02-05-.08 PENALTIES.**

- (1) Disciplinary penalties are primarily intended to educate students and student organizations about appropriate behavior, encourage students and student organizations to take responsibility for misconduct, promote the personal and professional development of students, discourage other students and student organizations from violating the Standards of Conduct, and protect members of the University community. The penalties imposed should be appropriate for the particular case based on the gravity of the offense (including without limitation how the violation affected or reasonably could have affected other members of the University community). Consideration may also be given to the student's or student organization's conduct record, the student's or student organization's responsiveness to the conduct process, student academic classification, and other aggravating or mitigating factors.
- (2) The following penalties may be imposed on any student found to have violated the Standards of Conduct:
  - (a) Warning. A notice that the student is violating or has violated the Standards of Conduct.

- (b) **Loss of Privilege.** This penalty is intended to serve as a reminder of the Standards of Conduct and is for a specific period of time. Privileges that may be lost include, but are not limited to, scholarships, stipends, participation in extracurricular activities (e.g. intramurals), housing privileges, participation in social activities, and use of certain University-controlled property (e.g., information technology resources).
- (c) **Educational Sanction.** Students may be required to attend classes, at their own expense, dealing with issues such as the consequences of alcohol or drug use, civility, ethics, or other topics as deemed appropriate by the Vice Chancellor for Student Development or his/her designee.
- (d) **Restitution.** Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
- (e) **Disciplinary Reprimand.** A disciplinary reprimand is used for minor violations of the Standards of Conduct. A reprimand indicates that further violations will result in more severe disciplinary actions.
- (f) **Disciplinary Probation.** This penalty permits a student to remain at the University on probationary status but with the understanding that a future violation of the Standards of Conduct may result in suspension. Other conditions of probation are specific to each individual case and may include a requirement of community service or other requirement or restriction.
- (g) **Suspension for a Specific Period of Time.** Suspension for a specific period of time means that the student is withdrawn from the University and is not eligible to apply for readmission for a designated period of time. Usually, the period of designated suspension does not exceed one (1) calendar year. Other conditions of suspension are specific to each individual case and may include a requirement of community service or other requirement or restriction.
- (h) **Permanent Dismissal.** Permanent dismissal means that a student is permanently barred from matriculating as a student on the Chattanooga campus. This penalty is used when the violation of one or more of the institution's Standards of Conduct is deemed so serious as to warrant total and permanent disassociation from the University community without the possibility of re-enrollment; or when, by his/her repeated violation of the institution's Standards of Conduct, a student exhibits blatant disregard for the health and safety of other members of the University community or the University's right to establish rules of conduct.

- (i) Revocation of Admission or Degree. Revocation of admission or degree means revoking a student's admission to the University or revoking a degree already awarded by the University. Revocation of a degree shall be approved by the University of Tennessee Board of Trustees.
- (3) A disciplinary hold may be placed on a student's account until the completion of the student disciplinary process or until the student satisfies the terms and conditions of any penalties imposed.
- (4) The following penalties may be imposed on a student organization found to have violated the Standards of Conduct:
  - (a) Warning. A notice that the student organization is violating or has violated the Standards of Conduct.
  - (b) Educational Sanction. Student organizations may be required to attend classes, at their own expense, dealing with issues such as the consequences of alcohol or drug use, civility, ethics, or other topics as deemed appropriate by the Dean of Students or his/her designee.
  - (c) Loss of Privilege. This penalty is intended to serve as a reminder of the Standards of Conduct and is for a specific period of time. Examples of privileges that may be lost include participating in extracurricular activities (e.g., intramurals), housing privileges, participating in social activities, and using certain University-controlled property.
  - (d) Restitution. Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
  - (e) Disciplinary Probation. Disciplinary probation means that a student organization is permitted to retain University registration on a probationary status. Violation of the Standards of Conduct during the period of disciplinary probation may result in more serious penalties, including revocation of University registration.
  - (f) Revocation of University Recognition. In cases of serious misconduct, a student organization's University registration may be revoked.
- (5) More than one of the penalties listed above may be imposed for any single violation of the Standards of Conduct. Penalties may be applied retroactively to the date of the offense.

- (6) Intoxication or impairment because of alcohol, drugs, chemicals, or other substances does not diminish or excuse a violation of the Standards of Conduct.

**1720-02-05-.09 NO CONTACT DIRECTIVE.** In cases involving allegations of assault, injury, sexual abuse, harassment, or where there is reason to believe continued contact between a student and specific persons, including complainants and witnesses, may interfere with those persons' security, safety or ability to participate effectively in work or studies, the Vice Chancellor for Student Development, or his/her designee, may require that the student not have verbal, physical, or written contact with specific persons for a definite or indefinite period of time. The student will receive written or electronic notice of the no contact directive. Any student, faculty or staff member or other person with a reasonable justification may request a no contact directive. In addition to an internal University no contact directive, complainants are advised that other similar options exist and can be obtained from law enforcement and civil and criminal courts.

**1720-02-05-.10 INTERIM SUSPENSION.**

- (1) When the Vice Chancellor for Student Development or his/her designee has reasonable cause to believe that a student's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an ongoing threat to the disruption of, or interference with, the normal operations of the University, the Vice Chancellor for Student Development or his/her designee may impose an interim suspension prior to the conclusion of a full hearing on the alleged misconduct.
- (2) An interim suspension shall be confirmed by a written statement that explains the basis for the interim suspension and shall remain in effect until the conclusion of a full hearing in accordance with the rules of the University of Tennessee, which shall be held without undue delay.
- (3) Within three (3) business days of the imposition of the suspension, the student shall be offered an opportunity to appear personally before the Vice Chancellor for Student Development or his/her designee in order to discuss the following issues only: (i) the reliability of the information concerning the student's conduct; and (ii) whether the conduct and surrounding circumstances reasonably indicate that the student's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an imminent threat of disruption of or interference with the normal operations of the University.
- (4) During an interim suspension, the student shall be denied access to University-controlled property, including residence halls, and all other University-affiliated activities or privileges for which the student might otherwise be eligible, as the Vice Chancellor for Student Development or his/her designee determines in his/her sole discretion to be appropriate. A student who receives an interim suspension and violates the terms of the interim suspension shall be subject to

further disciplinary action and may be treated as a trespasser. Permission to be on University-controlled property or participate in University-affiliated activities may be granted by the Vice Chancellor for Student Development or his/her designee.

**1720-02-05-.11 APPEALS.**

- (1) Decisions of the Student Conduct Board or University Hearing Officer sitting alone may be appealed to the Vice Chancellor for Student Development by delivering a signed statement containing:
  - (a) A statement that he/she appeals the decision;
  - (b) A brief statement of the grounds for the appeal. The basis for filing an appeal is limited to the following grounds:
    - 1. the student's or student organization's rights were violated in the hearing process;
    - 2. new relevant material evidence or information has been provided that could not have been discovered at the time of the hearing;
    - 3. the information presented did not support the decision by a preponderance of the evidence (more likely than not) standard; or
    - 4. the sanction(s) imposed were not appropriate for the violation.
- (2) The Vice Chancellor for Student Development may:
  - (a) Uphold the decision;
  - (b) Amend the decision;
  - (c) Return the case for reconsideration; or
  - (d) Overturn the decision.
- (3) Either Party may appeal to the Chancellor of the University. In cases of involving a finding that a student is guilty of sexual assault or misconduct, the alleged victim shall have the right to appeal the decision of the Student Conduct Board or University Hearing Officer to the Vice Chancellor for Student Development.

**1720-02-05-.12 WITHDRAWAL OR TEMPORARY SUSPENSION DUE TO PHYSICAL OR PSYCHOLOGICAL ILLNESS.**

- (1) When a student is unable to pursue his or her academic work effectively, or when his or her behavior is disruptive to the normal educational processes of the University, or constitutes a threat to members of the University community, due to, among other things, the use of alcohol, drugs, or other psychologically incapacitating illnesses or conditions, he or she may be withdrawn or temporarily suspended from the University as hereinafter provided.
  - (a) **Withdrawal.** A student may be withdrawn from the University only after an evaluation of his or her mental, physical condition, or behavior by a panel of at least three persons appointed by the Vice Chancellor for Student Development. The student shall be notified of the reasons for the evaluation and given an opportunity to present evidence to the committee. He or she shall enjoy the rights of normal due process procedures. The committee's findings and recommendations shall be forwarded to the Vice Chancellor for Student Development who will notify the student in writing of his or her decision. A student withdrawn under this procedure shall not be readmitted to the University without the approval of the Vice Chancellor for Student Development.
  - (b) **Grades.** When a student is suspended or withdrawn from the University for reasons described in this section, he or she will be assigned a grade of "W".
  - (c) **Committee Composition and Hearing.** The panel referred to herein will include at least one member of the faculty at large and representative of the Counseling Center or a psychologist. The Dean of Students or his or her designee would normally have responsibility for preparing the charges and presenting the case. The student in question would have the right to normal due process provisions.
- (2) **Temporary Suspension.** Whenever a student, because of his or her mental or physical condition, is unable to pursue his or her academic work effectively, or is disruptive to educational processes or constitutes a danger to persons or property, he or she may be suspended from the University for a reasonable period of time by the Vice Chancellor for Student Development. The University will then schedule a hearing within 5 class days of the beginning of the suspension. If the University does not withdraw the student after the hearing, he or she may return to the University at the end of the suspension period.

**1720-02-05-.13 PARKING APPEALS.** Appeals of student parking tickets are reviewed by the Student Parking Appeals Board. Decisions concerning the appeals are based on a majority vote of the Board.

**1720-02-05-.14 COMPOSITION OF STUDENT PARKING APPEALS BOARD.**

- (1) The Student Parking Appeals Board shall be composed of six student members plus a Chair and six alternates. Two students will be appointed by the Student

Senate from the general student body. Two students will be appointed by the faculty, one appointed by the President of SGA, and one appointed by the Office of Student Development. Each appointing body shall also appoint the alternates. An alternate will serve when a regular member cannot be present or when a member is excused for reasons such as personal friendship with the principals.

- (2) All members must have a 2.00 cumulative grade point average and be enrolled as full time students and not be on any form of probation.
- (3) The Chair will be elected by the Board and votes in case of tie.
- (4) A temporary chair will be elected by the members of the board in the event:
  - (a) The Chair is a party to the case;
  - (b) The Chair is absent; or
  - (c) The Chair removes himself/herself from the case.
- (5) Once appointed, students remain on the Student Parking Appeals Board until they terminate at the University, cease to be eligible to serve, or resign voluntarily.

**1720-02-05-.15 EMERGENCY SITUATIONS.** When in the opinion of the Chancellor, conditions are such that there exists a clear and immediate danger to the physical safety or well-being of the members of the University community or safety of University property, he or she may direct that the accused student or organization be suspended pending initiation (and completion) of normal disciplinary proceedings provided those procedures are offered as soon as can reasonably be accomplished. The Chancellor may delegate this authority to the Vice Chancellor for Student Development.

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(HEALTH SCIENCE CENTER)**

**CHAPTER 1720-03-03  
STUDENT RIGHTS AND RESPONSIBILITIES**

**6.2**

**1720-03-03-.01 INTRODUCTION.**

- (1) Students at the University of Tennessee Health Science Center are members of both the University community and the larger community of which the University is a part. Accordingly, students are responsible for conducting themselves in a lawful manner and in compliance with University rules and policies. The University has established the following rules in order to advance the mission of the University by maintaining a safe and secure learning environment, protecting the rights and privileges of all members of the University community, providing a basis for orderly conduct of the affairs of the University, promoting a positive relationship between the University and its surrounding community, preserving institutional integrity and property, encouraging students to engage in conduct that brings credit to themselves and the University, and ensuring that each student who matriculates at the University graduates ready to contribute to society as an ethical and law-abiding citizen.
- (2) The University of Tennessee is committed to respecting students' constitutional rights. Nothing in this chapter is intended or shall be interpreted to restrict students' constitutional rights, including, but not limited to, rights of freedom of speech and assembly.
- (3) Students are responsible for being fully acquainted and for complying with the University catalog, student handbook, and other rules and policies relating to students. Failure or refusal to comply with the rules and policies established by the University may subject a student to disciplinary action up to and including permanent dismissal from the University.

**1720-03-03-.02 DEFINITIONS.**

- (1) The term "University" means the University of Tennessee Health Science Center.
- (2) The term "student" means a person admitted, enrolled or registered for study at the University of Tennessee, either full-time or part-time, pursuing undergraduate, graduate, or professional studies, as well as non-degree students. Persons not officially registered or enrolled for a particular term but who have a continuing relationship with the University also are considered students for purposes of these rules.

- (3) The term “student organization” means an organization that is composed solely of University students that has submitted a pending application or has completed the process for registration according to University rules.
- (4) The term “University-controlled property” means all land, buildings, facilities, grounds, structures, or any other property owned, leased, used, maintained, or operated by the University. For purposes of this rule, University-controlled property includes all streets, alleys, sidewalks, and public ways abutting such property. University-controlled property also includes computers and network systems owned, maintained, or controlled by the University or funded by the University.
- (5) The term “University-affiliated activity” means any activity on or off University-controlled property that is initiated, aided, authorized, sponsored, or supervised by the University.
- (6) The term “University official” means an employee of the University, including faculty members and staff, or for purposes of this rule a University-recognized volunteer. Student employees may be considered University officials when acting in the performance of their duties (e.g., event staff, resident assistants, and teaching assistants).
- (7) The term “member of the University community” means any person who is a student, University official, campus visitor, or participant in a University-sponsored or University-affiliated activity.
- (8) The term “possession” means actual knowledge of a substance or property and/or being in such close proximity to the substance or property that it is a reasonable presumption that one had knowledge of the substance or property.
- (9) The term “weapon” means any device, instrument, or substance that is designed to, or reasonably could be expected to, inflict a wound, incapacitate, or cause serious bodily injury or death, including, but not limited to, firearms (loaded and unloaded, real firearms and devices that would reasonably appear to a law enforcement officer to be real firearms~~and replica~~), ammunition, electronic control devices (such as tasers and stun guns), devices designed to discharge an object (such as bb guns, air guns, pellet guns, potato guns, and slingshots, but not water guns), explosives, dangerous chemicals (such as mace, tear gas, and oleoresin capsicum), martial arts weapons, bows and arrows, artificial knuckles, nightsticks, blackjacks, dirks, daggers, swords, and knives with fixed blades longer than ~~three-four~~ (34) inches. The term “weapon” does not include pocket knives that fold (but not excluding switchblades); chemical repellents available over-the-counter for self-defense; instruments used solely for personal hygiene, preparation of food, maintenance, University-related instruction, or University employment-related duties.
- (10) The term “notice” means notice given in writing and transmitted by United

~~States mail, courier service, or hand delivery to the address the University's Registrar has on file for the student; and/or by e-mail to a student's University-provided e-mail account. When a notice is transmitted by United States mail or courier service, the notice is effective on the date that it is mailed or delivered to the courier service. When a notice is transmitted by hand delivery, the notice is effective on the date that it is delivered to the person to whom the notice is addressed. When a notice is transmitted by e-mail, the notice is effective on the date that the e-mail is sent, delivered by regular mail, courier service, or hand delivery to the address the University has on file for the student or student organization, or by e-mail to the student's or student organization's University-provided e-mail account.~~

- ~~(11) The term "coercion" means words and/or conduct that, viewed from the perspective of a reasonable person, substantially impair a person's ability to voluntarily choose whether to engage in a particular sexual act (e.g., sexual contact or sexual intercourse). Coercion is something more than mere seduction or persuasion. Coercion includes, without limitation: physical force; and words and/or conduct that would cause a reasonable person to fear imminent: harm to the person's health, safety, or property or that of a third person; threat of the loss or impairment of a job benefit; threat of the loss or impairment of an academic benefit; kidnapping of the person or a third person; or disclosure of sensitive personal information (e.g., disclosure of a person's sexual orientation, gender identity, or gender expression).~~
- ~~(12) The term "consent" means an affirmative and voluntary agreement by a person to engage in a specific sexual act. Consent must be obtained, and the responsibility for obtaining consent rests with the individual who voluntarily and physically initiates a specific sexual act, even if the other person initiated the sexual encounter.~~
- ~~(a) One's own use of alcohol, drugs, or other substances does not diminish one's responsibility to obtain Consent from the other person. Moreover, another person's use of alcohol, drugs, or other substances does not diminish one's responsibility to obtain consent from that person.~~
- ~~(b) The term "affirmative," as used in the definition of consent, means that consent is communicated only through words and/or non-verbal actions that convey a clear agreement to engage in a specific sexual act. Whether person has communicated an agreement to engage in a specific sexual act generally is evaluated from the perspective of what a reasonable person who perceived the individual's words and/or non-verbal actions would have understood; however, in the context of a long-term relationship between persons that has involved sexual activity and a pattern of communicating consent, whether consent has been communicated may be evaluated based on a subjective standard (i.e., what did the specific person who initiated the specific sexual act conclude?). A verbal "no" (or words equivalent to "no"), even if it sounds insincere or indecisive,~~

always means that consent has not been communicated, or if previously communicated has been withdrawn. The absence of a verbal “no” does not necessarily mean that consent has been communicated. Because interpreting non-verbal actions may lead to misunderstanding and a violation of this policy, students are strongly encouraged to err on the side of caution and not rely solely on the non-verbal actions of another person in concluding that the other person has communicated consent. The University urges students to talk to one another before engaging in a sexual act to ensure that they both wish to engage in the same sexual act.

(c) Consent cannot be obtained by or inferred from:

1. silence that is not accompanied by non-verbal actions conveying a clear agreement to engage in a particular sexual act;
2. consent communicated by the other person on a previous occasion;
3. consent communicated to another person;
4. the other person’s failure to resist physical force (however, for purposes of this policy, the other person’s resistance to physical force will be viewed as a clear demonstration that the person has not communicated consent);
5. the sexual arousal of the other person;
6. a current or previous dating, romantic, intimate, or sexual relationship with the other person;
7. currently or previously cohabitating with the other person;
8. the other person’s attire;
9. the other person’s reputation;
10. the other person’s giving or acceptance of gifts; or
11. the other person’s extension or acceptance of an invitation to go to a private residence, room, or location.

(d) Consent is not voluntary if it is obtained by coercion. Nor is consent voluntary if it is obtained from a person who is incapacitated if one knows (or a reasonable person would know) that the other person is incapacitated. Because the incapacitation of another person may be difficult for one to discern, students are strongly encouraged to err on the

side of caution (i.e., when in doubt, assume that the other person is incapacitated and therefore unable to give consent.)

(e) Consent must be continual, which means that consent must exist from the beginning to the end of each sexual encounter and for each specific sexual act that occurs during a sexual encounter. A person has a right to change his/her mind; thus, consent to engage in a specific sexual act may be withdrawn by a person at any time. A withdrawal of consent is communicated through clear words and/or clear non-verbal actions that indicate that a person no longer agrees to engage in a specific sexual act. Once a person's withdrawal of consent has been communicated, the other person must cease the specific sexual act and must obtain consent before reinitiating the specific sexual act. Consent is automatically withdrawn when a person becomes incapacitated. Consent to one type of sexual contact or sexual intercourse (e.g., oral intercourse) does not constitute or imply consent for another type of sexual contact or sexual intercourse (e.g., vaginal intercourse), whether during a sexual encounter or during a previous sexual encounter. The University urges students to communicate with one another throughout a sexual encounter to ensure that any progression of sexual activity is done with consent.

(13) The term "course of conduct" means two (2) or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about another person, or interferes with another person's property.

(14) The term "dating violence" means violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim of the violence. The existence of such a relationship shall be determined based on the reporting party's statement and with consideration of the following factors: (1) the length of the relationship; (2) the type of relationship; and (3) the frequency of interaction between the persons involved in the relationship. Dating violence includes, without limitation, sexual or physical abuse or the threat of such abuse.

(15) The term "domestic violence" means a felony or misdemeanor crime of violence committed:

(a) by a current or former spouse or intimate partner of the victim;

(b) by a person with whom the victim shares a child in common;

(c) by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner;

- (d) by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred; or
- (e) by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.
- (16) The term "good faith" means having a belief in the truth of information that a reasonable person in the same situation could have, based on the information known to the person communicating the information at the time the information was communicated by that person. Information is not communicated in good faith if it is communicated with knowing or reckless disregard for information that would negate the former information.
- (17) The term "incapacitated" or "incapacitation" means a temporary or permanent physical or mental state in which a person cannot make informed, rational judgments (e.g., judgments concerning sexual contact, sexual intercourse, or sexual exploitation) because: the person lacks the physical or mental capacity to understand the nature or consequences of their words and/or conduct; and/or the person is unable to physically or verbally communicate consent. Incapacitation can be voluntary or involuntary. Incapacitation is determined based on the totality of the circumstances. Incapacitation may result from: sleep; unconsciousness; intermittent consciousness; temporary or permanent physical or mental disability; involuntary physical restraint; or the influence of alcohol, drugs, or other substances, including, without limitation, substances used to facilitate sexual assault (e.g., Rohypnol, Ketamine, GHB, and Burundanga). Alcohol and drugs are common causes of incapacitation. When alcohol or drugs are involved, Incapacitation is a state beyond mere drunkenness or intoxication. The impact of alcohol and drugs varies from person to person; however, warning signs of incapacitation may include, without limitation: lack of control over physical movements (e.g., inability to dress/undress without assistance; inability to walk without assistance); lack of awareness of circumstances or surroundings; vomiting; unresponsiveness; and inability to communicate coherently. A person who is under the age of eighteen (18) (i.e., a minor) is incapable of giving consent; however, a person who is at least the age of thirteen (13) and less than the age of eighteen (18) is capable of giving consent to sexual acts with another person who is less than four (4) years older than them.
- (18) The term "reasonable person" means a sober, objectively reasonable person in the same situation, and with the same sex, gender identity, and sexual orientation as the person whose words and/or conduct are being evaluated.
- (19) The term "relationship violence" means dating violence and/or domestic violence.
- (20) The term "retaliation" means an act (i) taken by a student (including an act taken

through a third party) because of another person's participation in a protected activity (ii) that would discourage a reasonable person from engaging in protected activity. Protected activity includes a person's good faith: (i) opposition to conduct prohibited under the Standards of Conduct; (ii) report to the University about conduct prohibited under the Standards of Conduct to the University; (iii) participation (or reasonable expectation of participation) in any manner in an investigation, meeting, hearing, or interim measure; or (iv) exercise of rights or responsibilities under any provision of the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act. Retaliation violates the Standards of Conduct regardless of whether the underlying allegation of a violation of the Standards of Conduct is ultimately found to have merit. Retaliation can include an act taken against a person's family, friends, advisors, and/or other persons reasonably expected to provide information in connection with a University investigation or hearing.

- (21) The term "sexual assault" means engaging in sexual contact or sexual intercourse with another person without the consent of that person.
- (22) The term "sexual contact" means the intentional touching of another person (including another person's clothing) in a sexual manner with any part of one's body or with any object. Sexual contact also means intentionally causing another person to touch themselves (including their clothing) in a sexual manner. Whether a touching was done in a sexual manner is determined from the perspective of a sober, objectively reasonable person in the same situation and with the same sex, gender identity, and sexual orientation as the person who was touched.
- (23) The term "sexual exploitation" means an act or attempted act by a person for the purpose of sexual arousal or gratification, financial gain, or other personal benefit through the abuse or exploitation of another person's sexuality. Examples of sexual exploitation include, without limitation: observation of a person who is undressed or engaging in sexual contact or sexual intercourse, without the consent of all persons being observed (in a place where a person has a reasonable expectation of privacy); creation or distribution of images, photography, an audiotape, or a videotape of sexual contact, sexual intercourse, or a person's intimate parts (i.e., genitalia, groin, breasts, buttocks) without the consent of all persons being recorded or photographed; prostituting another person; allowing others to observe, either in person or electronically, sexual contact or sexual intercourse without the consent of all persons involved in the sexual contact or sexual intercourse (in a place where a person has a reasonable expectation of privacy); and knowingly exposing another person to a sexually transmitted infection without informing the other person that one has a sexually transmitted infection.
- (24) The term "sexual harassment" means with respect to the conduct of a student, unwelcome conduct of a sexual nature that is so severe or pervasive, and objectively offensive, that it substantially interferes with the ability of a person to

work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall the term "sexual harassment" be construed to prohibit speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech). Sexual harassment may include, for example, unwelcome sexual advances, requests for sexual favors, and acts of sexual assault. The term "sexual harassment" also means, with respect to the conduct of a student-employee (when acting as a student-employee): unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature when: submission to such conduct is made either explicitly or implicitly a term or condition of an individual's participation in an educational program; submission to or rejection of such conduct by an individual is used as the basis for evaluation or advancement in an educational program; or such conduct has the purpose or effect of unreasonably interfering with an individual's educational performance or creates an intimidating, hostile or offensive educational environment. Sexual harassment is a form of sex discrimination. To determine whether conduct constitutes sexual harassment, consideration shall be given to the totality of the circumstances, including without limitation: the context in which the conduct and/or words occurred; and the frequency, nature, and severity of the conduct and/or words.

- (25) The term "sexual intercourse" means the penetration, no matter how slight, of the vagina or anus with any body part or object; or oral penetration by a sex organ of another person.
- (26) The term "sexual misconduct" means sexual harassment, sexual assault, and/or sexual exploitation.
- (27) The term "stalking" means engaging in a course of conduct directed at a specific person that would cause a reasonable person to: (1) fear for the person's safety or the safety of others; or (2) suffer substantial emotional distress. For the purposes of this definition, the term "reasonable person" means a reasonable person under similar circumstances and with similar identities to the victim.
- (28) The term "substantial emotional distress" means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

#### 1720-03-03-.03 JURISDICTION.

- (1) The Standards of Conduct, Chapter 1720-03-03-.04, apply to conduct that occurs on University-controlled property.
- (2) The University also has the discretion to discipline a student for an act in violation of the Standards of Conduct that occurs off University-controlled property if the conduct adversely affects the interests of the University, including, but not limited to, conduct which:

- (a) Occurs in connection with a University-affiliated activity, including, but not limited to, an overseas study program or a clinical, field, internship, or in-service experience;
  - (b) Involves another member of the University community; or
  - (c) Threatens, or indicates that the student may pose a threat to, the health or safety of him/herself or others or the security of any person's property, including, but not limited to, alcohol-related offenses, drug-related offenses, arson, battery, fraud, hazing, participation in group violence, rape, sexual assault or misconduct, stalking, and theft.
- (3) The Standards of Conduct have been adopted in furtherance of the University's interests and serve to supplement, rather than substitute for, the enforcement of the civil and criminal law. Accordingly, University disciplinary action may be instituted against a student charged with conduct that potentially violates both the criminal law and the Standards of Conduct without regard to the pendency of criminal charges or civil litigation. At the discretion of the Chief Student Affairs Officer, or his/her designee, disciplinary action relating to a violation of the Standards of Conduct may be carried out prior to, simultaneously with, or following criminal proceedings. Students accused of violating the Standards of Conduct may not challenge the University disciplinary proceedings on the grounds that criminal charges, civil litigation, or other University proceedings regarding the same incident are pending or have been terminated, dismissed, reduced, or not yet adjudicated.
- (4) Each student shall be responsible for his/her conduct from the time of application for admission through the actual awarding of a degree, even though conduct may occur before classes begin or after classes end, as well as during the academic year and during periods between terms of actual enrollment (and even if conduct is not discovered by the University until after a degree is awarded). Should a student withdraw from the University with disciplinary charges pending, the student's academic record and/or ability to register for classes may be encumbered by the appropriate University office.
- (5) Graduate or professional programs within the University may initiate charges against students for alleged violations of professional standards or ethics as a separate issue or as an extension of alleged acts of academic dishonesty or other violations of the Standards of Conduct.

**1720-03-03-.04 STANDARDS OF CONDUCT.** A student or student organization may be disciplined for the following types of misconduct:

- (1) Cheating, plagiarism, or any other act of academic dishonesty, including but not limited to an act in violation of the Honor Code.

- (2) Providing false information to a University official.
- (3) Falsifying, distorting, misrepresenting, or withholding information in connection with a University investigation or hearing.
- (4) Forging, altering, destroying, falsifying, or misusing records, identification, or documents, whether in print or electronic form.
- (5) Causing physical harm to any person (including oneself); endangering the health or safety of any person (including oneself); engaging in conduct that causes a reasonable person to fear harm to his/her health or safety; or making an oral or written statement ~~(including electronically)~~ that an objectively reasonable person hearing or reading the statement would interpret as a serious expression of an intent to commit an act of unlawful violence to a particular individual or group of individuals, ~~although the speaker need not mean to carry out the act of unlawful violence in order to constitute a violation of this rule.~~
- (6) Harassment, which is defined as unwelcome conduct that is so severe or pervasive, and objectively offensive, that it substantially interferes with the ability of a person to work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech).
- (7) ~~Engaging in sexual misconduct, relationship violence, or stalking. Sexual assault or misconduct. "Sexual assault" is defined as any sexual act or attempt to engage in any sexual act with another person without the consent of the other person, or in circumstances in which the person is unable to give consent due to age, disability, or an alcohol/chemical or other impairment. "Sexual misconduct" is defined as any intimate touching of another person, or forcing a person to engage in intimate touching of another, without the consent of the other person, or in circumstances in which the person is unable to give consent due to age, disability, or an alcohol/chemical or other impairment. It is the responsibility of the person initiating sexual activity to ensure the other person is capable of consenting to that activity. Consent is given by an affirmative verbal response or acts that are unmistakable in their meaning. Consent to one form of sexual activity does not mean consent is given to another type of sexual activity.~~
- (8) Invasion of another person's privacy when that person has a reasonable expectation of privacy, including, but not limited to, using electronic or other means to make a video or photographic record of any person in a location in which the person has a reasonable expectation of privacy, without the person's knowledge or consent. This includes, but is not limited to, making a video or photographic record of a person in shower/locker rooms or restrooms. The storing, sharing, and/or distributing of such unauthorized recordings by any means is also prohibited.

- (9) Theft, misappropriation, unauthorized possession, or unauthorized sale of private or public property, including but not limited to University-controlled property.
- (10) Vandalizing, destroying, damaging, engaging in conduct that reasonably could cause damage to, or misusing private or public property, including but not limited to University-controlled property.
- (11) Participating in hazing. "Hazing" is defined as any intentional or reckless act, on or off University-controlled property, by one (1) student, acting alone or with others, which is directed against any other student, which endangers the mental or physical health or safety of that student, or which induces or coerces a student to endanger his or her mental or physical health or safety. "Hazing" does not include customary athletic events or similar contests or competitions and is limited to those actions taken and situations created in connection with initiation into or affiliation with any organization.
- (12) Engaging in disorderly conduct, which means: fighting or other physically violent or physically threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.
- ~~(12)~~(13) Engaging in ~~disorderly,~~ lewd, indecent, or obscene conduct. ~~"Disorderly" conduct means fighting or other physically violent or threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.~~ "Lewd, indecent, or obscene" conduct includes, but is not limited to, public exposure of one's sexual organs, public urinating, and public sexual acts.
- ~~(13)~~(14) Engaging in speech, either orally or in writing, that is directed to inciting or producing imminent lawless action and is likely to incite or produce such action.
- ~~(14)~~(15) Any act of arson; falsely reporting a fire, the presence of an explosive or incendiary device, or other emergency; setting off a false fire alarm; or tampering with, removing, or damaging fire alarms, fire extinguishers or any other safety or emergency equipment from its proper location except when removed in a situation in which there is a reasonable belief of the need for such equipment.
- ~~(15)~~(16) Possessing, using, or duplicating University keys, access cards, or identification cards without authorization; possessing, using, or entering University-controlled property without authorization.
- ~~(16)~~(17) Theft, misuse, or unauthorized use of information technology facilities,

resources, or access codes, including, but not limited to: unauthorized entry into or transfer of a file; using another person's identification and/or password without that person's consent; using information technology facilities or resources to interfere with the work of another student, faculty member, staff member, or other member of the University community; using information technology facilities or resources to interfere with normal operation of a University information technology system or network; circumventing University information technology system or network security; using information technology facilities or resources in violation of copyright laws; falsifying an e-mail header; and conduct that violates the University's policy on the Acceptable Use of Information Technology Resources.

~~(17)~~(18) \_\_\_\_\_ Possessing, using, storing, or manufacturing any weapon or any facsimile of a weapon on University-controlled property or in connection with a University-affiliated activity, unless authorized in writing by the Chief of Police or his/her designee.

~~(18)~~(19) \_\_\_\_\_ Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages on University-controlled property or in connection with a University-affiliated activity.

~~(19)~~(20) \_\_\_\_\_ Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages, if prohibited by federal, state, or local law.

~~(20)~~(21) \_\_\_\_\_ Providing an alcoholic beverage to a person younger than twenty-one (21) years of age, unless permitted by law.

~~(21)~~(22) \_\_\_\_\_ Using, manufacturing, possessing, distributing, selling, dispensing, or being under the influence of drugs or drug paraphernalia, if prohibited by federal, state, or local law; using or possessing a prescription drug if the prescription was not issued to the student; or distributing or selling a prescription drug to a person to whom the prescription was not originally issued.

~~(22)~~(23) \_\_\_\_\_ Failing to pay a University bill, account, or other University financial obligation.

~~(23)~~(24) \_\_\_\_\_ Failing to respond to a request to report to a University administrative office; failing to comply with a lawful directive of a University employee or other public official acting within the scope of his/her duties; or failing to identify oneself to a University employee or other public official acting within the scope of his/her duties when requested to do so.

~~(24)~~(25) \_\_\_\_\_ Failing to appear at a University hearing, including, but not limited to, a hearing of a University judicial board, following a request to appear either as a party or as a witness.

~~(25)~~(26) \_\_\_\_\_ Violating the terms of an interim suspension, a no-contact directive, or a disciplinary penalty imposed by the University.

~~(26)~~(27) \_\_\_\_\_ Obstructing or disrupting teaching, learning, studying, research, public service, administration, disciplinary proceedings, emergency services, or any other University-affiliated activity, or the free flow of pedestrian or vehicular traffic on University-controlled property. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution.

~~(27)~~(28) \_\_\_\_\_ Violating a University policy or rule, including but not limited to University policies or rules relating to facilities use, smoking, the acceptable use of information technology resources, research or service misconduct, finder’s fees relating to clinical investigations involving human subjects or access to University data or materials, University libraries, dining services, parking or transportation, University identification card use, sexual harassment, residence halls, and registered student organizations.

~~(28)~~(29) \_\_\_\_\_ Committing an act that is prohibited by local, state, or federal law.

(30) \_\_\_\_\_ Attempting to commit a violation of a Standard of Conduct or being an accessory to the commission of an act or attempted act in violation of a Standard of Conduct.

~~(29)~~(31) \_\_\_\_\_ Engaging in retaliation.

**1720-03-03-.05 PENALTIES.**

(1) Disciplinary penalties are primarily intended to educate students and student organizations about appropriate behavior, encourage students and student organizations to take responsibility for misconduct, promote the personal and professional development of students, discourage other students and student organizations from violating the Standards of Conduct, and protect members of the University community. The penalties imposed should be appropriate for the particular case based on the gravity of the offense (including without limitation how the violation affected or reasonably could have affected other members of the University community). Efforts are made to keep penalties consistent with those applied to similar cases. In recognition of the fact that the University is an educational institution with a rehabilitative point of view, penalties are assessed in accordance with conditions accompanying each offense. Consideration may also be given to the student’s or student organization’s conduct record; the student’s or student organization’s responsiveness to the conduct process; whether the student acted in self-defense, and, if so, whether the amount of force used was reasonable under the circumstances; student academic classification; and other aggravating or mitigating factors. Penalties may be applied retroactively to the date of the offense. Intoxication or impairment because of

alcohol, drugs, chemicals, or other substances does not diminish or excuse a student violation of the Standards of Conduct. Additionally, official violation notifications are given by the appropriate office, and official records are maintained in that office.

- (2) The following penalties may be imposed on any student found to have violated the Standards of Conduct:
- (a) **Disciplinary Warning.** A disciplinary warning is a notice that the student is violating or has violated the Standards of Conduct. It is used for minor violations and consists of a restatement of the regulation violated with an official warning concerning future action.
  - (b) **Disciplinary Reprimand.** A disciplinary reprimand is used for minor violations of the Standards of Conduct when it is evident the misconduct occurred with knowledge and awareness of applicable Standards of Conduct. A reprimand indicates that further violations will result in more severe disciplinary actions. Reprimands may be given to students in either verbal or written form.
  - (c) **Loss of Privilege.** Loss of privilege is a penalty imposed most commonly cases involving violation of University rules governing hours, social standards, intramural sports, or misuse of University facilities. The loss of privilege is ordinarily established for a specific period of time, and actions are recorded in appropriate records. Privileges that maybe lost include, but are not limited to, scholarships, stipends, participation in extracurricular activities (e.g. intramurals), participation in social activities, and use of certain University-controlled property (e.g., information technology resources).
  - (d) **Education.** Students may be required to attend classes, at their own expense, dealing with issues such as the consequences of alcohol or drug use, civility, ethics, or other topics as deemed appropriate by the Chief Student Affairs Officer or his/her designee.
  - (e) **Restitution.** Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
  - (f) **Disciplinary Probation.** Disciplinary probation means that a student is permitted to remain in the University on a probationary status. Should a violation occur during probation, the student is normally suspended. Disciplinary probation is recorded on the student's personnel file in the Office of Student Affairs. Conditions of probation are specific to the individual case and may include loss of eligibility to serve as a student

organization officer or participation in major student activities. Any specific probation conditions are described in a personal letter to the student. Other conditions of probation are specific to each individual case and may include a requirement of community service or other requirement or restriction.

- (g) Suspension. The penalty of suspension is imposed in cases of serious or repeated misconduct or a violation of probation. A student who is suspended shall have his/her registration cancelled and is not eligible to apply for readmission for a designated period of time. Suspensions are always recorded on the student's permanent record. (Persons suspended from the University may not return to the campus for the duration of their suspension, except to conduct official business with an administrative officer or faculty member).
  - (h) Permanent Dismissal. Permanent dismissal means that a student is permanently barred from matriculating as a student at the University of Tennessee Health Science Center. This penalty is used when the violation of one (1) or more of the Standards of Conduct is deemed so serious as to warrant total and permanent disassociation from the University community without the possibility of re-enrollment; or when, by his/her repeated violation of the Standards of Conduct, a student exhibits blatant disregard for the health and safety of other members of the University community or the University's right to establish rules of conduct.
  - (i) Revocation of Degree. Revocation of a degree means revoking a student's a degree already awarded by the University. Revocation of a degree shall be approved by the University of Tennessee Board of Trustees.
- (3) A disciplinary hold may be placed on a student's account until the completion of the student disciplinary process and/or until the student satisfies the terms and conditions of any penalties imposed. A student who, at the time of commencement, is subject to a continuing disciplinary penalty or an unresolved disciplinary charge shall not be awarded a degree before the conclusion of all penalties and/or resolution of all disciplinary charges.
- (4) The following penalties may be imposed on a student organization found to have violated the Standards of Conduct:
- (a) Disciplinary Warning. A disciplinary warning is used for minor violations of the Standards of Conduct and consists of a restatement of the Standard of Conduct violated with an official warning concerning future action.
  - (b) Loss of Privilege. This penalty is intended to serve as a reminder of the Standards of Conduct and is for a specific period of time. Examples of privileges that may be lost include participating in extracurricular

activities (e.g., intramurals), housing privileges, participating in social activities, and using certain University-controlled property.

- (c) Education. Student organizations or their representatives may be required to attend classes, at their own expense, dealing with issues such as the consequences of alcohol or drug use, civility, ethics, or other topics as deemed appropriate by the Chief Student Affairs Officer, Student Conduct Officer, and/or his/her designee.
  - (d) Restitution. Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
  - (e) Social Probation. This penalty prohibits a student organization from sponsoring or participating in specified social activities. While on social probation, a student organization may not host social events or participate in University-affiliated activities. Any exceptions to social probation must be approved, in advance, by the Chief Student Affairs Officer or his/her designee.
  - (f) Disciplinary Probation. Disciplinary probation means that a student organization is permitted to retain University registration on a probationary status. Violation of the Standards of Conduct during the period of disciplinary probation may result in more serious penalties, including revocation of University registration.
  - (g) Revocation of University Registration. In cases of serious misconduct, a student organization's University registration may be revoked.
- (5) More than one (1) of the penalties listed above may be imposed for any single violation of the Standards of Conduct.
  - (6) Except for an interim suspension, disciplinary penalties shall not become effective until after opportunities for appeal have been exhausted. Penalties may be applied retroactively to the date of the offense. Coursework performed while disciplinary charges are pending or disciplinary proceedings are underway shall be considered conditional. Coursework may be affected or disregarded based on a final finding of misconduct or the penalty imposed, which may result in loss of course credit, a loss of tuition and/or fees, a delay in the awarding of a degree, or revocation of a degree that was awarded prior to a final decision in the disciplinary proceeding.

**1720-03-03-.06 NO CONTACT DIRECTIVE.** In cases involving allegations of assault, injury, sexual abuse, harassment, or in cases where there is reason to believe continued contact between a student/student organization and specific persons, including complainants and

witnesses, may interfere with those persons' security, safety or ability to participate effectively in work or studies, the Chief Student Affairs Officer, or his/her designee, may require that the student/student organization not have verbal, physical, or written contact with specific persons for a definite or indefinite period of time. The student/student organization will receive notice of the no contact directive. Any student, faculty or staff member or other person with a reasonable justification may request that a no contact directive be issued to a student/student organization. In addition to an internal University no contact directive, complainants are advised that other similar options exist and can be obtained from law enforcement and civil and criminal courts.

#### **1720-03-03-.07 INTERIM SUSPENSION.**

- (1) When the Chief Student Affairs Officer or his/her designee has reasonable cause to believe that a student's or student organization's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an ongoing threat to the disruption of, or interference with, the normal operations of the University, the Chief Student Affairs Officer or his/her designee may impose an interim suspension prior to the conclusion of a full hearing on the alleged misconduct.
- (2) An interim suspension shall be confirmed by a notice that explains the basis for the interim suspension and shall remain in effect until the conclusion of a full hearing in accordance with the rules of the University of Tennessee, which shall be held without undue delay.
- (3) Within three (3) business days of the imposition of the suspension, the student or student organization shall be offered an opportunity to appear personally before the Chief Student Affairs Officer or his/her designee in order to discuss the following issues only: (i) the reliability of the information concerning the student's or student organization's conduct; and (ii) whether the conduct and surrounding circumstances reasonably indicate that the student's or student organization's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an imminent threat of disruption of or interference with the normal operations of the University.
- (4) During an interim suspension, the student or student organization shall be denied access to University-controlled property, including residence halls, and all other University-affiliated activities or privileges for which the student might otherwise be eligible, as the Chief Student Affairs Officer or his/her designee determines in his/her sole discretion to be appropriate. A student or student organization who receives an interim suspension and violates the terms of the interim suspension shall be subject to further disciplinary action and may be treated as a trespasser. Permission to be on University-controlled property or participate in University-affiliated activities may be granted by the Chief Student Affairs Officer or his/her designee.

## 1720-03-03-.08 HEARING PROCEDURES.

- (1) Unless otherwise specified in the published policies and procedures of the UT Health Science Center, a student charged with misconduct or who is entitled to an opportunity for a hearing will, upon request, be provided a hearing in accordance with the following procedures:
- (a) Notice - A person charged with misconduct will receive written notification of the following:
1. The substance of the charge(s) against him/her.
  2. The disciplinary action taken or proposed.
  3. His/her right to a hearing should he/she wish to contest the charge(s) or action.
  4. To whom a request for a hearing should be addressed.
  5. That a request for a hearing must be made within five (5) days of the person's receipt of this notice.
  6. His/her right to be accompanied by no more than one (1) advisor during the hearing, including but not limited to a parent, spouse, friend, or attorney. The role of the advisor shall be limited to providing advice or support to the accused student. Even if accompanied by an advisor, the accused student is responsible for presenting his/her own case to the hearing panel. An advisor is not permitted to: introduce evidence; raise objections; present arguments; address directly the members of the hearing panel, the student conduct officer, or any witnesses participating in the hearing; or otherwise participate in the hearing. In consideration of the limited role of the advisor, and of the compelling interest of the University to expeditiously conclude the matter, a hearing shall not be delayed due to the unavailability of an advisor.
  7. His/her rights to a hearing ~~in accordance with the contested case under provisions of~~ the Uniform Administrative Procedures Act, T.C.A. § 4-5-108 et seq. ("UAPA"). In the absence of a voluntary written waiver of his/her rights to a hearing under the provisions of the ~~UAPA~~, a requested hearing will be conducted in accordance with the University's rules for conducting contested case proceedings under the UAPA, Chapter 1720-01-05, and the hearing procedures in this Section .08 shall not apply.
- (b) Hearing Panel - A requested hearing will be provided by a panel of

individuals or a hearing examiner, selected in accordance with policies of UT Health Science Center or, in the absence of applicable policies or procedures, by the Chancellor of the UT Health Science Center or his/her designee. The hearing will be conducted by a panel chairman similarly selected. Panel members shall be impartial and anyone lacking such impartiality shall recuse himself or be removed by the Chancellor of the UT Health Science Center or his/her designee upon the request of any party to a hearing.

- (c) Hearing Process - The chairman of a hearing panel or hearing examiner will conduct the hearing, without regard to technical rules of procedure, in such manner as will best serve the cause of justice within the following general guidelines:
1. Each party to a hearing will be afforded a full and fair opportunity to present all evidence including witnesses, reasonably relating to the charge or action at issue; evidence which is irrelevant, immaterial, repetitious or voluminous may be limited.
  2. The hearing panel or examiner will consider all evidence presented, giving due consideration to the credibility or weight of each item presented; technical rules of evidence will not apply.
  3. Each party will have the right to question opposing witnesses.
  4. A record will be made of the hearing procedures. However, defects in the record will not invalidate the proceedings.
  5. The University will have the burden of providing, by a preponderance of the evidence, the truth of the charge(s) at issue. Where the charge(s) is found to be true, the person charged will have the burden of proving that the disciplinary action taken or proposed is arbitrary, capricious, or unreasonable.
  6. Following the conclusion of the hearing, the hearing panel or examiner will consider the evidence and present written findings within a reasonable time.
  7. In cases involving an allegation of sexual assault or misconduct, the hearing procedures shall be modified to afford the alleged victim all of the rights described in Chapter 1720-03-03-.08(1)(d).
- (d) In accordance with Title IX of the Education Amendments of 1972, the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, and other applicable law, the University will investigate and resolve reports of sexual misconduct, relationship violence, and stalking in accordance with the University's Policy on Sexual Misconduct,

Relationship Violence, and Stalking. In a case involving an allegation of sexual misconduct, relationship violence, or stalking, the accused student/respondent and the alleged victim/complainant shall have the rights outlined in the University's Policy on Sexual Misconduct, Relationship Violence, and Stalking. In cases involving a complaint of sexual assault or misconduct, the alleged victim shall have the right to:

- ~~1. Notice concerning the process by which the University will handle the complaint and an opportunity to ask questions about the process;~~
- ~~2. A prompt, thorough, and impartial investigation of the complaint;~~
- ~~3. The same opportunity as the accused student to present his/her explanation of the facts during the University's investigation;~~
- ~~4. Have the investigation of the complaint concluded within sixty (60) days of the University's receipt of a complaint, unless circumstances make it impracticable for the University to complete its investigation within that timeframe;~~
- ~~5. Notice of the outcome of the University's investigation;~~
- ~~6. Have a disciplinary hearing conducted within thirty (30) days of a University charge that the accused student committed sexual assault or misconduct, unless circumstances make it impracticable for the University to conduct a hearing within that timeframe;~~
- ~~7. Notice of the date, time, and location of the disciplinary hearing, the right to have the disciplinary hearing closed to the public, and the right to request rescheduling of the hearing for good cause;~~
- ~~8. The same access as the accused student to any information or documents that will be used by the University during a disciplinary hearing, unless prohibited by law;~~
- ~~9. Challenge the selection of any member of a hearing panel or a hearing examiner for good cause, which will be determined at the discretion of the Chancellor or his/her designee;~~
- ~~10. Be accompanied by an advisor of his/her choosing during the University's investigation or a disciplinary hearing, but the advisor shall not be permitted to speak for the victim during a disciplinary hearing;~~
- ~~11. The same opportunity as the accused student to be present during the hearing, present witnesses and other evidence, challenge the~~

~~admissibility of evidence, and cross-examine adverse witnesses during the disciplinary hearing;~~

~~12. Testify or remain silent at his/her option; however, choosing to remain silent may result in the University dismissing the charges against the accused student or the hearing panel or examiner finding that there is insufficient evidence to find the accused student guilty of the charges against him/her;~~

~~13. Not to be questioned personally by the accused student during the disciplinary hearing;~~

~~14. Submit a written impact statement to the hearing panel or examiner for consideration during the sanctioning phase of the disciplinary hearing, if the accused student is found guilty of the charges against him/her;~~

~~15. Notice of the decision of the hearing panel or examiner within three (3) business days of the disciplinary hearing; and~~

~~16. Appeal the decision of the hearing panel or examiner to the Chancellor and the President.~~

- (2) The decision of any board or administrative officer of The University of Tennessee is subject to review by the Chancellor ~~and the President~~.
- (3) For purposes of this rule, the term “student” shall mean a student or a student organization.
- (4) When, in the judgment of the Chancellor of the University of Tennessee, Health Science Center, conditions are such that an emergency exists which makes it impossible for the system of judicial boards to function, he/she may suspend the procedural regulations described in this Chapter. If the procedures are suspended, he may substitute for them arrangements for handling disciplinary matters that will ensure the orderly functioning of the University and at the same time safeguard the basic rights of the students.

**1720-03-03-.09 STUDENT IDENTIFICATION CARDS.**

- (1) All currently registered students are required to have a UT Health Science Center Student Identification Card. Lost and found I.D. cards should be reported to the Office of Campus Safety and Security. Replacement for lost cards may be obtained from the Office of Campus Safety and Security. A replacement charge will be assessed.
- (2) In order to determine the identity of students, all students are required to present their University identification cards promptly on request of a University police

officer or member of the administration or faculty of the University. Identification cards will not ordinarily be retained; however, a card may be retained if an emergency situation exists or if the card may be needed as evidence. The retained card, if valid, will be returned to the student as soon as possible

**1720-03-03-.10 STUDENT HEALTH INSURANCE.** All students are required to obtain basic health care and medical care insurance to protect themselves, their families, their professional health care associates and providers. The student may elect to enroll in the UT Health Science Center student health insurance plan or obtain equivalent health insurance with a private carrier. Students who fail to furnish proof of insurance coverage will be prevented from completing their registration for that academic term.

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(KNOXVILLE)**

**CHAPTER 1720-04-03  
STUDENT RIGHTS AND RESPONSIBILITIES**

**6.3**

**1720-04-03-.01 INTRODUCTION.**

- (1) Students at the University of Tennessee are members of both the University community and the larger community of which the University is a part. Accordingly, students are responsible for conducting themselves in a lawful manner and in compliance with University rules and policies. The University has established the following rules in order to advance the mission of the University by maintaining a safe and secure learning environment; protecting the rights and privileges of all members of the University community; providing a basis for orderly conduct of the affairs of the University; promoting a positive relationship between the University and its surrounding community; preserving institutional integrity and property; encouraging students to engage in conduct that brings credit to themselves and the University; and ensuring that each student who matriculates at the University graduates ready to contribute to society as an ethical and law-abiding citizen.
- (2) The University of Tennessee is committed to respecting students' constitutional rights. Nothing in this chapter is intended or shall be interpreted to restrict students' constitutional rights, including, but not limited to, rights of freedom of speech and assembly.
- (3) Students are responsible for being fully acquainted and for complying with the University catalog, handbook, and other rules and policies relating to students. Failure or refusal to comply with the rules and policies established by the University may subject a student to disciplinary action up to and including permanent dismissal from the University.

**1720-04-03-.02 DEFINITIONS.**

- (1) The term "University" means the University of Tennessee.
- (2) The term "student" means a person admitted, enrolled or registered for study at the University of Tennessee, either full-time or part-time, pursuing undergraduate, graduate, or professional studies, as well as non-degree students. Persons not officially registered or enrolled for a particular term but who are eligible to enroll or have a continuing relationship with the University also are considered students for purposes of these rules.

- (3) The term “student organization” means an organization composed of University students that has submitted a pending application or completed the process for registration according to University rules.
- (4) The term “University-controlled property” means all land, buildings, facilities, grounds, structures, or any other property owned, leased, used, maintained, or operated by the University. For purposes of this rule, University-controlled property includes all streets, alleys, sidewalks, and public ways abutting such property. University-controlled property also includes computers and network systems owned, maintained, or controlled by the University or funded by the University.
- (5) The term “University-affiliated activity” means any activity on or off University-controlled property that is initiated, aided, authorized, sponsored, or supervised by the University.
- (6) The term “University official” means an employee of the University, including faculty members and staff, or for purposes of this rule a University-recognized volunteer. Student employees may be considered University officials when acting in the performance of their duties (e.g., event staff, resident assistants, and teaching assistants).
- (7) The term “member of the University community” means any person who is a student, University official, campus visitor, or participant in a University-sponsored or University-affiliated activity.
- (8) The term “possession” means actual knowledge of a substance or property and/or being in such close proximity to the substance or property that it is a reasonable presumption that one had knowledge of the substance or property.
- (9) The term “weapon” means any device, instrument, or substance that is designed to, or reasonably could be expected to, inflict a wound, incapacitate, or cause serious bodily injury or death, including, but not limited to, firearms (loaded and unloaded, real firearms and devices that would reasonably appear to a law enforcement officer to be real firearms—and replica), ammunition, electronic control devices (such as tasers and stun guns), devices designed to discharge an object (such as bb guns, air guns, pellet guns, potato guns, and slingshots, but not water guns), explosives, dangerous chemicals (such as mace, tear gas, and oleoresin capsicum), martial arts weapons, bows and arrows, artificial knuckles, nightsticks, blackjacks, dirks, daggers, swords, and knives with fixed blades longer than 3four (4) inches. The term “weapon” does not include pocket knives that fold (but excluding switchblades); chemical repellents available over-the-counter for self-defense; instruments used solely for personal hygiene,

preparation of food, maintenance, University-related instruction, or University employment-related duties.

- (10) The term “notice” means notice given in writing and transmitted by United States mail, courier service, or hand delivery to the address the University’s Registrar has on file for the student; and/or by e-mail to a student’s University-provided e-mail account. When a notice is transmitted by United States mail or courier service, the notice is effective on the date that it is mailed or delivered to the courier service. When a notice is transmitted by hand delivery, the notice is effective on the date that it is delivered to the person to whom the notice is addressed. When a notice is transmitted by e-mail, the notice is effective on the date that the e-mail is sent.~~by regular mail or courier service, electronic mail, or hand delivery.~~
- (11) The term “coercion” means words and/or conduct that, viewed from the perspective of a reasonable person, substantially impair a person’s ability to voluntarily choose whether to engage in a particular sexual act (e.g., sexual contact or sexual intercourse). Coercion is something more than mere seduction or persuasion. Coercion includes, without limitation: physical force; and words and/or conduct that would cause a reasonable person to fear imminent: harm to the person’s health, safety, or property or that of a third person; threat of the loss or impairment of a job benefit; threat of the loss or impairment of an academic benefit; kidnapping of the person or a third person; or disclosure of sensitive personal information (e.g., disclosure of a person’s sexual orientation, gender identity, or gender expression).
- (12) The term “consent” means an affirmative and voluntary agreement by a person to engage in a specific sexual act. Consent must be obtained, and the responsibility for obtaining consent rests with the individual who voluntarily and physically initiates a specific sexual act, even if the other person initiated the sexual encounter.
- (a) One’s own use of alcohol, drugs, or other substances does not diminish one’s responsibility to obtain Consent from the other person. Moreover, another person’s use of alcohol, drugs, or other substances does not diminish one’s responsibility to obtain consent from that person.
- (b) The term “affirmative,” as used in the definition of consent, means that consent is communicated only through words and/or non-verbal actions that convey a clear agreement to engage in a specific sexual act. Whether person has communicated an agreement to engage in a specific sexual act generally is evaluated from the perspective of what a reasonable person who perceived the individual’s words and/or non-verbal actions would have understood; however, in the context of a long-term relationship

between persons that has involved sexual activity and a pattern of communicating consent, whether consent has been communicated may be evaluated based on a subjective standard (i.e., what did the specific person who initiated the specific sexual act conclude?). A verbal “no” (or words equivalent to “no”), even if it sounds insincere or indecisive, always means that consent has not been communicated, or if previously communicated has been withdrawn. The absence of a verbal “no” does not necessarily mean that consent has been communicated. Because interpreting non-verbal actions may lead to misunderstanding and a violation of this policy, students are strongly encouraged to err on the side of caution and not rely solely on the non-verbal actions of another person in concluding that the other person has communicated consent. The University urges students to talk to one another before engaging in a sexual act to ensure that they both wish to engage in the same sexual act.

(c) Consent cannot be obtained by or inferred from:

1. silence that is not accompanied by non-verbal actions conveying a clear agreement to engage in a particular sexual act;
2. consent communicated by the other person on a previous occasion;
3. consent communicated to another person;
4. the other person’s failure to resist physical force (however, for purposes of this policy, the other person’s resistance to physical force will be viewed as a clear demonstration that the person has not communicated consent);
5. the sexual arousal of the other person;
6. a current or previous dating, romantic, intimate, or sexual relationship with the other person;
7. currently or previously cohabitating with the other person;
8. the other person’s attire;
9. the other person’s reputation;
10. the other person’s giving or acceptance of gifts; or

11. the other person's extension or acceptance of an invitation to go to a private residence, room, or location.
- (d) Consent is not voluntary if it is obtained by coercion. Nor is consent voluntary if it is obtained from a person who is incapacitated if one knows (or a reasonable person would know) that the other person is incapacitated. Because the incapacitation of another person may be difficult for one to discern, students are strongly encouraged to err on the side of caution (i.e., when in doubt, assume that the other person is incapacitated and therefore unable to give consent.)
- (e) Consent must be continual, which means that consent must exist from the beginning to the end of each sexual encounter and for each specific sexual act that occurs during a sexual encounter. A person has a right to change his/her mind; thus, consent to engage in a specific sexual act may be withdrawn by a person at any time. A withdrawal of consent is communicated through clear words and/or clear non-verbal actions that indicate that a person no longer agrees to engage in a specific sexual act. Once a person's withdrawal of consent has been communicated, the other person must cease the specific sexual act and must obtain consent before reinitiating the specific sexual act. Consent is automatically withdrawn when a person becomes incapacitated. Consent to one type of sexual contact or sexual intercourse (e.g., oral intercourse) does not constitute or imply consent for another type of sexual contact or sexual intercourse (e.g., vaginal intercourse), whether during a sexual encounter or during a previous sexual encounter. The University urges students to communicate with one another throughout a sexual encounter to ensure that any progression of sexual activity is done with consent.
- (13) The term "course of conduct" means two (2) or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about another person, or interferes with another person's property.
- (14) The term "dating violence" means violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim of the violence. The existence of such a relationship shall be determined based on the reporting party's statement and with consideration of the following factors: (1) the length of the relationship; (2) the type of relationship; and (3) the frequency of interaction between the persons involved in the relationship. Dating violence includes, without limitation, sexual or physical abuse or the threat of such abuse.

- (15) The term “domestic violence” means a felony or misdemeanor crime of violence committed:
- (a) by a current or former spouse or intimate partner of the victim;
  - (b) by a person with whom the victim shares a child in common;
  - (c) by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner;
  - (d) by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred; or
  - (e) by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.
- (16) The term “good faith” means having a belief in the truth of information that a reasonable person in the same situation could have, based on the information known to the person communicating the information at the time the information was communicated by that person. Information is not communicated in good faith if it is communicated with knowing or reckless disregard for information that would negate the former information.
- (17) The term “incapacitated” or “incapacitation” means a temporary or permanent physical or mental state in which a person cannot make informed, rational judgments (e.g., judgments concerning sexual contact, sexual intercourse, or sexual exploitation) because: the person lacks the physical or mental capacity to understand the nature or consequences of their words and/or conduct; and/or the person is unable to physically or verbally communicate consent. Incapacitation can be voluntary or involuntary. Incapacitation is determined based on the totality of the circumstances. Incapacitation may result from: sleep; unconsciousness; intermittent consciousness; temporary or permanent physical or mental disability; involuntary physical restraint; or the influence of alcohol, drugs, or other substances, including, without limitation, substances used to facilitate sexual assault (e.g., Rohypnol, Ketamine, GHB, and Burundanga). Alcohol and drugs are common causes of incapacitation. When alcohol or drugs are involved, Incapacitation is a state beyond mere drunkenness or intoxication. The impact of alcohol and drugs varies from person to person; however, warning signs of incapacitation may include, without limitation: lack of control over physical movements (e.g., inability to dress/undress without assistance; inability to walk without assistance); lack of awareness of circumstances or surroundings; vomiting; unresponsiveness; and inability to communicate coherently. A person

who is under the age of eighteen (18) (i.e., a minor) is incapable of giving consent; however, a person who is at least the age of thirteen (13) and less than the age of eighteen (18) is capable of giving consent to sexual acts with another person who is less than four (4) years older than them.

- (18) The term “reasonable person” means a sober, objectively reasonable person in the same situation, and with the same sex, gender identity, and sexual orientation as the person whose words and/or conduct are being evaluated.
- (19) The term “relationship violence” means dating violence and/or domestic violence.
- (20) The term “retaliation” means an act (i) taken by a student (including an act taken through a third party) because of another person’s participation in a protected activity (ii) that would discourage a reasonable person from engaging in protected activity. Protected activity includes a person’s good faith: (i) opposition to conduct prohibited under the Standards of Conduct; (ii) report to the University about conduct prohibited under the Standards of Conduct to the University; (iii) participation (or reasonable expectation of participation) in any manner in an investigation, meeting, hearing, or interim measure; or (iv) exercise of rights or responsibilities under any provision of the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act. Retaliation violates the Standards of Conduct regardless of whether the underlying allegation of a violation of the Standards of Conduct is ultimately found to have merit. Retaliation can include an act taken against a person’s family, friends, advisors, and/or other persons reasonably expected to provide information in connection with a University investigation or hearing.
- (21) The term “sexual assault” means engaging in sexual contact or sexual intercourse with another person without the consent of that person.
- (22) The term “sexual contact” means the intentional touching of another person (including another person’s clothing) in a sexual manner with any part of one’s body or with any object. Sexual contact also means intentionally causing another person to touch themselves (including their clothing) in a sexual manner. Whether a touching was done in a sexual manner is determined from the perspective of a sober, objectively reasonable person in the same situation and with the same sex, gender identity, and sexual orientation as the person who was touched.
- (23) The term “sexual exploitation” means an act or attempted act by a person for the purpose of sexual arousal or gratification, financial gain, or other personal benefit through the abuse or exploitation of another person’s sexuality. Examples of sexual exploitation include, without limitation: observation of a person who is

undressed or engaging in sexual contact or sexual intercourse, without the consent of all persons being observed (in a place where a person has a reasonable expectation of privacy); creation or distribution of images, photography, an audiotape, or a videotape of sexual contact, sexual intercourse, or a person's intimate parts (i.e., genitalia, groin, breasts, buttocks) without the consent of all persons being recorded or photographed; prostituting another person; allowing others to observe, either in person or electronically, sexual contact or sexual intercourse without the consent of all persons involved in the sexual contact or sexual intercourse (in a place where a person has a reasonable expectation of privacy); and knowingly exposing another person to a sexually transmitted infection without informing the other person that one has a sexually transmitted infection.

- (24) The term "sexual harassment" means with respect to the conduct of a student, unwelcome conduct of a sexual nature that is so severe or pervasive, and objectively offensive, that it substantially interferes with the ability of a person to work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall the term "sexual harassment" be construed to prohibit speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech). Sexual harassment may include, for example, unwelcome sexual advances, requests for sexual favors, and acts of sexual assault. The term "sexual harassment" also means, with respect to the conduct of a student-employee (when acting as a student-employee): unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature when: submission to such conduct is made either explicitly or implicitly a term or condition of an individual's participation in an educational program; submission to or rejection of such conduct by an individual is used as the basis for evaluation or advancement in an educational program; or such conduct has the purpose or effect of unreasonably interfering with an individual's educational performance or creates an intimidating, hostile or offensive educational environment. Sexual harassment is a form of sex discrimination. To determine whether conduct constitutes sexual harassment, consideration shall be given to the totality of the circumstances, including without limitation: the context in which the conduct and/or words occurred; and the frequency, nature, and severity of the conduct and/or words.
- (25) The term "sexual intercourse" means the penetration, no matter how slight, of the vagina or anus with any body part or object; or oral penetration by a sex organ of another person.
- (26) The term "sexual misconduct" means sexual harassment, sexual assault, and/or sexual exploitation.

- (27) The term “stalking” means engaging in a course of conduct directed at a specific person that would cause a reasonable person to: (1) fear for the person’s safety or the safety of others; or (2) suffer substantial emotional distress. For the purposes of this definition, the term “reasonable person” means a reasonable person under similar circumstances and with similar identities to the victim.
- (28) The term “substantial emotional distress” means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

#### 1720-04-03-.03 JURISDICTION.

- (1) The Standards of Conduct, Chapter 1720-04-03-.05, apply to conduct that occurs on University-controlled property.
- (2) The University also has the discretion to discipline a student for an act in violation of the Standards of Conduct that occurs off University-controlled property if the conduct adversely affects the interests of the University, including, but not limited to, conduct which:
  - (a) occurs in connection with a University-affiliated activity, including, but not limited to, an overseas study program or a clinical, field, internship, or in-service experience;
  - (b) involves another member of the University community; or
  - (c) threatens, or indicates that the student may pose a threat to, the health or safety of him/herself or others or the security of any person’s property, including, but not limited to, alcohol-related offenses, drug-related offenses, arson, battery, fraud, hazing, participation in group violence, rape, sexual assault or misconduct, stalking, and theft.
- (3) The Standards of Conduct have been adopted in furtherance of the University’s interests and serve to supplement, rather than substitute for, the enforcement of the civil and criminal law. Accordingly, University disciplinary action may be instituted against a student charged with conduct that potentially violates both the criminal law and the Standards of Conduct without regard to the pendency of criminal charges or civil litigation. At the discretion of the Vice Chancellor for Student Affairs, or his/her designee, disciplinary action relating to a violation of the Standards of Conduct may be carried out prior to, simultaneously with, or following criminal proceedings. Students accused of violating the Standards of Conduct may not challenge the University disciplinary proceedings on the grounds that criminal charges, civil litigation, or other University proceedings regarding the same incident are pending or have been terminated, dismissed,

reduced, or not yet adjudicated.

- (4) Each student shall be responsible for his/her conduct from the time of application for admission through the actual awarding of a degree, even though conduct may occur before classes begin or after classes end, as well as during the academic year and during periods between terms of actual enrollment (and even if conduct is not discovered by the University until after a degree is awarded). Should a student withdraw from the University with disciplinary charges pending, the student's academic record and/or ability to register for classes may be encumbered by the appropriate University office.
- (5) Graduate or professional programs within the University may initiate charges against students for alleged violations of professional standards or ethics as a separate issue or as an extension of alleged acts of academic dishonesty or other violations of the Standards of Conduct.

**1720-04-03-.04 FUNDAMENTAL RIGHTS ~~OF THE ACCUSED~~.**

- (1) Students and student organizations charged with violating the Standards of Conduct, Chapter 1720-04-03-.05, are entitled to the following rights under the University of Tennessee, Knoxville's student judicial system:
  - (a) Notice of charge(s), account of the alleged misconduct, witnesses, and notice of the scheduled hearing delivered 72 hours before the hearing. The student may request additional time by showing good cause.
  - (b) Notice of the maximum allowable penalty (i.e., permanent dismissal).
  - (c) The right to a hearing closed to the public.
  - (d) The right to: (i) a non-adversarial hearing before the Director of Judicial Affairs or his/her designee; or (ii) an adversarial hearing before a University hearing board with jurisdiction.
  - (e) The right to be assisted by a Student Judicial Advisor before a University hearing board. If an adversarial criminal proceeding has been initiated against a student (e.g., a criminal charge or indictment) arising out of the same conduct at issue in the disciplinary hearing, then the student also has a right to have an attorney present during the hearing before a University hearing board. The attorney's role shall be limited to advising the student about answering questions that may be self-incriminating.
  - (f) Testify or remain silent in a University hearing at his/her option. However, a University hearing board is not prohibited from drawing an

adverse inference against a student if the student remains silent or refuses to attend a disciplinary hearing or testify in response to evidence offered against him/her. A University hearing board shall not base its decision solely on a student's failure to attend a hearing or a student's refusal to testify or remain silent.

- (g) Present the testimony of witnesses and other evidence.
  - (h) The presumption of innocence. The burden of proof rests with the University, such that the University must prove the student's guilt by a preponderance of the evidence.
  - (i) A written decision specifying the rule violated, penalty assessed, and right of appeal.
  - (j) Challenge the seating of any board member for good cause. The dismissal of a challenged hearing board member shall be at the discretion of the hearing board chairperson. If the chairperson is challenged, he/she may be excused at the discretion of the majority of the hearing board.
  - (k) Have his/her case heard only on the misconduct specified in the written notice.
  - (l) Challenge the admissibility of evidence.
  - (m) Cross-examine all available adverse witnesses.
  - (n) Appeal the decision of a University disciplinary board in accordance with Chapter 1720-04-03-.12.
- (2) In accordance with Title IX of the Education Amendments of 1972, the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, and other applicable law, the University will investigate and resolve reports of sexual misconduct, relationship violence, and stalking in accordance with the University's Policy on Sexual Misconduct, Relationship Violence, and Stalking, a copy of which is published at sexualassault.utk.edu. In a case involving an allegation of sexual misconduct, relationship violence, or stalking, the accused student/respondent and the alleged victim/complainant shall have the rights outlined in the University's Policy on Sexual Misconduct, Relationship Violence, and Stalking. In cases of involving a complaint of sexual assault or misconduct, the alleged victim shall have the right to:
- ~~(a) notice concerning the process by which the University will handle the complaint and an opportunity to ask questions about the process;~~

- ~~(b) — a prompt, thorough, and impartial investigation of the complaint;~~
- ~~(c) — the same opportunity as the accused student to present his/her explanation of the facts during the University's investigation;~~
- ~~(d) — have the investigation of the complaint concluded within sixty (60) days of the University's receipt of a complaint, unless circumstances make it impracticable for the University to complete its investigation within that timeframe;~~
- ~~(e) — notice of the outcome of the University's investigation;~~
- ~~(f) — have a disciplinary hearing conducted within thirty (30) days of a University charge that the accused student committed sexual assault or misconduct, unless circumstances make it impracticable for the University to conduct a hearing within that timeframe;~~
- ~~(g) — notice of the date, time, and location of the disciplinary hearing, the right to have the disciplinary hearing closed to the public, and the right to request rescheduling of the hearing for good cause;~~
- ~~(h) — the same access as the accused student to any information or documents that will be used by the University during the disciplinary hearing, unless prohibited by law;~~
- ~~(i) — challenge the seating of any disciplinary board member for good cause, which will be determined at the discretion of the chairperson of the hearing board, or, if the seating of the chairperson is challenged, then at the discretion of the majority of the hearing board;~~
- ~~(j) — be accompanied by a person, other than a Student Judicial Advisor, of his/her choosing during the University's investigation or a disciplinary hearing, but the person shall not be permitted to speak for the victim during a disciplinary hearing;~~
- ~~(k) — the same opportunity as the accused student to be present during the hearing, present witnesses and other evidence through a Student Judicial Advisor, challenge the admissibility of evidence through a Student Judicial Advisor, and cross-examine adverse witnesses through a Student Judicial Advisor during the disciplinary hearing;~~
- ~~(l) — testify or remain silent in a hearing at his/her option;~~

- ~~(m) — not to be questioned directly by the accused student during the disciplinary hearing;~~
- ~~(n) — submit a written impact statement to the Student Disciplinary Board for consideration of the sanctioning phase of the disciplinary hearing, if the student is found guilty of the charges against him/her, or to the Office of Student Judicial Affairs for consideration during the sanctioning phase of the administrative hearing, if the accused student pleads guilty to the charges against him/her;~~
- ~~(o) — notice of the decision of the Student Disciplinary Board or the outcome of an administrative hearing within three (3) business days of the hearing; and~~
- ~~(p) — appeal the decision of a University disciplinary board in accordance with Chapter 1720-04-03-.12(3).~~

- (3) As an alternative to the procedures described in this Chapter, any student or student organization whose legal rights, duties or privileges are required by any statute or constitutional provision to be determined after an opportunity for a hearing shall be afforded that opportunity for hearing in accordance with the ~~Tennessee~~ Uniform Administrative Procedures Act, Tennessee Code Annotated, § 4-5-301, *et seq.* The University's procedures for conducting contested case hearings under the ~~Tennessee~~ Uniform Administrative Procedures Act are contained in Chapter 1720-01-05, and the University's rules concerning waivers of contested case hearings are contained in Chapter 1720-01-03.

**1720-04-03-.05 STANDARDS OF CONDUCT.** A student or student organization may be disciplined for the following types of misconduct:

- (1) Cheating, plagiarism, or any other act of academic dishonesty, including, but not limited to, an act in violation of the Honor Statement.
- (2) Providing false information to a University official.
- (3) Falsifying, distorting, misrepresenting, or withholding information in connection with a University investigation or hearing.
- (4) Forging, altering, destroying, falsifying, or misusing records, identification, or documents.
- (5) Causing physical harm to any person (including oneself); endangering the health or safety of any person (including oneself); engaging in conduct that causes a reasonable person to fear harm to his/her health or safety; or making an oral or

written statement that an objectively reasonable person hearing or reading the statement would interpret as a serious expression of an intent to commit an act of unlawful violence to a particular individual or group of individuals, ~~although the speaker need not mean to carry out the act of unlawful violence in order to constitute a violation of this rule.~~

- (6) Harassment, which is defined as unwelcome conduct that is so severe or pervasive, and objectively offensive, that it substantially interferes with the ability of a person to work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech).
- (7) ~~Engaging in sexual misconduct, relationship violence, or stalking. Sexual assault or misconduct. "Sexual assault" is defined as any sexual act or attempt to engage in any sexual act with another person without the consent of the other person, or in circumstances in which the person is unable to give consent due to age, disability, or an alcohol/chemical or other impairment. "Sexual misconduct" is defined as any intimate touching of another person, or forcing a person to engage in intimate touching of another, without the consent of the other person, or in circumstances in which the person is unable to give consent due to age, disability, or an alcohol/chemical or other impairment. It is the responsibility of the person initiating sexual activity to ensure the other person is capable of consenting to that activity. Consent is given by an affirmative verbal response or acts that are unmistakable in their meaning. Consent to one form of sexual activity does not mean consent is given to another type of sexual activity.~~
- (8) Invasion of another person's privacy when that person has a reasonable expectation of privacy, including, but not limited to, using electronic or other means to make a video or photographic record of any person in a location in which the person has a reasonable expectation of privacy, without the person's knowledge or consent. This includes, but is not limited to, making a video or photographic record of a person in shower/locker rooms or restrooms. The storing, sharing, and/or distributing of such unauthorized recordings by any means is also prohibited.
- (9) Theft, misappropriation, unauthorized possession, or unauthorized sale of private or public property, including but not limited to University-controlled property.
- (10) Vandalizing, destroying, damaging, engaging in conduct that reasonably could cause damage to, or misusing private or public property, including but not limited to University-controlled property.

(11) Participating in hazing. "Hazing" is defined as any intentional or reckless act, on or off University-controlled property, by one student, acting alone or with others, which is directed against any other student, that endangers the mental or physical health or safety of that student, or which induces or coerces a student to endanger his or her mental or physical health or safety, and includes treatment of a violent, abusive, shameful, insulting, or humiliating nature. Without limiting the foregoing, such action is prohibited when connected with initiation into or affiliation with an organization and does not include participation in customary athletic events or similar competition.

(12) Engaging in disorderly conduct, which means: fighting or other physically violent or physically threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.

~~(12)(13)~~ Engaging in ~~disorderly~~, lewd, indecent, or obscene conduct. ~~"Disorderly" conduct means fighting or other physically violent or threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.~~ "Lewd, indecent, or obscene" conduct includes, but is not limited to, public exposure of one's sexual organs, public urinating, and public sexual acts.

~~(13)(14)~~ Engaging in speech, either orally or in writing, that is directed to inciting or producing imminent lawless action and is likely to incite or produce such action.

~~(14)(15)~~ Any act of arson; falsely reporting a fire, the presence of an explosive or incendiary device, or other emergency; setting off a false fire alarm; or tampering with, removing, or damaging fire alarms, fire extinguishers or any other safety or emergency equipment from its proper location except when removed in a situation in which there is a reasonable belief of the need for such equipment.

~~(15)(16)~~ Possessing, using, or duplicating University keys, access cards, or identification cards without authorization; possessing, using, or entering University-controlled property without authorization.

~~(16)(17)~~ Theft, misuse, or unauthorized use of information technology facilities, resources, or access codes, including, but not limited to: unauthorized entry into or transfer of a file; using another person's identification and/or password without that person's consent; using information technology facilities or resources to interfere with the work of another student, faculty member, staff

member, or other member of the University community; using information technology facilities or resources to interfere with normal operation of a University information technology system or network; circumventing University information technology system or network security; using information technology facilities or resources in violation of copyright laws; falsifying an e-mail header; and conduct that violates the University's policy on the Acceptable Use of Information Technology Resources.

~~(17)~~(18) \_\_\_\_\_ Possessing, using, storing, or manufacturing any weapon or any facsimile of a weapon on University-controlled property or in connection with a University-affiliated activity, unless authorized in writing by the Chief of Police or his/her designee.

~~(18)~~(19) \_\_\_\_\_ Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages on University-controlled property or in connection with a University-affiliated activity.

~~(19)~~(20) \_\_\_\_\_ Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages, if prohibited by federal, state, or local law.

~~(20)~~(21) \_\_\_\_\_ Providing an alcoholic beverage to a person younger than twenty-one (21) years of age.

~~(21)~~(22) \_\_\_\_\_ Using, manufacturing, possessing, distributing, selling, dispensing, or being under the influence of drugs or drug paraphernalia, if prohibited by federal, state, or local law; using or possessing a prescription drug if the prescription was not issued to the student; or distributing or selling a prescription drug to a person to whom the prescription was not originally issued.

~~(22)~~(23) \_\_\_\_\_ Failing to pay a University bill, account, or other University financial obligation.

~~(23)~~(24) \_\_\_\_\_ Failing to respond to a request to report to a University administrative office; failing to comply with a lawful directive of a University employee or other public official acting within the scope of his/her duties; or failing to identify oneself to a University employee or other public official acting within the scope of his/her duties when requested to do so.

~~(24)~~(25) \_\_\_\_\_ Failing to appear at a University hearing, including, but not limited to, a hearing of a University judicial board, following a request to appear either as a party or as a witness.

~~(25)~~(26) \_\_\_\_\_ Violating the terms of an interim suspension, a no-contact directive, or a disciplinary penalty imposed by the University.

~~(26)~~(27) \_\_\_\_\_ Obstructing or disrupting teaching, learning, studying, research, public service, administration, disciplinary proceedings, emergency services, or any other University-affiliated activity, or the free flow of pedestrian or vehicular traffic. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution.

~~(27)~~(28) \_\_\_\_\_ Violating a University policy or rule as stipulated herein or as promulgated and announced by authorized personnel, including but not limited to University policies or rules relating to facilities use, smoking, the acceptable use of information technology resources, research or service misconduct, finder's fees relating to clinical investigations involving human subjects or access to University data or materials, University libraries, dining services, parking or transportation, University identification card use, sexual harassment, residence halls, and registered student organizations.

~~(28)~~(29) \_\_\_\_\_ Committing an act that is prohibited by local, state, or federal law.

~~(30)~~ \_\_\_\_\_ Attempting to commit a violation of a Standard of Conduct or being an accessory to the commission of an act or attempted act in violation of a Standard of Conduct.

~~(29)~~(31) \_\_\_\_\_ Engaging in retaliation.

**1720-04-03-.06 HONOR STATEMENT.**

- (1) Honor Statement. An essential feature of the University of Tennessee, Knoxville is a commitment to maintaining an atmosphere of intellectual integrity and academic honesty. As a student of the University, I pledge that I will neither knowingly give nor receive any inappropriate assistance in academic work, thus affirming my own personal commitment to honor and integrity.
- (2) A thorough understanding of the Honor Statement is essential to the success of the honor system. To facilitate implementation of the Honor Statement, the following avenues will be utilized:
  - (a) The Honor Statement, with its attendant pledge, will appear on applications for admission (undergraduate and graduate); and applicants to the University will be required to acknowledge their affirmation by signing the document in a designated location.
  - (b) Information regarding the Honor Statement will be included in the

catalogs (undergraduate and graduate), *Hilltopics*, and in a brochure specifically addressing the Honor Statement at UT.

- (c) The Honor Statement will be discussed during freshman, transfer, graduate student, and international student orientation programs.
  - (d) A thorough discussion of the Honor Statement in freshmen English is encouraged.
  - (e) Implementation methods and alternatives will be discussed during faculty orientation programs.
  - (f) The Honor Statement is furthered by Standard of Conduct No. 1, Chapter 1720-04-03-.05.
- (3) Prohibited Conduct.
- (a) The Honor Statement prohibits cheating, plagiarism, and any other type of academic dishonesty.
  - (b) Plagiarism is using the intellectual property or product of someone else without giving proper credit. The undocumented use of someone else's words or ideas in any medium of communication (unless such information is recognized as common knowledge) is a serious offense, subject to disciplinary action that may include failure in a course and/or dismissal from the university. Specific examples of plagiarism include, but are not limited to:
    1. Using without proper documentation (quotation marks and a citation) written or spoken words, phrases, or sentences from any source;
    2. Summarizing without proper documentation (usually a citation) ideas from another source (unless such information is recognized as common knowledge);
    3. Borrowing facts, statistics, graphs, pictorial representations, or phrases without acknowledging the source (unless such information is recognized as common knowledge);
    4. Collaborating on a graded assignment without the instructor's approval; and
    5. Submitting work, either in whole or in part, created by a

professional service and used without attribution (e.g., paper, speech, bibliography, or photograph).

- (c) Specific examples of other types of academic dishonesty include, but are not limited to:
1. Providing or receiving unauthorized information during an examination or academic assignment, or the possession and/or use of unauthorized materials during an examination or academic assignment;
  2. Providing or receiving unauthorized assistance in connection with laboratory work, field work, scholarship, or another academic assignment;
  3. Falsifying, fabricating, or misrepresenting data, laboratory results, research results, citations, or other information in connection with an academic assignment;
  4. Serving as, or enlisting the assistance of, a substitute for a student in the taking of an examination or the performance of an academic assignment;
  5. Altering grades, answers, or marks in an effort to change the earned grade or credit;
  6. Submitting without authorization the same assignment for credit in more than one course;
  7. Forging the signature of another or allowing forgery by another on any class- or University-related document such as a class roll or drop/add sheet;
  8. Failing to observe the expressed procedures or instructions relating to an exam or academic assignment; and
  9. Engaging in an activity that unfairly places another student at a disadvantage, such as taking, hiding, or altering resource material, or manipulating a grading system.

(4) Responsibilities.

- (a) All groups within the University community have responsibilities associated with the Honor Statement. These responsibilities are unique to

each sector of the University community.

- (b) **Student Responsibilities.** Each student is responsible for his/her own personal integrity in academic life. While there is no affirmative duty to report the academic dishonesty of another, each student, given the dictates of his/her own conscience, may choose to act on any violation of the Honor Statement. Each student is responsible for knowing and adhering to the terms and conditions of the Honor Statement and may acknowledge his/her adherence to the Honor Statement by writing "Pledged" and signing each graded class assignment and examination.
  - (c) **Instructor Responsibilities.** Regulation of academic dishonesty is the immediate responsibility of the instructor. However, students are not excused from complying with the Honor Statement because of an instructor's failure to prevent or discourage academic dishonesty.
- (5) **Process.**
- (a) When an act of alleged academic dishonesty is discovered by or brought to the attention of an instructor, the instructor shall inform the student orally or in writing about the alleged academic dishonesty, describe the evidence supporting the allegation, and give the student an informal opportunity to respond to the allegation(s) and evidence. The instructor may proceed with imposing an academic penalty for academic dishonesty if the student has not responded to the instructor's notice to the student concerning the alleged academic dishonesty.
  - (b) After giving the student notice and an informal opportunity to respond, if the instructor concludes that the student engaged in academic dishonesty, then the instructor may take any or all of the following actions:
    1. Impose an academic penalty of a failing or reduced grade in an academic exercise, assignment, examination, and/or course; loss of credit for the work involved; an assignment to repeat the work, to be graded on its merits; or an oral or written reprimand.
      - a. If the instructor decides to impose an academic penalty, then the instructor shall notify the student of the charges, evidence, findings, penalties imposed, and the procedures to appeal the findings and/or penalties. The notice should be countersigned by the department head.
      - b. Copies of the notice to the student shall be submitted to the Office of Student Judicial Affairs, the administrative

head of the instructor's academic unit, and, where different, the head of the academic unit in which the student is enrolled.

- c. After receiving notice from the instructor, the Office of Student Judicial Affairs shall provide the student with notice and information relating to an appeal of the instructor's findings of academic dishonesty and/or academic penalties.
2. Request that the Office of Student Judicial Affairs charge the student with violating Standard of Conduct No. 1, Chapter 1720-04-03-.05, and impose one or more of the disciplinary penalties described in Chapter 1720-04-03-.07. The instructor is not required to notify a student that a request has been made to the Office of Student Judicial Affairs.
    - (c) The Office of Student Judicial Affairs is responsible for determining whether to charge a student with violating Standard of Conduct No. 1. The decision of the Office of Student Judicial Affairs not to charge a student with violating Standard of Conduct No. 1 may not be used by the student to support an appeal of an academic penalty imposed by the instructor. The Office of Student Judicial Affairs may charge a student with violating Standard of Conduct No. 1 regardless of the response of the instructor to the alleged academic dishonesty.
    - (d) If a student denies a charge of academic dishonesty made by an instructor and/or the Office of Student Judicial Affairs, and/or if the student does not accept the academic penalties imposed by the instructor and/or the penalties recommended by the Office of Student Judicial Affairs, then the student may appeal to the appropriate Academic Review Board within seven (7) days of the written notice of the academic penalty or disciplinary charge, whichever occurs later. If the student does not file a timely notice of appeal, then the determination of academic dishonesty and the recommended academic penalty and/or disciplinary penalty become final.
  - (6) The University of Tennessee College of Law has adopted and promulgated its own Code of Academic Conduct, Chapter 1720-04-09, which governs academic dishonesty by students enrolled in the College of Law and controls in the event of a conflict between this Chapter and Chapter 1720-04-09.

#### 1720-04-03-.07 PENALTIES.

- (1) Disciplinary penalties are primarily intended to educate students and student organizations about appropriate behavior, encourage students and student organizations to take responsibility for misconduct, promote the personal and professional development of students, discourage other students and student organizations from violating the Standards of Conduct, and protect members of the University community. The penalties imposed should be appropriate for the particular case based on the gravity of the offense (including without limitation how the violation affected or reasonably could have affected other members of the University community). Consideration may also be given to the student's or student organization's conduct record; the student's or student organization's responsiveness to the conduct process; whether the student acted in self-defense, and, if so, whether the amount of force used was reasonable under the circumstances; student academic classification; and other aggravating or mitigating factors.
- (2) The following penalties may be imposed on any student found to have violated the Standards of Conduct:
  - (a) **Warning.** A warning is a notice that the student is violating or has violated the Standards of Conduct.
  - (b) **Loss of Privilege.** A loss of privilege is intended to serve as a reminder of the Standards of Conduct and is for a specific period of time. Privileges that may be lost include, but are not limited to, scholarships, stipends, participation in extracurricular activities (e.g. intramurals), housing privileges, participation in social activities, and use of certain University-controlled property (e.g., information technology resources).
  - (c) **Education.** Students may be required to attend classes, at their own expense, dealing with issues such as the consequences of alcohol or drug use, civility, ethics, or other topics as deemed appropriate by the Dean of Students or his/her designee.
  - (d) **Restitution.** Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
  - (e) **Disciplinary Reprimand.** A disciplinary reprimand is used for minor violations of the Standards of Conduct. A reprimand indicates that further violations will result in more severe disciplinary actions.
  - (f) **Disciplinary Probation.** Disciplinary probation permits a student to

remain at the University on probationary status but with the understanding that a future violation of the Standards of Conduct may result in suspension. Probation may be for a defined or indefinite period. Other conditions of probation are specific to each individual case and may include a requirement of community service or other requirement or restriction.

- (g) Suspension for a Specific Period of Time. Suspension for a specific period of time means that the student is withdrawn from the University and is not eligible to apply for readmission for a designated period of time. Usually, the period of designated suspension does not exceed one (1) calendar year. Upon return to the University following a suspension for a specific period of time, the student shall be placed on indefinite disciplinary probation.
- (h) Indefinite Suspension. Indefinite suspension is imposed in cases of serious or repeated misconduct or in cases in which the prognosis for rehabilitation is uncertain. Indefinite suspension means that the student is withdrawn from the University for an unspecified period of time but typically for a minimum of one (1) calendar year from the effective date of the indefinite suspension. A student who receives the penalty of indefinite suspension is not eligible to apply for readmission until the student successfully petitions the Student Affairs Council to lift the suspension. The student will be expected to meet regularly with the Dean of Students, or his/her designee, throughout the period of indefinite suspension. At a minimum, the student is expected to contact and begin meeting regularly with the Dean of Students, or his/her designee, at least 15 weeks prior to the semester for which the student desires to be readmitted to the University. Upon return to the University following an indefinite suspension, the student shall be placed on indefinite disciplinary probation.
- (i) Permanent Dismissal. Permanent dismissal means that a student is permanently barred from matriculating as a student at the University of Tennessee, Knoxville. This penalty is used when the violation of one or more of the Standards of Conduct is deemed so serious as to warrant total and permanent disassociation from the University community without the possibility of re-enrollment; or when, by his/her repeated violation of the Standards of Conduct, a student exhibits blatant disregard for the health and safety of other members of the University community or the University's right to establish rules of conduct. If a disciplinary hearing board desires to impose permanent dismissal, then the board shall communicate a recommendation of permanent dismissal to the Dean of Students. In the event a recommendation of permanent dismissal is not

approved by the Dean of Students, he/she may substitute any less severe penalty; if probation is substituted, it may be for a greater period than the period specified for suspension. The Dean of Students shall notify the Director of Student Judicial Affairs when a recommendation for permanent dismissal is not approved.

- (j) **Revocation of Degree.** Revocation of a degree means revoking a degree already awarded to a student by the University. If a disciplinary hearing board desires to revoke a degree, then the board shall communicate the recommendation to the Dean of Students, who shall either accept or reject the recommendation. Revocation of a degree shall be approved by the University of Tennessee Board of Trustees.
- (3) The following penalties, by themselves, do not create a disciplinary record for a student in the Office of Student Judicial Affairs for purposes of reporting a student's conduct history: (a) warning; (b) loss of privilege; (c) education; and (d) restitution. However, those penalties may be considered as part of a student's conduct history for purposes of determining what penalty should be imposed for a future disciplinary offense.
  - (4) A disciplinary hold may be placed on a student's account until the completion of the student disciplinary process or until the student satisfies the terms and conditions of any penalties imposed. A student who, at the time of commencement, is subject to a continuing disciplinary penalty or an unresolved disciplinary charge shall not be awarded a degree before the conclusion of all penalties and/or resolution of all disciplinary charges.
  - (5) The following penalties may be imposed on a student organization found to have violated the Standards of Conduct:
    - (a) **Warning.** A warning is a notice that the student organization is violating or has violated the Standards of Conduct.
    - (b) **Education.** Student organizations may be required to attend classes, at their own expense, dealing with issues such as the consequences of alcohol or drug use, civility, ethics, or other topics as deemed appropriate by the Dean of Students or his/her designee.
    - (c) **Loss of Privilege.** A loss of privilege is intended to serve as a reminder of the Standards of Conduct and is for a specific period of time. Examples of privileges that may be lost include participating in extracurricular activities (e.g., intramurals), housing privileges, participating in social activities, and using certain University-controlled property.

- (d) Restitution. Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
  - (e) Social Probation. Social probation prohibits a student organization from sponsoring or participating in specified social activities. While on social probation, a student organization may not host social events (e.g., mixers, date parties, formals, and band parties) or participate in University-affiliated activities (e.g., Homecoming, All Sing, Carnicus, intramurals). Any exceptions to social probation must be approved, in advance, by the Dean of Students or his/her designee.
  - (f) Disciplinary Probation. Disciplinary probation means that a student organization is permitted to retain University registration on a probationary status. Violation of the Standards of Conduct during the period of disciplinary probation may result in more serious penalties, including revocation of University registration.
  - (g) Revocation of University Registration. In cases of serious or repeated misconduct, a student organization's University registration may be revoked.
- (6) More than one of the penalties listed above may be imposed for any single violation of the Standards of Conduct.
  - (7) Except for an interim suspension, disciplinary penalties shall not become effective until after opportunities for appeal have been exhausted. Penalties may be applied retroactively to the date of the offense. Coursework performed while disciplinary charges are pending or disciplinary proceedings are underway shall be considered conditional. Coursework may be affected or disregarded based on a final finding of misconduct or the penalty imposed, which may result in loss of course credit, a loss of tuition and/or fees, a delay in the awarding of a degree, or revocation of a degree that was awarded prior to a final decision in the disciplinary proceeding.
  - (8) Intoxication or impairment because of alcohol, drugs, chemicals, or other substances does not diminish or excuse a violation of the Standards of Conduct.

**1720-04-03-.08 NO CONTACT DIRECTIVES.** In cases involving allegations of assault, injury, sexual abuse, harassment, or in cases where there is reason to believe continued contact between a student and specific persons, including complainants and witnesses, may interfere with those persons' security, safety or ability to participate effectively in work or studies, the

Vice Chancellor for Student Affairs, or his/her designee, may require that the student not have verbal, physical, or written contact with specific persons for a definite or indefinite period of time. The student will receive written notice of the no contact directive. Any student, faculty or staff member or other person with a reasonable justification may request that a no contact directive be issued to a student. In addition to an internal University no contact directive, complainants are advised that other similar options exist and can be obtained from law enforcement and civil and criminal courts.

**1720-04-03-.09 INTERIM SUSPENSION.**

- (1) When the Vice Chancellor for Student Affairs or his/her designee has reasonable cause to believe that a student's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an ongoing threat to the disruption of, or interference with, the normal operations of the University, the Vice Chancellor for Student Affairs or his/her designee may impose an interim suspension prior to the conclusion of a full hearing on the alleged misconduct.
- (2) An interim suspension shall be confirmed by a written statement that explains the basis for the interim suspension and shall remain in effect until the conclusion of a full hearing in accordance with the rules of the University of Tennessee, which shall be held without undue delay.
- (3) Within three (3) business days of the imposition of the suspension, the student shall be offered an opportunity to appear personally before the Vice Chancellor for Student Affairs or his/her designee in order to discuss the following issues only: (i) the reliability of the information concerning the student's conduct; and (ii) whether the conduct and surrounding circumstances reasonably indicate that the student's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an imminent threat of disruption of or interference with the normal operations of the University.
- (4) During an interim suspension, the student shall be denied access to University-controlled property, including residence halls, and all other University-affiliated activities or privileges for which the student might otherwise be eligible, as the Vice Chancellor for Student Affairs or his/her designee determines in his/her sole discretion to be appropriate. A student who receives an interim suspension and violates the terms of the interim suspension shall be subject to further disciplinary action and may be treated as a trespasser. Permission to be on University-controlled property or participate in University-affiliated activities may be granted by the Vice Chancellor for Student Affairs or his/her designee.

- (5) When a student is placed on interim suspension, he/she may be assigned a grade of "W" or "I," whichever is deemed appropriate by the faculty member involved.

**1720-04-03-.10 INVOLUNTARY MEDICAL WITHDRAWAL OR SUSPENSION.**

- (1) When a student is unable to effectively pursue his/her academic work, or when his/her behavior is disruptive to the normal educational processes of the University, or constitutes a threat to members of the University community, due to alcoholism, drug addiction, mental instability or other physical or psychologically incapacitating illness or condition, he/she may be withdrawn or temporarily suspended from the University as hereinafter provided.
- (2) **Withdrawal.** A student may be withdrawn from the University only after an evaluation of his/her mental and physical condition by a panel of at least three persons appointed by the Vice Chancellor for Student Affairs. The student shall be notified of the reasons for the evaluation and given an opportunity to present evidence to the committee. The committee's findings and recommendations shall be forwarded to the Vice Chancellor for Student Affairs, who will notify the student in writing of his/her decision. A student withdrawn under this procedure shall not be readmitted to the University without the approval of the Vice Chancellor for Student Affairs.
- (3) **Temporary Suspension.** Whenever a student, because of his/her mental or physical condition constitutes a danger to persons or property, or when his/her behavior is disruptive to the normal educational processes of the University, he/she may be suspended from the University, for a reasonable period of time, by the Vice Chancellor for Student Affairs or the Dean of Students. If the University does not withdraw the student in accordance with procedures outlined above, he/she may return to the University at the end of the suspension period.
- (4) **Grades.** When a student is withdrawn or temporarily suspended from the University, he/she may be assigned a grade of "W" or "I," whichever is deemed appropriate by the faculty member involved.

**1720-04-03-.11 THE HEARING BOARDS.**

- (1) **Greek Judicial Board.**
  - (a) This board has original jurisdiction in cases in which a Greek student organization has allegedly violated the InterFraternity Council (IFC), Panhellenic, or National Pan-Hellenic Council (NPHC) Rules, Constitution, or By-Laws, or University Standards of Conduct. Hearing procedures shall afford student organizations all of the rights set forth in

Chapter 1720-04-03-.04 (“Fundamental Rights of the Accused”) and be similar to the procedures used by the Student Disciplinary Board. Following a hearing, the board shall determine guilt or innocence and decide upon an appropriate penalty. Appeals from these decisions are to the Student Tribunal in accordance with Chapter 1720-04-03-.12.

- (b) An individual(s) member of a Greek student organization alleged to have violated a University Standard of Conduct will be referred to the Office of Student Judicial Affairs for appropriate action. The Director of Student Judicial Affairs, who will decide all jurisdictional questions, may assign jurisdiction over cases of individual discipline to the Greek Judicial Board, except for cases involving allegations of sexual harassment, sexual assault, or sexual misconduct. In such cases the Greek Judicial Board will operate under the procedures established for the Student Disciplinary Board. Appeals from these decisions are to Student Disciplinary Board and must be filed with the Office of Student Judicial Affairs in accordance with Chapter 1720-04-03-.12.
  - (c) Students serving as members of the Greek Judicial Board must be active members of a Greek organization and in good standing during their terms of office. Guidelines for appointing, replacing and removing board members will be suggested by the Greek Council to the Office of Student Judicial Affairs for approval. Members of the Greek Judicial Board will be required to participate in training seminars scheduled by the Office of Student Judicial Affairs.
- (2) Student Disciplinary Board.
- (a) Jurisdiction. The Student Disciplinary Board has the following responsibilities:
    1. To hear allegations of student misconduct involving violations of the Standards of Conduct;
    2. To determine the innocence or guilt of the student charged and establish an appropriate penalty in cases where the student is found guilty; and
    3. To hear individual discipline cases appealed from the Greek Judicial Board and to make decisions appropriate with appellate responsibility.
  - (b) Membership. Members of the Student Disciplinary Board must be sophomores or above. Undergraduate and law students must have at

least a 2.0 overall grade point average. Graduate students must have at least a 3.0 grade point average in all graduate work attempted. Members shall be screened and selected by a committee that consists of Office of Student Judicial Affairs staff. A member may be removed from office, for cause, by the Student Affairs Council following notice and a hearing.

(c) Hearing Procedures.

1. For each hearing, three (3) to seven (7) student board members will be selected from a pool of members. A quorum of three (3) board members must be present in order to hear a case. A majority vote of the members present is required for all decisions of the board. The chairperson votes in all cases.
2. Any board member who cannot hear the evidence fairly and objectively for any reason shall dismiss himself/herself from the case.
3. The Director of Student Judicial Affairs shall appoint a chairperson prior to the start of each hearing. The chairperson shall conduct the hearing and rule on all motions, objections, and other procedural issues. The chairperson shall ascertain that the accused has been advised of his/her rights and shall then read the statement of charges. A student who fails to appear before the Student Disciplinary Board in accordance with proper notification shall be deemed to have waived his/her rights to be present during the hearing, to know the evidence against him/her, to present evidence in his/her own behalf, and to exercise reasonable cross-examination of witnesses appearing against him/her. This waiver shall become effective if the student fails to appear at the designated time and place of the hearing unless, at least twenty-four (24) hours prior to the hearing, the student communicates in writing to the Office of Student Judicial Affairs good cause for granting a continuance of the hearing.
4. The accused shall enter a plea of guilty or not guilty. If a guilty plea is entered, he/she shall be advised of the maximum penalty.
5. The chairperson is responsible for maintaining an orderly discussion throughout the hearing. Proceedings should be conducted with fitting dignity and should reflect the importance and seriousness of the hearings. Any person who fails to follow the instructions of the chairperson, after a warning, shall be referred to the Director of Student Judicial Affairs for appropriate

disciplinary action.

6. In the event that the accused pleads guilty, the board shall review the circumstances of the case and make appropriate decisions or recommendations regarding the penalty.
7. If accused's attorney is present, the accused's attorney shall not question any individual, introduce evidence, raise objections, present arguments, or otherwise participate in the hearing. The failure of an accused's attorney to attend the hearing shall not be grounds for delaying the proceeding or challenging the validity of the proceeding.
8. The Student Judicial Advisor, on behalf of the University, shall present such evidence as he/she has at the hearing, including any witnesses. He/she shall not present written statements as evidence, unless circumstances make such presentation necessary and unavoidable. Under similar restrictions the accused may present written statements in his/her defense. Unsigned statements shall not be admitted as evidence. However, a statement submitted via electronic mail may be admitted if party against whom the statement is offered does not object to its admission or the chairperson determines that the statement's authenticity and validity has been verified. Hearsay evidence is, however, admissible.
9. After the presentation of evidence by the Judicial Advisor, the accused shall be allowed to present all relevant evidence. If a not guilty plea has been entered, evidence in mitigation of the alleged offense shall be presented only after the board has determined the issue of innocence or guilt.
10. During board deliberations all persons except the board members shall be excused from the hearing room. The decision shall be based solely upon the evidence presented. No mention will be made during the hearing on innocence or guilt of the student's previous disciplinary record, unless appropriate as rebuttal to character evidence introduced by the accused.
11. After a determination of guilt by the board, the Student Judicial Advisor, on behalf of the University, shall present the previous disciplinary record of the accused, if any, and evidence of any other aggravating circumstances, to the board together with the recommendation of the Director of Student Judicial Affairs as to

an appropriate penalty.

12. After presentation of evidence by the Judicial Advisor, the accused shall be allowed to present character evidence, evidence of mitigating circumstances, and an alternative penalty recommendation.
  13. After the board determines the penalty, the accused shall be advised in writing of its decision.
  14. The results of the board's decision shall be kept on official University judicial forms. If a verbatim record of the hearing is prepared, it shall be retained in the custody of the Office of Student Judicial Affairs and considered a confidential disciplinary record. If necessary for adjudication of an appeal, the Director of Student Judicial Affairs may prepare a summary, certified by the chairperson of the Student Disciplinary Board, or provide that portion of the record that has been designated by the Student Tribunal or Student Affairs Council as material to the appeal.
  15. A board member shall not discuss cases prior to or after the hearing. The information received by members of the board during a case is considered strictly confidential. Violations of this confidence by any board member could result in disciplinary action.
  16. In cases involving an allegation of sexual assault or misconduct, the hearing procedures shall be modified to afford the alleged victim all of the rights described in Chapter 1720-04-03-.04(2). The board may consider evidence presented by the victim as part of the University's proof.
- (d) Appeals. Appeals from decisions of the Student Disciplinary Board may be made to the Student Tribunal in accordance with Chapter 1720-04-03-.12.
- (3) Academic Review Boards.
- (a) Jurisdiction. Academic Review Boards have original jurisdiction over cases of alleged academic dishonesty (i.e., violations of Standard of Conduct No. 1, Chapter 1720-04-03-.05) that arise out of a course or program offered by the college or a student's appeal of an academic penalty imposed as a result of alleged academic dishonesty relating to a course or program offered by the college. Jurisdiction may include cases

of academic dishonesty which involve violations of other Standards of Conduct, but such cases shall initially be referred to the Office of Student Judicial Affairs for determination of appropriate jurisdiction.

- (b) Membership. The Academic Review Board of each college shall consist of an ad hoc group of three faculty members and three students selected from the college's membership by the administrative head of the college. Alternative methods of selection of the three faculty members and the three students may be adopted by an individual college with the approval of the administrative head of the college. Also, under extenuating circumstances, a college may recommend, for approval by the Student Affairs Council, a board composition which differs from that prescribed above.
- (c) Hearing Procedures.
  - 1. A quorum shall consist of four (4) members. The college shall make provision for alternates to insure the availability of a quorum.
  - 2. In order to establish continuity among the various Academic Review Boards and to ensure the maintenance of procedural due process, a member of the Dean of Students staff shall serve as the non-voting chairperson of each Academic Review Board. His/her responsibilities shall include the scheduling of meetings, notification of parties and witnesses, and other duties as needed to ensure due process is afforded.
  - 3. Hearing procedures shall be established by the Office of Student Judicial Affairs. Hearing procedures shall afford students all of the rights set forth in Chapter 1720-04-03-.04 ("Fundamental Rights of the Accused") and be similar to the procedures used by the Student Disciplinary Board. All decisions shall be by a majority vote.
- (d) Appeals.
  - 1. Appeal of Decision Concerning Academic Dishonesty.
    - (i) Student Appeal. A student may appeal a decision of the Academic Review Board that a student is guilty of academic dishonesty to the Student Affairs Council in accordance with Chapter 1720-04-03-.12.

- (ii) University Appeal. The University may appeal a decision of the Academic Review Board that a student is not guilty of academic dishonesty to the Student Affairs Council in accordance with Chapter 1720-04-03-.12. The administrative head of the college involved makes the decision whether to appeal.

2. Appeal of Decision Concerning Academic Penalty.

- (i) A student may appeal a decision of the Academic Review Board concerning an academic penalty to the Student Affairs Council in accordance with Chapter 1720-04-03-.12.
- (ii) If the Academic Review Board recommends that the instructor change the academic penalty proposed by the instructor, the Office of Student Judicial Affairs shall notify the instructor of the Academic Review Board's recommendation. The instructor may either accept or reject the Academic Review Board's recommendation to change an academic penalty, and the instructor or the administrative head of the college involved shall notify the Office of Student Judicial Affairs of the decision to accept or reject the Academic Review Board's recommendation within seven (7) days of the Academic Review Board's recommendation. If the instructor rejects the Academic Review Board's recommendation, then the Office of Student Judicial Affairs shall notify the student of the student's right to appeal the instructor's academic penalty in accordance with Chapter 1720-04-03-.12, in which case the student shall submit the request for appeal within seven (7) calendar days of the notice of the instructor's decision to reject the Academic Review Board's recommendation.
- (iii) In the event the instructor rejects the recommendation of the Student Affairs Council following an appeal by the student of an academic penalty, then the student may appeal to the Chancellor, who, in consultation with the Provost, shall be the final decision maker concerning changes to student's grades on the student's official University academic record. The student must file a request for appeal within seven (7) calendar days of the notice to the student concerning the instructor's rejection of the recommendation of the Student Affairs Council.

- (4) Student Tribunal.
- (a) Original Jurisdiction. The Student Tribunal has original jurisdiction in cases of conflicts between (non-Greek) student organizations or conflicts between individuals and student organizations, cases which involve interpretation of the Student Government Constitution, cases of challenge to results of Student Government elections, and discipline cases involving charges of dishonesty in these elections.
  - (b) Appellate Jurisdiction. The Student Tribunal has appellate jurisdiction to review decisions of the Student Disciplinary Board and the Greek Judicial Board. Any other jurisdiction may be assigned by the Director of Student Judicial Affairs. The forms to be used on appeal and other procedural requirements shall be established by the Office of Student Judicial Affairs.
  - (c) Membership. The Student Tribunal consists of three (3) to seven (7) members, selected by the Director of Student Judicial Affairs or designee from the Student Disciplinary Board.
  - (d) Hearing Procedures. A quorum of three (3) members is required for a hearing before the Student Tribunal, and a majority vote of the members present is required for all decisions. Other hearing procedures shall be established by the Office of Student Judicial Affairs. In cases of original jurisdiction, the hearing procedures shall afford students all of the rights set forth in Chapter 1720-04-03-.04 (“Fundamental Rights of the Accused”) and be consistent with the procedures used by the Student Disciplinary Board.
  - (e) Appeals. Appeals from decisions of the Student Tribunal may be made to the Student Affairs Council in accordance with Chapter 1720-04-03-.13.
- (5) Student Affairs Council.
- (a) Jurisdiction. The Student Affairs Council is the hearing board for appeals from decisions of the Student Tribunal, Academic Review Boards, and for appeals filed by the Dean of Students or his/her designee. It is the final decision making board in the judicial system. The Council also hears petitions to lift the disciplinary penalty of indefinite suspension. The Council may periodically review the status of student conduct and the judicial system and make appropriate recommendations.
  - (b) Membership. The Student Affairs Council is composed of the following members:

1. The Vice Chancellor for Student Affairs, who serves as the chairperson;
  2. All the Deans of the University of Tennessee, Knoxville;
  3. Three (3) faculty members, associate professor or above, appointed by the Faculty Senate (the initial appointments shall be staggered in terms so that one new faculty member is appointed each year after the initial appointment); and
  4. Eight (8) student members (one of whom shall be a graduate student), appointed by the Student Government Association, for a period of one year.
- (c) Except for appeals from the Student Tribunal, a majority of the Student Affairs Council shall constitute a quorum for the conducting of all business, and a majority vote of the members present is required for all decisions.
- (d) Appeals from the Student Tribunal. A subgroup of eleven (11) members of the Student Affairs Council, four (4) of whom shall be students, shall hear appeals from the Student Tribunal or an Academic Review Board. The Vice Chancellor for Student Affairs or his/her designee shall serve as the chairperson of the subgroup and shall select the ten (10) other members of the subgroup from the general membership of the Student Affairs Council.

The Student Affairs Council will hear appeals on the record, unless it elects by a majority vote of members present to hear the case de novo.

#### **1720-04-03-.12 APPEAL AND SCOPE OF REVIEW.**

- (1) Appeal. The disciplinary action of any board may be appealed to the next higher board.
  - (a) In all cases the request for appeal must be submitted in writing to the Office of Student Judicial Affairs within seven (7) calendar days of written notice of the board decision. If the seventh day falls on a weekend or holiday, the time is extended to the next regular workday.
  - (b) If written briefs are submitted or if required by the appellate board's bylaws, they must be submitted within fourteen (14) calendar days of submission of the request for appeal. Under normal circumstances

- appeals will be heard within seven (7) days after written briefs have been submitted.
- (c) All appeals (except those to the Student Affairs Council, which may elect to hear the case de novo) must be taken upon the record made before the original board.
  - (d) Pending the outcome of an appeal, the penalty specified in the original decision shall not be imposed.
- (2) Scope of Review. The appellate board will review the request for appeal together with any written briefs or other supporting documents to determine if the appeal presents a substantial question within the scope of review. The scope of review shall be limited to the following:
- (a) Appropriateness of the Penalty. In cases appealing the appropriateness of the penalty, the appeal board shall uphold the penalty unless the penalty is shown to be clearly unreasonable (i.e., that which has been clearly and fully proven to have no sound basis or justification in reason).
  - (b) New Evidence. In cases appealed on grounds of new evidence, the moving party must show that such evidence is material to the decision of the board on the issue of innocence or guilt, and that said evidence could not have been discovered by due diligence prior to the original hearing.
  - (c) Due Process. In cases appealed on the grounds of denial of due process, the moving party must show that the adjudicatory process of the initial hearing was not conducted in conformity with properly prescribed procedures. The moving party must also show that the alleged discrepancy was materially adverse to the moving party's interest. Nothing contained in the foregoing shall be construed as limiting the right of the Dean of Students to request the Student Affairs Council to review the decision of any judicial board.
- (3) In cases of involving a finding that a student is guilty of sexual assault or misconduct, the alleged victim shall have the right to appeal the decision of a University disciplinary board to the next higher board. The appellate board will review the request for appeal together with any written briefs or other supporting documents to determine if the appeal presents a substantial question within the scope of review. The scope of review shall be limited to the following:
- (a) Appropriateness of the Penalty. In cases appealing the appropriateness of the penalty, the appeal board shall uphold the penalty unless the penalty

is shown to be clearly unreasonable (i.e., that which has been clearly and fully proven to have no sound basis or justification in reason).

- (b) **New Evidence.** An alleged victim may appeal a decision by showing that there is new evidence material to the decision of the board on the issue of innocence or guilt, and that the evidence could not have been discovered by the exercise of due diligence prior to the original disciplinary hearing.
- (4) The decision of any board or administrative officer of the University of Tennessee, Knoxville is subject to review by the Chancellor and the President pursuant to the University of Tennessee Bylaws, Article V, Section 7.

#### **1720-04-03-.13 INSPECTION AND SEARCH POLICY.**

- (1) Entry by University authorities into occupied rooms in residence halls will be divided into three categories; inspection, search, and emergency. Inspection is defined as the entry into an occupied room by University authorities in order to ascertain the health and safety conditions in the room, to check the physical condition of the room, to make repairs on facilities, or to perform cleaning and janitorial operations. Search is defined as the entry into an occupied room by on-campus authorities for the purpose of investigating suspected violations of campus regulations. An emergency situation exists when the delay necessary to obtain a search authorization constitutes a danger to person, property, or the building itself.
  - (a) **Inspection:** Scheduled inspections by on-campus authorities with the exception of daily janitorial operations shall be preceded, if possible, by twenty-four hours' notice to the residents. During the inspection there will be no search of drawers, closets, or personal belongings. This policy is applicable for residence halls and fraternity houses.
  - (b) **Search:** On-campus authorities will not enter a room for purposes of search without permission from the resident(s) or prior permission from the Dean of Students, the Vice Chancellor for Student Affairs, or a designee of Dean of Students or Vice Chancellor for Student Affairs, unless in compliance with federal or state law.
  - (c) **Procedure for Search in Residence Hall Rooms:** A request for permission to search may be made by the Hall Director, Assistant Hall Director, or their superiors when reasonable cause exists to suspect that a violation is occurring or has occurred. If permission to search is verbally authorized, it must be verified in writing to the occupant(s) of the facility searched by the hall staff members requesting the search. A copy of the authorization

form is presented to the occupant(s) or left in the room if the occupant(s) is absent. The authorization form shall contain the following:

1. Description of the place to be searched;
  2. Name of the person authorizing the search;
  3. Description of the item(s) sought; and
  4. Name of the person requesting the search authorization.
- (d) Procedure for Search in Fraternity Houses: For purposes of search, the fraternity house will be divided into open and closed areas. The closed areas will be the dorm section and chapter room. University authorities may enter the open areas for purpose of inspection or search without permission, but there will be no search of personal belongings or closed areas of the house. University authorities will not enter the closed areas without the written permission of the Dean of Students, the Vice Chancellor for Student Affairs, or their designees, or in compliance with federal or state laws.
- (e) Resident Complaint: Should a resident believe that a University staff member has misused or abused his/her authority to inspect his/her room, the resident should file a complaint. He/she may prepare a written statement for review by the Office of Student Judicial Affairs. Or, if he/she prefers, the resident may state his/her complaint to an Area Coordinator or to a staff member of the Office of Student Judicial Affairs. The complaint will then be investigated, appropriate action will be taken, and the student will be informed in writing of the results of the investigation.

#### **1720-04-03-.14 ADMINISTRATIVE PROCEDURES.**

- (1) Residence Hall Regulations. Initially, violations of residence hall regulations will be handled by the Hall Director of the appropriate residence hall. Based upon the nature of the alleged violations and the past conduct of the accused, the Hall Director shall determine if the Hall Director Option is appropriate or refer the case to the Office of Student Judicial Affairs. If the Hall Director Option is deemed appropriate, it will be administered as follows:
- (a) The accused student will be notified;
1. That he/she is suspected of an alleged violation;

2. Of circumstances of the violation;
  3. Of his/her rights under the judicial system;
  4. Of his/her rights to have his/her case heard by a student disciplinary board;
  5. That the Hall Director Option does not include imposition of a penalty; and
  6. That acceptance of the Hall Director Option constitutes an admission of guilt which may be introduced at any subsequent disciplinary hearing.
- (b) If the student accepts the Hall Director Option he/she shall reply, in writing.
1. Acknowledging his/her participation in the alleged violation;
  2. Waiving his/her right to a hearing before the Student Disciplinary Board; and
  3. Waiving a right to appeal the administrative decision.
- (c) If the student rejects the Hall Director Option, his/her case will be forwarded to the Office of Student Judicial Affairs for action in accordance with violations of Standards of Conduct.
- (2) Standards of Conduct. When allegations of individual misconduct are referred to the Office of Student Judicial Affairs, the case will be adjudicated in accordance with procedures governing alleged violations of Standards of Conduct.

**1720-04-03-.15 EMERGENCY POWERS.** When, in the judgment of the Student Affairs Council of the University of Tennessee, conditions are such that it is impractical for the Student Disciplinary Boards to function, the Vice Chancellor for Student Affairs may suspend these procedural regulations and appoint an ad hoc committee to hear disciplinary matters. Any such ad hoc committee shall follow procedures that will insure the protection of the rights of the students involved, as stated herein. Any decisions by the ad hoc committee may be appealed to the Student Affairs Council.

**1720-04-03-.16 TERMINATION OF STUDENT EMPLOYEES.**

- (1) General:

- (a) The provisions of this policy statement apply to all student employees except those on college work-study (see policy statement on termination of financial assistance.)
  - (b) The purpose of this statement is to provide procedures for the termination of student employees.
- (2) Definitions:
- (a) Student Employee. An employee who is classified as a “student employee” by the payroll section of the Office of the Treasurer, University of Tennessee.
  - (b) Contract Employee. A student employee who has a written contract with the University providing employment for a specified period of time.
  - (c) Non-Contract Employees. All students not employed for a specified period of time, their employment being terminable at the will of either party irrespective of the quality of the performance of the other party.
  - (d) Gross Misconduct. Theft or dishonesty, gross insubordination, destruction of University property, falsification of records, acts of moral turpitude, reporting to duty under the influence of intoxicants, using or selling illicit drugs on University premises, disorderly conduct, provoking a fight, and such other similar acts involving intolerable behavior by the employee.
  - (e) Grounds for Termination of Contract Employees. Contract employees may be terminated during the term of their employment for gross misconduct or inadequate job performance.
- (3) Notice:
- (a) Non-Contract Employees. Whenever, in the opinion of the supervisor, a non-contract employee should be terminated, he/she shall be notified in writing setting forth the date of termination. If the reason for termination involves gross misconduct, the supervisor will, prior to termination, consult with the Vice Chancellor having administrative responsibility for the employee.
  - (b) Contract Employees. Whenever a supervisor is of the opinion that a contract employee should be terminated, he/she shall notify the appropriate Vice Chancellor. The Vice Chancellor shall notify the employee in writing of the reasons for his/her immediate termination or

suspension, as appropriate, and of his/her right to request a hearing in accordance with the Administrative Procedures Act (T.C.A. § 4-507, *et seq.*) or as hereinafter provided.

- (4) Request for a Hearing:
- (a) Contract Employee. The request of the employee together with his/her election of an Administrative Procedures Act hearing or one under this policy statement shall be forwarded in writing within five working days to the Vice Chancellor having administrative responsibility for the employee.
1. If the employee elects a hearing under the provisions of the Administrative Procedures Act, the Vice Chancellor shall forward the file to the Chancellor for the appointment of a hearing officer.
  2. If the employee elects a hearing under this policy statement, the Vice Chancellor shall immediately thereafter establish a three member hearing committee.
- (b) Non-Contract Employees. Non-contract employees may appeal their termination through the appropriate Dean/Director and Vice Chancellor to the Chancellor. No right to a hearing accompanies this right of appeal.
- (5) Responsibility of the Hearing Committee: It shall be the responsibility of the hearing committee to:
- (a) Conduct a hearing within ten working days of the employee's request for said hearing;
  - (b) Make findings of fact and recommendations to the appropriate Vice Chancellor;
  - (c) Notify the employee within five working days after the hearing of the committee's findings and recommendations;
  - (d) Prepare and forward as soon as it is practicable a written report of the hearing to the appropriate Vice Chancellor.
- (6) Hearing Procedures: Employees who are entitled to a hearing as provided above are entitled to the following procedural rights:
- (a) A written account of the alleged misconduct or grounds for inadequate work performance;

- (b) Reasonable notice of the time and place of the requested hearing;
  - (c) The assistance of a representative of his/her choice; if the employee requesting a hearing desires to be represented by an attorney, the appropriate Vice Chancellor must be notified by the employee at least three days prior to the scheduled hearing;
  - (d) To present all pertinent evidence including witnesses;
  - (e) To confront and cross-examine all adverse witnesses.
- (7) Decision and Appeal:
- (a) Hearing Committee. The appropriate Vice Chancellor shall within five working days after receipt of findings and recommendations of the hearing committee notify the employee in writing of his/her decision and of the employee's right to appeal as provided by Article 5, Section 7 of the University By-Laws. An employee's appeal must be submitted in writing to the Chancellor within ten business days after receipt of the decision.
  - (b) Administrative Procedures Act. The decision of the Chancellor is final in all cases heard under the contested case provision of the Administrative Procedures Act. Further appeal shall be in accordance with the provisions of that act.

**1720-04-03-.17 TERMINATION OF FINANCIAL ASSISTANCE.**

- (1) General:
- (a) Coverage: The provisions of this policy apply to student financial assistance except graduate assistantships and fellowships.
  - (b) Purpose: The purpose this policy is to provide procedures for the termination of financial assistance.
- (2) Definitions:
- (a) Athletic Grant-in-aid: A contract for financial assistance which has been approved by the Advisory Committee on Student Financial Aid and awarded in accordance with the provisions of the Constitution and By-Laws of the Southeastern Conference and the National Collegiate Athletic Association.

- (b) Financial Aid: Assistance awarded to a student in one of the following categories: college work-study, scholarships (including graduate), loans, and grants.
- (3) Notice:
- (a) Athletic Grant-in-Aid.
    1. Whenever the Athletic Department proposes that the financial assistance be terminated within the contract period, the student shall be notified in writing by the Director of Financial Aid of the proposed termination. The notice shall contain the reasons for termination, the student’s right to a hearing in accordance with the contested case provision of the Administrative Procedures Act or in accordance with the provisions hereinafter provided.
    2. Whenever athletic financial aid is not to be renewed at the end of the contract period, the student shall be notified of his/her right to a hearing, in accordance with the requirements of the Constitution of the National Collegiate Athletic Association, before the Financial Aid Hearing Committee.
  - (b) Financial Aid. Whenever financial aid is to be modified or terminated, the student shall be notified of the reasons for the proposed modification or termination and of the right to appeal by contacting the Director of Financial Aid. If the Director of Financial Aid is unable to amiably resolve the student’s appeal, it shall proceed as follows:
    1. If the appeal concerns interpretation of policy, the student shall be afforded the right of further appeal through the Dean of Admissions and Records and the Vice Chancellor for Academic Affairs to the Chancellor.
    2. If the appeal concerns a disputed question of fact, the student shall be advised of the right to a hearing before the Financial Aid Hearing Committee or in accordance with the Administrative Procedures Act.
- (4) Request for a Hearing: The request for a hearing together with his/her election of an Administrative Procedures hearing or one under this policy shall be made in writing to the Director of Financial Aid within five (5) calendar days of receipt of the notice of proposed termination.
- (a) If the student elects a hearing under the provision of the Administrative

Procedures Act, the Director of Financial Aid shall forward the file to the Provost for the appointment of a hearing officer.

- (b) If the student elects a hearing under this policy statement, the Director of Financial Aid shall immediately forward the request for a hearing together with a copy of the complete file to the Chairperson of the Advisory Committee on Student Financial Aid.
- (5) Hearing Committee. At the beginning of each semester, the Chairperson of the advisory committee on Student Financial Aid shall appoint a hearing subcommittee of not less than three (3) persons who shall be charged with the responsibility of hearing all appeals during that semester.
- (6) Responsibility of the Hearing Committee: It shall be the responsibility of the hearing committee to:
  - (a) Conduct a hearing within ten working days of the student's request for said hearing. When the University is not in session, the hearing shall be held as soon as reasonably possible.
  - (b) Make findings of fact and a determination as to the termination of financial aid.
  - (c) Notify the student as soon as possible of the committee's decision.
  - (d) Notify the student of his/her right to appeal, as indicated below.
- (7) Hearing Procedures: Students who are entitled to a hearing as above provided are entitled to the following procedural rights:
  - (a) A written notice of the alleged grounds for termination of financial assistance.
  - (b) To reasonable notice of the time and place of the requested hearing.
  - (c) The assistance of a representative of his/her choice. If the student requesting a hearing desires to be represented by an attorney, the University must be notified by the student at least three days prior to the scheduled hearing.
  - (d) To confront and cross-examine all adverse witnesses.
- (8) Appeal:

- (a) Hearing Committee Decision. The student may appeal the decision of the hearing committee in accordance with Article V, Section 7 of the University By-Laws. An appeal must be submitted in writing to the Chancellor within five (5) business days.
- (b) Administrative Procedures Act. The decision of the Chancellor is final in all cases heard under the contested case provision of the Administrative Procedures Act. Further appeal shall be in accordance with the provisions of that act.

RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(MARTIN)

CHAPTER 1720-05-01  
STUDENT RIGHTS AND RESPONSIBILITIES

6.4

**1720-05-01-.01 INTRODUCTION.**

- (1) Students at the University of Tennessee at Martin are members of both the University community and the larger community of which the University is a part. Accordingly, students are responsible for conducting themselves in a lawful manner and in compliance with University rules and policies. The University has established the following rules in order to advance the mission of the University by maintaining a safe and secure learning environment; protecting the rights and privileges of all members of the University community; providing a basis for orderly conduct of the affairs of the University; promoting a positive relationship between the University and its surrounding community; preserving institutional integrity and property; encouraging students to engage in conduct that brings credit to themselves and the University; and ensuring that each student who matriculates at the University graduates ready to contribute to society as an ethical and law-abiding citizen.
- (2) The University is committed to respecting students' constitutional rights. Nothing in this chapter is intended or shall be interpreted to restrict students' constitutional rights, including, but not limited to, rights of freedom of speech and assembly.
- (3) Students are responsible for being fully acquainted and for complying with the University catalog, handbook, and other rules and policies relating to students. Failure or refusal to comply with the rules and policies established by the University may subject a student to disciplinary action up to and including permanent dismissal from the University.

**1720-05-01-.02 DEFINITIONS.**

- (1) The term "University" means the University of Tennessee at Martin.
- (2) The term "student" means a person admitted, enrolled or registered for study at the University of Tennessee, either full-time or part-time, pursuing undergraduate, graduate, or professional studies, as well as non-degree students. Persons not officially registered or enrolled for a particular term but who have a continuing relationship with the University also are considered students for purposes of these rules.

- (3) The term “student organization” means an organization that is composed solely of University students that has submitted a pending application or has completed the process for registration according to University rules.
- (4) The term “University-controlled property” means all land, buildings, facilities, grounds, structures, or any other property owned, leased, used, maintained, or operated by the University. For purposes of this rule, University-controlled property includes all streets, alleys, sidewalks, and public ways abutting such property. University-controlled property also includes computers and network systems owned, maintained, or controlled by the University or funded by the University.
- (5) The term “University-affiliated activity” means any activity on or off University-controlled property that is initiated, aided, authorized, sponsored, or supervised by the University.
- (6) The term “University official” means an employee of the University, including faculty members and staff, or for purposes of this rule a University-recognized volunteer. Student employees may be considered University officials when acting in the performance of their duties (e.g., event staff, resident assistants, and teaching assistants).
- (7) The term “member of the University community” means any person who is a student, University official, campus visitor, or participant in a University-sponsored or University-affiliated activity.
- (8) The term “possession” means actual knowledge of a substance or property and/or being in such close proximity to the substance or property that it is a reasonable presumption that one had knowledge of the substance or property.
- (9) The term “weapon” means any device, instrument, or substance that is designed to, or reasonably could be expected to, inflict a wound, incapacitate, or cause serious bodily injury or death, including, but not limited to, firearms (loaded and unloaded, real firearms and devices that would reasonably appear to a law enforcement officer to be real firearms~~and replica~~), ammunition, electronic control devices (such as tasers and stun guns), devices designed to discharge an object (such as bb guns, air guns, pellet guns, potato guns, and slingshots, but not water guns), explosives, dangerous chemicals (such as mace, tear gas, and oleoresin capsicum), martial arts weapons, bows and arrows, artificial knuckles, nightsticks, blackjacks, dirks, daggers, swords, and knives with fixed blades longer than ~~three-four~~ (43) inches. The term “weapon” does not include pocket knives that fold (but not excluding switchblades); chemical repellents available over-the-counter for self-defense; instruments used solely for personal hygiene, preparation of food, maintenance, University-related instruction, or University employment-related duties.
- (10) The term “notice” means notice given in writing and transmitted by United

~~States mail, courier service, or hand delivery to the address the University's Registrar has on file for the student; and/or by e-mail to a student's University-provided e-mail account. When a notice is transmitted by United States mail or courier service, the notice is effective on the date that it is mailed or delivered to the courier service. When a notice is transmitted by hand delivery, the notice is effective on the date that it is delivered to the person to whom the notice is addressed. When a notice is transmitted by e-mail, the notice is effective on the date that the e-mail is sent, delivered by regular mail, courier service, or hand delivery to the address the University has on file for the student or student organization, or by e-mail to the student's or student organization's University-provided e-mail account.~~

- ~~(11) The term "coercion" means words and/or conduct that, viewed from the perspective of a reasonable person, substantially impair a person's ability to voluntarily choose whether to engage in a particular sexual act (e.g., sexual contact or sexual intercourse). Coercion is something more than mere seduction or persuasion. Coercion includes, without limitation: physical force; and words and/or conduct that would cause a reasonable person to fear imminent: harm to the person's health, safety, or property or that of a third person; threat of the loss or impairment of a job benefit; threat of the loss or impairment of an academic benefit; kidnapping of the person or a third person; or disclosure of sensitive personal information (e.g., disclosure of a person's sexual orientation, gender identity, or gender expression).~~
- ~~(12) The term "consent" means an affirmative and voluntary agreement by a person to engage in a specific sexual act. Consent must be obtained, and the responsibility for obtaining consent rests with the individual who voluntarily and physically initiates a specific sexual act, even if the other person initiated the sexual encounter.~~
- ~~(a) One's own use of alcohol, drugs, or other substances does not diminish one's responsibility to obtain Consent from the other person. Moreover, another person's use of alcohol, drugs, or other substances does not diminish one's responsibility to obtain consent from that person.~~
- ~~(b) The term "affirmative," as used in the definition of consent, means that consent is communicated only through words and/or non-verbal actions that convey a clear agreement to engage in a specific sexual act. Whether person has communicated an agreement to engage in a specific sexual act generally is evaluated from the perspective of what a reasonable person who perceived the individual's words and/or non-verbal actions would have understood; however, in the context of a long-term relationship between persons that has involved sexual activity and a pattern of communicating consent, whether consent has been communicated may be evaluated based on a subjective standard (i.e., what did the specific person who initiated the specific sexual act conclude?). A verbal "no" (or words equivalent to "no"), even if it sounds insincere or indecisive,~~

always means that consent has not been communicated, or if previously communicated has been withdrawn. The absence of a verbal “no” does not necessarily mean that consent has been communicated. Because interpreting non-verbal actions may lead to misunderstanding and a violation of this policy, students are strongly encouraged to err on the side of caution and not rely solely on the non-verbal actions of another person in concluding that the other person has communicated consent. The University urges students to talk to one another before engaging in a sexual act to ensure that they both wish to engage in the same sexual act.

(c) Consent cannot be obtained by or inferred from:

1. silence that is not accompanied by non-verbal actions conveying a clear agreement to engage in a particular sexual act;
2. consent communicated by the other person on a previous occasion;
3. consent communicated to another person;
4. the other person’s failure to resist physical force (however, for purposes of this policy, the other person’s resistance to physical force will be viewed as a clear demonstration that the person has not communicated consent);
5. the sexual arousal of the other person;
6. a current or previous dating, romantic, intimate, or sexual relationship with the other person;
7. currently or previously cohabitating with the other person;
8. the other person’s attire;
9. the other person’s reputation;
10. the other person’s giving or acceptance of gifts; or
11. the other person’s extension or acceptance of an invitation to go to a private residence, room, or location.

(d) Consent is not voluntary if it is obtained by coercion. Nor is consent voluntary if it is obtained from a person who is incapacitated if one knows (or a reasonable person would know) that the other person is incapacitated. Because the incapacitation of another person may be difficult for one to discern, students are strongly encouraged to err on the

side of caution (i.e., when in doubt, assume that the other person is incapacitated and therefore unable to give consent.)

(e) Consent must be continual, which means that consent must exist from the beginning to the end of each sexual encounter and for each specific sexual act that occurs during a sexual encounter. A person has a right to change his/her mind; thus, consent to engage in a specific sexual act may be withdrawn by a person at any time. A withdrawal of consent is communicated through clear words and/or clear non-verbal actions that indicate that a person no longer agrees to engage in a specific sexual act. Once a person's withdrawal of consent has been communicated, the other person must cease the specific sexual act and must obtain consent before reinitiating the specific sexual act. Consent is automatically withdrawn when a person becomes incapacitated. Consent to one type of sexual contact or sexual intercourse (e.g., oral intercourse) does not constitute or imply consent for another type of sexual contact or sexual intercourse (e.g., vaginal intercourse), whether during a sexual encounter or during a previous sexual encounter. The University urges students to communicate with one another throughout a sexual encounter to ensure that any progression of sexual activity is done with consent.

(13) The term "course of conduct" means two (2) or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about another person, or interferes with another person's property.

(14) The term "dating violence" means violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim of the violence. The existence of such a relationship shall be determined based on the reporting party's statement and with consideration of the following factors: (1) the length of the relationship; (2) the type of relationship; and (3) the frequency of interaction between the persons involved in the relationship. Dating violence includes, without limitation, sexual or physical abuse or the threat of such abuse.

(15) The term "domestic violence" means a felony or misdemeanor crime of violence committed:

(a) by a current or former spouse or intimate partner of the victim;

(b) by a person with whom the victim shares a child in common;

(c) by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner;

- (d) by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred; or
- (e) by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.
- (16) The term "good faith" means having a belief in the truth of information that a reasonable person in the same situation could have, based on the information known to the person communicating the information at the time the information was communicated by that person. Information is not communicated in good faith if it is communicated with knowing or reckless disregard for information that would negate the former information.
- (17) The term "incapacitated" or "incapacitation" means a temporary or permanent physical or mental state in which a person cannot make informed, rational judgments (e.g., judgments concerning sexual contact, sexual intercourse, or sexual exploitation) because: the person lacks the physical or mental capacity to understand the nature or consequences of their words and/or conduct; and/or the person is unable to physically or verbally communicate consent. Incapacitation can be voluntary or involuntary. Incapacitation is determined based on the totality of the circumstances. Incapacitation may result from: sleep; unconsciousness; intermittent consciousness; temporary or permanent physical or mental disability; involuntary physical restraint; or the influence of alcohol, drugs, or other substances, including, without limitation, substances used to facilitate sexual assault (e.g., Rohypnol, Ketamine, GHB, and Burundanga). Alcohol and drugs are common causes of incapacitation. When alcohol or drugs are involved, Incapacitation is a state beyond mere drunkenness or intoxication. The impact of alcohol and drugs varies from person to person; however, warning signs of incapacitation may include, without limitation: lack of control over physical movements (e.g., inability to dress/undress without assistance; inability to walk without assistance); lack of awareness of circumstances or surroundings; vomiting; unresponsiveness; and inability to communicate coherently. A person who is under the age of eighteen (18) (i.e., a minor) is incapable of giving consent; however, a person who is at least the age of thirteen (13) and less than the age of eighteen (18) is capable of giving consent to sexual acts with another person who is less than four (4) years older than them.
- (18) The term "reasonable person" means a sober, objectively reasonable person in the same situation, and with the same sex, gender identity, and sexual orientation as the person whose words and/or conduct are being evaluated.
- (19) The term "relationship violence" means dating violence and/or domestic violence.
- (20) The term "retaliation" means an act (i) taken by a student (including an act taken

through a third party) because of another person's participation in a protected activity (ii) that would discourage a reasonable person from engaging in protected activity. Protected activity includes a person's good faith: (i) opposition to conduct prohibited under the Standards of Conduct; (ii) report to the University about conduct prohibited under the Standards of Conduct to the University; (iii) participation (or reasonable expectation of participation) in any manner in an investigation, meeting, hearing, or interim measure; or (iv) exercise of rights or responsibilities under any provision of the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act. Retaliation violates the Standards of Conduct regardless of whether the underlying allegation of a violation of the Standards of Conduct is ultimately found to have merit. Retaliation can include an act taken against a person's family, friends, advisors, and/or other persons reasonably expected to provide information in connection with a University investigation or hearing.

- (21) The term "sexual assault" means engaging in sexual contact or sexual intercourse with another person without the consent of that person.
- (22) The term "sexual contact" means the intentional touching of another person (including another person's clothing) in a sexual manner with any part of one's body or with any object. Sexual contact also means intentionally causing another person to touch themselves (including their clothing) in a sexual manner. Whether a touching was done in a sexual manner is determined from the perspective of a sober, objectively reasonable person in the same situation and with the same sex, gender identity, and sexual orientation as the person who was touched.
- (23) The term "sexual exploitation" means an act or attempted act by a person for the purpose of sexual arousal or gratification, financial gain, or other personal benefit through the abuse or exploitation of another person's sexuality. Examples of sexual exploitation include, without limitation: observation of a person who is undressed or engaging in sexual contact or sexual intercourse, without the consent of all persons being observed (in a place where a person has a reasonable expectation of privacy); creation or distribution of images, photography, an audiotape, or a videotape of sexual contact, sexual intercourse, or a person's intimate parts (i.e., genitalia, groin, breasts, buttocks) without the consent of all persons being recorded or photographed; prostituting another person; allowing others to observe, either in person or electronically, sexual contact or sexual intercourse without the consent of all persons involved in the sexual contact or sexual intercourse (in a place where a person has a reasonable expectation of privacy); and knowingly exposing another person to a sexually transmitted infection without informing the other person that one has a sexually transmitted infection.
- (24) The term "sexual harassment" means with respect to the conduct of a student, unwelcome conduct of a sexual nature that is so severe or pervasive, and objectively offensive, that it substantially interferes with the ability of a person to

work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall the term “sexual harassment” be construed to prohibit speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech). Sexual harassment may include, for example, unwelcome sexual advances, requests for sexual favors, and acts of sexual assault. The term “sexual harassment” also means, with respect to the conduct of a student-employee (when acting as a student-employee): unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature when: submission to such conduct is made either explicitly or implicitly a term or condition of an individual's participation in an educational program; submission to or rejection of such conduct by an individual is used as the basis for evaluation or advancement in an educational program; or such conduct has the purpose or effect of unreasonably interfering with an individual's educational performance or creates an intimidating, hostile or offensive educational environment. Sexual harassment is a form of sex discrimination. To determine whether conduct constitutes sexual harassment, consideration shall be given to the totality of the circumstances, including without limitation: the context in which the conduct and/or words occurred; and the frequency, nature, and severity of the conduct and/or words.

- (25) The term “sexual intercourse” means the penetration, no matter how slight, of the vagina or anus with any body part or object; or oral penetration by a sex organ of another person.
- (26) The term “sexual misconduct” means sexual harassment, sexual assault, and/or sexual exploitation.
- (27) The term “stalking” means engaging in a course of conduct directed at a specific person that would cause a reasonable person to: (1) fear for the person’s safety or the safety of others; or (2) suffer substantial emotional distress. For the purposes of this definition, the term “reasonable person” means a reasonable person under similar circumstances and with similar identities to the victim.
- (28) The term “substantial emotional distress” means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

#### **1720-05-01-.03 JURISDICTION.**

- (1) The Standards of Conduct, Chapter 1720-05-01-.04, apply to conduct that occurs on University-controlled property.
- (2) The University also has the discretion to discipline a student for an act in violation of the Standards of Conduct that occurs off University-controlled property if the conduct adversely affects the interests of the University, including, but not limited to, conduct which:

- (a) Occurs in connection with a University-affiliated activity, including, but not limited to, an overseas study program or a clinical, field, internship, or in-service experience;
  - (b) Involves another member of the University community; or
  - (c) Threatens, or indicates that the student may pose a threat to, the health or safety of him/herself or others or the security of any person's property, including, but not limited to, alcohol-related offenses, drug-related offenses, arson, battery, fraud, hazing, participation in group violence, rape, sexual assault or misconduct, stalking, and theft.
- (3) The Standards of Conduct have been adopted in furtherance of the University's interests and serve to supplement, rather than substitute for, the enforcement of the civil and criminal law. Accordingly, University disciplinary action may be instituted against a student charged with conduct that potentially violates both the criminal law and the Standards of Conduct without regard to the pendency of criminal charges or civil litigation. At the discretion of the Vice Chancellor for Student Affairs, or his/her designee, disciplinary action relating to a violation of the Standards of Conduct may be carried out prior to, simultaneously with, or following criminal proceedings. Students accused of violating the Standards of Conduct may not challenge the University disciplinary proceedings on the grounds that criminal charges, civil litigation, or other University proceedings regarding the same incident are pending or have been terminated, dismissed, reduced, or not yet adjudicated.
- (4) Each student shall be responsible for his/her conduct from the time of application for admission through the actual awarding of a degree, even though conduct may occur before classes begin or after classes end, as well as during the academic year and during periods between terms of actual enrollment (and even if conduct is not discovered by the University until after a degree is awarded). Should a student withdraw from the University with disciplinary charges pending, the student's academic record and/or ability to register for classes may be encumbered by the appropriate University office.
- (5) Graduate or professional programs within the University may initiate charges against students for alleged violations of professional standards or ethics as a separate issue or as an extension of alleged acts of academic dishonesty or other violations of the Standards of Conduct.

**1720-05-01-.04 STANDARDS OF CONDUCT.** A student or student organization may be disciplined for the following types of misconduct:

- (1) Cheating, plagiarism, or any other act of academic dishonesty as described in Chapter 1720-05-01-.13.

- (2) Providing false information to a University official.
- (3) Falsifying, distorting, misrepresenting, or withholding information in connection with a University investigation or hearing.
- (4) Forging, altering, destroying, falsifying, or misusing records, identification, or documents, whether in print or electronic form.
- (5) Causing physical harm to any person (including oneself); endangering the health or safety of any person (including oneself); engaging in conduct that causes a reasonable person to fear harm to his/her health or safety; or making an oral or written statement ~~(including electronically)~~ that an objectively reasonable person hearing or reading the statement would interpret as a serious expression of an intent to commit an act of unlawful violence to a particular individual or group of individuals, ~~although the speaker need not mean to carry out the act of unlawful violence in order to constitute a violation of this rule.~~
- (6) Harassment, which is defined as unwelcome conduct that is so severe or pervasive, and objectively offensive, that it substantially interferes with the ability of a person to work, learn, live, or participate in or benefit from the services, activities, or privileges provided by the University. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution (e.g., mere insulting or offensive speech).
- (7) ~~Engaging in sexual misconduct, relationship violence, or stalking. Sexual assault or misconduct. "Sexual assault" is defined as any sexual act or attempt to engage in any sexual act with another person without the consent of the other person, or in circumstances in which the person is unable to give consent due to age, disability, or an alcohol/chemical or other impairment. "Sexual misconduct" is defined as any intimate touching of another person, or forcing a person to engage in intimate touching of another, without the consent of the other person, or in circumstances in which the person is unable to give consent due to age, disability, or an alcohol/chemical or other impairment. It is the responsibility of the person initiating sexual activity to ensure the other person is capable of consenting to that activity. Consent is given by an affirmative verbal response or acts that are unmistakable in their meaning. Consent to one form of sexual activity does not mean consent is given to another type of sexual activity.~~
- (8) Invasion of another person's privacy when that person has a reasonable expectation of privacy, including, but not limited to, using electronic or other means to make a video or photographic record of any person in a location in which the person has a reasonable expectation of privacy, without the person's knowledge or consent. This includes, but is not limited to, making a video or photographic record of a person in shower/locker rooms or restrooms. The storing, sharing, and/or distributing of such unauthorized recordings by any means is also prohibited.

- (9) Theft, misappropriation, unauthorized possession, or unauthorized sale of private or public property, including but not limited to University-controlled property.
- (10) Vandalizing, destroying, damaging, engaging in conduct that reasonably could cause damage to, or misusing private or public property, including but not limited to University-controlled property.
- (11) Participating in hazing. "Hazing" is defined as any intentional or reckless act, on or off University-controlled property, by one (1) student, acting alone or with others, which is directed against any other student, which endangers the mental or physical health or safety of that student, or which induces or coerces a student to endanger his or her mental or physical health or safety. "Hazing" does not include customary athletic events or similar contests or competitions and is limited to those actions taken and situations created in connection with initiation into or affiliation with any organization.

(12) Engaging in disorderly conduct, which means: fighting or other physically violent or physically threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.

~~(12)~~(13) Engaging in ~~disorderly~~,—lewd, indecent, or obscene conduct. ~~"Disorderly" conduct means fighting or other physically violent or threatening conduct; creating a hazardous or physically offensive condition by any act that serves no legitimate purpose; making noise that could unreasonably disturb others who are carrying on lawful activities; or conduct that breaches the peace.~~ "Lewd, indecent, or obscene" conduct includes, but is not limited to, public exposure of one's sexual organs, public urinating, and public sexual acts.

~~(13)~~(14) Engaging in speech, either orally or in writing, which is directed to inciting or producing imminent lawless action and is likely to incite or produce such action.

~~(14)~~(15) Any act of arson; falsely reporting a fire, the presence of an explosive or incendiary device, or other emergency; setting off a false fire alarm; or tampering with, removing, or damaging fire alarms, fire extinguishers or any other safety or emergency equipment from its proper location except when removed in a situation in which there is a reasonable belief of the need for such equipment.

~~(15)~~(16) Possessing, using, or duplicating University keys, access cards, or identification cards without authorization; possessing, using, or entering University-controlled property without authorization.

~~(16)~~(17) Theft, misuse, or unauthorized use of information technology facilities,

resources, or access codes, including, but not limited to: unauthorized entry into or transfer of a file; using another person's identification and/or password without that person's consent; using information technology facilities or resources to interfere with the work of another student, faculty member, staff member, or other member of the University community; using information technology facilities or resources to interfere with normal operation of a University information technology system or network; circumventing University information technology system or network security; using information technology facilities or resources in violation of copyright laws; falsifying an e-mail header; and conduct that violates the University's policy on the Acceptable Use of Information Technology Resources.

~~(17)~~(18) \_\_\_\_\_ Possessing, using, storing, or manufacturing any weapon or any facsimile of a weapon on University-controlled property or in connection with a University-affiliated activity, unless authorized in writing by the Department of Public Safety.

~~(18)~~(19) \_\_\_\_\_ Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages on University-controlled property or in connection with a University-affiliated activity.

~~(19)~~(20) \_\_\_\_\_ Consuming, manufacturing, possessing, distributing, dispensing, selling, or being under the influence of alcoholic beverages, if prohibited by federal, state, or local law.

~~(20)~~(21) \_\_\_\_\_ Providing an alcoholic beverage to a person younger than twenty-one (21) years of age, unless permitted by law.

~~(21)~~(22) \_\_\_\_\_ Using, manufacturing, possessing, distributing, selling, dispensing, or being under the influence of drugs or drug paraphernalia, if prohibited by federal, state, or local law; using or possessing a prescription drug if the prescription was not issued to the student; or distributing or selling a prescription drug to a person to whom the prescription was not originally issued.

~~(22)~~(23) \_\_\_\_\_ Failing to pay a University bill, account, or other University financial obligation.

~~(23)~~(24) \_\_\_\_\_ Failing to respond to a request to report to a University administrative office; failing to comply with a lawful directive of a University employee or other public official acting within the scope of his/her duties; or failing to identify oneself to a University employee or other public official acting within the scope of his/her duties when requested to do so.

~~(24)~~(25) \_\_\_\_\_ Failing to appear at a University hearing, including, but not limited to, a hearing of a University judicial board, following a request to appear either as a party or as a witness.

~~(25)~~(26) \_\_\_\_\_ Violating the terms of an interim suspension, a no-contact directive, or a disciplinary penalty imposed by the University.

~~(26)~~(27) \_\_\_\_\_ Obstructing or disrupting teaching, learning, studying, research, public service, administration, disciplinary proceedings, emergency services, or any other University-affiliated activity, or the free flow of pedestrian or vehicular traffic on University-controlled property. In no event shall this rule be construed to discipline a student for speech protected by the First Amendment to the United States Constitution.

~~(27)~~(28) \_\_\_\_\_ Violating a University policy or rule, including but not limited to University policies or rules relating to facilities use, smoking, the acceptable use of information technology resources, research or service misconduct, finder’s fees relating to clinical investigations involving human subjects or access to University data or materials, University libraries, dining services, parking or transportation, University identification card use, sexual harassment, residence halls, and registered student organizations.

~~(28)~~(29) \_\_\_\_\_ Committing an act that is prohibited by local, state, or federal law.

(30) \_\_\_\_\_ Attempting to commit a violation of a Standard of Conduct or being an accessory to the commission of an act or attempted act in violation of a Standard of Conduct.

~~(29)~~(31) \_\_\_\_\_ Engaging in retaliation.

**1720-05-01-.05 PENALTIES.**

- (1) Disciplinary penalties are primarily intended to educate students and student organizations about appropriate behavior, encourage students and student organizations to take responsibility for misconduct, promote the personal and professional development of students, discourage other students and student organizations from violating the Standards of Conduct, and protect members of the University community. The penalties imposed should be appropriate for the particular case based on the gravity of the offense (including without limitation how the violation affected or reasonably could have affected other members of the University community). Consideration may also be given to the student’s or student organization’s conduct record; the student’s or student organization’s responsiveness to the conduct process; whether the student acted in self-defense, and, if so, whether the amount of force used was reasonable under the circumstances; student academic classification; and other aggravating or mitigating factors.
- (2) The following penalties may be imposed on any student found to have violated the Standards of Conduct:

- (a) **Warning.** A warning is a notice that the student is violating or has violated the Standards of Conduct. A disciplinary warning is used for minor infractions and consists of a restatement of the Standard(s) of Conduct violated with an official warning concerning future behavior.
- (b) **Loss of Privilege.** A loss of privilege is intended to serve as a reminder of the Standards of Conduct and is for a specific period of time. Privileges that may be lost include, but are not limited to, scholarships, stipends, participation in extracurricular activities (e.g. intramurals), housing privileges, participation in social activities, and use of certain University-controlled property (e.g., information technology resources).
- (c) **Education.** Students may be required to attend classes, at their own expense, dealing with issues such as the consequences of alcohol or drug use, civility, ethics, or other topics as deemed appropriate by the Vice Chancellor for Student Affairs or his/her designee.
- (d) **Restitution.** Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
- (e) **Disciplinary Probation.** Disciplinary probation permits a student to remain at the University on probationary status but with the understanding that a future violation of the Standards of Conduct may result in suspension. Conditions of probation include loss of eligibility to join a student organization and to serve as an officer in a student organization. Other conditions are specific to the individual case and may include ineligibility to participate in certain student activities. Probation may be for a definite or indefinite period.
- (f) **Suspension for a Specific Period of Time.** Suspension for a specific period of time means that the student is withdrawn from the University and is not eligible to apply for readmission for a designated period of time. Usually, the designated period of time does not exceed one (1) calendar year. Persons suspended from the University may not return to the campus for the duration of their suspension, except to conduct official business with an administrative officer or faculty member. Upon return to the University following a suspension for a specific period of time, the student shall be placed on indefinite disciplinary probation.
- (g) **Indefinite Suspension.** Indefinite suspension is imposed in cases of serious or repeated misconduct or in cases in which the prognosis for rehabilitation is uncertain. Indefinite suspension means that the student is withdrawn from the University for an unspecified period of time but typically for a minimum of one (1) calendar year from the effective date

of the indefinite suspension. A student who receives the penalty of indefinite suspension is not eligible to apply for readmission until the student successfully petitions the University Council to lift the suspension. Upon return to the University following an indefinite suspension, the student shall be placed on indefinite disciplinary probation.

- (h) **Permanent Dismissal.** Permanent dismissal means that a student is permanently barred from matriculating as a student on the Martin campus. This penalty is used when the violation of one (1) or more Standards of Conduct is deemed so serious as to warrant total and permanent disassociation from the University community without the possibility of re-enrollment; or when, by his/her repeated violation of the Standards of Conduct, a student exhibits blatant disregard for the health and safety of other members of the University community or the University's right to establish rules of conduct.
  - (i) **Revocation of Degree.** Revocation of a degree means revoking a degree already awarded to a student by the University. Revocation of a degree shall be approved by the University of Tennessee Board of Trustees.
- (3) A disciplinary hold may be placed on a student's account until the completion of the student disciplinary process and/or until the student satisfies the terms and conditions of any penalties imposed. A student who, at the time of commencement, is subject to a continuing disciplinary penalty or an unresolved disciplinary charge shall not be awarded a degree before the conclusion of all penalties and/or resolution of all disciplinary charges.
- (4) The following penalties may be imposed on a student organization found to have violated the Standards of Conduct:
- (a) **Warning.** A warning is a notice that the student organization is violating or has violated the Standards of Conduct. A disciplinary warning is used for minor infractions and consists of a restatement of the Standard(s) of Conduct violated with an official warning concerning future behavior.
  - (b) **Loss of Privilege.** A loss of privilege is intended to serve as a reminder of the Standards of Conduct and is for a specific period of time. Examples of privileges that may be lost include participating in extracurricular activities (e.g., intramurals), housing privileges, participating in social activities, and using certain University-controlled property.
  - (c) **Education.** Student organizations and/or their representatives may be required to attend classes, at their own expense, dealing with issues such as the consequences of alcohol or drug use, civility, ethics, or other topics as deemed appropriate by the Vice Chancellor for Student Affairs or his/her designee.

- (d) Restitution. Restitution may be required in situations that involve destruction, damage, or loss of property, or unreimbursed medical expenses resulting from physical injury. Restitution may take the form of a monetary payment or appropriate service to repair or otherwise compensate for the destruction, damage, or loss.
  - (e) Social Probation. This penalty prohibits a student organization from sponsoring or participating in specified social activities. While on social probation, a student organization may not host social events (e.g., mixers, date parties, formals, and band parties) or participate in University-affiliated activities (e.g., Homecoming). Any exceptions to social probation must be approved, in advance, by the Vice Chancellor for Student Affairs or his/her designee.
  - (f) Disciplinary Probation. Disciplinary probation means that a student organization is permitted to retain University registration on a probationary status. Violation of the Standards of Conduct during the period of disciplinary probation may result in more serious penalties, including revocation of University registration.
  - (g) Revocation of University Registration. In cases of serious misconduct, a student organization's University registration may be revoked.
- (5) More than one (1) of the penalties listed above may be imposed for any single violation of the Standards of Conduct. Penalties may be applied retroactively to the date of the offense.
  - (6) Intoxication or impairment because of alcohol, drugs, chemicals, or other substances does not diminish or excuse a violation of the Standards of Conduct.
  - (7) Except for an interim suspension, disciplinary penalties shall not become effective until after opportunities for appeal have been exhausted. Penalties may be applied retroactively to the date of the offense. Coursework performed while disciplinary charges are pending or disciplinary proceedings are underway shall be considered conditional. Coursework may be affected or disregarded based on a final finding of misconduct or the penalty imposed, which may result in loss of course credit, a loss of tuition and/or fees, a delay in the awarding of a degree, or revocation of a degree that was awarded prior to a final decision in the disciplinary proceeding.

**1720-05-01-.06 NO CONTACT DIRECTIVE.** In cases involving allegations of assault, injury, sexual abuse, harassment, or in cases where there is reason to believe continued contact between a student/student organization and specific persons, including complainants and witnesses, may interfere with those persons' security, safety or ability to participate effectively in work or studies, the Vice Chancellor for Student Affairs, or his/her designee, may require that the student/student organization not have verbal, physical, or written contact with specific

persons for a definite or indefinite period of time. The student/student organization will receive written or electronic notice of the no contact directive. Any student, faculty or staff member or other person with a reasonable justification may request that a no contact directive be issued to a student/student organization. In addition to an internal University no contact directive, complainants are advised that other similar options exist and can be obtained from law enforcement and civil and criminal courts.

**1720-05-01-.07 INTERIM SUSPENSION.**

- (1) When the Vice Chancellor for Student Affairs or his/her designee has reasonable cause to believe that a student's or student organization's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an ongoing threat to the disruption of, or interference with, the normal operations of the University, the Vice Chancellor for Student Affairs or his/her designee may impose an interim suspension prior to the conclusion of a full hearing on the alleged misconduct.
- (2) An interim suspension shall be confirmed by a written statement that explains the basis for the interim suspension and shall remain in effect until the conclusion of a full hearing in accordance with the rules of the University of Tennessee, which shall be held without undue delay. The statement shall be delivered to the student in person, to the address the University has on file for the student, or to the student's University-provided e-mail account. The statement shall be delivered in person or via e-mail to the advisor to the student organization.
- (3) Within three (3) business days of the imposition of the suspension, the student or student organization shall be offered an opportunity to appear personally before the Vice Chancellor for Student Affairs or his/her designee in order to discuss the following issues only: (i) the reliability of the information concerning the student's conduct; and (ii) whether the conduct and surrounding circumstances reasonably indicate that the student's or student organization's continued presence on University-controlled property or at University-affiliated activities poses a significant risk of substantial harm to the health or safety of others or to property or poses an imminent threat of disruption of or interference with the normal operations of the University.
- (4) During an interim suspension, the student or student organization shall be denied access to University-controlled property, including residence halls, and all other University-affiliated activities or privileges for which the student or student organization might otherwise be eligible, as the Vice Chancellor for Student Affairs or his/her designee determines in his/her sole discretion to be appropriate. A student or student organization who receives an interim suspension and violates the terms of the interim suspension shall be subject to further disciplinary action and may be treated as a trespasser. Permission to be

on University-controlled property or participate in University-affiliated activities may be granted by the Vice Chancellor for Student Affairs or his/her designee.

- (5) When a student is placed on interim suspension from the University, he/she may be assigned a grade of "W" or "I," whichever is deemed appropriate by the faculty member involved.

#### 1720-05-01-.08 HEARING PROCEDURES.

- (1) A student charged with violating the Standards of Conduct, Chapter 1720-05-01-.04, shall be provided written notice of:
- (a) The substance of the charge(s) against him/her;
  - (b) The disciplinary action taken or proposed; and
  - (c) His/her rights to a hearing should he/she wish to contest the charge(s) and information concerning the process for requesting a hearing, including the requirement that a request for a hearing before the Disciplinary Hearing Board must be made within five (5) days of the student's receipt of the notice of the charges against him/her.
- (2) A student charged with violating the Standards of Conduct, Chapter 1720-05-01-.04, shall have the following options for a hearing:
- (a) An administrative hearing before the Student Conduct Officer;
  - (b) A hearing before the Disciplinary Hearing Board; or
  - (c) A hearing in accordance with the University's rules for conducting contested case provisions-proceedings under of the Tennessee-Uniform Administrative Procedures Act ("TUAPA"). All disciplinary cases that may result in suspension or permanent dismissal of a student, the revocation of a degree, or the revocation of registration of a student organization are subject to the contested case provisions of the TUAPA. The University's procedures for conducting contested case hearings under the TUAPA are contained in Chapter 1720-01-05, and the University's rules concerning waivers of contested case hearings proceedings are contained in Chapter 1720-01-3. Disciplinary hearings will be conducted in accordance with the University's procedures for conducting contested case hearings-proceedings under the TUAPA unless the student waives those procedures in writing and elects to have his or her case disposed-of-resolved in accordance with the-University procedures established by these rules Section .08(3) or Section .08(4).
- (3) Administrative Hearing. A student charged with violating the Standards of Conduct has a right to resolve a disciplinary case through an administrative

hearing with the Student Conduct Officer by accepting responsibility for violating the Standards of Conduct. Following the student's written acceptance of responsibility and written waiver of the right to a hearing under the TUAPA, the Student Conduct Officer will assess a penalty that is appropriate under Chapter 1720-05-01-.05. Following the assessment of the penalty, the student may acknowledge the acceptance of the penalty in writing or appeal the penalty to the Vice Chancellor for Student Affairs in accordance with Chapter 1720-05-01-.09. A student who resolves a disciplinary case through an administrative hearing with the Student Conduct Officer may only appeal the penalty to the Vice Chancellor for Student Affairs.

- (4) Disciplinary Hearing Board.
- (a) The Disciplinary Hearing Board is an ad hoc board composed of five (5) members of the University Council, in addition to the Vice Chancellor for Student Affairs, who shall serve as the non-voting chairperson of the Disciplinary Hearing Board. The Disciplinary Hearing Board hears cases of alleged violations of the Standards of Conduct and other cases deemed appropriate by the Vice Chancellor for Student Affairs. The Vice Chancellor for Student Affairs shall select the members of the Disciplinary Hearing Board from the membership of the University Council. A majority vote of the members present is required for all decisions of the board.
- (b) A request for a hearing before the Disciplinary Hearing Board shall be made within five (5) days of the student's receipt of the notice of the charges against him/her. A hearing shall be scheduled promptly after receipt of the request for a hearing. A student shall be notified of the date, place, and time for the hearing at least seventy-two (72) hours in advance of the hearing. A student has no right to have a hearing before the Disciplinary Hearing Board unless the student waives the provisions of the TUAPA in writing.
- (c) Members of the Disciplinary Hearing Board shall be impartial and anyone lacking such impartiality shall recuse himself/herself. The accused student has the right to challenge any member of the Disciplinary Hearing Board for good cause and request that he/she be dismissed and replaced. The chairperson of the Board determines whether to dismiss and replace a member of the Disciplinary Hearing Board.
- (d) The chairperson of the Disciplinary Hearing Board will conduct the hearing, without regard to technical rules of procedures in such a manner as will best serve the cause of justice within the following general guidelines:
1. An accused student has a right to a hearing closed to the public.

- In cases involving more than one (1) student, the chairperson may permit the hearings concerning each student to be conducted separately.
2. The chairperson shall rule on all motions, objections, and other procedural issues. The chairperson shall ascertain that the accused student has been advised of the charges against him/her and shall then read a statement describing the charges. A student who fails to appear before the Disciplinary Hearing Board following proper notice shall be deemed to have waived his/her rights to be present during the hearing, to know the evidence against him/her, to present evidence in his/her own behalf, and to exercise reasonable cross-examination of witnesses appearing against him/her. This waiver shall become effective if the student fails to appear at the designated time and place of the hearing unless, at least twenty-four (24) hours prior to the hearing, the student communicates in writing to the Dean of Students good cause for granting a continuance of the hearing. However, no student may be found to have violated the Standards of Conduct solely because the student failed to appear before the Disciplinary Hearing Board. In all cases, the evidence in support of the charges shall be presented to and considered by the Disciplinary Hearing Board.
  3. The accused shall enter a plea of guilty or not guilty. If a guilty plea is entered, he/she shall be advised of the maximum penalty, and the Board shall review the circumstances of the case and make appropriate decisions or recommendations regarding the penalty.
  4. The accused student may be accompanied by no more than one (1) advisor during the hearing, including but not limited to a parent, spouse, friend, or attorney. The role of the advisor shall be limited to providing advice or support to the accused student. Even if accompanied by an advisor, the accused student is responsible for presenting his/her own case to the Disciplinary Hearing Board. An advisor is not permitted to: introduce evidence; raise objections; present arguments; directly address the members of the Disciplinary Hearing Board, the Student Conduct Officer, or any witnesses participating in the hearing; or otherwise participate in the hearing. In consideration of the limited role of the advisor, and of the compelling interest of the University to expeditiously conclude the matter, a hearing shall not be delayed due to the unavailability of an advisor. The accused student shall inform the Student Conduct Officer of the name of the student's advisor, if any, at least three (3) days before the hearing before the Disciplinary Hearing Board.

5. Each party to a hearing shall be given an opportunity to make opening and closing statements.
6. Each party to a hearing shall be afforded a full and fair opportunity to present all evidence, including witnesses, reasonably relating to the charge or action at issue. Each party will have the right to question opposing witnesses. Technical rules of evidence will not apply. Evidence which is irrelevant, immaterial, repetitious or voluminous may be limited or excluded. Hearsay evidence is admissible. If a not guilty plea has been entered, evidence in mitigation of the alleged offense shall be presented only after the Board has determined the issue of innocence or guilt.
7. The Student Conduct Officer shall present the case on behalf of the University. The University shall have the burden of proving, by a preponderance of the evidence, the truth of the charge(s) at issue. Where the charge(s) is found to be true, the accused student shall have the burden of proving that the disciplinary action taken or proposed is arbitrary, capricious, or unreasonable.
8. The Board will consider all evidence presented, giving due consideration to the credibility or weight of each item presented. During Board deliberations all persons except the Board members shall be excused from the hearing room. The decision shall be based solely upon the evidence presented. No mention will be made during the hearing on innocence or guilt of the student's previous disciplinary record, unless appropriate as rebuttal to character evidence introduced by the accused.
9. After a determination of guilt by the Board, the Student Conduct Officer, on behalf of the University, shall present the previous disciplinary record of the accused student if any, and evidence of any other aggravating circumstances, to the Board together with the recommendation of the Student Conduct Officer as to an appropriate penalty.
10. After presentation of evidence by the Student Conduct Officer, the accused shall be allowed to present character evidence, evidence of mitigating circumstances, and an alternative penalty recommendation.
11. After the Board determines the penalty, the accused student shall be advised in writing of its decision within forty-eight (48) hours of the hearing.

12. A record will be made of the hearing procedures. However, defects in the record will not invalidate the proceedings. The results of the Board's decision shall be kept on official University forms. If a verbatim record of the hearing is prepared, it shall be retained in the custody of the Office of Student Conduct and considered a confidential disciplinary record.
13. Appeals from decisions of the Disciplinary Hearing Board may be made to the Vice Chancellor for Student Affairs in accordance with Chapter 1720-05-01-.09.
14. In cases involving an allegation of sexual assault or misconduct, the hearing procedures shall be modified to afford the alleged victim all of the rights described in Chapter 1720-05-01-.08(4)(e). The Disciplinary Hearing Board may consider evidence introduced by the alleged victim as part of the University's proof.

~~(e) In cases involving a complaint of sexual assault or misconduct, the alleged victim shall have the right to:~~

- ~~1. Notice concerning the process by which the University will handle the complaint and an opportunity to ask questions about the process;~~
- ~~2. A prompt, thorough, and impartial investigation of the complaint;~~
- ~~3. The same opportunity as the accused student to present his/her explanation of the facts during the University's investigation;~~
- ~~4. Have the investigation of the complaint concluded within sixty (60) days of the University's receipt of a complaint, unless circumstances make it impracticable for the University to complete its investigation within that timeframe;~~
- ~~5. Notice of the outcome of the University's investigation;~~
- ~~6. Have a disciplinary hearing conducted by the Disciplinary Hearing Board within thirty (30) days of a University charge that the accused student committed sexual assault or misconduct, unless the accused student chooses an administrative hearing under Chapter 1720-05-01-.08(3) or the circumstances make it impracticable for the University to conduct a hearing within that timeframe;~~
- ~~7. Notice of the date, time, and location of the hearing before the Disciplinary Hearing Board, the right to have the hearing closed~~

- ~~to the public, and the right to request rescheduling of the hearing for good cause;~~
- ~~8. The same access as the accused student to any information or documents that will be used by the Student Conduct Officer during the hearing before the Disciplinary Hearing Board, unless prohibited by law;~~
  - ~~9. Challenge the seating of any Disciplinary Hearing Board member for good cause, which will be determined at the discretion of the Vice Chancellor for Student Affairs;~~
  - ~~10. Be accompanied by an advisor of his/her choosing during the University's investigation or a hearing before the Disciplinary Hearing Board, but the advisor shall not be permitted to speak for the victim during a hearing;~~
  - ~~11. The same opportunity as the accused student to be present during a hearing before the Disciplinary Hearing Board, present witnesses and other evidence, challenge the admissibility of evidence, and cross-examine adverse witnesses during a hearing before the Disciplinary Hearing Board;~~
  - ~~12. Testify or remain silent at his/her option; however, choosing to remain silent may result in the University dismissing the charges against the accused student or the Disciplinary Hearing Board finding that there is insufficient evidence to find the accused student guilty of the charges against him/her;~~
  - ~~13. Not to be questioned directly by the accused student during the hearing before the Disciplinary Hearing Board;~~
  - ~~14. Submit a written impact statement to the Disciplinary Hearing Board or Student Conduct Officer for consideration during the sanctioning phase of an administrative or disciplinary hearing, if the accused student is found guilty of the charges against him/her;~~
  - ~~15. Notice of the decision of the Student Conduct Officer or Disciplinary Hearing Board within three (3) business days of an administrative or disciplinary hearing; and~~
  - ~~16. Appeal the decision of the Student Conduct Officer, following an administrative hearing, or the Disciplinary Hearing Board to the Vice Chancellor for Student Development.~~

(5) In accordance with Title IX of the Education Amendments of 1972, the Jeanne

Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, and other applicable law, the University will investigate and resolve reports of sexual misconduct, relationship violence, and stalking in accordance with the University's Policy on Sexual Misconduct, Relationship Violence, and Stalking. In a case involving an allegation of sexual misconduct, relationship violence, or stalking, the accused student/respondent and the alleged victim/complainant shall have the rights outlined in the University's Policy on Sexual Misconduct, Relationship Violence, and Stalking.

~~(5)~~(6) For purposes of this rule, the term "student" shall mean a student or a student organization.

**1720-05-01-.09 APPEALS.**

- (1) Appeal. A decision of the Disciplinary Hearing Board, or a decision of the Student Conduct Officer concerning a penalty following an administrative hearing, may be appealed to the Vice Chancellor for Student Affairs.
  - (a) The request for appeal shall be submitted in writing to the Vice Chancellor for Student Affairs within seven (7) calendar days of written notice of the decision of the Disciplinary Hearing Board or Student Conduct Officer. If the seventh day falls on a weekend or holiday, the time is extended to the next regular workday.
  - (b) The request for appeal shall contain:
    - 1. A statement that the student or student organization appeals the decision of the Disciplinary Hearing Board or Student Conduct Officer; and
    - 2. A brief statement of the grounds for the appeal.
  - (c) All appeals to the Vice Chancellor for Student Affairs are written and heard based upon the record made before the Disciplinary Hearing Board.
  - (d) Pending the outcome of an appeal, the penalty specified in the decision of the Disciplinary Hearing Board shall not be imposed.
- (2) The Vice Chancellor for Student Affairs may:
  - (a) Affirm the decision of the Disciplinary Hearing Board or Student Conduct Officer;
  - (b) Amend the decision of the Disciplinary Hearing Board or Student Conduct Officer;

- (c) Return the case to the Disciplinary Hearing Board or Student Conduct Officer with instructions for reconsideration of the case; or
  - (d) Overturn the decision of the Disciplinary Hearing Board.
- (3) The decision of any board or administrative officer of the University of Tennessee at Martin is subject to review by the Chancellor.

**1720-05-01-.10 EMERGENCY POWERS.** When, in the judgment of the Chancellor of The University of Tennessee at Martin, conditions are such that an emergency exists which makes it impossible for the system of judicial boards to function, he/she may suspend these procedural regulations. If the procedures are suspended, he/she may substitute for them arrangements for handling disciplinary matters that will insure the orderly functioning of the University and at the same time safeguard the basic rights of the students and student organizations.

**1720-05-01-.11 INVOLUNTARY MEDICAL WITHDRAWAL OR SUSPENSION.**

- (1) When a student is unable to effectively pursue his/her academic work, or when his/her behavior is disruptive to the normal educational processes of the University, or constitutes a threat to members of the University community, due to, among other things, alcohol use, drug use, or a physical or mental incapacitating condition, he/she may be withdrawn or temporarily suspended from the University as hereinafter provided.
- (1) Withdrawal. A student may be withdrawn from the University only after an evaluation of his/her mental and physical condition by a panel of at least three (3) persons appointed by the Vice Chancellor for Student Affairs. The student shall be notified of the reasons for the evaluation and given an opportunity to present evidence to the committee. The committee's findings and recommendations shall be forwarded to the Vice Chancellor for Student Affairs, who will notify the student in writing of his/her decision.
- (2) Temporary Suspension. Whenever a student, because of his/her mental or physical condition constitutes a danger to persons or property, or when his/her behavior is disruptive to the normal educational processes of the University, he/she may be suspended from the University, for a reasonable period of time, by the Vice Chancellor for Student Affairs. If the University does not withdraw the student in accordance with procedures outlined above, he/she may return to the University at the end of the suspension period.
- (3) Grades. When a student is withdrawn or temporarily suspended from the University, he/she may be assigned a grade of "W" or "I," whichever is deemed appropriate by the faculty member involved.
- (4) Readmission.
  - (a) A student who is involuntarily withdrawn under this rule may not be

readmitted to the University before the start of the next semester or without the approval of the Vice Chancellor for Student Affairs. The student shall also meet all of the admission requirements of the University and of the school or college in which he/she wishes to be readmitted.

- (b) A student shall submit a written request for permission to reapply to the University with the Vice Chancellor for Student Affairs by October 1 for Spring enrollment, by February 1 for Summer enrollment, and by May 1 for Fall enrollment. The student's written request shall include an explanation of why the Vice Chancellor for Student Affairs should allow the student to reapply and an explanation of the student's plan to transition successfully back into the academic community.
- (c) The Vice Chancellor for Student Affairs may require the student to provide the panel of individuals who recommended that the student be involuntarily withdrawn with proof that the condition that caused the withdrawal is no longer present or that the condition is under control through treatment such that the student does not present a direct threat to the health or safety of him/herself or others and will not disrupt the normal educational processes of the University. Proof may consist of a current medical or mental health evaluation, demonstration of ongoing medical or mental health treatment, and a plan for treatment upon readmission. The panel may request any other information or documentation that it deems necessary. In exceptional circumstances, the panel may request a second, independent opinion of a qualified medical or mental health professional paid for by the University. In cases where the Vice Chancellor for Student Affairs has imposed other conditions for readmission, it is the responsibility of the student to provide documentation of compliance with those conditions.
- (d) The panel's recommendation concerning reenrollment or readmission shall be provided to the Vice Chancellor for Student Affairs, who will notify the student in writing of his/her decision. The decision of the Vice Chancellor for Student Affairs is final and may not be appealed.

#### **1720-05-01-.12 INSPECTION AND SEARCH POLICIES.**

- (1) Entry by University authorities into occupied rooms in residence halls will be divided into three (3) categories; inspection, search, and emergency. Inspection is defined as the entry into an occupied room by University authorities in order to ascertain the health and safety conditions in the room, to check the physical condition of the room, to make repairs on facilities, or to perform cleaning and janitorial operations. Search is defined as the entry into an occupied room by on-campus authorities for the purpose of investigating suspected violations of campus regulations. An emergency situation exists when the delay necessary to obtain a search authorization constitutes an apparent danger to person, property,

or the building itself.

- (2) Inspection: Scheduled inspections by on-campus authorities with the exception of daily janitorial operations shall be preceded, if possible, by twenty-four (24) hours' notice to the residents. During the inspection there will be no search of drawers, closets, or personal belongings. This policy is applicable for residence halls and fraternity houses.
- (3) Search: On-campus authorities will not enter a room for purposes of search without the permission of the resident unless they have a campus authorization to search, authorized by the Vice Chancellor for Student Affairs or his/her designee, which specifies the reasons for the search and the objects or information sought, or unless they enter in compliance with federal or state law. If possible, the student should be present during the search. Normally these searches will not be made unless the Vice Chancellor for Student Affairs or his/her designee is present.
- (4) If the search reveals objects the possession of which violates a law or a University rule, the University may take appropriate disciplinary action even though the objects were not listed on the search authorization.

**1720-05-01-.13 ACADEMIC DISHONESTY.** The University of Tennessee at Martin has chosen as its primary objective quality undergraduate education. Commitment to this objective must include an obligation by all members of the University community to promote and protect the highest standards of integrity in study, research, instruction and evaluation. Dishonesty or unethical behavior does not belong at an institution dedicated to the promotion of knowledge and learning. Integrity of the academic process requires fair and impartial evaluation by faculty and honest academic conduct by students. A student may be found to have violated this obligation if he/she:

- (1) Refers during an academic evaluation to materials, sources, or devices not authorized by the instructor;
- (2) Provides assistance during an academic evaluation or assignment to another person in a manner not authorized by the instructor;
- (3) Receives assistance during an academic evaluation or assignment from another person in a manner not authorized by the instructor;
- (4) Possesses, buys, sells, obtains, or uses a copy of any materials intended to be used as an instrument of academic evaluation in advance of its administration;
- (5) Acts as a substitute for another person in any academic evaluation or assignment;
- (6) Utilizes another person as a substitute for him/herself in any academic evaluation or assignment;

- (7) Practices any form of deceit in an academic evaluation or assignment;
- (8) Depends on the aid of others, in a manner expressly prohibited by the instructor, in the research, preparation, creation, writing, performing, or publication of work to be submitted for academic credit or evaluation;
- (9) Provides aid to another person, knowing such aid is expressly prohibited by the instructor, in the research, preparation, creation, writing, performing, or publication of work to be submitted for academic credit or evaluation;
- (10) Indulges in plagiarism by presenting as one's own, for academic evaluation or assignment, the ideas, representations, or works of another person or persons without customary and proper acknowledgment of sources;
- (11) Submits the work of another person in a manner that represents the work to be one's own;
- (12) Knowingly permits one's work to be submitted by another person without the instructor's authorization;
- (13) Attempts deceitfully to influence or change one's academic evaluation or record;  
or
- (14) Indulges in conduct that is so disruptive as to infringe upon the rights of an instructor or fellow students during a class or examination session.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: UT Health Science Center

ITEM: **Amendment of the Honor Code Rule for The University of Tennessee Health Science Center**

RECOMMENDATION: Approval

PRESENTED BY: Matthew M. Scoggins III, Deputy General Counsel

7

The UTHSC Honor Code guides students in behaving in a professional and ethical manner by establishing principles of appropriate behavior in the classroom, in the laboratory, and in clinical settings. UTHSC has completed a comprehensive review of its Honor Code for students, which included input from students and the UTHSC Committee on Academic and Student Affairs. As a result of that review, UTHSC proposes revisions to its Honor Code.

The proposed revisions change the name of the UAPA rule from “Honor Code” to “The Honor System.” The term “Honor System” is a more accurate and complete term that encompasses the Honor Code, the Honor Code Pledge, and the procedures for investigating and resolving allegations of violations of the Honor Code.

The proposed revisions expand the list of specific types of violations of the Honor Code in order to comprehensively establish principles of appropriate behavior in the classroom, in the laboratory, and in clinical settings.

The proposed revisions also make various changes to the procedures relating to an alleged Honor Code violation, including: (1) resolving potential ambiguities in the procedure for reporting suspected violations of the Honor Code; (2) adding e-mail to the methods by which a Notice of Charge will be sent to an accused student; (3) adding a right for the accused student to be assisted by an advisor who is a UTHSC student or employee; (4) adding a right for the accused student to request that a hearing panel member be replaced on the grounds of bias; and (5) clarifying issues relating to an accused student’s class

attendance and grades while an allegation is pending.

The proposed revisions provide each college within UTHSC with greater flexibility in establishing policies and procedures governing the membership of each college's Honor Council, including eligibility, how members are elected and removed, how alternates are elected and removed, how vacancies are filled, and which members may vote. Such policies and procedures will be published in the UTHSC student handbook.

The proposed revisions appear in their entirety in the following pages, with the proposed revisions redlined. A version with the changes accepted follows the redlined version.

The proposed revisions must be promulgated under the UAPA, which requires a roll call vote in the full Board meeting. Upon adoption by the Board, the revisions will be submitted to the Attorney General for approval and, if approved, filed with the Secretary of State.

**MOTION:**

**That the Board of Trustees adopt the amendment of Chapter 1720-03-01 of the Rules of The University of Tennessee to incorporate revisions to the Honor Code for The University of Tennessee Health Science Center.**

RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(HEALTH SCIENCE CENTER)

CHAPTER 1720-03-01  
THE HONOR SYSTEM CODE

7

1720-03-01-.01 PREAMBLE AND PURPOSE.

- (1) The University of Tennessee Health Science Center (“UTHSC”) expects and requires all students to exemplify personal integrity and responsibility in the classroom, laboratory, clinics, and other academic endeavors. UTHSC also expects and requires UTHSC students to interact with patients, colleagues, and other members of the university community in a professional and ethical manner. These expectations and requirements provide the foundation for the UTHSC Honor System (“Honor System”).
- (2) The Honor System is composed of the following:
  - (a) The Honor Code, Chapter 1720-03-01-.02, which sets forth expected behaviors in the classroom, laboratories and clinical settings;
  - (b) The Honor Code Pledge, Chapter 1720-03-01-.03, which all students sign as a condition of enrollment;
  - (c) Rules relating to the administration of the Honor System, Chapter 1720-03-01-.04;
  - (d) Rules relating to penalties associated with violations of the Honor Code, Chapter 1720-03-01-.05;
  - (e) A process for appealing a finding of a violation of the Honor Code, Chapter 1720-03-01-.06; and
  - (f) The composition and functioning of the College Honor Councils, Chapter 1720-03-01-.07.

~~1720-3-1-.01 THE HONOR CODE.~~

~~(1) PREAMBLE AND PURPOSE.~~

- ~~(a) The Honor Code of The University of Tennessee Health Science Center is promulgated so that student academic affairs are conducted under the~~

~~highest standards of individual responsibility. The Honor Code promotes personal honor and integrity, in the best traditions of the health science professions. The Honor Code promotes academic honesty and integrity in the classroom, laboratory, clinics and other academic endeavors. The Honor Code requires students to uphold its principles of fairness, professionalism, and ethical behavior; and it also provides procedures to adjudicate alleged violations. By their pledge to subscribe to and uphold this Honor Code, UT Health Science Center students assume the responsibility for the effective application of the Honor Code, and their own academic and professional honesty and integrity. Students are required to sign the honor code pledge as a condition for enrollment at UT Health Science Center.~~

~~(b) Faculty and staff also have a responsibility to participate in the application, enforcement, and procedures of the Honor Code.~~

~~(2) PRINCIPLES OF THE HONOR CODE~~

~~(a) All UT Health Science Center students are bound by this Honor Code and pledge to act in accordance with the highest principles of ethical and professional conduct. These principles condemn any act of dishonor relating to the academic, clinical, research and professional programs at UT Health Science Center. The pledge states that any knowledge of a violation shall be reported in accordance with the provisions and procedures of the Honor Code.~~

~~(b) The principles of this Honor Code apply to all:~~

- ~~1. Tests or examinations.~~
- ~~2. Oral, written, or practical reports that are a part of a student's academic and research program.~~
- ~~3. Clinical and laboratory experiences.~~
- ~~4. Scientific research.~~
- ~~5. Other student activities relating to the academic, clinical, and research programs of UT Health Science Center.~~

~~(3) VIOLATIONS OF THE HONOR CODE~~

~~(a) It is a violation of the Honor Code for a student to:~~

- ~~1. Use, give, or receive any unauthorized aid during any test or examination, in the preparation of oral, written or practical reports, or in clinical or laboratory work, that are a part of a student's academic program.~~
- ~~2. Record or report fraudulent data relating to patient care, willfully neglect clinical responsibilities, or otherwise compromise patient treatment through lack of professional integrity.~~
- ~~3. Plagiarize present another person's work as one's own.~~
- ~~4. Falsify data in scientific research and reports.~~
- ~~5. Collaborate with others in assigned out of class activities, if directions or instructions, written or verbal, specify only individual application and effort is permitted.~~
- ~~6. Falsify academic or clinical records.~~
- ~~7. Maliciously and falsely accuse another student of an Honor Code violation.~~
- ~~8. Assist another student in committing any of the specified violations.~~
- ~~9. Fail to report a violation reasonably believed to have been committed.~~
- ~~10. Discuss or reveal confidential information or materials relating to allegations, investigations, charges and Honor Court hearings.~~
- ~~11. Engage in other similar acts of dishonesty.~~
- ~~(b) Students, faculty, staff and/or test administrators must report observed violations to a member of the college Honor Council, in accordance with Honor Code procedures.~~
  - ~~1. Any alleged violation under Article III, Section A.2 or A.1 [Rule 1720 3-1-.01(3)(a) 2 or 41 shall be immediately reported by the President or Faculty Advisor of the Honor Council to the principal clinician or investigator after the alleged violation is received.~~
- ~~(c) Suspicious Activity: If someone witnesses suspicious behavior, but is uncertain of a Honor Code violation, the person may informally report~~

~~the behavior to a college Honor Council member. The Honor Council member will counsel with the suspected student and advise the student that such actions are suspicious and, if continued, may lead to a formal complaint. A Honor Council member receiving a report of suspicious behavior must notify the Honor Council President before counseling the student.~~

~~(4) ADMINISTRATION OF THE HONOR CODE~~

~~(a) PARTICIPANTS~~

~~1. STUDENTS: The Honor Code pledge is signed by all students enrolled at the UT Health Science Center. This signed pledge is a student's pledge of honesty and integrity. The pledge obligates the student to support the ethics and provisions of the UT Health Science Center Honor Code and to participate in its procedures and actions.~~

~~2. FACULTY AND STAFF: The faculty and staff participate in the Honor Code by endorsing and supporting the principles of the Code and by applying its rules and procedures.~~

~~3. ADMINISTRATION: Offices relating to student affairs are responsible for providing advice to students regarding Honor Code procedures.~~

~~(i) Faculty advisors to college Honor Councils support the Honor Code by providing advice and counsel to Honor Council members regarding rules, procedures and the appropriate methods of administering the rules and procedures.~~

~~(ii) The Office of Student Life provides advice and orientation to College Honor Council members, faculty advisors, and other students.~~

~~(iii) The UT Health Science Center Registrar (1) makes available to each new student a printed copy of the Honor Code prior to matriculation, (2) insures that the Honor Code pledge is signed by each new student, and (3) retains the signed Honor Code pledge as a part of each student's official file.~~

~~4. HONOR COUNCILS OF THE COLLEGES: Each college has an~~

~~Honor Council that functions under the rules delineated in this Honor Code. Alleged violations of the Honor Code are processed by the Honor Council of the college in which the alleged violation occurred.~~

1720-03-01-.02 THE HONOR CODE.

(1) UTHSC students must exhibit personal integrity and responsibility and conduct themselves in a professional and ethical manner with respect to:

(a) The classroom, including without limitation:

1. Tests and examinations;
2. Oral, written, and practical reports and assignments in a student's academic or research program;
3. The use of electronic technology; and
4. Classroom requirements set by UTHSC, a college within UTHSC ("College"), or a UTHSC faculty member.

(b) Laboratory work, including without limitation:

1. Completing individual and group assignments;
2. Reporting laboratory results;
3. Acknowledging contributions from other individuals and sources; and
4. Laboratory requirements set by UTHSC, a College, or a faculty member.

(c) Clinical work, including without limitation:

1. Attendance and participation in clinical teams;
2. Use of patient records;
3. Timely completion of reports;
4. Patient care; and

5. Clinical work requirements set by UTHSC, a College, or a faculty member.

(2) Section .02(1) shall be referred to as the "Honor Code."

(3) Violations of the Honor Code include, without limitation:

- (a) Using, receiving, or providing unauthorized assistance or possessing unauthorized information or materials: during tests, examinations, academic assignments, or scholarship; in the preparation of oral, written, or practical reports; or in clinical or laboratory work in the student's academic or research program.
- (b) Recording or reporting fraudulent data relating to patient care, willfully neglecting clinical responsibilities, or otherwise compromising patient treatment through a lack of professional integrity.
- (c) Plagiarizing (presenting another person's ideas, words, projects, creations, or work as the student's own).
- (d) Falsifying, fabricating, or misrepresenting data, laboratory results, research results, citations, or other information in connection with academic assignments or clinical, field, or laboratory records.
- (e) Substituting for another student or have another student substituting for oneself to take an exam or perform an academic, laboratory, clinical, or field assignment.
- (f) Collaborating with others in assigned out-of-class activities, laboratory work, field work, scholarship, or other academic assignment when the instructions require individual effort.
- (g) Altering grades, answers, marks, or documents in an effort to change academic records, the earned grade, or credit.
- (h) Submitting without authorization the same assignment for credit in more than one course.
- (i) Forging a signature or allowing forgery on any class- or university-related document, such as a class roll or drop/add sheet. Such forgeries could involve false identification by electronic, paper, or other means.
- (j) Failing to follow a faculty member's instructions about the integrity of an exam or academic assignment.

- ~~(k) Engaging in an activity that unfairly places another student at a disadvantage, such as taking, hiding, or altering resource material or manipulating a grading system.~~
- ~~(l) Maliciously and falsely accusing another student of violating the Honor Code.~~
- ~~(m) Assisting another student in violating the Honor Code.~~
- ~~(n) Failing to report to UTHSC in a timely manner one's reasonable belief that another person has violated the Honor Code.~~
- ~~(o) Discussing or revealing confidential information or materials relating to allegations, investigations, charges, or hearings of a College Honor Council or the University Honor Court.~~
- ~~(p) Engaging in unauthorized or inappropriate distribution or use of course materials (e.g., podcasts/lecture recordings), including without limitation:
  - ~~1. Sharing materials with individuals not enrolled in the UTHSC course;~~
  - ~~2. Posting lectures or portions of lectures to external sites;~~
  - ~~3. Creating clips for online video repositories; and~~
  - ~~4. Posting recordings of patient encounters in simulated or actual clinical settings.~~~~
- ~~(q) Engaging in other similar acts of academic dishonesty.~~

~~1720-3-1-.02 PROCEDURES AND HEARING OPTIONS.~~

- ~~(1) PROCEDURE FOR REPORTING ALLEGED VIOLATIONS~~
  - ~~(a) A complaint against any student may be filed by a student, faculty or staff member observing a suspected violation. Each complaint must be written and signed by the accuser and presented to any member of the appropriate Honor Council.~~
  - ~~(b) Signed complaints are given or forwarded to the college Honor Council president and shall not be discussed with other students.~~

- ~~(c) The signed complaint of one individual is sufficient to initiate an investigation of charge(s) against a student.~~
- ~~(d) The president of the Honor Council appoints a council member to investigate alleged violation of the Honor Code. The investigator investigates the facts of the alleged violation(s). If the investigator finds probable cause to believe that a violation has been committed, the investigator recommends issuing a Notice of Charge. The president, upon the recommendation of the investigator, issues a Notice of Charge to the accused. The Notice of Charge is sent to the accused via certified mail. If the investigator determines there is no violation, the allegation is dismissed.~~
- ~~(e) The identity of the person(s) who reported the allegation is confidential throughout the initial investigation. In the event that a Notice of Charge is issued, the accused is notified of the name(s) of the accuser(s) upon request.~~
- ~~(f) Allegations, investigations, charges and Honor Court hearings are confidential.~~
- ~~(g) Role of the Investigator:
  - ~~1. Upon receipt of a signed complaint, the college Honor Council president appoints an investigator who is charged with investigating the allegation.~~
  - ~~2. The investigator makes a recommendation to the college Honor Council president within seven (7) University working days after the investigator receives the assignment to investigate the allegation. The investigator's report, either oral or written, recommends whether a formal charge of violation should be issued by the Honor Council president. The investigation period is advisory and not mandatory due to administrative closings, holidays and class schedules.~~
  - ~~3. The investigator is responsible for prosecuting charge(s) before the College or University Honor Court if the accused requests a Honor Code hearing. A council member does not vote in any proceedings for which the member served as the investigator.~~~~

~~(2) RIGHTS AND PROCEDURES OF THE ACCUSED~~

~~(a) Notice of Charge. A student charged with a violation of the Honor Code receives written notice of charge(s) stating the following:~~

- ~~1. The substance of the charge(s).~~
- ~~2. The possible penalties.~~
- ~~3. The right to a hearing if the student contests the charge(s) or action.~~
- ~~4. The name and address of the person to whom a request for a hearing should be directed.~~
- ~~5. A statement indicating that a request for a hearing must be made within five (5) University working days of receipt of this notice.~~

~~(b) Procedure for the Accused.~~

~~1. On receipt of the Notice of Charge, the accused student, within five (5) University working days, must respond to the college Honor Council president indicating either~~

~~(i) An intent to plead guilty to the violation and willingness to accept the penalty assigned by the Dean; or~~

~~(ii) A denial of the charge and indicating a desire to make an appeal of the charge under one of the following hearing options:~~

~~(I) Uniform Administrative Procedures Act (UAPA),~~

~~(II) College Honor Court,~~

~~(III) University Honor Court~~

~~2. The student who does not respond in writing within five (5) University work days, waives the option of having the case heard in a College or University Honor Court, and the charge will be adjudicated under the provisions of the UAPA.~~

~~3. Rights of the Accused.~~

~~(i) The student has a right to a hearing in accordance with the~~

~~contested case provisions of the Uniform Administrative Procedures Act (UAPA), T.C.A. §§ 4-5-301 through 4-5-325. The hearing will be held under the provisions of the UAPA in the absence of a voluntary written waiver of this right. If the student waives the right to a hearing under UAPA, the hearing will be held in accordance with the UT Health Science Center Honor Council Hearing procedures listed under Article VII, Hearing Court Options 2 and 3. [Rule 1720-3-1-.02(3)(a) 2 and 3].~~

~~(ii) For UAPA Hearings, students may be represented by Legal Counsel. The option to obtain Legal Counsel is at the student's expense. If representation by Legal Counsel is desired, the student must provide notice of intent to be represented by Counsel concurrent with the request for the UAPA hearing. In College Honor Court and University Honor Court hearings, students may not be represented by legal counsel.~~

~~(iii) In College Honor Court and University Honor Court hearings, the College Honor Council President or the Director of Student Life will make available to an accused student, an advisor (student, faculty, or staff) knowledgeable of the Honor Code and hearing procedures. The advisor will be available to the accused prior to, and during the hearing.~~

~~(3) HEARING COURT OPTIONS~~

~~(a) An accused student who wishes to have a hearing on a charge has a choice of hearing options:~~

~~1. Uniform Administrative Procedures Act (UAPA). This act provides students a hearing under the State of Tennessee mandatory hearing option. A hearing under this law is held by a hearing officer appointed by the Vice President for Health Affairs of UT Health Science Center. The Office of General Counsel will prosecute the charge in UAPA hearings, even if the accused student is not represented by legal counsel. This hearing procedure is used in the absence of a voluntary written waiver of this right.~~

~~2. College Honor Court. Each UT Health Science Center college has an Honor Court. Rules of membership, assembly, quorum, and~~

~~voting are defined in each college's addendum. All proceedings of the college Honor Court hearing follow the procedures described in the Honor Code and in the respective college addendum. The college Honor Council president is responsible for administering the hearing, including selecting the date, appointing the Honor Court panel, notifying all parties, and recording and maintaining records of the college Honor Court hearings. The selection and service of the college Honor Court members shall follow the guidelines and procedures stated in the respective college Honor Court addendum. Members of the panel shall be impartial and members who believe they cannot be impartial shall recuse themselves from serving on the panel. The accused student may request the removal of any panel member he/she thinks might not be impartial. Decisions for such removal will be made by the college Honor Court president.~~

~~3. University Honor Court. The panel shall be composed of students from all colleges at the UT Health Science Center. Each college nominates two Honor Council members to the University Honor Court pool. The Director of Student Life will appoint seven (7) hearing panel members, from a pool of nominees, with at least two (2) panel members from the college of the accused. A chairperson will be selected from the panel and will be responsible for conducting the hearing. Members of the panel shall be impartial and members who believe they cannot be impartial shall recuse themselves from serving on the panel. The accused student may request the removal of any panel member he/she thinks might not be impartial. Decisions for such removal will be made by the Chairperson of the Hearing Court or by the Director of Student Life. The Director of Student Life or designee will be responsible for making the appropriate arrangements and notifying all parties of the time, date and place of the hearing. The Director of Student Life or designee shall also be responsible for tape recording the hearings and maintaining the records of the University Honor Court hearings.~~

~~(b) Guidelines for Honor Court Hearings. The following guidelines shall apply to College and University Honor Court hearings:~~

~~1. The hearing is held as soon as possible following receipt of the accused request for a hearing under the Honor Code system.~~

~~2. The accused has the right to be present at all times during the hearing, except during the deliberation of the Honor Court, and is~~

~~afforded a full and fair opportunity to present all evidence, including witnesses, reasonably relating to the charge or action at issue. Evidence that is irrelevant, immaterial, repetitious, or cumulative may be limited. Judicial rules of evidence and procedure do not apply.~~

- ~~3. The accused, the investigator and Honor Court members have the right to question all witnesses.~~
- ~~4. An appropriate record is made of the hearing procedures. However, defects in the record do not invalidate the proceedings. (A tape recording system is available and should be used).~~
- ~~5. The investigator has the responsibility of proving, by a preponderance of the evidence, the truth of the charge(s) at issue.~~
- ~~6. A majority vote of the panel is required for any decision.~~
- ~~7. Following the conclusion of the hearing, the hearing panel considers the evidence and presents written findings, conclusions, and recommendations to the dean of the college in which the violation occurred.~~
- ~~8. A faculty member will be notified of the outcome of any Honor Code investigation or hearing if they were an accuser or witness in the matter.~~

~~(4) PENALTIES~~

- ~~(a) Penalties for violating the UT Health Science Center Honor Code are assigned by the respective college Dean. Penalties may be probation, suspension, dismissal, or any other action deemed appropriate by the dean of the college in which the violation occurred. The Honor Court may make advisory recommendations or suggestions to the dean regarding the consequence and severity of the punishment.~~
- ~~(b) The Dean will determine the penalty to be assigned, if the accused is found guilty. The Dean considers the evidence, written findings, conclusions, and recommendations of the Honor Court in determining a punishment.~~
- ~~(c) The Dean must respond with a decision within 10 University working days of receipt of the hearing court decision. In instances in which the Dean is unavailable, a designee will be appointed.~~

~~(5) APPEALS~~

~~(a) The accused may appeal the action of the Dean by submitting an appeal, in writing, to the Vice President for Health Affairs within 10 days of receipt of the decision of the Dean. Where a charge is found to be true, the student charged has the burden of proving that the disciplinary action proposed is unreasonable.~~

~~1. Any appeal to the Vice President for Health Affairs can be only for the penalty assigned by the Dean. The decision of the Honor Court can not be appealed to the Vice President for Health Affairs.~~

~~(b) The Vice President for Health Affairs, or designee, must respond with a decision within ten (10) University working days of the request for the appeal.~~

1720-03-01-.03 THE HONOR CODE PLEDGE.

(1) All UTHSC students must sign the following pledge (“Honor Code Pledge”) within two weeks of the start of classes:

*I have read carefully the provisions of the Honor Code of the University of Tennessee Health Science Center and fully understand its meaning and significance, and I agree to abide by the Honor Code while a student enrolled at this institution and agree to accept all of its implications without reservation.*

(2) A student’s signature under Section .03(1) indicates the student’s pledge of personal integrity and responsibility and professional and ethical conduct and obligates the student to comply with the UTHSC Honor Code and the UTHSC Honor System.

~~1720-3-1-.03 HONOR COUNCILS, REPORTS AND AMENDMENTS.~~

~~(1) COLLEGE HONOR COUNCILS~~

~~(a) Composition and Elections: The composition of the Honor Council and the procedure for election of the Honor Council members officers are determined by each college. Information describing the college councils provisions is provided in the Addenda.~~

~~(b) Officers of the Honor Councils:~~

~~1. Elections: Each Honor Council elects officers from its members for~~

~~the following offices: president, vice president and secretary.~~

~~(i) President. The president (a) presides at all meetings of the Honor Council, (b) arranges for the hearing of any accused student, and (c) performs other duties as specified in this Honor Code.~~

~~(ii) Vice president. The vice president assumes the duties of the president in that officer's absence.~~

~~(iii) Secretary. The secretary (a) manages the correspondence of the Honor Council, (b) notifies all members of Honor Council meetings, (c) records attendance at meetings, and (d) keeps records of all meetings.~~

~~(c) Removal from Council: A member may be removed by two thirds vote of the Council members for the following reasons:~~

~~1. Absence from two consecutive meetings of the Honor Council.~~

~~2. Failure to fulfill responsibilities in accordance with this Honor Code.~~

~~(d) Vacancies in an Honor Council are filled in accordance with college rules and regulations governing election of members.~~

~~(e) General Duties of the Honor Council:~~

~~1. To administer the Honor Code, the Honor Council meets at least once during the first month of each fall term and thereafter at its discretion.~~

~~2. Conducts hearings of alleged violations.~~

~~3. Keeps adequate records of all hearings that result from alleged violations of the Honor Code and reports findings to the Director of Student Life.~~

~~4. Forwards findings and recommendations in Honor Code violation proceedings to the Dean of the college.~~

~~5. Ensures that the information contained in this Honor Code is promulgated, discussed, and made readily available to all students of the college.~~

~~\_\_\_\_\_~~ (2) ~~REPORTS~~

~~\_\_\_\_\_~~ (a) ~~Information regarding the number of alleged Honor Code violations, hearings, and decisions resulting from these hearings must be kept by Honor Council presidents and reported to the Director of Student Life at the end of each academic term.~~

~~\_\_\_\_\_~~ (3) ~~AMENDMENTS~~

~~\_\_\_\_\_~~ (a) ~~A proposed amendment to this Honor Code shall be presented in writing to the President of each UT Health Science Center Honor Council. Amendments must be approved by a majority vote of the Council of each college, and by the College Honor Council Presidents, prior to submitting to the SGAEC, the UT Health Science Center Chancellor and the University's Board of Trustees.~~

~~\_\_\_\_\_~~ (b) ~~Approved amendments to a college addendum shall be presented in writing to the President of the Honor Council of the college affected by the proposed amendment and need only to be approved by a majority vote of that college Honor Council. Upon approval, and concurrence by the college Dean, the amendment shall be submitted to the Chancellor and to the University's Board of Trustees.~~

~~\_\_\_\_\_~~ (4) ~~PLEDGE~~

~~\_\_\_\_\_~~ (a) ~~Each UT Health Science Center student, before matriculation, or during the new student orientation period, is required to sign the following pledge: "I have read carefully the Honor Code of The University of Tennessee Health Science Center and fully understand its meaning, significance and application. I agree to abide by this Honor Code while a student in this institution and agree to accept all of its implications without reservation."~~

~~\_\_\_\_\_~~  
~~Signature \_\_\_\_\_ Date~~

~~\_\_\_\_\_~~  
~~Social Security Number \_\_\_\_\_ College~~

1720-03-01-.04 ADMINISTRATION OF THE HONOR SYSTEM.

(1) Responsibility and Procedures for Reporting Violations.

- (a) UTHSC students, faculty, staff, and/or test administrators must timely report a reasonable belief that a student has violated the Honor Code, in accordance with the procedures outlined in Section .04(1)(b)(2).
  - (b) The procedures for reporting a violation of the Honor Code are as follows:

    - 1. Informal Notification. Faculty, staff, students, and/or test administrators who become aware of suspicious behavior but are uncertain whether the behavior violates the Honor Code may informally report the behavior to a College Honor Council member. The Honor Council member must notify the Honor Council president and then advise the suspected student that such actions are suspicious and, if continued, may lead to a formal complaint.
    - 2. Formal Complaints. Faculty, staff, students, and/or test administrators who reasonably believe that a student has violated the Honor Code must file a formal complaint against the student. A formal complaint is written and signed by the person alleging that a student has violated the Honor Code. A formal complaint is presented to a member of the appropriate College Honor Council. A formal complaint by one individual is sufficient to initiate an investigation against a student. Signed complaints shall be forwarded to the College Honor Council president and shall not be discussed with other students.
  - (c) The president or faculty advisor of the College Honor Council must immediately report any alleged violation of the Honor Code to the faculty member/clinical supervisor/researcher at the site where the alleged violation occurred (assuming that the allegation was not initiated by this individual).
- (2) Responsibilities for Administering the Honor System.
- (a) UTHSC offices relating to student affairs are responsible for providing guidance to students regarding Honor System procedures.
  - (b) A representative of each College will discuss the Honor System with entering students during orientation and ensure that they sign the Honor Code Pledge. The signed pledge will be sent to the Registrar's office and becomes a part of the student's official UTHSC file.

- (c) Colleges are responsible for informing students of an appropriate style manual for citations.
- (d) Each College has an Honor Council composed of current students that functions as a body for hearing cases of alleged violations of the Honor Code. UTHSC also has a University Honor Court composed of College Honor Council members. The University Honor Court also serves as a hearing body for Honor Code violation cases
- (e) Faculty advisors to College Honor Councils provide guidance to College Honor Council members regarding rules, procedures, and the appropriate methods of administering the Honor System.
- (f) The Office of Vice Chancellor for Academic, Faculty and Student Affairs (“VCAFSA”) provides guidance and orientation to College Honor Council members, faculty advisors, and other students.
- (g) Any proposed change to the Honor System shall be presented in writing to the president of each College’s Honor Council. A majority vote of each College Honor Council and the College Honor Council presidents must approve changes before they are submitted to the Student Government Association Executive Committee, the UTHSC Chancellor, and the University of Tennessee Board of Trustees for approval.
- (h) Changes to a College Honor Council’s procedures shall be presented in writing to the College’s Honor Council president and must be approved by a majority vote of the College’s Honor Council. Upon approval and concurrence by the Dean of the College, the change shall be submitted to the Chancellor for approval.
- (3) Procedures for Handling Alleged Violations of the Honor Code.

  - (a) Upon receipt of a formal complaint, the president of the College Honor Council appoints a College Honor Council member to investigate and determine the facts of the alleged violation(s). The student investigator shall make an oral or written recommendation to the College Honor Council president concerning whether the president should issue a notice accusing a student of violating the Honor Code (“Notice of Charge”). A seven (7) university business day investigation period is recommended but is not mandatory.
  - (b) If the student investigator determines that a preponderance of the evidence does not support a finding that a violation of the Honor Code occurred, then the student investigator will recommend that the president

dismiss the formal complaint. The president will review the student investigator's factual findings and assess the appropriateness of this recommendation. If the president agrees that a preponderance of the evidence does not support a finding that a violation of the Honor Code occurred, then the president will dismiss the formal complaint.

(c) If the student investigator concludes that a preponderance of the evidence supports the allegation, then the student investigator will recommend the president issue a Notice of Charge. The president will review the investigator's factual findings and determine whether to accept the recommendation. If the president concludes that a preponderance of the evidence supports the allegation that the student committed a Honor Code violation, then the president shall send the accused student a Notice of Charge in accordance with Section .04(4). The president shall also send a copy of the Notice of Charge to the VCAFSA.

(d) The identity of the person(s) who reported the allegation is confidential throughout the student investigator's investigation. If a Notice of Charge is issued, then the accused student will be notified of the name(s) of the accuser(s) upon request to the president of the College Honor Council.

(4) Notice to the Accused Student and the Response from the Accused Student.

(a) A student charged with violating the Honor Code shall be given a Notice of Charge(s) that states the following:

1. Factual basis of the charge(s);
2. The penalties that could be recommended to the Dean of the student's College;
3. The student's right to a hearing if the student contests the charge(s) and/or proposed penalties;
4. The name and address of the person to whom a request for a hearing should be directed;
5. A statement indicating that a request for a hearing must be made within seven (7) university business days of the date that the Notice of Charge was sent; and
6. A statement indicating the right to consult with a faculty advisor recommended by the College.

- (b) The accused student must respond to the Notice of Charge in writing within seven (7) university business days of the date the Notice of Charge was sent. The accused student’s response must indicate either:

  - 1. that he/she acknowledges a violation of the Honor Code and agrees to accept the penalty imposed by the Dean; or
  - 2. that he/she denies violation of the Honor Code and requests a hearing to contest the charge(s) under one of the hearing options outlined in Section .04(5).
- (c) If the accused student does not respond to the Notice of Charge in writing within seven (7) university business days of the date the Notice of Charge was sent, then the accused student will be found responsible for the charges indicated in the Notice of Charge and a penalty will be imposed by the Dean of the student’s College.
- (d) A Notice of Charge will be sent to a student by:

  - 1. U.S. mail or courier service to the address UTHSC’s Registrar has on file for the student, in which case the notice is effectively sent upon mailing or delivery to the courier service; and
  - 2. electronic means (e.g., e-mail) to the student’s UTHSC e-mail account, in which case the notice is effectively sent upon transmission.
- (e) In computing a period of time that is referenced in the Honor System, the day of the event that triggered the period is excluded, and the last day of the period is included unless the last day of the period is a Saturday, Sunday, or legal holiday, in which case the period continues to run until the end of the next day that is not a Saturday, Sunday, or legal holiday.
- (5) Hearing Options and Guidelines. An accused student who wants to contest a charge has the following hearing options:

  - (a) TUAPA Hearing. If it is reasonably likely that the penalty of suspension or expulsion will be imposed if the accused student is found responsible for violating the Honor Code, then the accused student has a right to a hearing under the University of Tennessee’s rules for conducting contested cases under the Tennessee Uniform Administrative Procedures Act, Chapter 1720-01-05 (“TUAPA Hearing”). In accordance with Chapter 1720-01-03, whenever an accused student who is entitled to a TUAPA

hearing requests a hearing, that hearing will be conducted in accordance with Chapter 1720-01-05 unless the accused student executes a waiver of right to proceed under Chapter 1720-01-05 and elects a hearing under Section .04(5)(b) or Section .04(5)(c). An accused student who elects a TUAPA hearing shall have no right to be heard on the same matter in a College Honor Council hearing or a University Honor Court hearing.

(b) College Honor Council Hearing. This hearing is held by members of the College Honor Council from the accused student's College. The composition of the College Honor Councils is set forth in Section .07. College Honor Council hearings shall be conducted in accordance with the following guidelines:

1. A hearing panel is convened from among the members of the College Honor Council.
2. The president of the College Honor Council serves as the chair of the hearing panel. The president of the College Honor Council may appoint another member of the College Honor Council to serve as the chair of the hearing panel if the president is unavailable.
3. The hearing should be scheduled as soon as possible following receipt of the accused student's request for a hearing.
4. At least five (5) calendar days before the hearing, the student investigator should provide the accused and the College Honor Council hearing panel with all documents the investigator reasonably anticipates presenting during the hearing at least five (5) calendar days before the hearing.
5. Both the accused student and the student investigator have the right to request the participation of witnesses. Any individual who is a member of the UTHSC community (student, faculty or staff member) is expected to participate if such a request is made. If a witness is unable to participate for some reason, the accused student or the student investigator may ask the witness to provide a written statement documenting the witness' knowledge of the case. Then, the hearing panel will determine whether to consider the written statement as evidence, balancing the potential value of the information with the fact that the witness will not be questioned as part of the hearing process.
6. If he/she feels that a member of the College Honor Council may

be biased, the accused student may request that the member be replaced by an alternate. Decisions for such removal will be made by the president of the College Honor Council in consultation with the faculty advisor to the College Honor Council. Unresolved disputes regarding such requests will be resolved by the VCAFSA.

7. Before the hearing, the College Honor Council hearing panel should meet to review the documentation, determine which (if any) witnesses should be invited to participate, discuss the procedure for the hearing, and determine the date of the hearing. After the details have been settled, the president/chairperson should schedule the hearing, inviting the accused student, his/her advisor, the student investigator, and witnesses as appropriate.
8. The student investigator will present the charge(s) to the hearing panel.
9. The accused student has the right to attend all parts of the formal hearing except the deliberation of the hearing panel. In addition, the accused has the right to question all witnesses and is afforded a full and fair opportunity to present all evidence, including witnesses, reasonably relating to the charge or action at issue. The accused student may have one (1) advisor present. This advisor shall be a member of the UTHSC community (e.g. a student, faculty or staff member) but shall not be an attorney. The advisor is allowed to provide advice to the accused student during the hearing but is not allowed to question any witness or hearing panel member, introduce evidence, raise objections, present arguments, or otherwise participate in the hearing.
10. College Honor Council hearings are not open to the public; family members, supporters and any other interested party who is not an advisor to the accused student will be provided with a waiting area but are not allowed to listen to, or otherwise participate in, the formal hearing.
11. Evidence that is irrelevant, immaterial, repetitious, or cumulative may be limited. Judicial rules of evidence and procedure do not apply.
12. Allegations, investigations, charges and hearing records are confidential and shall be treated as student records that are protected by federal privacy laws (i.e., the Family Educational

Rights and Privacy Act of 1974 - FERPA).

13. A verbatim record shall be made of the hearing procedures. However, defects in the record do not invalidate the proceedings.
  14. After the hearing, the College Honor Council hearing panel will consider the evidence and present written findings, conclusions, and recommendations of possible penalties to the Dean of the College in which the violation occurred. The faculty advisor to the College Honor Council may attend these deliberations but should only provide advice on procedural issues.
  15. At least five (5) College Honor Council members are required for a quorum. A majority vote of the hearing panel is required for any decision. The student investigator does not vote.
  16. A finding of responsibility requires that the truth of the charge(s) at issue be supported by a preponderance of the evidence. The student investigator has the burden of proof.
  17. If the verdict is that the student did not violate the Honor Code, then the Notice of Charge is dismissed and no penalty is imposed on the accused student.
  18. Irrespective of the outcome of the hearing, all documents and recordings related to the case shall be transferred to the Office of Student Affairs as part of the official student file.
  19. The president/chairperson shall notify the accused student, Dean of the College, the student investigator, and the Office of Student Affairs of the outcome of the case.
- (c) University Honor Court Hearing. The University Honor Court is composed of students from all Colleges at UTHSC. Each College nominates two (2) College Honor Council members to the University Honor Court pool. For each hearing, the VCAFSA will appoint a hearing panel of seven (7) members from the pool of nominees with at least two (2) panel members from the College of the accused student. The VCAFSA will select a chairperson from the panel members for the hearing. University Honor Court hearings shall be conducted in accordance with the same guidelines outlined in Section .04(5)(b).
- (6) Enrollment of Students During the Notice of Charge and Hearing.

- (a) Normally, an accused student may continue attending classes after the issuance of a Notice of Charge, assuming that the case does not extend for more than one (1) complete academic term after the alleged violation occurred. Should the case continue into the next academic term, the Grades for courses taken during that term will be listed as "I" (incomplete) until the case is finally adjudicated, converting to the grade earned if the finding of the hearing panel is that the student did not violate the Honor Code. If the student is found responsible for violating the Honor Code, the Dean of that student's College will determine the type of penalty to impose, which could include denying credit for courses attended while the case was being adjudicated. Depending on individual circumstances, students who are involved in clinical training when a Notice of Charge is filed may not be able to continue their clinical activities. The Dean (or designee) from the student's College will decide whether the student can continue attending clinical training while the case is being adjudicated. If a case extends into a second academic term following the issuance of a Notice of Charge, then the accused student will normally not be allowed to continue coursework until the case has been resolved.
  
- (b) If an accused student leaves the University prior to the resolution of the case, the College Honor Council president shall send a letter to the Dean describing the accusation and stating the case was not resolved before the accused's departure from school. A copy of this letter with all accompanying documents related to the case shall be forwarded to the Office of Student Affairs to be placed in the accused student's permanent record.
  
- (c) If an accused student leaves the University without resolving a Notice of Charge and is later readmitted, the accused student will be required to appear before the College Honor Council and resolve the Notice of Charge. If the College Honor Council finds that the student did not violate the Honor Code, then the Dean shall inform the Office of Student Affairs and request removal of the letter and all accompanying documents from the student's permanent record.

~~1720-3-1-.04—ADDENDUM (COLLEGE HONOR COUNCILS).~~

- ~~(1) COLLEGE OF GRADUATE HEALTH SCIENCES HONOR COUNCIL (including the School of Biomedical Engineering)~~
  
- ~~(a) Composition. The Honor Council of the College of Graduate Health Sciences consists of the Graduate Student Executive Council, who are voting members, and their alternates with voice but not vote. An~~

~~alternate member may vote in the absence of a voting member for that department.~~

~~(b) Election of Members. The members of the Honor Council are selected according to the Constitution of the Student Government Association of the UT Health Science Center College of Graduate Health Sciences, Articles IV and V.~~

~~(c) Vacancy. In the event of a vacancy occurring in the Honor Council, the alternate member from the department in which the vacancy occurs becomes the voting representative for that department.~~

~~(d) Faculty Advisor. Each year the Dean selects one advisor, with voice but not vote, and who may be present at hearings. The advisor is an ex-officio member of the Graduate Student Executive Council.~~

~~(e) Quorum. Five members of the Honor Council are required for a quorum for an Honor Court hearing.~~

~~(2) COLLEGE OF ALLIED HEALTH SCIENCES HONOR COUNCIL~~

~~(a) Composition. The Honor Council of the College of Allied Health Sciences shall consist of at least one voting representative from each class of each program. Classes with more than forty (40) students enrolled shall be represented by two voting representatives. Each class in each program shall have one alternate representative with voice but no vote except when serving in the absence of a voting representative from the particular class. Both voting representatives and alternate representatives shall attend regular Honor Council meetings. The Honor Council president shall preside over all regular meetings and Honor Court hearings. The executive officers (President, Vice President, Secretary and Treasurer) of each class governed by the Honor Code, and those of the Student Government Association Council, are ineligible to be members of the Honor Council.~~

~~(b) Election of Members. Each class shall elect its Council representatives within four weeks of the first day of the beginning of classes in the first academic term. Council members shall serve a term of one academic year and may be reelected at the discretion of a class. It is recommended that Honor Council representatives be reelected, if satisfactory, to provide continuity.~~

~~(c) Vacancy. In the event of a vacancy occurring in the Honor Council, the particular class in which the vacancy occurs shall elect a replacement~~

~~within four weeks of the vacancy. The class may, for continuity, select the alternate representative to fill a vacancy and elect a new alternate representative.~~

~~(d) Faculty Advisor. There shall be two faculty advisors with voice but no vote, one selected annually by the Dean of the College, to serve staggered two year terms. The faculty advisor shall be permitted to attend all proceedings of the Honor Council with voice but no vote.~~

~~(e) Quorum. A quorum of (2/3) two thirds of the currently elected and enrolled representatives, or their designated alternates, will be required to be in attendance for proceedings concerning an Honor Code violation.~~

~~(f) Proctoring. Faculty may be present during student tests or examinations, in accordance with the Honor Code of the University of Tennessee, Health Science Center.~~

~~(3) COLLEGE OF DENTISTRY HONOR COUNCIL~~

~~(a) Composition. The Honor Council of the College of Dentistry consists of three elected representatives from each class and one elected alternate representative from each class. The alternate representative attends all regular meetings and participates in hearings in the absence of a representative from that class or if needed in order to constitute a quorum of the Honor Council for a hearing. During the interval between the graduation of senior representatives and the installation of new freshmen representatives, the alternates function as full Council members and participate in hearings held during this period.~~

~~(b) Election of Members~~

~~1. Each new class elects its Council members within eight weeks of the first day of the beginning of classes.~~

~~2. The Honor Council representatives may be reelected at the discretion of a class. However, it is recommended that Honor Council representatives be retained, if satisfactory, to provide continuity.~~

~~(c) Vacancy. If any Council member is, for any reason, unable to sit in judicial capacity at the hearings, the respective class is represented by the elected Honor Council alternate representative, who assumes all the regular powers of a Council member. Should a regular Council member be removed from office, the vacant position is filled by the alternate~~

~~member from that class and a new alternate member is elected by the class.~~

~~(d) Faculty Advisors: Two Faculty Advisors are appointed by the Dean to assist the Council in its operation.~~

~~(e) Quorum. Two thirds of the active voting membership of the Honor Council shall constitute a quorum. Honor Court decisions are decided by a majority vote of the Hearing Court. A quorum may be established regardless of class distribution or alternate status.~~

~~(f) Letter of Warning: The purpose of this letter is to notify and warn a student that his/her behavior is raising concern among his/her classmates, staff and faculty and that the activity in question may be in violation of the Honor Code. If the activity or behavior continues, a formal notice of charge may follow.~~

~~(g) Advocacy. The associate Dean for student affairs shall also be available as the student's advocate and will assist and advise any student(s) charged with an Honor Code violation.~~

~~(4) COLLEGE OF MEDICINE HONOR COUNCIL~~

~~(a) Composition. The Honor Council of the College of Medicine consists of three elected representatives from each class and one elected alternate representative from each class. The alternate representative attends all regular meetings and participates in hearings in the absence of a representative from that class or if needed in order to constitute a quorum of the Honor Council for a hearing. During the interval between the graduation of senior representatives and the installation of new freshmen representatives, all alternates functions as full Council members and participate in hearings held during this period.~~

~~(b) Election of Members~~

~~1. Each new class elects its Council members within 6 weeks of the first day of the beginning of classes.~~

~~2. The Honor Council representatives may be reelected at the discretion of a class. However, it is recommended that Honor Council representatives be retained, if satisfactory, to provide continuity.~~

~~(c) Vacancy. If any Council member is, for any reason, unable to sit in~~

~~judicial capacity at the hearings, the respective class is represented by the elected Honor Council alternate representative, who assumes all the regular powers of a Council member. Should a regular Council member be removed from office, the vacant position is filled by the alternate member from that class and a new alternate member is elected by the class.~~

~~(d) Faculty Advisor. The faculty advisor is appointed by the Dean, assists the Council in its operation, but shall not be present during Council hearings.~~

~~(e) Quorum. A quorum of two thirds (2/3) of the currently elected and enrolled representatives, available on campus, or their designated alternates, will be required to be in attendance for proceedings concerning an Honor Code violation.~~

~~(f) Letter of Warning. The College of Medicine Honor Council reserves the option to issue a letter of warning to a student in the event of multiple complaints describing suspicious behavior, but without a guilty verdict. The purpose of this letter is to notify and warn a student that his/her behavior is raising concern among his/her classmates that the activity in question may be in violation of the Honor Code. If the activity of behavior continues, a formal Notice of Charge may follow.~~

~~(g) Proctoring. Faculty are welcomed and appreciated during the beginning and conclusion of examination periods to answer questions concerning test proceedings and to supervise test administration. An avenue should be available to contact the course director or his/her representative during the exam in the event of problems. However, in the spirit of the Honor Code as a student run system, proctoring of written examinations is not allowed unless directed by an outside testing agency or certifying board. This "no proctoring" provision does not apply to practical examinations or examinations requiring proctoring.~~

~~(5) COLLEGE OF NURSING HONOR COUNCIL~~

~~(a) Composition. The Honor Council of the College of Nursing consists of one president, representing all classes, and two representatives from each of the following: a) entering four semester BSN option, b) four semester senior BSN option, c) entering three semester BSN option, d) three semester Senior BSN option, e) RN to BSN option, f) eleven month BSN option, and, g) the graduate program. The Honor Council President presides over all regular meetings and over Honor Council hearings. Both representatives from each class attend regular Honor Council meetings as voting members. In the event of an Honor Court hearing, one representative from each class is selected by the Honor Council president~~

~~to serve as a member of the hearing panel, and the other representative serves as an alternate.~~

~~(b) Election of Members. The Honor Council president must be a member of the four semester senior class and is appointed by the president of the College of Nursing SGA. The two representatives are elected by each class during the fall election period. The executive officers of each class are ineligible to serve as members of the Honor Council.~~

~~(c) Vacancy. In the event of a vacancy occurring in the Honor Council, a class election is held within 4 weeks of the vacancy to elect a new representative. In the event of a vacancy of the Honor Council president, a new president is reappointed by the president of the College of Nursing SGA.~~

~~(d) Faculty Advisor. The Assistant Dean for Student Affairs shall be the College of Nursing Honor Council faculty advisor. The faculty advisor shall be permitted to attend all proceedings of the Honor Council with voice but not vote.~~

~~(e) Quorum. Two thirds of the active voting membership of the Honor Council shall constitute a quorum. Honor Court decisions are decided by a majority vote of the Hearing Court. A quorum may be established regardless of a class distribution or alternate status.~~

~~(6) COLLEGE OF PHARMACY HONOR COUNCIL~~

~~(a) Composition. The Honor Council of the College of Pharmacy consists of three elected representatives from each class governed by the Honor Code who shall be voting members and one alternate member from each class with voice but not vote. The alternate member may vote in the absence of a voting member from that particular class. The executive officers (president, vice president, secretary and treasurer) of each class governed by the Honor Code, and those of the SGA Council, are ineligible to be members of the Honor Council.~~

~~(b) Election of Members. The first year class elects its council members within 6 weeks of the first day of the beginning of classes in the first academic term. The other three classes elect their Council members in the spring Semester of each year, after class elections. Honor Council representatives may be reelected at the discretion of a class, but only at the reelection times specified above and only by majority vote. It is recommended that Honor Council representatives be reelected, if satisfactory, to provide continuity.~~

- ~~(c) Vacancy. In the event of a vacancy occurring in the Honor Council, the alternate member from the class in which the vacancy occurs becomes the voting representative for that class.~~
- ~~(d) Faculty Advisor. One faculty advisor, with voice but not vote, is not present at hearings unless at the request of the Honor Council. The faculty advisor is selected each year by the Dean of the college. This advisor must be satisfactory to both the Dean and the student body.~~
- ~~(e) Quorum. Two thirds of the membership of the Honor Council shall constitute a quorum. Honor Council decisions are decided by a majority vote of the Honor Council. A quorum may be established regardless of class representation or alternate status.~~

1720-03-01-.05 HONOR CODE VIOLATION PENALTIES.

- (1) If a hearing panel finds that an accused student violated the Honor Code, then the hearing panel will report its findings to the Dean of the accused student's College and recommend possible penalties, including probation, suspension, dismissal, or any other action deemed appropriate by the hearing panel.
- (2) The Dean will consider the evidence, written findings, conclusions, and recommendations of the hearing panel and determine the penalty within ten (10) university business days of the Dean's receipt of the hearing panel's decision. If the Dean is not available to provide a timely penalty determination, then he/she may appoint a designee to make the penalty determination.
- (3) The Dean's decision on the penalty will be sent to the student by.
  - (a) U.S. mail or courier service to the address UTHSC's Registrar has on file for the student, in which case the notice is effectively sent upon mailing or delivery to the courier service; and
  - (b) electronic means (e.g., e-mail) to the student's UTHSC e-mail account, in which case the notice is effectively sent upon transmission.
- (4) A copy of the Dean's (or designee's) decision will be sent to the president/ chairperson of the hearing panel and to the Office of Student Affairs, which will file the decision as part of the student's permanent record.
- (5) Penalties in TUAPA hearings will be determined by the administrative judge, hearing examiner, and/or Agency Head in accordance with Chapter 1720-01-05.

1720-03-01-.06 APPEALS.

- (1) The accused student may appeal the Dean’s penalty determination in writing to the Chancellor within ten (10) university business days of receipt of the Dean’s penalty determination. The accused student has the burden of proving that the penalty assigned by the Dean is unreasonable. Any appeal to the Chancellor may only address the penalty assigned by the Dean and not the decision of the College Honor Council or the University Honor Court.
- (2) The Chancellor (or designee) generally will respond to the student with a decision within ten (10) university business days of the receipt of the request for the appeal. A copy of the Chancellor’s (or designee’s) decision will be sent to the Office of Student Affairs and maintained in the student’s permanent record.
- (3) Appeals in TUAPA cases will be handled in accordance with Chapter 1720-01-05.

1720-03-01-.07 COLLEGE HONOR COUNCILS.

- (1) The general duties of a College Honor Council are to:
  - (a) Conduct hearings of alleged violations of the Honor Code;
  - (b) Keep adequate records of all hearings and transfer all case records of the case to the Office of Student Affairs; and
  - (c) Forward findings and recommendations in Honor Code violation proceedings to the Dean of the College.
- (2) Each College will establish policies and procedures governing the membership of the College Honor Council, including eligibility, how members are elected and removed, how alternates are elected and removed, how vacancies are filled, and which members may vote. Such policies and procedures described in Section .07(2) shall be published in the UTHSC student handbook.
- (3) The associate dean for student affairs may assist and advise any student(s) charged with an Honor Code violation.
- (4) The Dean of a College shall appoint one faculty advisor at each of its campuses to assist the College Honor Council. The Dean will determine whether a faculty advisor will be permitted to attend all College Honor Council proceedings and whether the faculty advisor may vote
- (5) A College Honor Council may issue a letter of warning to a student in the event of multiple complaints describing suspicious behavior but no guilty verdict. A

letter of warning would warn the student his/her behavior is raising concern among classmates, staff, and faculty that the activity in question may violate the Honor Code. If the activity or behavior continues, a formal Notice of Charge may follow.

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE  
(HEALTH SCIENCE CENTER)**

**CHAPTER 1720-03-01  
THE HONOR SYSTEM**

**1720-03-01-.01 PREAMBLE AND PURPOSE.**

- (1) The University of Tennessee Health Science Center (“UTHSC”) expects and requires all students to exemplify personal integrity and responsibility in the classroom, laboratory, clinics, and other academic endeavors. UTHSC also expects and requires UTHSC students to interact with patients, colleagues, and other members of the university community in a professional and ethical manner. These expectations and requirements provide the foundation for the UTHSC Honor System (“Honor System”).
- (2) The Honor System is composed of the following:
  - (a) The Honor Code, Chapter 1720-03-01-.02, which sets forth expected behaviors in the classroom, laboratories and clinical settings;
  - (b) The Honor Code Pledge, Chapter 1720-03-01-.03, which all students sign as a condition of enrollment;
  - (c) Rules relating to the administration of the Honor System, Chapter 1720-03-01-.04;
  - (d) Rules relating to penalties associated with violations of the Honor Code, Chapter 1720-03-01-.05;
  - (e) A process for appealing a finding of a violation of the Honor Code, Chapter 1720-03-01-.06; and
  - (f) The composition and functioning of the College Honor Councils, Chapter 1720-03-01-.07.

**1720-03-01-.02 THE HONOR CODE.**

- (1) UTHSC students must exhibit personal integrity and responsibility and conduct themselves in a professional and ethical manner with respect to:

- (a) The classroom, including without limitation:
  - 1. Tests and examinations;
  - 2. Oral, written, and practical reports and assignments in a student's academic or research program;
  - 3. The use of electronic technology; and
  - 4. Classroom requirements set by UTHSC, a college within UTHSC ("College"), or a UTHSC faculty member.
- (b) Laboratory work, including without limitation:
  - 1. Completing individual and group assignments;
  - 2. Reporting laboratory results;
  - 3. Acknowledging contributions from other individuals and sources; and
  - 4. Laboratory requirements set by UTHSC, a College, or a faculty member.
- (c) Clinical work, including without limitation:
  - 1. Attendance and participation in clinical teams;
  - 2. Use of patient records;
  - 3. Timely completion of reports;
  - 4. Patient care; and
  - 5. Clinical work requirements set by UTHSC, a College, or a faculty member.
- (2) Section .02(1) shall be referred to as the "Honor Code."
- (3) Violations of the Honor Code include, without limitation:
  - (a) Using, receiving, or providing unauthorized assistance or possessing unauthorized information or materials: during tests, examinations, academic assignments, or scholarship; in the preparation of oral, written,

- or practical reports; or in clinical or laboratory work in the student's academic or research program.
- (b) Recording or reporting fraudulent data relating to patient care, willfully neglecting clinical responsibilities, or otherwise compromising patient treatment through a lack of professional integrity.
  - (c) Plagiarizing (presenting another person's ideas, words, projects, creations, or work as the student's own).
  - (d) Falsifying, fabricating, or misrepresenting data, laboratory results, research results, citations, or other information in connection with academic assignments or clinical, field, or laboratory records.
  - (e) Substituting for another student or have another student substituting for oneself to take an exam or perform an academic, laboratory, clinical, or field assignment.
  - (f) Collaborating with others in assigned out-of-class activities, laboratory work, field work, scholarship, or other academic assignment when the instructions require individual effort.
  - (g) Altering grades, answers, marks, or documents in an effort to change academic records, the earned grade, or credit.
  - (h) Submitting without authorization the same assignment for credit in more than one course.
  - (i) Forging a signature or allowing forgery on any class- or university-related document, such as a class roll or drop/add sheet. Such forgeries could involve false identification by electronic, paper, or other means.
  - (j) Failing to follow a faculty member's instructions about the integrity of an exam or academic assignment.
  - (k) Engaging in an activity that unfairly places another student at a disadvantage, such as taking, hiding, or altering resource material or manipulating a grading system.
  - (l) Maliciously and falsely accusing another student of violating the Honor Code.
  - (m) Assisting another student in violating the Honor Code.

- (n) Failing to report to UTHSC in a timely manner one’s reasonable belief that another person has violated the Honor Code.
- (o) Discussing or revealing confidential information or materials relating to allegations, investigations, charges, or hearings of a College Honor Council or the University Honor Court.
- (p) Engaging in unauthorized or inappropriate distribution or use of course materials (e.g., podcasts/lecture recordings), including without limitation:
  - 1. Sharing materials with individuals not enrolled in the UTHSC course;
  - 2. Posting lectures or portions of lectures to external sites;
  - 3. Creating clips for online video repositories; and
  - 4. Posting recordings of patient encounters in simulated or actual clinical settings.
- (q) Engaging in other similar acts of academic dishonesty.

**1720-03-01-.03 THE HONOR CODE PLEDGE.**

- (1) All UTHSC students must sign the following pledge (“Honor Code Pledge”) within two weeks of the start of classes:

*I have read carefully the provisions of the Honor Code of the University of Tennessee Health Science Center and fully understand its meaning and significance, and I agree to abide by the Honor Code while a student enrolled at this institution and agree to accept all of its implications without reservation.*

- (2) A student’s signature under Section .03(1) indicates the student’s pledge of personal integrity and responsibility and professional and ethical conduct and obligates the student to comply with the UTHSC Honor Code and the UTHSC Honor System.

**1720-03-01-.04 ADMINISTRATION OF THE HONOR SYSTEM.**

- (1) Responsibility and Procedures for Reporting Violations.

- (a) UTHSC students, faculty, staff, and/or test administrators must timely report a reasonable belief that a student has violated the Honor Code, in accordance with the procedures outlined in Section .04(1)(b)(2).
  - (b) The procedures for reporting a violation of the Honor Code are as follows:
    - 1. **Informal Notification.** Faculty, staff, students, and/or test administrators who become aware of suspicious behavior but are uncertain whether the behavior violates the Honor Code may informally report the behavior to a College Honor Council member. The Honor Council member must notify the Honor Council president and then advise the suspected student that such actions are suspicious and, if continued, may lead to a formal complaint.
    - 2. **Formal Complaints.** Faculty, staff, students, and/or test administrators who reasonably believe that a student has violated the Honor Code must file a formal complaint against the student. A formal complaint is written and signed by the person alleging that a student has violated the Honor Code. A formal complaint is presented to a member of the appropriate College Honor Council. A formal complaint by one individual is sufficient to initiate an investigation against a student. Signed complaints shall be forwarded to the College Honor Council president and shall not be discussed with other students.
  - (c) The president or faculty advisor of the College Honor Council must immediately report any alleged violation of the Honor Code to the faculty member/clinical supervisor/researcher at the site where the alleged violation occurred (assuming that the allegation was not initiated by this individual).
- (2) Responsibilities for Administering the Honor System.
- (a) UTHSC offices relating to student affairs are responsible for providing guidance to students regarding Honor System procedures.
  - (b) A representative of each College will discuss the Honor System with entering students during orientation and ensure that they sign the Honor Code Pledge. The signed pledge will be sent to the Registrar's office and becomes a part of the student's official UTHSC file.
  - (c) Colleges are responsible for informing students of an appropriate style

manual for citations.

- (d) Each College has an Honor Council composed of current students that functions as a body for hearing cases of alleged violations of the Honor Code. UTHSC also has a University Honor Court composed of College Honor Council members. The University Honor Court also serves as a hearing body for Honor Code violation cases
  - (e) Faculty advisors to College Honor Councils provide guidance to College Honor Council members regarding rules, procedures, and the appropriate methods of administering the Honor System.
  - (f) The Office of Vice Chancellor for Academic, Faculty and Student Affairs (“VCAFSA”) provides guidance and orientation to College Honor Council members, faculty advisors, and other students.
  - (g) Any proposed change to the Honor System shall be presented in writing to the president of each College’s Honor Council. A majority vote of each College Honor Council and the College Honor Council presidents must approve changes before they are submitted to the Student Government Association Executive Committee, the UTHSC Chancellor, and the University of Tennessee Board of Trustees for approval.
  - (h) Changes to a College Honor Council’s procedures shall be presented in writing to the College’s Honor Council president and must be approved by a majority vote of the College’s Honor Council. Upon approval and concurrence by the Dean of the College, the change shall be submitted to the Chancellor for approval.
- (3) Procedures for Handling Alleged Violations of the Honor Code.
- (a) Upon receipt of a formal complaint, the president of the College Honor Council appoints a College Honor Council member to investigate and determine the facts of the alleged violation(s). The student investigator shall make an oral or written recommendation to the College Honor Council president concerning whether the president should issue a notice accusing a student of violating the Honor Code (“Notice of Charge”). A seven (7) university business day investigation period is recommended but is not mandatory.
  - (b) If the student investigator determines that a preponderance of the evidence does not support a finding that a violation of the Honor Code occurred, then the student investigator will recommend that the president dismiss the formal complaint. The president will review the student

investigator's factual findings and assess the appropriateness of this recommendation. If the president agrees that a preponderance of the evidence does not support a finding that a violation of the Honor Code occurred, then the president will dismiss the formal complaint.

- (c) If the student investigator concludes that a preponderance of the evidence supports the allegation, then the student investigator will recommend the president issue a Notice of Charge. The president will review the investigator's factual findings and determine whether to accept the recommendation. If the president concludes that a preponderance of the evidence supports the allegation that the student committed a Honor Code violation, then the president shall send the accused student a Notice of Charge in accordance with Section .04(4). The president shall also send a copy of the Notice of Charge to the VCAFSA.
  - (d) The identity of the person(s) who reported the allegation is confidential throughout the student investigator's investigation. If a Notice of Charge is issued, then the accused student will be notified of the name(s) of the accuser(s) upon request to the president of the College Honor Council.
- (4) Notice to the Accused Student and the Response from the Accused Student.
- (a) A student charged with violating the Honor Code shall be given a Notice of Charge(s) that states the following:
    1. Factual basis of the charge(s);
    2. The penalties that could be recommended to the Dean of the student's College;
    3. The student's right to a hearing if the student contests the charge(s) and/or proposed penalties;
    4. The name and address of the person to whom a request for a hearing should be directed;
    5. A statement indicating that a request for a hearing must be made within seven (7) university business days of the date that the Notice of Charge was sent; and
    6. A statement indicating the right to consult with a faculty advisor recommended by the College.

- (b) The accused student must respond to the Notice of Charge in writing within seven (7) university business days of the date the Notice of Charge was sent. The accused student's response must indicate either:
    - 1. that he/she acknowledges a violation of the Honor Code and agrees to accept the penalty imposed by the Dean; or
    - 2. that he/she denies violation of the Honor Code and requests a hearing to contest the charge(s) under one of the hearing options outlined in Section .04(5).
  - (c) If the accused student does not respond to the Notice of Charge in writing within seven (7) university business days of the date the Notice of Charge was sent, then the accused student will be found responsible for the charges indicated in the Notice of Charge and a penalty will be imposed by the Dean of the student's College.
  - (d) A Notice of Charge will be sent to a student by:
    - 1. U.S. mail or courier service to the address UTHSC's Registrar has on file for the student, in which case the notice is effectively sent upon mailing or delivery to the courier service; and
    - 2. electronic means (e.g., e-mail) to the student's UTHSC e-mail account, in which case the notice is effectively sent upon transmission.
  - (e) In computing a period of time that is referenced in the Honor System, the day of the event that triggered the period is excluded, and the last day of the period is included unless the last day of the period is a Saturday, Sunday, or legal holiday, in which case the period continues to run until the end of the next day that is not a Saturday, Sunday, or legal holiday.
- (5) Hearing Options and Guidelines. An accused student who wants to contest a charge has the following hearing options:
- (a) TUAPA Hearing. If it is reasonably likely that the penalty of suspension or expulsion will be imposed if the accused student is found responsible for violating the Honor Code, then the accused student has a right to a hearing under the University of Tennessee's rules for conducting contested cases under the Tennessee Uniform Administrative Procedures Act, Chapter 1720-01-05 ("TUAPA Hearing"). In accordance with Chapter 1720-01-03, whenever an accused student who is entitled to a TUAPA hearing requests a hearing, that hearing will be conducted in

accordance with Chapter 1720-01-05 unless the accused student executes a waiver of right to proceed under Chapter 1720-01-05 and elects a hearing under Section .04(5)(b) or Section .04(5)(c). An accused student who elects a TUAPA hearing shall have no right to be heard on the same matter in a College Honor Council hearing or a University Honor Court hearing.

- (b) College Honor Council Hearing. This hearing is held by members of the College Honor Council from the accused student's College. The composition of the College Honor Councils is set forth in Section .07. College Honor Council hearings shall be conducted in accordance with the following guidelines:
1. A hearing panel is convened from among the members of the College Honor Council.
  2. The president of the College Honor Council serves as the chair of the hearing panel. The president of the College Honor Council may appoint another member of the College Honor Council to serve as the chair of the hearing panel if the president is unavailable.
  3. The hearing should be scheduled as soon as possible following receipt of the accused student's request for a hearing.
  4. At least five (5) calendar days before the hearing, the student investigator should provide the accused and the College Honor Council hearing panel with all documents the investigator reasonably anticipates presenting during the hearing at least five (5) calendar days before the hearing.
  5. Both the accused student and the student investigator have the right to request the participation of witnesses. Any individual who is a member of the UTHSC community (student, faculty or staff member) is expected to participate if such a request is made. If a witness is unable to participate for some reason, the accused student or the student investigator may ask the witness to provide a written statement documenting the witness' knowledge of the case. Then, the hearing panel will determine whether to consider the written statement as evidence, balancing the potential value of the information with the fact that the witness will not be questioned as part of the hearing process.
  6. If he/she feels that a member of the College Honor Council may

be biased, the accused student may request that the member be replaced by an alternate. Decisions for such removal will be made by the president of the College Honor Council in consultation with the faculty advisor to the College Honor Council. Unresolved disputes regarding such requests will be resolved by the VCAFSA.

7. Before the hearing, the College Honor Council hearing panel should meet to review the documentation, determine which (if any) witnesses should be invited to participate, discuss the procedure for the hearing, and determine the date of the hearing. After the details have been settled, the president/chairperson should schedule the hearing, inviting the accused student, his/her advisor, the student investigator, and witnesses as appropriate.
8. The student investigator will present the charge(s) to the hearing panel.
9. The accused student has the right to attend all parts of the formal hearing except the deliberation of the hearing panel. In addition, the accused has the right to question all witnesses and is afforded a full and fair opportunity to present all evidence, including witnesses, reasonably relating to the charge or action at issue. The accused student may have one (1) advisor present. This advisor shall be a member of the UTHSC community (e.g. a student, faculty or staff member) but shall not be an attorney. The advisor is allowed to provide advice to the accused student during the hearing but is not allowed to question any witness or hearing panel member, introduce evidence, raise objections, present arguments, or otherwise participate in the hearing.
10. College Honor Council hearings are not open to the public; family members, supporters and any other interested party who is not an advisor to the accused student will be provided with a waiting area but are not allowed to listen to, or otherwise participate in, the formal hearing.
11. Evidence that is irrelevant, immaterial, repetitious, or cumulative may be limited. Judicial rules of evidence and procedure do not apply.
12. Allegations, investigations, charges and hearing records are confidential and shall be treated as student records that are protected by federal privacy laws (i.e., the Family Educational

Rights and Privacy Act of 1974 - FERPA).

13. A verbatim record shall be made of the hearing procedures. However, defects in the record do not invalidate the proceedings.
  14. After the hearing, the College Honor Council hearing panel will consider the evidence and present written findings, conclusions, and recommendations of possible penalties to the Dean of the College in which the violation occurred. The faculty advisor to the College Honor Council may attend these deliberations but should only provide advice on procedural issues.
  15. At least five (5) College Honor Council members are required for a quorum. A majority vote of the hearing panel is required for any decision. The student investigator does not vote.
  16. A finding of responsibility requires that the truth of the charge(s) at issue be supported by a preponderance of the evidence. The student investigator has the burden of proof.
  17. If the verdict is that the student did not violate the Honor Code, then the Notice of Charge is dismissed and no penalty is imposed on the accused student.
  18. Irrespective of the outcome of the hearing, all documents and recordings related to the case shall be transferred to the Office of Student Affairs as part of the official student file.
  19. The president/chairperson shall notify the accused student, Dean of the College, the student investigator, and the Office of Student Affairs of the outcome of the case.
- (c) University Honor Court Hearing. The University Honor Court is composed of students from all Colleges at UTHSC. Each College nominates two (2) College Honor Council members to the University Honor Court pool. For each hearing, the VCAFSA will appoint a hearing panel of seven (7) members from the pool of nominees with at least two (2) panel members from the College of the accused student. The VCAFSA will select a chairperson from the panel members for the hearing. University Honor Court hearings shall be conducted in accordance with the same guidelines outlined in Section .04(5)(b).
- (6) Enrollment of Students During the Notice of Charge and Hearing.

- (a) Normally, an accused student may continue attending classes after the issuance of a Notice of Charge, assuming that the case does not extend for more than one (1) complete academic term after the alleged violation occurred. Should the case continue into the next academic term, the Grades for courses taken during that term will be listed as "I" (incomplete) until the case is finally adjudicated, converting to the grade earned if the finding of the hearing panel is that the student did not violate the Honor Code. If the student is found responsible for violating the Honor Code, the Dean of that student's College will determine the type of penalty to impose, which could include denying credit for courses attended while the case was being adjudicated. Depending on individual circumstances, students who are involved in clinical training when a Notice of Charge is filed may not be able to continue their clinical activities. The Dean (or designee) from the student's College will decide whether the student can continue attending clinical training while the case is being adjudicated. If a case extends into a second academic term following the issuance of a Notice of Charge, then the accused student will normally not be allowed to continue coursework until the case has been resolved.
- (b) If an accused student leaves the University prior to the resolution of the case, the College Honor Council president shall send a letter to the Dean describing the accusation and stating the case was not resolved before the accused's departure from school. A copy of this letter with all accompanying documents related to the case shall be forwarded to the Office of Student Affairs to be placed in the accused student's permanent record.
- (c) If an accused student leaves the University without resolving a Notice of Charge and is later readmitted, the accused student will be required to appear before the College Honor Council and resolve the Notice of Charge. If the College Honor Council finds that the student did not violate the Honor Code, then the Dean shall inform the Office of Student Affairs and request removal of the letter and all accompanying documents from the student's permanent record.

#### **1720-03-01-.05 HONOR CODE VIOLATION PENALTIES.**

- (1) If a hearing panel finds that an accused student violated the Honor Code, then the hearing panel will report its findings to the Dean of the accused student's College and recommend possible penalties, including probation, suspension, dismissal, or any other action deemed appropriate by the hearing panel.

- (2) The Dean will consider the evidence, written findings, conclusions, and recommendations of the hearing panel and determine the penalty within ten (10) university business days of the Dean's receipt of the hearing panel's decision. If the Dean is not available to provide a timely penalty determination, then he/she may appoint a designee to make the penalty determination.
- (3) The Dean's decision on the penalty will be sent to the student by.
  - (a) U.S. mail or courier service to the address UTHSC's Registrar has on file for the student, in which case the notice is effectively sent upon mailing or delivery to the courier service; and
  - (b) electronic means (e.g., e-mail) to the student's UTHSC e-mail account, in which case the notice is effectively sent upon transmission.
- (4) A copy of the Dean's (or designee's) decision will be sent to the president/chairperson of the hearing panel and to the Office of Student Affairs, which will file the decision as part of the student's permanent record.
- (5) Penalties in TUAPA hearings will be determined by the administrative judge, hearing examiner, and/or Agency Head in accordance with Chapter 1720-01-05.

**1720-03-01-.06 APPEALS.**

- (1) The accused student may appeal the Dean's penalty determination in writing to the Chancellor within ten (10) university business days of receipt of the Dean's penalty determination. The accused student has the burden of proving that the penalty assigned by the Dean is unreasonable. Any appeal to the Chancellor may only address the penalty assigned by the Dean and not the decision of the College Honor Council or the University Honor Court.
- (2) The Chancellor (or designee) generally will respond to the student with a decision within ten (10) university business days of the receipt of the request for the appeal. A copy of the Chancellor's (or designee's) decision will be sent to the Office of Student Affairs and maintained in the student's permanent record.
- (3) Appeals in TUAPA cases will be handled in accordance with Chapter 1720-01-05.

**1720-03-01-.07 COLLEGE HONOR COUNCILS.**

- (1) The general duties of a College Honor Council are to:
  - (a) Conduct hearings of alleged violations of the Honor Code;

- (b) Keep adequate records of all hearings and transfer all case records of the case to the Office of Student Affairs; and
  - (c) Forward findings and recommendations in Honor Code violation proceedings to the Dean of the College.
- (2) Each College will establish policies and procedures governing the membership of the College Honor Council, including eligibility, how members are elected and removed, how alternates are elected and removed, how vacancies are filled, and which members may vote. Such policies and procedures described in Section .07(2) shall be published in the UTHSC student handbook.
- (3) The associate dean for student affairs may assist and advise any student(s) charged with an Honor Code violation.
- (4) The Dean of a College shall appoint one faculty advisor at each of its campuses to assist the College Honor Council. The Dean will determine whether a faculty advisor will be permitted to attend all College Honor Council proceedings and whether the faculty advisor may vote
- (5) A College Honor Council may issue a letter of warning to a student in the event of multiple complaints describing suspicious behavior but no guilty verdict. A letter of warning would warn the student his/her behavior is raising concern among classmates, staff, and faculty that the activity in question may violate the Honor Code. If the activity or behavior continues, a formal Notice of Charge may follow.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Trusteeship

ITEM: **Revised Audit Committee Charter**

RECOMMENDATION: Approval

PRESENTED BY: James L. Murphy III, Chair of Trusteeship

In accordance with professional standards of The Institute of Internal Auditors, the charter of Audit Committee Charter is reviewed and approved annually by the Audit Committee. As a result of the most recent review, the Audit Committee has approved a comprehensive revision of the Charter to reflect the Committee's oversight of the maturing institutional compliance function, to align with professional standards, and to conform the Charter to those of other standing committees of the Board of Trustees by including more detailed information about meetings. The most significant revisions are changing the name of the Committee to Audit and Compliance Committee and adding information about the Committee's oversight of institutional compliance.

The State Comptroller has approved the revised Charter (see the following letter), and the Audit Committee approved it at a meeting on April 27, 2015. In accordance with the Bylaws, the revised Charter was presented to the Trusteeship Committee at its meeting on May 19, 2015. The Trusteeship Committee recommends the revised Audit Committee Charter to the Board of Trustees for approval.

**MOTION:**

**That the revised Audit Committee Charter be approved as presented in the meeting materials.**

**THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
AUDIT AND COMPLIANCE COMMITTEE CHARTER**

**PURPOSE**

The ~~audit committee~~Audit and Compliance Committee of the Board of Trustees will assist the Board in fulfilling its governance and oversight responsibilities for the following activities.

- The integrity of the ~~university's~~University's annual financial statements.
- The ~~university's~~University's compliance with legal and regulatory requirements.
- Oversight and direction of the internal ~~auditing function~~audit and institutional compliance functions, any external auditors ~~whom~~ the ~~committee~~Committee may employ, and engagements with the state auditors.
- Oversight of the ~~university's~~University's internal control structure and management practices.
- Knowledge of audit activities, informing the full Board and making recommendations when necessary, and other duties as directed by the Board.
- Monitoring of compliance with ~~university~~University policies and procedures.

**AUTHORITY**

~~The audit committee~~The Audit and Compliance Committee has authority to conduct or authorize investigations into any matter within its scope of responsibility. The ~~committee~~Committee is empowered to:

- Seek any information it requires from employees – all of whom are directed to cooperate with the ~~committee's~~Committee's requests – or external parties.
- Meet with ~~university~~University officials, external and internal auditors, ~~general counsel~~the General Counsel, or others as necessary.
- Delegate authority to subcommittees to handle any matter within the Committee's scope of responsibility, provided that ~~such decisions~~actions of the subcommittee are presented to the full ~~committee~~Committee at ~~its next~~a previously scheduled or called meeting.
- Oversee the internal ~~auditing function of the university~~audit and institutional compliance functions of the University, which will report directly to the ~~audit committee~~Audit and Compliance Committee through the Executive Director of the Office of Audit and Compliance.
- Conduct executive sessions as authorized by law.

**MEMBERSHIP COMPOSITION OF THE COMMITTEE**

The ~~audit committee~~Audit and Compliance Committee shall be composed of no less than three members who meet the membership requirements established in the Board bylaws and who shall be appointed by the Board of Trustees: on the recommendation of the Vice Chair of the Board. The Board shall appoint the ~~committee chairman.~~Committee Chair on the recommendation of the Vice Chair of the Board. The ~~committee~~Committee may be composed of one external member and two or more members of the Board of Trustees. The ~~vice chair~~Vice Chair of the Board shall serve as an ex officio member, but shall not be counted for quorum purposes. A majority of voting members shall constitute a quorum. The ~~president~~President shall not serve as a member, but shall attend meetings if requested by the ~~chair~~Chair. The external member must have extensive accounting, auditing, or financial management expertise and may not serve as ~~chairman~~Chair. The term of appointment is two years, and the ~~chairman~~Chair may not serve more than two consecutive two-year terms as ~~chair~~Chair. Also, there shall be no overlapping of membership of the ~~audit committee~~Audit and Compliance Committee and the ~~finance~~Finance and ~~administration~~Administration Committee. All members shall be financially literate, meaning they shall be able to read and understand financial statements, including a balance sheet, income statement, and cash flow statement. At all times, the ~~committee~~Committee must have at least one member with extensive accounting, auditing, or financial management expertise. Each member shall be free of any relationship that would interfere with his or her exercise of independent judgment or give the appearance of a conflict of interests.

### COMMITTEE EDUCATION

\_\_\_\_\_The ~~university's~~University's senior management and ~~internal audit department~~Office of Audit and Compliance are responsible for providing the ~~committee~~Committee with educational resources related to accounting principles, internal controls, applicable policies, regulations, compliance risks, risk management, and other information that may be requested by the ~~committee~~Committee to maintain appropriate financial and compliance literacy.

### MEETINGS

#### Required Number and Call

The ~~committee~~Committee shall meet at least three times a year or more frequently, as circumstances require. The chairman~~A meeting of the Committee may be called by the Chair of the Board, the Vice Chair of the Board, the President, the Committee Chair, or any~~by the Secretary upon the written request of two committee members may call a meeting of the Committee. The ~~committee~~Committee will invite members of management, auditors, or others to attend and provide pertinent information. Members~~In carrying out Committee responsibilities, members may also~~find it necessary from time to time to meet individually with management and internal and external auditors. ~~Meeting agendas will be provided to members in advance, along with appropriate briefing materials. Minutes will be prepared.~~

### Notice

Notice of Committee meetings shall be given to all Committee members. Notice may be given by regular mail, electronic mail, or facsimile transmission. At least seven days' notice shall be given when feasible, but less notice may be given when there is a need for urgent action by the Committee. If written notice is not feasible, by reason of urgency or other exigent circumstance, notice may be given by telephone.

Except when meeting in executive session as authorized by law, all meetings of the Committee shall be open to the public unless otherwise provided by the Tennessee Open Meetings Act or a judicially recognized exception to the Act.

### Agenda

An agenda shall accompany the notice of every meeting of the Committee when feasible but, when not feasible, the notice shall state the purpose(s) for which the meeting is called.

Development of the Committee agenda shall be the responsibility of the Chair, in consultation with the Executive Director of the Office of Audit and Compliance. The agenda shall list in outline form each matter to be considered at the meeting. The Committee may use a consent agenda in the manner provided by the Board bylaws.

Matters not set forth on the agenda or in the notice may be considered only upon an affirmative vote of the number of Committee members constituting a majority of the entire Committee.

### Quorum

A quorum shall be a majority (more than one-half) of the voting members of the Committee. The Vice Chair of the Board shall be counted for quorum purposes. In the absence of a quorum, those attending may adjourn the meeting until a quorum is present.

### Minutes

The Executive Director of the Office of Audit and Compliance shall cause minutes of all Committee meetings to be prepared, review the minutes with the Committee Chair, and provide the minutes to all Committee members before the next Committee meeting.

## **RESPONSIBILITIES**

### **Financial Statements**

- Review with the state auditors the scope and results of their examination of the university's University's annual financial statements and any other matters related to

the conduct of the audit, which should be communicated to the ~~committee~~Committee.

- Review with management and ~~general counsel~~the General Counsel any legal matters (including pending litigation) that may have a material impact on the ~~university's~~University's financial statements and any material reports or inquiries from regulatory or governmental agencies.
- Resolve any differences between management and the state auditors regarding financial reporting.

### Internal Control

- Consider the effectiveness of the ~~university's~~University's internal control system, including information technology security and control.
- Understand the scope of internal and external auditors' review of internal controls over financial reporting.
- Review management's risk assessment and the ~~university code~~University's Code of conductConduct.
- Ensure that procedures exist for the receipt, retention, and treatment of complaints regarding fraud, waste, and abuse-, including procedures for anonymous complaints.
- Ensure that the ~~code~~Code of ~~conduct~~Conduct is easy to access, widely communicated, easy to understand, includes ~~a confidential~~an anonymous reporting mechanism, and is enforced.
- Ensure that the ~~university's~~University's conflict of interests policy is comprehensive, ~~requires an annual signoff~~, clearly defines the term "conflict of interests," and contains procedures for adequately resolving and documenting potential conflicts.
- Review the ~~university's~~University's process for monitoring compliance with laws and regulations.

### ~~Internal Audit~~Office of Audit and Compliance

- Ensure that the ~~internal audit department~~Office of Audit and Compliance has direct and unrestricted access to the ~~chairman~~Chair and other ~~committee~~Committee members.
- Review the ~~audit director's~~Executive Director's administrative reporting relationship to assure not only that independence is fostered, but adequate resources in terms of staff and budget are provided to enable the ~~department~~Office of Audit and Compliance to perform its responsibilities effectively.
- Review and approve the appointment, evaluation, compensation, reassignment, or dismissal of the ~~audit director~~Executive Director.
- Review budget and staffing for the Office of Audit and Compliance.
- Review compliance with professional standards.

### Internal Audit

- Review and approve the charter for ~~Internal Audit~~the internal audit function.
- Review and approve the annual audit plan ~~and~~.
- Review the results of the year's work with the ~~director of Internal Audit~~Executive Director. Changes to the plan, including management requests for unplanned assignments, should also be reviewed.
- Receive and review reports and other work prepared by ~~Internal Audit~~the internal audit team.
- Review any difficulties encountered in the course of performing audits, including restrictions on the scope of work and access to required information.

### Institutional Compliance

- Review and approve the annual institutional compliance work plan.
- Review the results of the University's compliance risk assessment process.
- Review the results of compliance work on a regular basis.
- Receive and review reports and other work prepared in conjunction with the institutional compliance efforts.

### **External Auditors**

- Participate in an entrance ~~conference with~~conferences or may delegate such authority to the ~~external auditors~~Executive Director.
- Present the external auditors' conclusions to the full Board.
- Meet regularly with the external auditors to discuss any matters that the ~~committee~~Committee or auditors deem appropriate.

### **Communications and Reporting**

- Provide an open avenue of ~~communications~~communication among the state auditors, ~~Internal~~the Office of Audit and Compliance, senior management, and the Board.
- Report regularly to the Board about ~~committee~~Committee activities and issues that arise with such recommendations, as the ~~committee~~Committee deems appropriate.
- Review and assess the adequacy of the ~~committee's~~Committee's charter annually, requesting Board approval for proposed changes on the recommendation of the Trusteeship Committee.

**THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
AUDIT AND COMPLIANCE COMMITTEE CHARTER**

**PURPOSE**

The Audit and Compliance Committee of the Board of Trustees will assist the Board in fulfilling its governance and oversight responsibilities for the following activities.

- The integrity of the University’s annual financial statements.
- The University’s compliance with legal and regulatory requirements.
- Oversight and direction of the internal audit and institutional compliance functions, any external auditors the Committee may employ, and engagements with the state auditors.
- Oversight of the University’s internal control structure and management practices.
- Knowledge of audit activities, informing the full Board and making recommendations when necessary, and other duties as directed by the Board.
- Monitoring of compliance with University policies and procedures.

**AUTHORITY**

The Audit and Compliance Committee has authority to conduct or authorize investigations into any matter within its scope of responsibility. The Committee is empowered to:

- Seek any information it requires from employees – all of whom are directed to cooperate with the Committee’s requests – or external parties.
- Meet with University officials, external and internal auditors, the General Counsel, or others as necessary.
- Delegate authority to subcommittees to handle any matter within the Committee’s scope of responsibility, provided that actions of the subcommittee are presented to the full Committee at a previously scheduled or called meeting.
- Oversee the internal audit and institutional compliance functions of the University, which will report directly to the Audit and Compliance Committee through the Executive Director of the Office of Audit and Compliance.
- Conduct executive sessions as authorized by law.

**COMPOSITION OF THE COMMITTEE**

The Audit and Compliance Committee shall be composed of no less than three members who meet the membership requirements established in the Board bylaws and who shall be appointed by the Board of Trustees on the recommendation of the Vice Chair of the Board. The Board shall appoint the Committee Chair on the recommendation of the Vice Chair of the Board. The Committee may be composed of one external

member and two or more members of the Board of Trustees. The Vice Chair of the Board shall serve as an ex officio member but shall not be counted for quorum purposes. A majority of voting members shall constitute a quorum. The President shall not serve as a member but shall attend meetings if requested by the Chair. The external member must have extensive accounting, auditing, or financial management expertise and may not serve as Chair. The term of appointment is two years, and the Chair may not serve more than two consecutive two-year terms as Chair. Also, there shall be no overlapping of membership of the Audit and Compliance Committee and the Finance and Administration Committee. All members shall be financially literate, meaning they shall be able to read and understand financial statements, including a balance sheet, income statement, and cash flow statement. At all times, the Committee must have at least one member with extensive accounting, auditing, or financial management expertise. Each member shall be free of any relationship that would interfere with his or her exercise of independent judgment or give the appearance of a conflict of interests.

### **COMMITTEE EDUCATION**

The University's senior management and Office of Audit and Compliance are responsible for providing the Committee with educational resources related to accounting principles, internal controls, applicable policies, regulations, compliance risks, risk management, and other information that may be requested by the Committee to maintain appropriate financial and compliance literacy.

### **MEETINGS**

#### **Required Number and Call**

The Committee shall meet at least three times a year or more frequently, as circumstances require. A meeting of the Committee may be called by the Chair of the Board, the Vice Chair of the Board, the President, the Committee Chair, or by the Secretary upon the written request of two members of the Committee. The Committee will invite members of management, auditors, or others to attend and provide pertinent information. In carrying out Committee responsibilities, members may find it necessary from time to time to meet individually with management and internal and external auditors.

#### **Notice**

Notice of Committee meetings shall be given to all Committee members. Notice may be given by regular mail, electronic mail, or facsimile transmission. At least seven days' notice shall be given when feasible, but less notice may be given when there is a need for urgent action by the Committee. If written notice is not feasible, by reason of urgency or other exigent circumstance, notice may be given by telephone.

Except when meeting in executive session as authorized by law, all meetings of the Committee shall be open to the public unless otherwise provided by the Tennessee Open Meetings Act or a judicially recognized exception to the Act.

### **Agenda**

An agenda shall accompany the notice of every meeting of the Committee when feasible but, when not feasible, the notice shall state the purpose(s) for which the meeting is called.

Development of the Committee agenda shall be the responsibility of the Chair, in consultation with the Executive Director of the Office of Audit and Compliance. The agenda shall list in outline form each matter to be considered at the meeting. The Committee may use a consent agenda in the manner provided by the Board bylaws.

Matters not set forth on the agenda or in the notice may be considered only upon an affirmative vote of the number of Committee members constituting a majority of the entire Committee.

### **Quorum**

A quorum shall be a majority (more than one-half) of the voting members of the Committee. The Vice Chair of the Board shall be counted for quorum purposes. In the absence of a quorum, those attending may adjourn the meeting until a quorum is present.

### **Minutes**

The Executive Director of the Office of Audit and Compliance shall cause minutes of all Committee meetings to be prepared, review the minutes with the Committee Chair, and provide the minutes to all Committee members before the next Committee meeting.

## **RESPONSIBILITIES**

### **Financial Statements**

- Review with the state auditors the scope and results of their examination of the University's annual financial statements and any other matters related to the conduct of the audit, which should be communicated to the Committee.
- Review with management and the General Counsel any legal matters (including pending litigation) that may have a material impact on the University's financial statements and any material reports or inquiries from regulatory or governmental agencies.
- Resolve any differences between management and the state auditors regarding financial reporting.

### **Internal Control**

- Consider the effectiveness of the University’s internal control system, including information technology security and control.
- Understand the scope of internal and external auditors’ review of internal controls over financial reporting.
- Review management’s risk assessment and the University’s Code of Conduct.
- Ensure that procedures exist for the receipt, retention, and treatment of complaints regarding fraud, waste, and abuse, including procedures for anonymous complaints.
- Ensure that the Code of Conduct is easy to access, widely communicated, easy to understand, includes an anonymous reporting mechanism, and is enforced.
- Ensure that the University’s conflict of interests policy is comprehensive, clearly defines the term “conflict of interests,” and contains procedures for adequately resolving and documenting potential conflicts.
- Review the University’s process for monitoring compliance with laws and regulations.

### **Office of Audit and Compliance**

- Ensure that the Office of Audit and Compliance has direct and unrestricted access to the Chair and other Committee members.
- Review the Executive Director’s administrative reporting relationship to assure not only that independence is fostered, but adequate resources in terms of staff and budget are provided to enable the Office of Audit and Compliance to perform its responsibilities effectively.
- Review and approve the appointment, evaluation, compensation, reassignment, or dismissal of the Executive Director.
- Review budget and staffing for the Office of Audit and Compliance.
- Review compliance with professional standards.

### **Internal Audit**

- Review and approve the charter for the internal audit function.
- Review and approve the annual audit plan.
- Review the results of the year’s work with the Executive Director. Changes to the plan, including management requests for unplanned assignments, should also be reviewed.
- Receive and review reports and other work prepared by the internal audit team.
- Review any difficulties encountered in the course of performing audits, including restrictions on the scope of work and access to required information.

### **Institutional Compliance**

- Review and approve the annual institutional compliance work plan.
- Review the results of the University’s compliance risk assessment process.

- Review the results of compliance work on a regular basis.
- Receive and review reports and other work prepared in conjunction with the institutional compliance efforts.

### **External Auditors**

- Participate in entrance conferences or may delegate such authority to the Executive Director.
- Present the external auditors' conclusions to the full Board.
- Meet regularly with the external auditors to discuss any matters that the Committee or auditors deem appropriate.

### **Communications and Reporting**

- Provide an open avenue of communication among the state auditors, the Office of Audit and Compliance, senior management, and the Board.
- Report regularly to the Board about Committee activities and issues that arise with such recommendations, as the Committee deems appropriate.
- Review and assess the adequacy of the Committee's charter annually, requesting Board approval for proposed changes on the recommendation of the Trusteeship Committee.

Approved November 2003.

Revised and approved by the State Comptroller November 2006.

Approved by the Audit Committee November 4, 2010.

Approved by the Audit Committee December 16, 2011.

Approved by the Audit Committee December 18, 2012.

Approved by the Audit Committee December 18, 2013.

Approved by the Audit and Compliance Committee December 8, 2014.

Approved by the Audit and Compliance Committee April 27, 2015



STATE OF TENNESSEE  
**COMPTROLLER OF THE TREASURY**

State Capitol  
Nashville, Tennessee 37243-9034  
(615) 741-2501

Justin P. Wilson  
Comptroller

April 9, 2015

Ms. Sandy S. Jansen  
Executive Director  
Office of Audit and Compliance  
The University of Tennessee  
149 Conference Center Building  
Knoxville, TN 37996

Dear Ms. Jansen:

Thank you for submitting the revised audit committee charter for The University of Tennessee for approval. We have reviewed the revised charter for compliance with the Comptroller's Guidelines for Audit Committee Charters. I am pleased to approve the revised charter.

Sincerely,

Justin P. Wilson  
Comptroller of the Treasury

JPW/geb

**THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
AUDIT AND COMPLIANCE COMMITTEE CHARTER**

**PURPOSE**

The Audit and Compliance Committee of the Board of Trustees will assist the Board in fulfilling its governance and oversight responsibilities for the following activities.

- The integrity of the University’s annual financial statements.
- The University’s compliance with legal and regulatory requirements.
- Oversight and direction of the internal audit and institutional compliance functions, any external auditors the Committee may employ, and engagements with the state auditors.
- Oversight of the University’s internal control structure and management practices.
- Knowledge of audit activities, informing the full Board and making recommendations when necessary, and other duties as directed by the Board.
- Monitoring of compliance with University policies and procedures.

**AUTHORITY**

The Audit and Compliance Committee has authority to conduct or authorize investigations into any matter within its scope of responsibility. The Committee is empowered to:

- Seek any information it requires from employees – all of whom are directed to cooperate with the Committee’s requests – or external parties.
- Meet with University officials, external and internal auditors, the General Counsel, or others as necessary.
- Delegate authority to subcommittees to handle any matter within the Committee’s scope of responsibility, provided that actions of the subcommittee are presented to the full Committee at a previously scheduled or called meeting.
- Oversee the internal audit and institutional compliance functions of the University, which will report directly to the Audit and Compliance Committee through the Executive Director of the Office of Audit and Compliance.
- Conduct executive sessions as authorized by law.

**COMPOSITION OF THE COMMITTEE**

The Audit and Compliance Committee shall be composed of no less than three members who meet the membership requirements established in the Board bylaws and who shall be appointed by the Board of Trustees on the recommendation of the Vice Chair of the Board. The Board shall appoint the Committee Chair on the recommendation of the Vice Chair of the Board. The Committee may be composed of one external

member and two or more members of the Board of Trustees. The Vice Chair of the Board shall serve as an ex officio member but shall not be counted for quorum purposes. A majority of voting members shall constitute a quorum. The President shall not serve as a member but shall attend meetings if requested by the Chair. The external member must have extensive accounting, auditing, or financial management expertise and may not serve as Chair. The term of appointment is two years, and the Chair may not serve more than two consecutive two-year terms as Chair. Also, there shall be no overlapping of membership of the Audit and Compliance Committee and the Finance and Administration Committee. All members shall be financially literate, meaning they shall be able to read and understand financial statements, including a balance sheet, income statement, and cash flow statement. At all times, the Committee must have at least one member with extensive accounting, auditing, or financial management expertise. Each member shall be free of any relationship that would interfere with his or her exercise of independent judgment or give the appearance of a conflict of interests.

### **COMMITTEE EDUCATION**

The University's senior management and Office of Audit and Compliance are responsible for providing the Committee with educational resources related to accounting principles, internal controls, applicable policies, regulations, compliance risks, risk management, and other information that may be requested by the Committee to maintain appropriate financial and compliance literacy.

### **MEETINGS**

#### **Required Number and Call**

The Committee shall meet at least three times a year or more frequently, as circumstances require. A meeting of the Committee may be called by the Chair of the Board, the Vice Chair of the Board, the President, the Committee Chair, or by the Secretary upon the written request of two members of the Committee. The Committee will invite members of management, auditors, or others to attend and provide pertinent information. In carrying out Committee responsibilities, members may find it necessary from time to time to meet individually with management and internal and external auditors.

#### **Notice**

Notice of Committee meetings shall be given to all Committee members. Notice may be given by regular mail, electronic mail, or facsimile transmission. At least seven days' notice shall be given when feasible, but less notice may be given when there is a need for urgent action by the Committee. If written notice is not feasible, by reason of urgency or other exigent circumstance, notice may be given by telephone.

Except when meeting in executive session as authorized by law, all meetings of the Committee shall be open to the public unless otherwise provided by the Tennessee Open Meetings Act or a judicially recognized exception to the Act.

### **Agenda**

An agenda shall accompany the notice of every meeting of the Committee when feasible but, when not feasible, the notice shall state the purpose(s) for which the meeting is called.

Development of the Committee agenda shall be the responsibility of the Chair, in consultation with the Executive Director of the Office of Audit and Compliance. The agenda shall list in outline form each matter to be considered at the meeting. The Committee may use a consent agenda in the manner provided by the Board bylaws.

Matters not set forth on the agenda or in the notice may be considered only upon an affirmative vote of the number of Committee members constituting a majority of the entire Committee.

### **Quorum**

A quorum shall be a majority (more than one-half) of the voting members of the Committee. The Vice Chair of the Board shall be counted for quorum purposes. In the absence of a quorum, those attending may adjourn the meeting until a quorum is present.

### **Minutes**

The Executive Director of the Office of Audit and Compliance shall cause minutes of all Committee meetings to be prepared, review the minutes with the Committee Chair, and provide the minutes to all Committee members before the next Committee meeting.

## **RESPONSIBILITIES**

### **Financial Statements**

- Review with the state auditors the scope and results of their examination of the University's annual financial statements and any other matters related to the conduct of the audit, which should be communicated to the Committee.
- Review with management and the General Counsel any legal matters (including pending litigation) that may have a material impact on the University's financial statements and any material reports or inquiries from regulatory or governmental agencies.
- Resolve any differences between management and the state auditors regarding financial reporting.

**Internal Control**

- Consider the effectiveness of the University's internal control system, including information technology security and control.
- Understand the scope of internal and external auditors' review of internal controls over financial reporting.
- Review management's risk assessment and the University's Code of Conduct.
- Ensure that procedures exist for the receipt, retention, and treatment of complaints regarding fraud, waste, and abuse, including procedures for anonymous complaints.
- Ensure that the Code of Conduct is easy to access, widely communicated, easy to understand, includes an anonymous reporting mechanism, and is enforced.
- Ensure that the University's conflict of interests policy is comprehensive, clearly defines the term "conflict of interests," and contains procedures for adequately resolving and documenting potential conflicts.
- Review the University's process for monitoring compliance with laws and regulations.

**Office of Audit and Compliance**

- Ensure that the Office of Audit and Compliance has direct and unrestricted access to the Chair and other Committee members.
- Review the Executive Director's administrative reporting relationship to assure not only that independence is fostered, but adequate resources in terms of staff and budget are provided to enable the Office of Audit and Compliance to perform its responsibilities effectively.
- Review and approve the appointment, evaluation, compensation, reassignment, or dismissal of the Executive Director.
- Review budget and staffing for the Office of Audit and Compliance.
- Review compliance with professional standards.

**Internal Audit**

- Review and approve the charter for the internal audit function.
- Review and approve the annual audit plan.
- Review the results of the year's work with the Executive Director. Changes to the plan, including management requests for unplanned assignments, should also be reviewed.
- Receive and review reports and other work prepared by the internal audit team.
- Review any difficulties encountered in the course of performing audits, including restrictions on the scope of work and access to required information.

**Institutional Compliance**

- Review and approve the annual institutional compliance work plan.
- Review the results of the University's compliance risk assessment process.

- Review the results of compliance work on a regular basis.
- Receive and review reports and other work prepared in conjunction with the institutional compliance efforts.

#### **External Auditors**

- Participate in entrance conferences or may delegate such authority to the Executive Director.
- Present the external auditors' conclusions to the full Board.
- Meet regularly with the external auditors to discuss any matters that the Committee or auditors deem appropriate.

#### **Communications and Reporting**

- Provide an open avenue of communication among the state auditors, the Office of Audit and Compliance, senior management, and the Board.
- Report regularly to the Board about Committee activities and issues that arise with such recommendations, as the Committee deems appropriate.
- Review and assess the adequacy of the Committee's charter annually, requesting Board approval for proposed changes on the recommendation of the Trusteeship Committee.

Approved November 2003.

Revised and approved by the State Comptroller November 2006.

Approved by the Audit Committee November 4, 2010.

Approved by the Audit Committee December 16, 2011.

Approved by the Audit Committee December 18, 2012.

Approved by the Audit Committee December 18, 2013.

Approved by the Audit and Compliance Committee December 8, 2014.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Trusteeship

ITEM: **Bylaw Amendments**

RECOMMENDATION: Approval

PRESENTED BY: James L. Murphy III, Chair of Trusteeship

One of the responsibilities of the Trusteeship Committee is to review the Bylaws periodically and recommend needed amendments. On May 19, 2015, the Committee considered and voted to recommend the amendments shown in underline and strikethrough format in the following pages. Many of the amendments are technical or editorial in nature, and many relate to conforming the Bylaws to the revised Audit Committee Charter. A brief description of each revision appears below. Amendments that merely add "Compliance" to the name of the Audit Committee and amendments that merely delete "Chief Investment Officer" from the title of the Treasurer are not listed.

Page, Article, Section	Brief Description
Page 3, Art I, Section 5(d)	Technical correction: insertion of the missing word "of"
Page 6, Art II, Section 9	Expressly authorizes the Vice Chair to grant or deny the petition, which has been the practice. (Retains the Board's discretion to grant a late petition upon a favorable majority vote.)
Page 8, Art III, Section (b)(2)	Technical correction: deletion of a provision that expired on May 31, 2011.
Page 9, Art III, Section 3(a)	Changes the minimum number of times a standing committee must meet annually from three to two.
Pages 15-20, Art III, Section 8	Conforms the Bylaws to the revised Audit Committee Charter.
Page 23, Art IV, Section 1	Adds language to clarify the term "University Officers" and deletes titles not currently in use; corrects official name to "The University of Tennessee, Knoxville" rather than "at Knoxville."

Page 24, Art IV, Section 2(c)	Clarifies that all University Officers other than the President and Chancellors are elected in accordance with the process described in this section.
Page 25, Art IV, Section (3)(a)(1)	Technical correction: capitalizes "President."
Page 25, Art IV, Section 3(a)(2)(i)	Substitutes the defined term "University Officers."
Page 26, Art IV, Section 3(b)	Revises the description of duties for the EVP/VP for Research and Economic Development to reflect current practice.
Page 27, Art IV, Section 3(c)	Reflects the status of Chancellors as "chief executive officers" instead of "chief operating officers."
Page 27, Art IV, Section 3(e)	Reflects the possibility that the office of Treasurer could report to another University Officer whom the Board has designated as the Chief Financial Officer.
Page 28, Art IV, Section (e)(3)	Technical correction: "Chief Financial Officer" is substituted for "chief budget officer."
Page 29, Art IV, Section 4	Revises the description of staff vice president positions to allow for greater flexibility and authorizes the President to appoint a Chief of Staff.
Page 29, Art IV, Section 5	Substitutes "University Officer" for "Chancellor, Vice President." Expressly authorizes the Board to approve the terms of a post-retirement or post-service appointment at the time of initial employment or any time before the individual leaves office.
Page 29-30, Art IV, Section 6	Reflects the current title of the chief internal auditor, "Executive Director of the Office of Audit and Compliance."
Page 33, Art V, Section 7	Achieves consistency with University policies providing for a final appeal at the campus/institute level.

**MOTION:**

**That the Bylaw amendments be adopted as presented in the meeting materials.**

# THE UNIVERSITY OF TENNESSEE BYLAWS

As Amended Through ~~March 1, 2013~~ June 25, 2015

## ARTICLE I

Full Authority  
Vested in  
Board  
of Trustees

**SECTION 1.** The Board of Trustees, which is the governing body of The University of Tennessee, shall have full and complete control over its organization and administration, also over its constituent parts and its financial affairs. All Trustees, both ex officio and appointed, (except the non-voting student and faculty trustees and the Executive Director of the Tennessee Higher Education Commission, who is a non-voting member), shall have a vote on matters coming before the Board, or before any committee thereof of which they are members.

Responsibilities  
of the Board

**SECTION 2.** The Board shall:

(a) Establish policies controlling the scope of the educational opportunities to be offered by the University and also policies determining its operation in general; however, the planning and development of curricula shall be the function of the faculties;

(b) Have full authority to determine and to control the activities and policies of all organizations and activities that bear, or that may be carried under, the name of the University;

(c) Not undertake to direct matters of administration or of executive action except through the President;

(d) Control the election and removal of the University Officers named in Article IV, Section 1, of these Bylaws and the fixing of their compensation. The Board shall elect and fix the compensation of the President and the other University Officers at the annual meeting except when a vacancy occurs at another time. At the annual meeting the Board shall also approve the compensation of the faculty and of all other employees the Board may deem necessary for the proper operation and management of the University.

(e)(1) Approve guidelines governing the administration’s submission of a proposed annual operating budget and appropriations request to state agencies and officials.

(e)(2) Approve the University’s proposed capital outlay budgets and requests for capital outlay appropriations prior to their submission to other state agencies and officials;

(e)(3) Approve the final operating and capital outlay budgets for the next fiscal year after the General Assembly has enacted annual appropriations for the University.

(f) Grant tenure to eligible members of the faculty upon the positive recommendation of the President; and

(g) Prescribe admission, progression, and retention requirements for the University and particular programs of instruction.

Duty of Trustees to Keep Informed

**SECTION 3.** Each Trustee, so far as time will permit, shall keep informed as to the work of the University and its several campuses, colleges, schools, departments and activities, both educational and business.

Officers of the Board

**SECTION 4.** The officers of the Board shall be a Chair and a Vice Chair.

(a) The Chair and Vice Chair shall be members of the Board and shall be elected by the Board at the annual meeting to serve for two years, beginning July 1 of the year elected. In the event of a vacancy before expiration of the term, a successor shall be elected to fill the unexpired term at the next meeting of the Board following creation of the vacancy. The Vice Chair shall not be eligible to succeed himself after serving a two-year term except upon the affirmative three-fourths (3/4) vote of the entire Board.

(b) The Chair shall preside when present at meetings of the Board and shall be the spokesman for the Board. The Chair shall perform such other duties as may, from time to time, be prescribed by the Board and by these bylaws.

(c) In the absence of the Chair, the Vice Chair shall preside at meetings of the Board and otherwise perform the duties of the

Chair.

(d) In the absence of the Chair and the Vice Chair, the chair of the Trusteeship Committee shall preside at meetings of the Board; in the absence of all three, the senior member of the Board shall preside.

Corporate  
Secretary

**SECTION 5.** The Secretary of the University shall perform the following duties related to the business of the Board:

(a) Assist the President and the Vice Chair in preparing an agenda for each meeting of the Board and of the Executive and Compensation Committee, in accordance with the provisions of Article II, Section 7.

(b) Record all calls for meetings of the Board and of the Executive and Compensation Committee, and notify all Trustees of all meetings of the Board and of the Executive and Compensation Committee in accordance with the provisions of Article II, Sections 5 and 6.

(c) Attend all meetings of the Board and of the Executive and Compensation Committee, take and preserve in a proper minute book the minutes of those meetings, and send a copy of the minutes to all Trustees.

(d) Notify the members of all special committees created by the Board of their appointment.

(e) Maintain a current set of the Bylaws of the University and a record of all Bylaw amendments.

(f) Maintain a record of the dates of Trustee appointments and the dates of the expiration of their terms of office.

(g) Maintain a copy of any written report made by the committees of the Board.

(h) Maintain all records pertaining to the business of the Board, except as otherwise provided.

(i) Assist the President in seeing that all orders and resolutions of the Board and of the Executive and Compensation Committee

are made effective.

(j) Perform such other duties as may be directed by the Board, the Chair, the Vice Chair, the Executive and Compensation Committee, or the President; and assist the Board, its officers, and committees in discharging their duties.

With approval of the President, the Secretary may appoint one or more assistant secretaries to assist in performing the duties of the office. In the absence of the Secretary and an assistant secretary from any meeting of the Board or of the Executive and Compensation Committee, the Board or the Executive and Compensation Committee may appoint a secretary *pro tempore*, who shall be charged with the duty of taking the minutes of the meeting and sending a copy to all Trustees.

**ARTICLE II**

Three Stated Meetings Annually

**SECTION 1.** The Board of Trustees shall hold at least three (3) stated meetings annually, one of which shall be the Annual Meeting. Meetings may be held at any location in Tennessee where the University conducts business.

Special Meetings

**SECTION 2.** Special meetings of the Board as may be necessary may be called (a) by its Chair, (b) by its Vice Chair, (c) by the President of the University, or (d) by the Secretary upon the written request of three (3) or more of its members. The call for every special meeting shall state the business to be considered.

Adjournment

**SECTION 3.** The Board may adjourn any stated or special meeting to any date that it may set for such adjournment. Any stated or special meeting of the Board, if a quorum be not present, may be adjourned by the members attending from time to time until a quorum shall be present.

Quorum

**SECTION 4.** Seven (7) members of the Board shall constitute a quorum.

Notice of Stated Meetings

**SECTION 5.** At least five (5) days' written notice of the stated meetings of the Board shall be sent by the Secretary to all Trustees.

Notice of  
Special Meetings

**SECTION 6.** Special meetings of the Board may be called on less than five (5) days' notice and, if urgent, by telephone, facsimile transmission, or electronic mail to each Board member, who must be advised of the purpose or purposes of the meeting.

Agenda

**SECTION 7.** An agenda for every meeting of the Board of Trustees shall be prepared by the Vice Chair and the President, with the assistance of the Secretary. The agenda shall list in outline form each matter to be considered at the meeting. When feasible, a copy of the agenda shall accompany the notice of the meeting, but when not feasible, a copy shall be provided to the Trustees before the meeting date. Matters not appearing on the agenda of a stated meeting, or stated in the call for a special meeting, may be considered only upon an affirmative vote which shall represent a majority of the entire Board.

Notwithstanding any provision in these Bylaws to the contrary, after consulting with the appropriate committee chair and the President, the Vice Chair of the Board may designate agenda items for deliberation and action at the full Board meeting without prior review and recommendation of a committee. In that event, the Vice Chair shall relinquish the chair of the meeting to the appropriate committee chair for the duration of the Board's deliberation and action on the designated item(s).

Consent  
Agenda

**SECTION 8.** The Vice Chair of the Board, in consultation with the President, the Secretary, and committee chairs, may designate items to be approved, or received for information, by unanimous consent of the Board. Only items that are routine in nature or noncontroversial shall be designated for unanimous consent; provided, however, that any item unanimously approved by a committee may be designated by the Vice Chair, in consultation with the President, the Secretary, and committee chair, for unanimous consent at the full Board meeting. The following are examples of items that will routinely be designated for unanimous consent on both the committee agenda and the full Board agenda: approval of minutes, approval of signatory authority for bank and investment accounts; sale of gift property at or above the appraised value; grant of easements and rights-of-way; ratification of quasi-endowments; and authorization to confer degrees.

Items designated for unanimous consent shall be separately

identified on the Board agenda as a consent agenda and shall be voted on by a single motion. Full information about each item on the consent agenda shall be provided to the Board in advance of the meeting. Any member of the Board may remove an item from the consent agenda by notifying the Secretary prior to the meeting. Before calling for a motion to approve the consent agenda, the Chair or Vice Chair shall announce any items that have been removed from the consent agenda and ask if there are other items to be removed. Requests for clarification or other questions about an item on the consent agenda must be presented to the Secretary before the meeting. An item will not be removed from the consent agenda solely for clarification or other questions.

Employee and Student Petitions

**SECTION 9.** Employees and students of the University desiring to appear at any Board meeting to present in person any matter shall make written ~~application~~petition to the Secretary, setting out the matter to be presented, at least thirty (30) days before the date set for the meeting;~~otherwise, a request to appear before the Board will not be granted except upon favorable majority vote of the Trustees present at the meeting.~~ The Secretary shall forward the petition to the Vice Chair, who is authorized to grant or deny the petition. If the employee or student petition is made less than thirty (30) days before the meeting date, the petition will not be granted except upon favorable majority vote of the Trustees present at the meeting.

Meetings Open to the Public

**SECTION 10.** Meetings of the Board shall be open to the public except as authorized by a statutory or judicially recognized exception to the Tennessee Open Meetings Act.

**ARTICLE III**

Standing Committees

**SECTION 1. (a)** The standing committees of the Board of Trustees shall be the Academic Affairs and Student Success Committee, Advancement and Public Affairs Committee, Audit ~~and Compliance~~ Committee, Executive and Compensation Committee, Finance and Administration Committee, Health Affairs Committee, Trusteeship Committee, and Research, Outreach and Economic Development Committee.

Committee Charters

**(b)** Each standing committee shall develop and approve a

committee charter detailing the committee's recurring activities in carrying out its responsibilities. The Trusteeship Committee shall review and approve committee charters and recommend them to the Board for final approval.

Special Committees

(c) In addition to the standing committees, the Chair or Vice Chair of the Board may appoint special committees for specific assignments. The chair of any special committee shall be designated at the time of appointment.

Composition of Standing Committees

**SECTION 2. (a)** The Executive and Compensation Committee shall be composed of the chairs of the other standing committees of the Board, the immediate past Vice Chair of the Board as an ex officio voting member, and the current Vice Chair of the Board as an ex officio voting member. The current Vice Chair of the Board shall serve as chair of the committee. The President of the University shall serve as an ex officio, non-voting member of the committee but shall not be counted for quorum purposes.

(b) The Health Affairs Committee shall be composed of the Vice Chair of the Board, the Chair of the Audit and Compliance Committee, the Chair of the Finance and Administration Committee, and the Chair of the Trusteeship Committee, all of whom shall serve as ex officio voting members, and the President and the Executive Vice President of the University, both of whom shall serve as ex officio non-voting members of the committee but shall not be counted for quorum purposes. If one of the ex officio voting members is not the immediate past Vice Chair of the Board, the immediate past Vice Chair shall serve as an ex officio voting member of the committee. If one of the ex officio voting members is not from Shelby County or the Ninth Congressional District, a Trustee from Shelby County or the Ninth Congressional District shall be appointed as a voting member of the Committee in accordance with subsection (b)(1) below. From time to time, the Vice Chair of the Board may recommend to the Board of Trustees that another Trustee with professional or business expertise that would be particularly beneficial to the Health Affairs Committee be appointed as an at-large voting member. The Chair of the Finance and Administration Committee shall serve as Chair of the Health Affairs Committee.

(b)(1) Except as otherwise provided in these Bylaws, the voting

members of the standing committees shall be members of the Board of Trustees appointed by the Board upon the recommendation of the Vice Chair of the Board, with the advice and consent of the Trusteeship Committee. Each standing committee shall be composed of no less than three members of the Board of Trustees.

Notwithstanding any provision in this subsection to the contrary, the Audit and Compliance Committee may be composed of one external member and two or more members of the Board of Trustees who satisfy the membership requirements established in Article III, Section 8 of these Bylaws. An external member must have extensive accounting, auditing, or financial management expertise. An external member may not serve as Chair of the Audit and Compliance Committee.

**(b)(2)** Committee appointments shall be made at the annual meeting for a two-year term. The chair of each standing committee shall be designated by the Board for a two-year term upon the recommendation of the Vice Chair, with the advice and consent of the Trusteeship Committee. ~~For good cause, such as the need to ensure continuity in Board leadership during a period of transition in Board membership or in the offices of Governor or President, the Board, on the recommendation of the Vice Chair, may extend the term of committee and committee chair appointments for up to one year beyond the two-year term. (The immediately preceding sentence shall expire on May 31, 2011.)~~ Competencies and professional or business expertise of members and previous Board and committee experience of members, together with any special qualifications required by these bylaws for certain committees, will be considered in committee and chair appointments. No Trustee may serve more than two consecutive two-year terms as chair of a committee. If a vacancy occurs in a committee or chair position prior to expiration of the two-year term, the Board shall appoint a Trustee to fill the remainder of the term, upon the recommendation of the Vice Chair, with the advice and consent of the Trusteeship Committee.

**(b)(3)** The Vice Chair of the Board shall be an ex officio, voting member of all standing committees and, except for the Audit and Compliance Committee, shall be counted for quorum purposes.

**(b)(4)** The President of the University shall be an ex officio, non-voting member of all committees, except the Audit and Compliance Committee, but shall not be counted for quorum purposes.

**(b)(5)** The faculty senate presidents and the student government association presidents shall serve as non-voting members of the Academic Affairs and Student Success Committee.

**(b)(6)** A representative of the professional staff of the Institute of Agriculture, the Institute of Public Service, and the Health Science Center shall be appointed by the Vice Chair of the Board, upon the recommendation of the President, as non-voting members of the Research, Outreach and Economic Development Committee.

Meetings of  
Standing  
Committees  
Required

**SECTION 3.** (a) The ~~Executive and Compensation Committee~~standing committees shall meet as often as necessary to carry out ~~its~~their responsibilities but no less often than twice annually. ~~All other standing committees shall meet at least three times annually.~~

Call **(b)** A meeting of any standing or special committee may be called by the Chair of the Board, the Vice Chair of the Board, the President, the committee chair, or by the Secretary upon the written request of two members of the committee.

Notice **(c)** Notice of all standing and special committee meetings, including the purpose of the meeting, shall be given to all committee members. Notice of meetings of the Executive and Compensation Committee, including the purpose of the meeting, shall be given to all members of the Board. Notice may be delivered by regular mail, electronic mail, or facsimile transmission. At least five (5) days' notice shall be given when feasible, but less notice may be given when there is a need for urgent action by the committee. If written notice is not feasible, by reason of urgency or other exigent circumstance, notice may be given by telephone.

Agenda **(d)** An agenda shall accompany the notice of every meeting of a standing or special committee when feasible, but when not feasible, the notice shall state the purpose or purposes for which

the meeting is called. The agenda shall list in outline form each matter to be considered at the meeting. Matters not set forth on the agenda or in the notice shall be considered only upon an affirmative vote of a majority of the entire committee.

Consent Agenda

**(e)** In accordance with Article II, Section 8, of the Bylaws, items may be designated by the Vice Chair of the Board, in consultation with the President, the Secretary, and the committee chair, for a consent agenda of the Board. Consent agenda items shall be separately identified on the committee agenda, and before calling for a motion to approve, the committee chair shall ask if any member of the committee wants to remove an item from the consent agenda. Requests for clarification or other questions about an item on the consent agenda must be presented to the Secretary before the meeting. An item will not be removed from the consent agenda solely for clarification or other questions.

**(e)(1)** If any committee member asks that an item be removed from the consent agenda, the item will be separately considered and voted on by the committee. If the item is approved by the committee, it will be placed on the consent agenda for the full Board meeting.

**(e)(2)** If there is no request to remove an item from the consent agenda, the consent agenda shall be voted on by a single motion, and if the motion carries, all items will go forward to the consent agenda of the full Board meeting.

Quorum

**(f)** A majority of the voting members of each standing or special committee shall constitute a quorum. In the absence of a quorum, those attending may adjourn the meeting until a quorum is present.

Open Meetings

**(g)** Meetings of standing committees, and meetings of special committees authorized to make decisions for or recommendations to the Board, shall be open to the public except as authorized by a statutory or judicially recognized exception to the Tennessee Open Meetings Act.

Minutes

**(h)** Minutes shall be made of meetings of all standing committees and special committees authorized to make decisions for or recommendations to the Board, and the minutes shall be

provided to all members of the committee. In accordance with Article II, Section 5(b) of these bylaws, minutes of the Executive and Compensation Committee shall be provided to all members of the Board.

Executive and  
Compensation  
Committee

**SECTION 4. (a)(1)** The Executive and Compensation Committee shall have power to approve the condemnation or purchase of properties when necessary between meetings of the Board; to approve conveyance of the University's interest in real property by sales in fee, easements, or rights of way when necessary between meetings of the Board; and to approve the acquisition of any interest in real property, including acquisition by gift or devise if the acquisition obligates the University or the State of Tennessee to expend state funds for capital improvements or continuing operating expenditures, when necessary between meetings of the Board.

**(a)(2)** The committee shall have power to act for the Board, in harmony with the general policies of the Board, to approve any other matter on which action is required before the next meeting of the Board. Before undertaking to act for the Board on such a matter, the committee must make a determination of necessity, and the facts and circumstances on which the determination is based must be reflected in the minutes of the meeting.

**(b)(1)** The committee shall recommend to the Board the initial compensation of the President. The committee shall review the President's compensation annually, taking into consideration the results of the President's annual performance review, and may recommend to the Board adjustments in the President's compensation.

**(b)(2)** The committee shall recommend to the Board the compensation of the other elected officers each year after their initial election.

**(b)(3)** The committee shall recommend to the Board the University's compensation plan and any benefit programs requiring Board approval.

Trusteeship  
Committee

**SECTION 5. (a)** The Trusteeship Committee shall have the following purposes: (1) to stay informed about governance issues; (2) to propose implementation of governance best

practices; and (3) to organize the working processes of the Board.

**(b)** The Trusteeship Committee's responsibilities shall include the following:

**(1)** Develop and recommend to the Board a statement of governance setting out the Board's role and fundamental principles of governance.

**(2)** Periodically review the Bylaws and recommend needed amendments;

**(3)** Recommend to the Board an effective committee structure and ensure each standing committee develops a charter for approval by the Board;

**(4)** Provide advice and consent to the Vice Chair of the Board on standing committee and chair appointments;

**(5)** Develop a self-evaluation process for the Board and for individual Trustees to be conducted periodically at the committee's discretion, but not less often than every three years;

**(6)** Develop and implement a process for evaluating the effectiveness of Board and committee meetings;

**(7)** Develop an effective orientation and continuing education process for the Board;

**(8)** Monitor, oversee, and review compliance with the Code of Ethics for Trustees;

**(9)** Develop a set of personal qualifications and core competencies for membership on the Board for approval by the Board and recommendation to each sitting Governor.

**SECTION 6. (a)** The Finance and Administration Committee shall formulate policies and recommend their adoption by the Board of Trustees in all matters relating to finance, business, and administration, including the investment of University funds.

Finance and  
Administration  
Committee

**(b) (1)** The Finance and Administration Committee shall approve and recommend to the Board guidelines governing the

administration's submission of a proposed annual operating budget and appropriations request to state agencies and officials.

**(b)(2)** The Finance and Administration Committee shall approve and recommend to the Board the University's proposed capital outlay budgets and requests for capital outlay appropriations prior to their submission to other state agencies and officials.

**(b)(3)** The Finance and Administration Committee shall approve and recommend to the Board the final operating and capital outlay budgets for the next fiscal year after the General Assembly has enacted annual appropriations for the University.

**(c)** The Finance and Administration Committee shall approve and recommend tuition and fee rates for adoption by the Board.

**(d)** The Finance and Administration Committee shall approve and recommend to the Board the construction of specific buildings and other capital improvements.

**(e)** The Finance and Administration Committee shall approve and recommend to the Board the facilities master plan for each campus.

**(f)** The Finance and Administration Committee shall approve and recommend to the Board or to the Executive and Compensation Committee the acquisition of any interest in real property, including acquisition by gift or devise if the acquisition obligates the University or the State of Tennessee to expend state funds for capital improvements or continuing operating expenditures.

**(g)** The Finance and Administration Committee shall approve and recommend to the Board or to the Executive and Compensation Committee conveyance of the University's interest in real property by sale in fee, easement or right-of-way; provided, however, that in the case of an interest acquired by gift or devise, the President, upon recommendation of the appropriate vice president, shall have authority to approve the sale at or above the appraised value. All sales of real property acquired by gift or devise shall be reported annually to the Finance and Administration Committee, which in turn shall report the sales to the Board of Trustees.

(h) All matters involving ordinary repairs, changes, adjustments and improvements for the purpose of putting and keeping in good condition the buildings, grounds and equipment of the University, wherever located, for their efficient use are committed to the University administration, subject to compliance with all applicable state laws and University policies.

(i) The Finance and Administration Committee shall approve and recommend to the Board any personnel policy matter requiring Board approval.

(j) The Finance and Administration Committee shall approve and recommend to the Board University rules required to be promulgated under the Tennessee Uniform Administrative Procedures Act, except rules governing student conduct, rights, and responsibilities, which shall be approved and recommended by the Academic Affairs and Student Success Committee.

Academic Affairs  
and  
Student Success  
Committee

**SECTION 7.** The Academic Affairs and Student Success Committee shall approve and recommend to the Board the following:

(1) mission statements for The University of Tennessee System for each campus and institute of the University.

(2) strategic and long-range academic plans;

(3) proposals concerning the development of new academic programs and the significant revision of existing programs relating to instruction, research, and service;

(4) establishment of new academic organizations, such as major campuses, institutes, colleges or schools, and academic departments;

(5) evaluation of existing academic programs and their administrative structures;

(6) termination of programs and structures that no longer are needed;

(7) admission, progression, retention, and graduation standards;

(8) the University’s policy on Academic Freedom, Responsibility, and Tenure, campus implementing procedures, and any other faculty personnel policy requiring Board approval;

(9) proposals and any necessary policies concerning information technology;

(10) proposals and any necessary policies concerning system-wide use of academic services including libraries and computer labs;

(11) University rules concerning student conduct, rights, and responsibilities required to be promulgated under the Tennessee Uniform Administrative Procedures Act;

(12) proposals and any necessary policies related to the non-academic aspects of student life, including student services and student conduct; and

(13) proposals and any necessary policies related to campus enrollment and facilities capacity.

Audit and  
Compliance  
Committee

**SECTION 8. (a)** All members of the Audit and Compliance Committee shall be financially literate, meaning they shall be able to read and understand fundamental financial statements, including a balance sheet, income statement and cash flow statement. At least one member of the ~~Audit~~ Committee shall have extensive accounting, auditing, or financial management expertise. There shall be no overlapping membership of the ~~Audit~~ Committee and the Finance and Administration Committee. The Vice Chair of the Board shall serve as an ex officio member of the ~~Audit~~ Committee but shall not be counted for quorum purposes. The President shall not serve as an ex officio member of the ~~audit~~ Committee but shall attend meetings if requested by the Chair.

**(b)** The purpose of the Audit and Compliance Committee is to assist the Board of Trustees in fulfilling its oversight responsibilities for the following activities:

**(1)** The integrity of the University’s annual financial statements;~~The integrity of the University’s financial reporting process and systems of internal controls regarding finance,~~

~~accounting, and legal compliance.~~

~~(2) The University's compliance with legal and regulatory requirements; Review of the University's external audit by the Division of State Audit for the State of Tennessee; and~~

~~(3) Oversight and direction of the internal audit and institutional compliance functions of the University, any external auditors the Committee may employ, and engagements with the State Auditors; Oversight and direction of the internal auditing function and any external auditors whom the committee may employ.~~

~~(4) Oversight of the University's internal control structure and management practices;~~

~~(5) Knowledge of audit activities, informing the full Board and making recommendations when necessary, and other duties as directed by the Board; and~~

~~(6) Monitoring of compliance with University policies and procedures.~~

(c) The Audit and Compliance Committee shall have the following powers: ~~and responsibilities:~~

~~(1) Conduct or authorize any investigations into any matter within its scope of responsibility; appropriate to fulfilling its purpose;~~

(2) Seek any information it requires from University officials and employees – all of whom are required to cooperate with the ~~Audit~~ Committee's requests – or external parties;

(3) Have direct access to University officials, State ~~a~~Auditors, internal auditors, any external auditors, ~~legal counsel~~ the General Counsel, or others as necessary; and

(4) Delegate authority to subcommittees to handle any matter within the Committee's scope of responsibility, provided that actions of the subcommittee decisions made under such delegation are presented to the full ~~e~~Committee at a previously scheduled or called meeting; its next scheduled meeting; and

(5) Oversee the internal audit and institutional compliance

functions of the University, which shall report directly to the Committee through the Executive Director of the Office of Audit and Compliance; and ~~Review and approve the appointment, reassignment, demotion, or dismissal of the chief internal auditor;~~

(6) Conduct executive sessions as authorized by law.

(d) The Audit and Compliance Committee shall have the following responsibilities with respect to the University's financial statements:

(1) Review with the State Auditors the scope and results of their examination of the University's annual financial statements and any other matters related to the conduct of the audit, which should be communicated to the Committee;

(2) Review with management and the General Counsel any legal matters (including pending litigation) that may have a material impact on the University's financial statements and any material reports or inquiries from regulatory or governmental agencies; and

(3) Resolve any differences between management and the State Auditors regarding financial reporting.

(e) The Audit and Compliance Committee shall have the following responsibilities with respect to the University's internal control system:

(1) Consider the effectiveness of the University's internal control system, including information technology security and control;

(2) Understand the scope of internal and external auditors' review of internal controls over financial reporting;

(3) Review management's risk assessment and the University's Code of Conduct;

(4) Ensure that procedures exist for the receipt, retention, and treatment of complaints regarding fraud, waste, and abuse, including procedures for anonymous complaints;

(5) Ensure that the University's Code of Conduct is easy to access, widely communicated, easy to understand, includes an

anonymous reporting mechanism, and is enforced;

(6) Ensure that the University's conflict of interests policy is comprehensive, clearly defines the term "conflict of interests," and contains procedures for adequately resolving and documenting potential conflicts; and

(7) Review the University's process for monitoring compliance with laws and regulations.

(f) The Audit and Compliance Committee shall have the following responsibilities with respect to the Office of Audit and Compliance:

(1) Ensure that the Office of Audit and Compliance has direct and unrestricted access to the Chair and other Committee members;

(2) Review the Executive Director's administrative reporting relationship to assure not only that independence is fostered, but adequate resources in terms of staff and budget are provided to enable the Office of Audit and Compliance to perform its responsibilities effectively;

(3) Review and approve the appointment, evaluation, compensation, reassignment, or dismissal of the Executive Director;

(4) Review budget and staffing for the Office of Audit and Compliance; and

(5) Review compliance with professional standards.

(g) The Audit and Compliance Committee shall have the following responsibilities with respect to the University's internal audit function:

(1) Review and approve the charter for the internal audit function;

(2) Review and approve the annual audit plan;

(3) Review the results of the year's work with the Executive Director, including any changes to the annual audit plan;

(4) Receive and review reports and other work prepared by the internal audit team; and

(5) Review any difficulties encountered in the course of performing audits, including restrictions on the scope of work and access to required information.

(h) The Audit and Compliance Committee shall have the following responsibilities with respect to the University's institutional compliance function:

(1) Review and approve the annual institutional compliance work plan;

(2) Review the results of the University's compliance risk assessment process;

(3) Review the results of compliance work on a regular basis; and

(4) Receive and review reports and other work prepared in conjunction with the institutional compliance efforts.

(i) The Audit and Compliance Committee shall have the following responsibilities with respect to external auditors:

(1) Participate in entrance conferences or may delegate such authority to the Executive Director;

(2) Present the external auditors' conclusions to the full Board; and

(3) Meet regularly with the external auditors to discuss any matters that the Committee or auditors deem appropriate.

(j) The Audit and Compliance Committee shall have the following responsibilities with respect to communications and reporting:

(1) Provide an open avenue of communication among the state auditors, the Office of Audit and Compliance, senior management, and the Board;

(2) Report regularly to the Board about Committee activities and issues that arise with such recommendations, as the Committee deems appropriate;

(3) Review and assess the adequacy of the Committee’s charter annually, requesting Board approval for proposed changes on the recommendation of the Trusteeship Committee.

~~(6) Ensure that the Chief Internal Auditor has direct and unrestricted access to the Chair of the Audit Committee and other members of the Audit Committee;~~

~~(7) Ensure that the Chief Internal Auditor’s administrative reporting relationship fosters independence, and ensure that adequate resources in terms of staff and budget are provided to enable the internal audit department to perform its responsibilities effectively;~~

~~(8) Receive and review audit reports and other work prepared by the internal audit department;~~

~~(9) Establish procedures to allow information about questionable financial practices to be submitted anonymously by University employees; and establish procedures for handling anonymous submissions;~~

~~(10) Provide an open avenue of communication among the State auditors, the internal audit department, senior management, and the Board of Trustees;~~

~~(11) Other powers and responsibilities as the Board of Trustees may prescribe from time to time.~~

Advancement and Public Affairs Committee

**SECTION 9.** The Advancement and Public Affairs Committee shall exercise oversight of programs related to private gifts to the University, alumni support of the University, public relations, and governmental relations. Responsibilities of the committee shall include:

(1) In consultation with the President, setting goals for private gifts to the University and assessing whether fundraising strategies are sufficient to meet those goals.

(2) Examining trends in private giving and, in consultation with

the President, developing appropriate responses to those trends;

(3) Recommending to the Board any necessary policies related to private gifts;

(4) Recommending to the Board the naming of University buildings;

(5) Monitoring the effectiveness of the University's communications with the public, including alumni of the University, and the media;

(6) Ensuring adequate programs are in place for the advancement of the University's reputation and furtherance of its mission in the local, state, national, and international communities;

(7) Monitoring major state and federal legislation affecting the University; and

(8) Promoting effective communication between the University and public officials and leaders at the local, state and federal levels.

Research,  
Outreach and  
Economic  
Development  
Committee

**SECTION 10.** The Research, Outreach and Economic Development Committee shall monitor and promote the effectiveness of programs seeking to extend the University's fund of knowledge to the local, state, national and international communities, including programs in agriculture, university extension, public service, continuing education, family medicine, social work, nursing, and other clinical or service programs. The committee shall also review and report to the Board concerning the University's role in promoting economic development for the state.

Health Affairs  
Committee

**SECTION 11.** The Health Affairs Committee shall assist the Board in exercising oversight of the clinical activities of the Health Science Center. The committee shall review and recommend to the Board of Trustees or the Executive and Compensation Committee: (1) a strategic plan for the Health Science Center's statewide clinical activities; and (2) significant transactions related to the Health Science Center's statewide clinical activities including, but not limited to, acquisition or disposition of physician practices, hospitals, and other facilities

or clinical and ancillary services; creation of faculty practice plans; and creation of or participation in joint ventures, corporations, or other legal entities.

Chancellor's  
Advisory Council

**SECTION 12.** The Chancellor of each campus may appoint an advisory council composed of Trustees from the campus area, student and faculty representatives, and community representatives. The senior Trustee from the campus area shall serve as chair of the campus advisory council. The Chancellor shall convene the advisory council periodically for the purpose of informing the council and seeking its advice on campus matters. The President may also convene the advisory council for the purpose of seeking the council's advice on campus or university-wide matters. The chair of the advisory council may report on council activities at any stated meeting of the Board.

Athletics Boards

**SECTION 13.** Intercollegiate athletics, like all other programs offered by the University, are subject to the governance of the Board of Trustees. The Board of Trustees has established an Athletics Board for each campus of the University which offers intercollegiate athletics programs. These Boards shall serve in an advisory capacity with respect to intercollegiate athletics. Members of the Board of Trustees shall be appointed to the Athletics Boards in the same manner as Trustees are appointed to standing committees. Trustee members of the Athletics Boards, together with the University administration, shall maintain a liaison between the University's intercollegiate athletics programs and the Board of Trustees. Any change in the structure or function of the Athletics Boards shall be subject to the approval of the Board of Trustees.

ARTICLE IV

Officers of the University

SECTION 1. The elected officers of The University of Tennessee (University Officers) shall be the following:

- President
- Executive Vice President and Vice President for Research and Economic Development
- ~~Senior Vice President and Chief Financial Officer~~
- Vice President for Development and Alumni Affairs
- General Counsel and Secretary
- Treasurer ~~and Chief Investment Officer~~
- Chancellor of The University of Tennessee at Chattanooga
- Chancellor of The University of Tennessee Health Science Center
- Chancellor of The University of Tennessee Institute of Agriculture
- Chancellor of The University of Tennessee ~~at~~ Knoxville
- Chancellor of The University of Tennessee at Martin

The Board shall have authority, from time to time, to create and fill additional University Officers and to abolish at its pleasure any office so created.

The Board shall designate an elected University Officer named or created pursuant to this section as the Chief Financial Officer of the University. The Chief Financial Officer shall have extensive accounting and financial management expertise.

Election of Officers

SECTION 2. The University Officers shall be elected annually by the Board at the annual meeting and shall serve at the pleasure of the Board, subject to the terms of any written employment contract.

In the event of a vacancy or notice of an impending vacancy in the office of President, the Board or the Executive and Compensation Committee shall appoint an interim President. In the event of a vacancy or notice of an impending vacancy in any office other than President, the President may appoint an individual to serve in an interim or acting capacity.

A vacancy in one of the University Officer positions may be filled by election at any stated or special meeting of the Board after compliance with the following procedures:

**(a) Election of the President.** In the event of a vacancy, or notice of an impending vacancy, in the office of the President, the Chair, or in the Chair's absence the Vice Chair, shall recommend to the Board the appointment of a search committee composed of appointed members of the Board of Trustees and members of the faculty. The search committee shall take appropriate action to prepare and submit for consideration of the Board a panel of nominees for the position of President. The number of nominees shall be determined by the Board upon the recommendation of the search committee.

In carrying out its duties, the search committee may appoint an advisory committee composed of University faculty, staff, and students, as well as alumni and other representatives of the University's external constituencies, to assist and advise during the search process. The search committee may adopt such rules and procedure as it deems necessary in performing its duties and shall comply with all applicable legal requirements.

**(b) Election of the Chancellors.** These University Officers shall be elected by the Board upon the recommendation of the President. In the event of a vacancy, or notice of an impending vacancy in one of these offices, the President shall appoint an advisory committee composed of faculty, staff, students, alumni, and representatives of the external constituencies of the particular unit where the vacancy exists to assist in identifying and screening candidates for the position. Members of the Board of Trustees may also be appointed by the President to serve on the advisory committee. Appointment of an advisory committee shall not diminish the President's ultimate authority and responsibility for recommending a candidate to the Board of Trustees. In identifying and screening candidates, the President and the advisory committee shall comply with all applicable legal requirements.

**(c) Election of ~~the Executive Vice President and Vice President for Research and Economic Development and Other University Officers.~~** ~~The Executive Vice President and Vice President for Research and Economic Development and a~~All

other University Officers not named in items (a) and (b) above shall be elected by the Board upon the recommendation of the President. In the event of a vacancy or notice of an impending vacancy in one of these offices, the President shall identify and recommend to the Board a candidate for the vacant position. The President may appoint an advisory committee to assist in identifying and screening candidates. Members of the Board of Trustees may serve on any advisory committee so appointed. Appointment of an advisory committee shall not diminish the President's ultimate authority and responsibility for recommending candidates to the Board of Trustees. In identifying and screening candidates, the President and any advisory committee appointed by the President shall comply with all applicable legal requirements.

#### Duties of University Officers

**SECTION 3. (a)(1) Duties of the President.** The President is the chief executive officer of The University of Tennessee System and exercises complete executive authority over all component parts of the University, subject to the direction and control of the Board of Trustees. The ~~P~~President has ultimate responsibility for leading the University academically, administratively, and financially. The President is the principal spokesperson for the University.

**(a)(2)** The President is responsible for:

**(i)** identifying and recommending to the Board of Trustees individuals for election as ~~Vice Presidents, Chancellors, and other officers of the~~ University Officers as defined in these bylaws;

**(ii)** promoting the general welfare and development of the University in its several parts and as a whole. The President decides all questions of jurisdiction not otherwise defined among the several parts of the University;

**(iii)** presentation of policies, recommendations, and other matters to the Board of Trustees, the Governor, the General Assembly, and other state and federal offices;

**(iv)** prompt and effective execution of all laws relating to the University and of all resolutions policies, rules, and regulations adopted by the Board of Trustees;

(v) preparation of the University budget and its presentation to the Tennessee Higher Education Commission, the Governor and the Department of Finance and Administration, the General Assembly, and the Board of Trustees.

(vi) exercising ultimate control over the budgets of all parts of the University and must approve all major budgetary revisions;

(vii) development and execution of fundraising and alumni programs for the University;

(viii) general oversight of the University's intercollegiate athletics programs. President shall require the Chancellors of the Chattanooga, Knoxville, and Martin campuses to keep the President informed of all matters necessary for the exercise of this oversight responsibility;

(a)(3) The President performs such other duties as may be delegated to that office by the Board of Trustees or by any standing or special committee of the Board.

(a)(4) In the execution of the President's duties and responsibilities, the President may delegate powers and duties to subordinate officers. In accordance with applicable University policies and procedures, the President delegates to Chancellors and Vice Presidents powers and duties to supervise and administer academic and budgetary units reporting to them, under the general direction and control of the President. These delegations do not reduce the President's ultimate responsibility as chief executive officer of the University.

**(b) Duties of the Executive Vice President and Vice President for Research and Economic Development.** ~~The Executive Vice President and Vice President for Research and Economic Development shall be the chief operating officer of The University of Tennessee System.~~ In the temporary absence or unavailability of the President, the Executive Vice President and Vice President for Research and Economic Development shall assume the duties of the President, unless otherwise directed by the President. The Executive Vice President and Vice President for Research and Economic Development shall be directly responsible to the President and shall perform such specific

duties as from time to time may be prescribed or assigned by the President, the Board, or by any standing or special committee of the Board.

(c) **Duties of the Chancellors.** These officers shall be the chief ~~operating~~executive officers of their respective units and shall be fully responsible for administration and management of the unit, subject to the general supervision of the President. These officers shall be directly responsible to the President and shall perform such specific duties as from time to time may be prescribed or assigned by the President, the Board, or by any standing or special committee of the Board.

(d) **Duties of the Secretary.** The General Counsel shall serve as Secretary of the corporate legal entity known as The University of Tennessee. In addition to the Board-related duties prescribed in Articles I and II, the duties of the Secretary shall include the following and similar duties related to the legal and corporate status of the University:

(1) Attest all instruments of legal obligation requiring the Seal of the University and affix thereto the Seal, of which the Secretary shall be the custodian.

(2) Countersign, by facsimile signature, all diplomas and certificates granted by the University.

The Secretary shall also perform such specific duties as may be prescribed or assigned from time to time by the President, the Board, or any standing or special committee of the Board.

(e) **Duties of the Treasurer and Chief Investment Officer.** If held by an individual other than the Chief Financial Officer, ~~The Treasurer and Chief Investment Officer~~ shall act under the direction of and be directly responsible to the Chief Financial Officer of the University. The Treasurer ~~and Chief Investment Officer~~ shall receive and shall have custody of all monies which shall come to the University for the purposes of the University and shall be responsible for the proper handling of such funds in accordance with applicable state statutes and with the policies fixed by the Board of Trustees. The Treasurer ~~and Chief Investment Officer~~ shall give bond in an amount designated by the Board of Trustees, the premium on which shall be paid by

the University. The Treasurer ~~and Chief Investment Officer~~ shall perform the following duties:

(1) Deposit all monies and funds belonging to the University, or over which the Treasurer ~~and Chief Investment Officer~~ has control, in such accounts and depositories and upon such conditions and regulations as shall be prescribed by state law or the Board of Trustees.

(2) Disburse the funds of the University as authorized by the Board of Trustees on checks or warrants drawn on any of the depositories of the University, to be signed and countersigned as directed by the Board, and carefully preserve as vouchers all warrants and checks after payment.

(3) Maintain an adequate record of receipts and disbursements and such other accounting records as may be authorized by the Board of Trustees, and present to the President for transmission to the Board at its annual meeting a statement of all receipts and disbursements for the preceding fiscal year and of the current financial condition of the University. Also, present to the Board of Trustees, the Executive and Compensation Committee, the Finance and Administration Committee, the President or the ~~chief budget officer~~ Chief Financial Officer, whenever requested, such statements relative to the finances of the University as any of them may request, and to whom the records in the office of the Treasurer ~~and Chief Investment Officer~~ shall be open to inspection.

(4) Under the general supervision of the Chief Financial Officer, the Treasurer ~~and Chief Investment Officer~~ will be responsible for accounting, disbursing, and reporting for the University.

(5) Perform such other specific duties as from time to time may be prescribed or assigned by the President, Chief Financial Officer, the Board, or by any standing or special committee of the Board.

(f) **Duties of Other University Officers.** The other University Officers shall be directly responsible to the President, shall assist the President in the active management of the University, and shall perform such specific duties as from time to time may be prescribed or assigned by the President, the Board, or by any

standing or special committee of the Board.

Staff Vice Presidents

**SECTION 4.** The President of the University is authorized to appoint a Chief of Staff and such staff vice presidents ~~in the areas of budget and finance, campus operations, chief of staff operations, diversity and equity, public and governmental relations, and such other areas~~ as the President deems necessary for the efficient administration of the University. Staff vice presidents shall be directly responsible to the President, ~~or~~ another University Officer, or a Chief of Staff and shall perform such specific duties as from time to time may be prescribed or assigned by the President or other University Officer official to whom the staff vice president is directly responsible. All staff vice presidents with responsibility for budget, finance, capital projects, or other financial matters shall act under the direction of and be directly responsible to the Chief Financial Officer of the University.

Employment of Former Members of the Senior Staff

**SECTION 5.** When a ~~Chancellor, Vice President, University Officer, staff vice president,~~ or equivalent member of the President’s senior staff retires or otherwise leaves office, the title, compensation, and other terms and conditions of any further employment by the University shall be subject to the prior approval of the Board or the Executive and Compensation Committee, upon the recommendation of the President; provided that this approval shall not be required when an individual who holds tenure in an academic department leaves office to assume a full-time faculty position with compensation no greater than the average compensation for full-time professors in that department. In its discretion, the Board of Trustees may approve the title, compensation, and other terms and conditions of any further employment at the time the individual is initially employed or at any time before the individual leaves office.

Chief Internal Auditor

**SECTION 6. (a)** The chief internal auditor for the University shall hold the title Executive Director of the Office of Audit and Compliance. The Board of Trustees shall appoint the ~~Chief Internal Auditor~~ Executive Director for the University upon the recommendation of the Audit and Compliance Committee. In the event of a vacancy, or notice of an impending vacancy in this position, the Chair of the Audit and Compliance Committee shall identify and recommend to the ~~Audit~~ Committee a candidate for the vacant position. Upon concurring with the

recommendation of the Chair, the ~~Audit~~ Committee will recommend the candidate to the Board of Trustees for appointment. In identifying a candidate for recommendation to the ~~Audit~~ Committee, the Chair shall comply with all applicable University policies concerning searches and the appointment process. The Chair of the ~~Audit~~ Committee may appoint an individual to serve as ~~Chief Internal Auditor~~ Executive Director on an interim basis pending completion of a search and the appointment process.

(b) The Board of Trustees reserves to itself the authority to reassign, demote, or dismiss for cause the ~~Chief Internal Auditor~~ Executive Director, upon the recommendation of the Audit and Compliance Committee.

(c) The ~~Chief Internal Auditor~~ Executive Director and the staff of the ~~Office of Audit and Compliance~~ department of internal audit shall report administratively to the Chief Financial Officer of the University. However, with respect to all audit activities and findings, the ~~Chief Internal Auditor~~ Executive Director shall report directly to the Audit and Compliance Committee and shall have direct and unrestricted access to the Chair and other ~~e~~ Committee members.

(d) Each year, the ~~Chief Internal Auditor~~ Executive Director shall develop and execute a comprehensive audit plan to be conducted in accordance with applicable professional auditing standards. The ~~Chief Internal Auditor~~ Executive Director shall make a comprehensive report on the internal audit function to the Board of Trustees through the Audit and Compliance Committee at the Annual Meeting. The report will include the annual audit plan and a review of all previous year audits completed and in progress, including any follow-up reviews and any audits that were scheduled but not done.

(e) The ~~Chief Internal Auditor~~ Executive Director shall send a copy of each internal audit report and follow-up review, upon its completion, to the Audit and Compliance Committee.

(f) The ~~Chief Internal Auditor~~ Executive Director shall promptly report any activity that is illegal, or the legality of which is questioned by the internal audit department (e.g., conflict of interest, theft), to the Chair of the Audit and Compliance Committee.

Execution of  
Legal Instruments

**SECTION 7.** Subject to compliance with any applicable policies concerning legal and fiscal review, the President and other University Officers named in Section 1 of this Article IV shall have the power and authority to sign documents, instruments, contracts, bonds, notes, or other papers in connection with the operation of the business of the University; and, in addition to the Secretary, any assistant secretary shall have the power and authority to attest and place the University's Seal on all said documents, instruments, contracts, bonds, notes, or other papers.

Subject to fiscal policies and procedures issued from time to time, administrative personnel on each campus, such as purchasing agents and business managers, shall have the power and authority to sign and issue purchase orders and conduct ordinary business affairs on behalf of the University. In addition, University fiscal policies and procedures issued from time to time may delegate to campus administrative personnel the authority to sign certain other instruments that do not warrant prior legal and fiscal review. Except in the case of purchase orders and other instruments executed by campus personnel under the delegation authorized by this section, all contracts, bonds, mortgages, notes, deeds, leases and other instruments of legal obligation shall be executed by the President or another University Officer after any required legal and fiscal review. A copy of every instrument of legal obligation executed on behalf of the University, except purchase orders, shall be filed in the official files of the University in the Office of the Treasurer ~~and Chief Investment Officer.~~

All Employees  
Responsible to the  
President

**SECTION 8.** All employees of the University, however designated, shall be responsible to the President, directly or through their next superior officer, for the efficient operation of the organizational unit or functions for which they are responsible.

Receipt of Money  
by Employees

**SECTION 9.** All employees whose duties require them to receive money, or who receive donations or bequests made to the University, shall pay it promptly to the Treasurer ~~and Chief Investment Officer~~ with a statement of the amount paid, the date of the payment and the purpose for which paid; except in

those cases where money belonging to the University and its accounting to the Treasurer ~~and Chief Investment Officer~~ by the collecting agency has been provided for otherwise by the Finance and Administration Committee with the approval of the President.

Student Welfare

**SECTION 10.** The Board of Trustees is vitally interested in the welfare, safety, and conduct of the students at all campuses and facilities of The University of Tennessee and is dedicated to the maintenance of an environment which preserves conditions conducive to the normal education processes and to the welfare and safety of students and others. To implement these concepts, the Board of Trustees vests in the President and the respective Chancellors and Vice Presidents the authority to prescribe and enforce policies, rules and regulations concerning rights, responsibilities, conduct and discipline of students at the various campuses and facilities of the University. The President, Chancellors, and Vice Presidents are authorized to take any lawful action necessary to assure the protection of life and property at the several campuses and facilities.

Each Chancellor and Vice President may appoint an administrative council or other similar body and delegate to it certain functions, including approval and control of student organizations, adoption of rules of conduct and discipline of students, and the conduct of disciplinary proceedings involving misconduct or delinquencies of students attending the colleges and schools under its jurisdiction. However, each Chancellor and Vice President shall retain ultimate control and authority and shall be responsible to the President and the Board of Trustees for all final actions and decisions in these areas.

**ARTICLE V**

Parliamentary Rules

**SECTION 1.** General parliamentary rules shall be observed in conducting the business of the Board of Trustees except as they may be modified by rules and regulations adopted by the Board.

Motions and Resolution

**SECTION 2.** In the interest of clearness, to prevent misapprehension and to secure accuracy of record, each important motion or resolution (except informal or parliamentary motions) shall be presented in writing by the offerer at the time the motion is made, or the offerer may be

granted the privilege of reducing such motion to writing and of presenting it to the Secretary before adjournment of the meeting.

Record Vote

**SECTION 3.** A record vote of the Board of Trustees shall be required on all motions providing for any revision of the bylaws, the adoption of a new bylaw or the repeal of an existing bylaw. On any other motion, a record vote shall be taken if required by law or if a Board member present demands a record vote before the announcement of a vote otherwise taken.

Employment of Relatives

**SECTION 4.** If at any time the President of the University or any person or persons connected with the University having the power to make appointment to, or a contract of employment for, a position of trust or profit connected with the University, contemplates the appointment to, or the employment for, such position of a relative of a Trustee, such intention shall be reported to the Board, or to the Executive and Compensation Committee, stating the position to be filled or contract to be made, the name of the person to be appointed or employed, the person's relationship to the member or members of the Board and the qualifications of such person for the duties to be performed; and also whether or not the related member of the Board has been a party to an effort to procure such appointment or employment.

Order of Business

**SECTION 5.** At all meetings of the Board of Trustees, the order of business shall be prescribed by the Vice Chair and the President, with the assistance of the Secretary.

Honorary Degrees

**SECTION 6.** The policy of the Board of Trustees governing the granting of honorary degrees authorizes the granting of such degrees in cases the Board finds to be exceptionally meritorious. The Board shall exercise its authority in this regard with exceeding care.

Appeals to the President

**SECTION 7.** Any employee or student of the University who feels that he or she may have a grievance against the University shall have the right of appeal through the appropriate Chancellor or Vice President to the President of the University, except as otherwise provided by University policy.

Motion to Reconsider

**SECTION 8.** A motion to reconsider must be made at the same meeting by a member who voted with the prevailing side; it

may be seconded by any member.

## ARTICLE VI

Bylaws Effective  
Upon  
Adoption  
Amendment of  
Bylaws

**SECTION 1.** These bylaws shall be effective upon adoption and shall supersede all bylaws previously adopted by the Board.

**SECTION 2.** These Bylaws may be changed by amendment, by adoption of a new Bylaw or by repeal of an existing Bylaw at any regular meeting of the Board of Trustees, or at a special meeting called for that purpose, by a majority vote of record of the entire membership of the Board as constituted by law at the time, provided that a copy of the amendment or new bylaw to be offered or notation of the bylaw to be repealed shall be furnished each Board member in writing at least five (5) days in advance of the meeting.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Trusteeship

ITEM: Authorization for the Vice Chair to Make Committee and Committee Chair Appointments Before the Fall Meeting

ACTION: Approval

PRESENTED BY: James L. Murphy III, Chair of Trusteeship

10

The two-year term of the current committee and committee chair appointments will expire on June 30, 2015. Making new appointments at the Annual Meeting on June 24-25 is not feasible, however, given that a vacancy currently exists on the Board of Trustees due to the resignation of Brian Ferguson, and the term of one six-year Trustee is up for appointment or reappointment this year. Therefore, the final composition of the Board for 2015-16 is not yet known. Therefore, it is recommended that the Vice Chair, who will be elected at the Annual Meeting, be authorized to make committee and committee chair appointments for 2015-2017 when the final composition of the Board is known, subject to ratification by the Board at the Fall Meeting on the recommendation of the Trusteeship Committee.

The Trusteeship Committee considered this recommendation at its meeting on May 19, 2015 and voted to forward it to the Board of Trustees for approval.

**MOTION:**

**That the Vice Chair of the Board be authorized to make committee and committee chair appointments for 2015-2017 when the final composition of the Board is known, subject to ratification by the Board at the Fall Meeting on the recommendation of the Trusteeship Committee.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

ITEM: **Election and Compensation of University Officers**

RECOMMENDATION: Adoption of Resolution

PRESENTED BY: James L. Murphy, Vice Chair of the Board

Article IV of the Bylaws names the following positions as elected University Officers:

President  
Executive Vice President/Vice President for Research and Economic Development  
Chancellors  
General Counsel and Secretary  
Treasurer  
Vice President for Development and Alumni Affairs

11

The Bylaws provide that these officers are elected by the Board initially and re-elected annually. The service of all elected officers of the University is at the will of the Board of Trustees and without a definite term, except for the President, who has an employment agreement for a definite term.

The following Resolution is recommended to re-elect University Officers with the compensation shown in a spreadsheet marked Exhibit A appearing immediately after the Resolution. No increases in compensation are proposed at this time because analysis of market data has not been completed, and development of a variable compensation plan is still in process. It is anticipated that the Executive and Compensation Committee will be prepared to make compensation recommendations for the elected University Officers at the Fall Meeting, with adjustments to be retroactive to July 1, 2015.

Because the office of Chancellor of UT Martin is currently filled on an interim basis by appointment of the President, the Resolution does not include that officer.

The Resolution designates the Treasurer as the Chief Financial Officer of the University, thereby satisfying the Bylaw requirement that one of the University Officers be so designated.

**MOTION:**

**That the Resolution on Election and Compensation of University Officers be adopted.**

RESOLUTION  
OF  
THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
June 25, 2015

**Election and Compensation of University Officers**

WHEREAS, the Bylaws designate officers of the University and provide for their election by the Board upon the recommendation of the President; and

WHEREAS, the Bylaws further provide that these officers serve at the pleasure of the Board and are subject to re-election annually; and

WHEREAS, the Bylaws provide that the Board shall set the compensation of these officers annually;

NOW THEREFORE BE IT RESOLVED that:

1. The following University Officers are elected with the titles designated in the Bylaws as they currently exist or as subsequently amended:

Joseph A. DiPietro, President  
Steve R. Angle, Chancellor, The University of Tennessee at Chattanooga  
Larry R. Arrington, Chancellor, The University of Tennessee Institute of  
Agriculture  
Jimmy G. Cheek, Chancellor, The University of Tennessee, Knoxville  
Steven J. Schwab, Chancellor, The University of Tennessee Health Science Center  
Rickey N. McCurry, Vice President for Development and Alumni Affairs  
David E. Millhorn, Executive Vice President and Vice President for Research and  
Economic Development  
Catherine S. Mizell, General Counsel and Secretary  
Charles M. Peccolo, Jr., Treasurer and Chief Financial Officer

2. The election of the above-named University Officers is with the compensation shown in Exhibit A to this Resolution.
3. The election of the above-named University Officers is subject to the following conditions:
  - a. In accordance with the Bylaws, all University Officers serve at the pleasure of the Board, without tenure in their administrative positions, and without a definite term of appointment, unless otherwise provided in a written employment contract approved by the Board.

- b. The approved compensation for University Officers is for service in their administrative positions; if any University Officer also holds a tenured faculty appointment and leaves his/her University Officer position but continues in the faculty appointment, his/her initial compensation as a full-time faculty member shall not exceed the average compensation for full-time professors in the department in which he/she holds a faculty appointment, unless otherwise approved by the Board of Trustees.

Adopted by the Board of Trustees, this 25th day of June, 2015.

Exhibit A to the Resolution on Election and Compensation of University Officers

**The University of Tennessee**  
 Elected Officers of the University  
 Compensation - July 1, 2015

		Current	Proposed	Housing Allowance	Expense Allowance
DiPietro, Joseph A.	President	\$ 465,618.12	\$ 465,618.12	\$ 20,000.04	\$ 12,000.00
Angle, Steven R.	Chancellor, UTC	291,000.00	291,000.00	20,000.04	6,999.96
Arrington, Larry R.	Chancellor, UTIA	294,090.96	294,090.96	-	5,000.04
Cheek, Jimmy G.	Chancellor, UTK	434,451.96	434,451.96	20,000.04	9,999.96
Schwab, Steven J.	Chancellor, UTHSC	562,380.00	562,380.00	20,000.04	9,999.96
Millhorn, David E. <sup>(1)</sup>	Executive VP/VP Research & Econ Development	383,069.76	383,069.76	-	6,999.96
Mizell, Catherine S.	General Counsel and Secretary	219,527.16	219,527.16	-	5,000.04
Peccolo, Charles M.	Treasurer and Chief Financial Officer	273,362.04	273,362.04	-	5,000.04

Amounts presented in dollars and cents to ensure that annual salaries approved by the Board of Trustees can be paid out in equal monthly amounts over a 12-month period.

<sup>(1)</sup> Includes temporary additional pay of \$50,000.04 for additional assignment as CEO & President of the UT Research Foundation.



STATE OF TENNESSEE  
**COMPTROLLER OF THE TREASURY**  
DEPARTMENT OF AUDIT  
DIVISION OF STATE AUDIT

PHONE (615) 401-7897  
FAX (615) 532-2765

SUITE 1500, JAMES K. POLK STATE OFFICE BUILDING  
505 DEADERICK STREET  
NASHVILLE, TENNESSEE 37243-1402

### **Independent Accountant's Report on Applying Agreed-Upon Procedures**

Dr. Joseph A. DiPietro, President  
University of Tennessee

We have audited the financial statements of the University of Tennessee as of and for the year ended June 30, 2014, and have issued our report thereon dated December 12, 2014. We have also performed the procedures enumerated below, which were agreed to by university management, solely to assist the university in evaluating whether the accompanying statements of revenues and expenses of the University of Tennessee are in compliance with the National Collegiate Athletic Association (NCAA) Bylaw 3.2.4.16 for the year ended June 30, 2014. The university's management is responsible for the statements of revenues and expenses and the statements' compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of the management of the university. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

12

#### **Agreed-Upon Procedures Related to the Statements of Revenues and Expenses**

Our procedures and findings are as follows:

- Through discussions with university personnel, we identified aspects of the university's internal control unique to the intercollegiate athletics departments at all campuses and tested specific elements of the control environment and accounting system that are unique to intercollegiate athletics and had not been addressed in connection with the audit of the university's financial statements.
- We reviewed the university's procedures for gathering information on the nature and extent of affiliated and outside organizational activity (e.g., booster groups, alumni organizations, independent affiliated foundations) for or on behalf of the university's intercollegiate athletics programs and tested those procedures.
- We obtained the statements of revenues and expenses of the athletic programs at all campuses and related notes for the year ended June 30, 2014, as prepared by

management. We recalculated the addition of the amounts on the statements, traced the amounts on the statements to management's worksheets, and compared the amounts on management's worksheets with the athletic accounts in the university's general ledger. At Knoxville, due to a formula error in management's worksheets, royalties, licensing, advertising, and sponsorships (revenue category 12) was understated by \$2,909,295.79, and broadcast, television, radio, and internet rights (revenue category 10) was overstated by \$2,909,295.79. The statement was corrected. We noted no other material differences between the amounts in the athletic accounts in the general ledger and the amounts on the statements.

- We performed analytical procedures comparing the revenues and expenses for the year ended June 30, 2014, with those for the year ended June 30, 2013. The significant variances were adequately explained, and no unusual fluctuations were noted.
- We obtained supporting schedules from university personnel for each operating revenue category amount reported in the statements. We compared the amounts on the statements with the schedules and recalculated the totals on each schedule. Except for the misclassification noted above, we noted no material differences between the amounts on the statements and the amounts on the schedules.
- From the supporting schedules, we selected a sample of operating revenue transactions and examined the supporting documentation. Based on the testwork performed, the revenue transactions were adequately supported and properly classified.
- We reviewed the home football and basketball ticket reports prepared by an independent CPA firm for the Knoxville campus. Related revenues appear properly reported on the Knoxville statement. At Chattanooga and Martin, we compared tickets sold during the period, complimentary tickets provided during the period, and unsold tickets to the related revenue reported in the statements and the related attendance figures. No significant variances were noted.
- We obtained and documented an understanding of the university's methodology for allocating student fees to intercollegiate athletics programs.
- At Chattanooga and Martin, we compared student fees reported in the statement to student enrollment during the period. No material variances were noted. (At Knoxville, the student fees allocated to athletics are based on a discretionary determination by university management.)
- We reviewed all contractual agreements pertaining to revenues derived from guaranteed contests during the period and compared each selection to the general ledger. We noted no differences between amounts derived from the contractual agreements and the amounts in the ledger.

- We obtained and reviewed supporting documentation for any individual contribution of moneys, goods, or services received directly by an intercollegiate athletics program from any affiliated or outside organization, agency, or group of individuals (two or more) that constituted 10% or more of all contributions received for intercollegiate athletics during the period. There were none in this fiscal year.
- We compared the direct institutional support reported by the university with state appropriations, institutional authorizations, and/or other corroborative supporting documentation. The amounts reported were adequately supported.
- At Knoxville, no indirect facilities and administrative support (categories 8 and 32 on the statement) was reported by the university, as university management determined that most other Southeastern Conference member institutions do not report in this category and they wanted their statement to be comparable. Chattanooga and Martin decided to report in the same manner. The NCAA agreed-upon procedures handbook states that the university should “include [the] value of facilities and services provided by the institution not charged to athletics.” “This support may include an allocation for institutional administrative cost, facilities and maintenance, grounds and field maintenance, security, risk management, utilities, depreciation, and debt service.”
- We tested distributions from the NCAA and athletic conferences. We noted no differences between the amounts reported on the statements and the amounts on the ledger.
- We obtained and inspected agreements related to the university’s participation in revenues from broadcast, television, radio, and internet rights during the period to gain an understanding of the relevant terms and conditions. We also compared the related revenues with the amounts in the general ledger. We noted no differences between the amounts based on the terms of the agreements and the amounts in the ledger.
- We obtained and inspected agreements related to the university’s participation in revenues from royalties, advertisements, and sponsorships during the period to gain an understanding of the relevant terms and conditions. We also compared the related revenues with the amounts in the general ledger. We noted no differences between the amounts based on the terms of the agreements and the amounts in the ledger.
- We inspected sports camp contracts between the university and persons conducting university sports camps or clinics during the period to obtain an understanding of the university’s methodology for recording revenues from sports camps.
- We obtained schedules of camp participants, selected a sample of individual camp participant cash receipts from the schedules, and compared each selection with the amount recorded in the general ledger. We noted no differences between the cash receipts and the amounts recorded in the ledger.

- We obtained and inspected endowment agreements to gain an understanding of the relevant terms and conditions. We compared the classification and use of endowment and investment income as reported in the statements with the uses of income defined within the related endowment agreement. The classification and use of the income was in accordance with the terms of the related agreement.
- We obtained supporting schedules from university personnel for each operating expense category amount reported in the statements. We compared the amounts on the statements with the schedules and recalculated the totals on each schedule. We noted no material differences between the amounts on the statements and the amounts on the schedules.
- From the supporting schedules, we selected a sample of operating expense transactions and examined the supporting documentation. Based on the testwork performed, the expense transactions were adequately supported and properly classified.
- We selected a sample of students from the listing of institutional aid recipients during the period, obtained the individual student-account detail for each selection, and compared total aid allocated from the related aid award letter to the student's account. We noted no differences between the amounts allocated from the related aid award letters and the amounts in the students' accounts.
- We obtained and inspected home-game settlement reports received by the university during the period and compared the related expenses with the general ledger. No differences were noted between the amounts in the settlement reports and the amounts in the ledger.
- We obtained and inspected contractual agreements pertaining to expenses recorded by the university from guaranteed contests during the period and compared the related expenses with the general ledger. No material differences were noted between the amounts in the contractual agreements and the amounts in the ledger.
- We obtained and inspected a listing of coaches employed by the university during the period. From the listing, we selected a sample of coaches' contracts that included football and men's and women's basketball, and we compared the financial terms and conditions of each contract to the related coaching salaries, benefits, and bonuses reported by the university. We also obtained and inspected the related W-2s and/or 1099s for each selection and compared the amounts with the expenses reported. We noted no differences between the contracts and the amounts reported or between the W-2s and/or 1099s and the amounts reported.
- We selected a sample of support staff/administrative personnel employed by the university during the period. We obtained and inspected the related W-2s and/or

1099s for each selection and compared the amounts with the expenses reported. We noted no differences between the W-2s and/or 1099s and the amounts reported.

- We obtained and documented an understanding of the university's recruiting expense policies. The policies were in agreement with existing institutional- and NCAA-related policies.
- We obtained and documented an understanding of the university's team travel policies. The policies were in agreement with existing institutional- and NCAA-related policies.
- We obtained the schedule of total intercollegiate athletics capitalized assets summarized by type and compared the information with the amounts in the general ledger. There were no significant differences between the amounts on the schedule and the amounts in the general ledger. This schedule, along with a description of the institution's policies and procedures for acquiring, approving, depreciating, and disposing of intercollegiate athletics-related assets, were included in the notes to the statements.
- We obtained repayment schedules for all outstanding intercollegiate athletics debt maintained by the university during the period and recalculated the annual maturities (consisting of principal and interest) provided in the schedules. We also compared the total annual maturities to supporting documentation. The total annual maturities were adequately supported.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on the compliance of the accompanying statements of revenues and expenses of the University of Tennessee with the NCAA compliance requirements noted above. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to management.

This report is intended solely for the information and use of the university's management and board of trustees and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record.

*Deborah V. Loveless*

Deborah V. Loveless, CPA  
Director  
January 21, 2015

UNIVERSITY OF TENNESSEE AT KNOXVILLE  
DEPARTMENT OF INTERCOLLEGIATE ATHLETICS  
STATEMENT OF REVENUES AND EXPENSES  
FOR THE YEAR ENDED JUNE 30, 2014

	FOOTBALL	MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS	NON-PROGRAM SPECIFIC	TOTAL
<b>Revenues</b>						
<b>Operating revenues:</b>						
1 Ticket sales	\$ 25,748,282.00	\$ 4,441,908.00	\$ 2,004,282.00	\$ 378,007.00	\$ -	\$ 32,572,479.00
2 Student fees	266,260.00	30,488.00	20,325.00	682,927.00	-	1,000,000.00
3 Guarantees	350,000.00	-	-	-	-	350,000.00
4 Contributions	18,335,069.00	2,268,133.00	2,178,066.00	2,343,895.00	1,648,633.00	26,773,796.00
5 Compensation and benefits provided by a third-party	-	-	-	-	-	-
6 Direct state or other government support	-	-	-	-	250,000.00	250,000.00
7 Direct institutional support	-	-	-	-	-	-
8 Indirect facilities and administrative support	-	-	-	-	-	-
9 NCAA/conference distributions including all tournament revenues	15,290,925.00	5,784,947.00	253,275.00	-	-	21,329,147.00
10 Broadcast television, radio, and internet rights	2,443,069.00	1,875,000.00	1,350,000.00	-	-	5,668,069.00
11 Program sales, concessions, novelty sales, and parking	3,624,420.00	872,136.00	464,691.00	176,802.00	572,678.00	5,710,727.00
12 Royalties, licensing, advertisements, and sponsorships	4,314,180.00	641,773.00	641,773.00	1,116,830.00	1,967,500.00	8,682,056.00
13 Sports camp revenues	157,609.00	162,089.00	78,397.00	1,031,230.00	429,695.00	1,859,020.00
14 Endowment and investment income	17,914.00	-	93,886.00	226,747.00	1,350,829.00	1,689,376.00
15 Other	-	1,300,000.00	-	-	315,061.00	1,615,061.00
<b>Subtotal operating revenue</b>	<b>70,547,728.00</b>	<b>17,376,474.00</b>	<b>7,084,695.00</b>	<b>5,956,438.00</b>	<b>6,534,396.00</b>	<b>107,499,731.00</b>
<b>Expenses</b>						
<b>Operating expenses:</b>						
17 Athletics student aid	3,860,891.00	609,497.00	468,687.00	5,414,621.00	589,842.00	10,943,538.00
18 Guarantees	2,050,000.00	440,000.00	43,500.00	48,764.00	-	2,582,264.00
19 Coaching salaries, benefits, and bonuses paid by the university and related entities	7,099,978.00	2,550,805.00	1,519,377.00	5,763,731.00	-	16,933,891.00
20 Coaching other compensation and benefits paid by a third-party	-	-	-	-	-	-
21 Support staff/administrative salaries, benefits, and bonuses paid by the university and related entities	2,329,402.00	434,660.00	594,072.00	1,305,019.00	15,474,333.00	20,137,486.00
22 Support staff/administrative other compensation and benefits paid by a third-party	-	-	-	-	-	-
23 Severance payments	-	-	-	-	-	-
24 Recruiting	1,671,682.00	182,889.00	158,224.00	581,182.00	-	2,593,977.00
25 Team travel	1,225,454.00	431,688.00	595,622.00	2,278,970.00	-	4,531,734.00
26 Equipment, uniforms, and supplies	1,393,792.00	146,710.00	158,720.00	1,257,394.00	600,830.00	3,557,446.00
27 Game expenses	2,295,165.00	1,009,774.00	839,024.00	334,703.00	299,835.00	4,778,501.00
28 Fund raising, marketing, and promotion	-	-	-	-	6,297,319.00	6,297,319.00
29 Sports camp expenses	15,330.00	53,436.00	37,961.00	388,108.00	379,742.00	874,577.00
30 Direct facilities, maintenance, and rental	9,347,161.00	1,464,051.00	1,471,014.00	4,446,754.00	6,403,350.00	23,132,330.00
31 Spirit groups	-	-	-	-	715,419.00	715,419.00
32 Indirect facilities and administrative support	-	-	-	-	-	-
33 Medical expenses and medical insurance	653.00	612.00	1,235.00	16,773.00	27,172.00	46,445.00
34 Memberships and dues	1,441,908.00	854,728.00	372,502.00	635,365.00	4,549,914.00	7,854,417.00
35 Other operating expenses	32,731,416.00	8,178,850.00	6,259,938.00	22,471,384.00	36,512,265.00	106,153,853.00
<b>Total operating expenses</b>	<b>37,816,312.00</b>	<b>9,197,624.00</b>	<b>824,570.00</b>	<b>(16,514,946.00)</b>	<b>(31,262,869.00)</b>	<b>60,878.00</b>
37 Transfers to institution	-	-	-	-	1,285,000.00	1,285,000.00
<b>Total expenses</b>	<b>37,816,312.00</b>	<b>9,197,624.00</b>	<b>824,570.00</b>	<b>22,471,384.00</b>	<b>37,797,265.00</b>	<b>107,438,853.00</b>
<b>Excess (deficiency) of revenues over (under) expenses</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

The accompanying notes are an integral part of this statement.

UNIVERSITY OF TENNESSEE AT CHATTANOOGA  
DEPARTMENT OF INTERCOLLEGIATE ATHLETICS  
STATEMENT OF REVENUES AND EXPENSES  
FOR THE YEAR ENDED JUNE, 30, 2014

	FOOTBALL	MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS	NON-PROGRAM SPECIFIC	TOTAL
<b>Revenues</b>						
<b>Operating revenues:</b>						
1 Ticket sales	\$ 404,133.13	\$ 237,802.19	\$ 77,375.59	\$ 77,071.43	\$ -	\$ 796,382.34
2 Student fees	1,004,197.38	436,822.76	434,552.77	1,640,667.68	1,391,975.46	4,908,216.05
3 Guarantees	418,540.00	230,000.00	-	-	-	648,540.00
4 Contributions	145,411.64	179,098.95	89,180.11	195,979.24	814,768.15	1,424,438.09
5 Compensation and benefits provided by a third-party	-	-	-	-	-	-
6 Direct state or other government support	-	-	-	-	-	-
7 Direct institutional support	1,383,016.95	601,608.11	598,481.80	2,259,586.85	1,917,078.95	6,759,772.66
8 Indirect facilities and administrative support	-	-	-	-	-	-
9 NCAA/conference distributions including all tournament revenues	18,500.00	-	37,092.50	87,936.90	382,931.68	526,461.08
10 Broadcast television, radio, and internet rights	-	-	-	-	-	-
11 Program sales, concessions, novelty sales, and parking	-	12,169.14	3,042.28	-	-	15,211.42
12 Royalties, licensing, advertisements, and sponsorships	-	-	-	-	-	-
13 Sports camp revenues	21,658.77	49,124.95	28,615.18	143,068.96	422,807.58	422,807.58
14 Endowment and investment income	26,231.00	11,287.00	25,353.00	127,874.70	49,171.39	242,467.86
15 Other	4,777.94	1,262.24	1,411.78	10,920.81	43,469.82	239,917.09
16 <b>Subtotal operating revenue</b>	<u>3,426,466.81</u>	<u>1,759,175.34</u>	<u>1,295,105.01</u>	<u>4,543,106.57</u>	<u>5,022,203.03</u>	<u>16,046,056.76</u>
<b>Expenses</b>						
<b>Operating expenses:</b>						
17 Athletics student aid	1,667,853.43	436,625.98	454,503.04	2,039,993.33	323,182.05	4,922,157.83
18 Guarantees	-	16,000.00	-	-	-	16,000.00
19 Coaching salaries, benefits, and bonuses paid by the university and related entities	865,071.03	570,012.80	431,505.53	1,170,130.78	-	3,036,720.14
20 Coaching other compensation and benefits paid by a third-party	-	-	-	-	-	-
21 Support staff/administrative salaries, benefits, and bonuses paid by the university and related entities	55,389.01	37,799.39	27,048.25	3,440.95	2,584,117.89	2,707,795.49
22 Support staff/administrative other compensation and benefits paid by a third-party	-	-	-	-	-	-
23 Severance payments	-	-	-	-	-	-
24 Recruiting	66,930.91	111,020.13	32,662.31	118,883.99	2,000.00	331,497.34
25 Team travel	126,012.66	137,571.25	180,383.08	509,845.92	-	953,812.91
26 Equipment, uniforms, and supplies	178,680.98	179,620.35	69,148.93	208,404.23	-	635,854.49
27 Game expenses	79,696.79	118,015.78	48,764.00	99,726.08	-	346,202.65
28 Fund raising, marketing, and promotion	28,753.59	3,072.00	-	-	830,479.12	862,304.71
29 Sports camp expenses	8,865.72	47,899.43	21,717.10	137,168.93	-	215,651.18
30 Direct facilities, maintenance, and rental	249,657.52	10,004.74	1,979.00	64,822.45	117,790.72	444,254.43
31 Spirit groups	-	-	-	-	261,456.39	261,456.39
32 Indirect facilities and administrative support	-	-	-	-	-	-
33 Medical expenses and medical insurance	720.90	300.36	1,015.00	-	309,454.50	311,490.76
34 Memberships and dues	1,340.00	1,225.00	1,100.00	3,765.90	31,032.00	38,462.90
35 Other operating expenses	97,494.27	90,008.13	25,278.77	186,924.01	562,690.36	962,395.54
36 <b>Total operating expenses</b>	<u>3,426,466.81</u>	<u>1,759,175.34</u>	<u>1,295,105.01</u>	<u>4,543,106.57</u>	<u>5,022,203.03</u>	<u>16,046,056.76</u>
37 Transfers to institution	-	-	-	-	-	-
<b>38 Total expenses</b>	<u>3,426,466.81</u>	<u>1,759,175.34</u>	<u>1,295,105.01</u>	<u>4,543,106.57</u>	<u>5,022,203.03</u>	<u>16,046,056.76</u>
<b>Excess (deficiency) of revenues over (under) expenses</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

The accompanying notes are an integral part of this statement.

**UNIVERSITY OF TENNESSEE AT MARTIN  
DEPARTMENT OF INTERCOLLEGIATE ATHLETICS  
STATEMENT OF REVENUES AND EXPENSES  
FOR THE YEAR ENDED JUNE 30, 2014**

	FOOTBALL	MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS	NON-PROGRAM SPECIFIC	TOTAL
<b>Revenues</b>						
<b>Operating revenues:</b>						
1 Ticket sales	\$ 39,588.00	\$ 20,721.00	\$ 19,276.00	\$ 1,079.00	\$ -	\$ 80,664.00
2 Student fees	-	-	-	-	1,923,262.17	1,923,262.17
3 Guarantees	715,000.00	360,000.00	60,000.00	14,125.00	-	1,149,125.00
4 Contributions	233,913.90	75,667.20	50,838.90	251,988.79	42,886.29	655,295.08
5 Compensation and benefits provided by a third-party	-	-	-	-	-	-
6 Direct state or other government support	-	-	-	-	-	-
7 Direct institutional support	1,779,519.03	465,736.79	659,573.09	2,406,426.93	-	5,311,255.84
8 Indirect facilities and administrative support	-	-	-	-	-	-
9 NCAA/conference distributions including all tournament revenues	121,857.41	164,097.62	86,255.65	112,340.91	53,020.43	537,572.02
10 Broadcast television, radio, and internet rights	-	-	-	-	-	-
11 Program sales, concessions, novelty sales, and parking	-	-	-	-	-	-
12 Royalties, licensing, advertisements, and sponsorships	7,200.00	7,200.00	7,200.00	9,000.00	21,603.97	21,603.97
13 Sports camp revenues	-	-	-	-	-	-
14 Endowment and investment income	-	-	-	-	-	-
15 Other	-	-	-	-	-	-
16 Subtotal operating revenue	2,897,078.34	1,093,422.61	883,143.64	2,794,960.63	2,040,772.86	9,709,378.08
<b>Expenses</b>						
<b>Operating expenses:</b>						
17 Athletics student aid	1,734,325.33	419,996.75	319,757.46	1,516,036.85	116,982.00	4,107,098.39
18 Guarantees	-	7,330.00	-	209.80	-	7,539.80
19 Coaching salaries, benefits, and bonuses paid by the university and related entities	617,316.29	340,493.52	293,696.19	756,647.87	-	2,008,153.87
20 Coaching other compensation and benefits paid by a third-party	-	-	-	-	-	-
21 Support staff/administrative salaries, benefits, and bonuses paid by the university and related entities	51,052.11	1,744.35	17,384.95	15,588.31	1,348,493.34	1,434,263.06
22 Support staff/administrative other compensation and benefits paid by a third-party	-	-	-	-	-	-
23 Severance payments	-	31,764.85	-	-	-	31,764.85
24 Recruiting	72,680.52	61,434.24	19,752.87	44,313.92	-	198,181.55
25 Team travel	247,635.94	112,837.88	167,232.82	292,761.84	-	820,468.48
26 Equipment, uniforms, and supplies	99,242.35	45,560.82	27,265.97	95,610.13	-	267,679.27
27 Game expenses	28,495.80	41,150.00	16,550.00	45,432.21	-	131,628.01
28 Fund raising, marketing, and promotion	-	-	-	-	-	-
29 Sports camp expenses	13,044.98	7,866.77	117.50	48,130.75	-	69,160.00
30 Direct facilities, maintenance, and rental	7,779.43	268.86	2,854.59	42.46	7,149.30	18,094.64
31 Spirit groups	-	-	-	-	-	-
32 Indirect facilities and administrative support	10,021.86	4,712.71	4,367.99	21,250.05	150,408.36	190,760.97
33 Medical expenses and medical insurance	-	905.00	160.00	4,705.00	-	5,770.00
34 Memberships and dues	105,054.79	40,799.45	47,202.53	75,357.55	150,400.87	418,815.19
35 Other operating expenses	2,986,649.40	1,116,865.20	916,342.87	2,916,086.74	1,773,433.87	9,709,378.08
36 Total operating expenses	2,986,649.40	1,116,865.20	916,342.87	2,916,086.74	1,773,433.87	9,709,378.08
37 Transfers to institution	-	-	-	-	-	-
<b>38 Total expenses</b>	2,986,649.40	1,116,865.20	916,342.87	2,916,086.74	1,773,433.87	9,709,378.08
<b>Excess (deficiency) of revenues over (under) expenses</b>	\$ (89,571.06)	\$ (23,442.59)	\$ (33,199.23)	\$ (121,126.11)	\$ 267,338.99	\$ -

The accompanying notes are an integral part of this statement.

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES  
FOR THE YEAR ENDED JUNE 30, 2014**

**NOTE 1. CAPITAL ASSETS**

The intercollegiate athletic departments at Knoxville, Chattanooga, and Martin are required to follow all University of Tennessee policies and procedures for acquiring, approving, and disposing of capital assets as set forth by the Board of Trustees. A university purchase order must be issued for purchases of \$5,000 or more. These purchases shall be based upon the principle of competitive bidding. Requisitioning and approving of purchases will begin in the respective athletic department and will then be forwarded to the purchasing department for bidding and the issuance of a purchase order.

Capital assets, which include property, plant, equipment, software, and library holdings, are reported in the statement of net position at historical cost or at fair value at date of donation, less accumulated depreciation/amortization. The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend the assets' useful lives are not capitalized.

A capitalization threshold of \$100,000 is used for buildings, land improvements, and infrastructure. Equipment and software are capitalized when the unit acquisition cost is \$5,000 or greater and the estimated useful life is one year or more. The capitalization threshold for additions and improvements to infrastructure and land improvements is also \$100,000. The capitalization threshold for additions and improvements to buildings is \$100,000 provided that amount exceeds 20% of the book value of the building.

These assets, with the exception of land, are depreciated/amortized using the straight-line method over the estimated useful lives of the assets, which range from 5 to 40 years.

Capital asset activity for the year ended June 30, 2014, was as follows:

**Knoxville**

	<b>Beginning Balance</b>		<b>Additions</b>		<b>Transfers</b>		<b>Reductions</b>		<b>Ending Balance</b>
Land									
Soccer fields – 2121 & 2245									
Stephenson Dr.	\$1,000,000.00	\$	-		\$	-	\$	-	\$1,000,000.00
2323 Stephenson Dr.	305,300.00		-		-		-		305,300.00
Shields-Watkins Field	75,540.47		-		-		-		75,540.47
Buildings									
Allan Jones Aquatic Center	27,048,884.77		-		-		-		27,048,884.77
Brenda Lawson Athletic Center	-		-		12,321,734.37		-		12,321,734.37
East Stadium Hall (Neyland Stadium)	14,284,511.97		-		-		-		14,284,511.97

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2014**

Goodfriend Tennis Center	5,753,178.59	-	-	-	5,753,178.59
Lindsey Nelson Stadium	11,339,107.52	-	-	-	11,339,107.52
Neyland-Thompson Sports Center	23,790,289.02	-	(12,044,558.23)	-	11,745,730.79
Pratt Basketball Practice Facility	16,291,762.50	-	-	-	16,291,762.50
Regal Soccer Stadium	6,568,185.43	-	-	-	6,568,185.43
Sherri Lee Softball Stadium	8,575,529.30	-	-	-	8,575,529.30
Skybox Addition (Neyland Stadium)	18,699,826.65	-	-	-	18,699,826.65
South Stadium Hall (Neyland Stadium)	159,725,894.38	-	714,947.10	-	160,440,841.48
Stokely Athletics Center	6,405,890.71	-	-	(6,405,890.71)	-
Student Aquatic Center	3,362,630.90	-	-	-	3,362,630.90
Thompson-Boling Assembly Center and Arena	69,499,745.51	-	-	-	69,499,745.51
<b>Land Improvements and Infrastructure</b>					
Neyland Stadium Turf Replacement	1,839,060.85	-	-	-	1,839,060.85
Women's Softball/ Soccer Fields	1,681,665.02	-	-	-	1,681,665.02
Intramural Fields Improvement	1,486,438.93	-	-	-	1,486,438.93
RV Parking Lot Improvements	648,950.86	-	-	-	648,950.86
Haslam Field Artificial Turf	502,560.87	-	-	-	502,560.87
LaPorte Stadium Gold Team Facility	1,577,319.35	-	-	-	1,577,319.35
	-	-	4,135,800.26	-	4,135,800.26
<b>Equipment &amp; Software</b>					
Athletic Dept. Administration	61,619.99	5,839.00	-	-	67,458.99
Athletic Department Marketing	23,639.00	-	52,982.00	-	76,621.00
Athletic Director	12,538.22	-	18,224.96	-	30,763.18
Athletic Plant Maintenance	6,097,409.29	21,915.00	19,091.00	-	6,138,415.29
Baseball	66,592.50	11,999.99	-	-	78,592.49
Basketball	39,667.50	-	-	-	39,667.50
Concessions	143,782.58	-	-	-	143,782.58
Football	923,728.85	10,850.00	-	(52,653.85)	881,925.00
Golf	295,869.76	-	-	-	295,869.76
Other Television Shows	1,306,140.13	-	-	-	1,306,140.13
Publicity Director	26,396.00	-	-	-	26,396.00
Rowing	-	78,000.00	607,824.60	-	685,824.60

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2014**

Softball	-	-	6,076.00	-	6,076.00
Swimming	42,019.90	-	10,070.00	-	52,089.90
UPSF- Int. Athletics for Women	919,306.44	-	(919,306.44)	-	-
Volleyball	-	-	11,545.00	-	11,545.00
Welfare of Athletes	318,035.54	-	122,962.95	-	440,998.49
Women's Basketball	-	-	70,529.93	-	70,529.93
Construction in Progress					
Golf Team Facility	4,135,800.26	-	(4,135,800.26)	-	-
Lawson Athletic Center Addition	39,684,563.37	2,998,468.47	-	-	42,683,031.84
Neyland Stadium Improvements	714,947.10	-	(714,947.10)	-	-
Neyland-Thompson Sports Center Addition	277,176.14	-	(277,176.14)	-	-
Volleyball and Indoor Track Facilities	573,039.95	1,384,676.13	-	-	1,957,716.08
Neyland Thompson Sports Center TV Studio	-	2,268,377.32	-	-	2,268,377.32
<b>Total</b>	<b>436,124,546.12</b>	<b>6,780,125.91</b>	<b>-</b>	<b>(6,458,544.56)</b>	<b>436,446,127.47</b>
Less accumulated depreciation/amortization:					
Buildings					
Allan Jones Aquatic Center	(3,587,416.01)	(676,773.14)	-	-	(4,264,189.15)
Brenda Lawson Athletic Center	-	(225,688.71)	(2,499,170.41)	-	(2,724,859.12)
East Stadium Hall	(13,112,569.09)	(163,930.79)	-	-	(13,276,499.88)
Goodfriend Tennis Center	(1,310,375.98)	(143,829.46)	-	-	(1,454,205.44)
Lindsey Nelson Stadium	(2,201,931.72)	(286,284.01)	-	-	(2,488,215.73)
Neyland-Thompson Sports Center	(9,090,125.09)	(319,313.56)	2,499,170.41	-	(6,910,268.24)
Pratt Basketball Practice Facility	(2,129,857.71)	(407,294.07)	-	-	(2,537,151.78)
Regal Soccer Stadium	(984,241.53)	(164,204.64)	-	-	(1,148,446.17)
Sherri Lee Softball Stadium	(875,418.60)	(214,388.23)	-	-	(1,089,806.83)
Skybox Addition	(5,459,634.28)	(491,895.69)	-	-	(5,951,529.97)
South Stadium Hall	(30,337,178.45)	(3,963,114.02)	-	-	(34,300,292.47)
Stokely Athletics Center	(5,667,682.15)	(39,916.48)	-	5,707,598.63	-
Student Aquatic Center	(2,704,638.80)	(38,397.84)	-	-	(2,743,036.64)
Thompson-Boling Assembly Center and Arena	(31,509,002.68)	(1,743,820.05)	-	-	(33,252,822.73)

**THE UNIVERSITY OF TENNESSEE**  
**INTERCOLLEGIATE ATHLETIC DEPARTMENTS**  
**NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)**  
**FOR THE YEAR ENDED JUNE 30, 2014**

Land Improvements and Infrastructure					
Neyland Stadium Turf					
Replacement	(1,662,817.51)	(91,953.05)	-	-	(1,754,770.56)
Women's					
Softball/Soccer					
Fields	(1,268,255.69)	(84,083.25)	-	-	(1,352,338.94)
Intramural Fields					
Improvement	(1,046,700.76)	(74,321.94)	-	-	(1,121,022.70)
RV Parking Lot					
Improvements	(197,389.21)	(32,447.54)	-	-	(229,836.75)
Haslam Field					
Artificial Turf	(150,768.24)	(25,128.05)	-	-	(175,896.29)
LaPorte Stadium	(230,430.00)	(78,865.97)	-	-	(309,295.97)
Golf Team Facility	-	(17,232.50)	-	-	(17,232.50)
Equipment & Software					
Athletic Department					
Administration	(58,067.75)	(7,246.45)	-	-	(65,314.20)
Athletic Department					
Marketing	(23,639.00)	-	(52,982.00)	-	(76,621.00)
Athletic Director	(888.12)	(3,089.17)	(9,440.45)	-	(13,417.74)
Athletic Plant					
Maintenance	(3,765,977.05)	(454,752.02)	(14,632.66)	-	(4,235,361.73)
Baseball	(25,618.93)	(7,859.25)	-	-	(33,478.18)
Basketball	(32,331.13)	(1,296.75)	-	-	(33,627.88)
Concessions	(104,953.45)	(11,648.77)	-	-	(116,602.22)
Football	(493,931.14)	(80,997.73)	-	52,653.85	(522,275.02)
Golf	(120,949.95)	(29,586.99)	-	-	(150,536.94)
Other Television					
Shows	(758,110.26)	(122,513.13)	-	-	(880,623.39)
Publicity Director	(1,473.12)	(2,639.60)	-	-	(4,112.72)
Rowing	-	(43,929.72)	(402,610.33)	-	(446,540.05)
Softball	-	(607.60)	(3,392.43)	-	(4,000.03)
Swimming	(23,811.29)	(5,208.99)	(1,846.17)	-	(30,866.45)
UPSF – Int. Athletics					
for Women	(626,889.28)	-	626,889.28	-	-
Volleyball	-	-	(11,545.00)	-	(11,545.00)
Welfare of Athletes	(155,150.43)	(36,682.02)	(75,368.64)	-	(267,201.09)
Women's Basketball	-	(3,500.00)	(55,071.60)	-	(58,571.60)
<b>Total</b>	<b>(119,718,224.40)</b>	<b>(10,094,441.18)</b>	<b>-</b>	<b>5,760,252.48</b>	<b>(124,052,413.10)</b>
Capital assets, net	\$316,406,321.72	\$ (3,314,315.27)	\$ -	\$ (698,292.08)	\$312,393,714.37
<b>Chattanooga</b>					
Land					
Scrappy Moore					
Athletic Field	\$1,001,837.00	-	-	-	\$1,001,837.00
Buildings					
McKenzie Arena					
(UTC Sports					
Arena)	16,824,871.59	-	-	-	16,824,871.59

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2014**

Lawson Center (Athletic Training Facility)	3,166,877.88	-	-	-	3,166,877.88
Land Improvements and Infrastructure					
Chattanooga Stadium	2,900,000.00	-	-	-	2,900,000.00
Scrappy Moore Field	573,825.44	-	-	-	573,825.44
Equipment & Software					
Men - Football Athletics	106,113.62	-	-	-	106,113.62
Administration & General	874,522.71	-	-	-	874,522.71
Men - Golf	22,000.00	-	-	-	22,000.00
Women - Softball	5,695.00	-	-	-	5,695.00
Women - Golf	80,000.00	-	-	-	80,000.00
Sports Information	42,460.21	-	-	-	42,460.21
Construction in Process					
Arena Seating and Curtain System Improvements	94,098.21	1,157,571.72	-	-	1,251,669.93
<b>Total</b>	<b>25,692,301.66</b>	<b>1,157,571.72</b>	<b>-</b>	<b>-</b>	<b>26,849,873.38</b>
Less accumulated depreciation/amortization:					
Buildings					
McKenzie Arena (UTC Sports Arena)	(12,663,550.02)	(426,210.84)	-	-	(13,089,760.86)
Lawson Center (Athletic Training Facility)	(323,285.46)	(79,171.95)	-	-	(402,457.41)
Land Improvements and Infrastructure					
Chattanooga Stadium	(2,187,083.33)	(145,000.00)	-	-	(2,332,083.33)
Scrappy Moore Field	(59,773.48)	(28,691.27)	-	-	(88,464.75)
Equipment & Software					
Men - Football Athletics	(95,333.59)	(5,735.93)	-	-	(101,069.52)
Administration & General	(362,487.30)	(86,925.00)	-	-	(449,412.30)
Men - Golf	(9,900.00)	(4,400.00)	-	-	(14,300.00)
Women - Softball	(4,318.71)	(569.50)	-	-	(4,888.21)
Women - Golf	(53,333.33)	(16,000.00)	-	-	(69,333.33)
Sports Information	(26,952.37)	(3,782.64)	-	-	(30,735.01)
<b>Total</b>	<b>(15,786,017.59)</b>	<b>(796,487.13)</b>	<b>-</b>	<b>-</b>	<b>(16,582,504.72)</b>
Capital assets, net	\$ 9,906,284.07	\$ 361,084.59	\$ -	\$ -	\$ 10,267,368.66

**THE UNIVERSITY OF TENNESSEE**  
**INTERCOLLEGIATE ATHLETIC DEPARTMENTS**  
**NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)**  
**FOR THE YEAR ENDED JUNE 30, 2014**

**Martin**

Land Improvements					
Football Field					
Improvements	\$ 827,898.72	\$ -	\$ -	\$ -	\$ 827,898.72
Buildings					
Fieldhouse	2,554,432.82	-	-	-	2,554,432.82
Elam Center	15,524,402.63	-	664,090.35	-	16,188,492.98
Student Life Center	1,961,162.17	-	-	-	1,961,162.17
Stadium	485,076.56	-	-	-	485,076.56
Athletic Training					
Facility	2,031,805.45	-	-	-	2,031,805.45
Baseball-Softball					
Field House	1,701,832.50	-	-	-	1,701,832.50
Equipment & Software					
Athletics					
Administration	197,243.84	14,845.60	-	-	212,089.44
Sports Programs	216,982.32	7,437.50	-	-	224,419.82
Construction in Progress					
Elam Center					
Renovation	664,090.35	-	(664,090.35)	-	-
Football Pressbox					
Improvements	69,751.60	273,776.09	-	-	343,527.69
Golf Team Building	18,700.04	234,690.06	-	-	253,390.10
<b>Total</b>	<b>26,253,379.00</b>	<b>530,749.25</b>	<b>-</b>	<b>-</b>	<b>26,784,128.25</b>
Less accumulated depreciation/amortization:					
Land Improvements					
Football Field					
Improvements	(169,029.34)	(41,394.93)	-	-	(210,424.27)
Buildings					
Fieldhouse	(965,751.78)	(46,529.96)	-	-	(1,012,281.74)
Elam Center	(8,267,077.25)	(247,805.11)	-	-	(8,514,882.36)
Student Life Center	(517,890.10)	(46,935.32)	-	-	(564,825.42)
Stadium	(392,971.86)	(7,990.68)	-	-	(400,962.54)
Athletic Training					
Facility	(457,156.26)	(50,795.14)	-	-	(507,951.40)
Baseball-Softball Field					
House	(53,182.27)	(42,545.81)	-	-	(95,728.08)
Equipment & Software					
Athletics					
Administration	(131,675.95)	(13,308.78)	-	-	(144,984.73)
Sports Programs	(191,500.81)	(5,771.65)	-	-	(197,272.46)
<b>Total</b>	<b>(11,146,235.62)</b>	<b>(503,077.38)</b>	<b>-</b>	<b>-</b>	<b>(11,649,313.00)</b>
<b>Capital assets, net</b>	<b>\$15,107,143.38</b>	<b>\$ 27,671.87</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$15,134,815.25</b>

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2014**

**NOTE 2. LONG-TERM LIABILITIES****Knoxville**

Long-term liability activity for the year ended June 30, 2014, was as follows:

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>
Long-term liabilities:				
Bonds	\$140,525,665.71	\$22,859,045.12	\$(12,002,468.83)	\$151,382,242.00
Unamortized bond premium	6,967,222.31	-	(409,836.60)	6,557,385.71
Commercial paper	51,562,377.78	-	(51,562,377.78)	-
Revolving credit facility	-	54,142,648.07	(22,925,959.75)	31,216,688.32
Total TSSBA indebtedness	<u>\$199,055,265.80</u>	<u>\$77,001,693.19</u>	<u>\$(86,900,642.96)</u>	<u>\$189,156,316.03</u>

The debt service requirements to maturity for all bonds payable at June 30, 2014, are as follows:

<u>Year ending June 30</u>	<u>Principal</u>	<u>Interest</u>
2015	\$11,459,119.26	\$ 6,515,360.77
2016	11,835,045.23	6,072,475.02
2017	12,121,395.67	5,660,382.60
2018	12,568,074.09	5,221,195.19
2019	13,064,491.22	4,734,735.11
2020-2024	35,292,474.49	17,569,090.17
2025-2029	33,718,998.73	10,441,303.39
2030-2034	12,942,836.31	3,958,307.00
2035-2039	8,379,807.00	1,141,016.54
2040	-	43,720.00
	<u>\$151,382,242.00</u>	<u>\$61,357,585.79</u>

**Chattanooga**

Long-term liability activity for the year ended June 30, 2014, was as follows:

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2014**

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>
Long-term liabilities:				
Bonds	\$1,972,506.00	\$ -	\$(111,982.00)	\$1,860,524.00
Total TSSBA indebtedness	\$1,972,506.00	\$ -	\$(111,982.00)	\$1,860,524.00

The debt service requirements to maturity for all bonds payable at June 30, 2014, are as follows:

<u>Year ending June 30</u>	<u>Principal</u>	<u>Interest</u>
2015	\$115,978.00	\$ 49,352.57
2016	116,615.00	44,918.99
2017	118,397.00	43,382.07
2018	120,111.00	41,584.61
2019	121,880.00	39,402.70
2020-2024	660,349.00	151,821.68
2025-2028	607,194.00	47,076.69
	<u>\$1,860,524.00</u>	<u>\$417,539.31</u>

**Martin**

Long-term liability activity for the year ended June 30, 2014, was as follows:

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>
Long-term liabilities:				
Bonds	\$1,567,497.65	\$ -	\$(151,938.74)	\$1,415,558.91
Total TSSBA indebtedness	\$1,567,497.65	\$ -	\$(151,938.74)	\$1,415,558.91

The debt service requirements to maturity for all bonds payable at June 30, 2014, are as follows:

<u>Year ending June 30</u>	<u>Principal</u>	<u>Interest</u>
2015	\$ 58,423.91	\$ 72,538.63
2016	53,804.00	63,919.02
2017	56,529.00	61,194.74

**THE UNIVERSITY OF TENNESSEE  
 INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
 NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
 FOR THE YEAR ENDED JUNE 30, 2014**

---

2018	59,391.00	58,332.54
2019	62,398.00	55,325.42
2020-2024	355,075.00	233,540.58
2025-2029	445,675.00	142,941.33
2030-2032	324,263.00	28,906.68
	\$1,415,558.91	\$716,698.94

**NOTE 3. CONTRIBUTIONS**

No donors gave more than 10% of the reported contributions at any campus.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

MINUTES OF THE WINTER MEETING  
February 26, 2015  
Memphis, Tennessee

The Winter Meeting of The University of Tennessee Board of Trustees was held at 12:45 p.m. CST, on Thursday, February 26, 2015, on the UT Health Science Center campus in Memphis, Tennessee.

**I. CALL TO ORDER AND INVOCATION**

J. Brian Ferguson, Vice Chair of the Board, called the meeting to order. Dr. Orpheus Triplett, D.D.S., Director of Community Relations and Outreach in UTHSC College of Dentistry, offered the invocation.

**II. ROLL CALL**

Secretary Catherine S. Mizell called the roll, and the following members were present:

J. Brian Ferguson, Vice Chair  
Charles C. Anderson, Jr.  
Jalen K. Blue  
Shannon A. Brown  
George E. Cates  
Russ Deaton  
Joseph A. DiPietro  
Spruell Driver, Jr.  
John N. Foy  
D. Crawford Gallimore  
David A. Golden  
Julius T. Johnson  
Raja J. Jubran  
Brad A. Lampley  
Bonnie E. Lynch  
James L. Murphy, III  
Sharon J. Pryse  
Rhedona Rose  
Julia T. Wells  
Charles E. Wharton  
Tommy G. Whittaker  
Thaddeus A. Wilson

Page 1, Winter Meeting  
Board of Trustees  
February 26, 2015

The Secretary announced the presence of a quorum. Governor Haslam, Commissioner McQueen, and Ms. Gregg were unable to attend the meeting. Members of the administrative staff and media representatives were present. The meeting was also webcast for the convenience of the University community, the general public, and the media.

### III. INTRODUCTIONS

Vice Chair Ferguson thanked Chancellor Schwab and his team for their gracious hospitality during the Board's meetings and for hosting the reception the evening before.

Vice Chair Ferguson introduced new Non-Voting Student Trustee Jalen K. Blue and presented him with a Trustee lapel pin. He also presented Trustee Evans, attending his first Board meeting, with a Trustee lapel pin.

### IV. PRESIDENT'S REPORT

Vice Chair Ferguson recognized President DiPietro for his report, "Funding for the Future," (Exhibit 1).

President DiPietro first informed the Board he would bring a proposal forward at the June meeting relating to changes in the organizational structure of his staff. The proposal is in response to a recommendation in his four-year comprehensive review to afford him more time to focus on major issues facing the University. He said he also hopes to bring a recommendation in June for oversight for the statewide UT Institute for Public Service. Currently, Dr. Herb Byrd serves as interim Vice President for Public Service.

President DiPietro's report followed up on the discussion in prior meetings and the 2014 workshop concerning changes to the University's business model. He reported that the Governor's proposed budget is favorable to the University, but work still needs to be done. He discussed a \$377 million funding gap over the next 10 years, an increase from the \$155 million projected earlier. The gap is based on the 3-3-0 model: an average inflation rate of 3% annually; tuition increases of no more than 3%; and no change in state appropriations. As the conditions have been reviewed further, however, other factors such as operating expenditures increasing at the inflation rate, salaries increasing to address market gaps, and significant deferred maintenance produced the larger gap of \$377 million over the next 10 years.

He reported that the Budget Advisory Group has been meeting over the last several months and has provided feedback to form an action plan for the campuses and institutes. He said he is presenting the plan for the next two budget cycles to the Board for endorsement because their support is needed. He described the as providing ways to enhance revenue and cut costs in way that is least painful for our students, faculty and staff

Page 2, Winter Meeting  
Board of Trustees  
February 26, 2015

while maintaining excellence. The campus and institute leaders will have latitude to use a variety of methods to make changes as long as they remain within several internal boundaries. These changes will be implemented over the next two budget cycles, starting with FY16.

President DiPietro discussed the following action plan for the campus and institute leaders and the expected impact of various actions:

- Program realignment and consolidation: campuses will address low-performing programs to fund program reinvestment and perform a feasibility analysis and develop a plan for program consolidations to save costs.
- Allocation and reallocation plans: set aside 3 percent of base year's total unrestricted E&G expenditures to address strategic initiatives, address deferred maintenance and identify cost savings from voluntary retirement and other workforce development options.
- Unfunded mandates for tuition waivers and discounts: the system administration will study these discounts, estimated to be \$7.4 million annually System-wide.
- Tuition structure review: Options include expanding differential tuition, increasing enrollment of out-of-state students with higher academic profiles than in-state students, the 15-4 tuition plan, and regional tuition rate programs.
- Non-formula fee structure: Non-formula units (Health Science Center, Institute for Public Service, and Institute of Agriculture) will review whether outreach efforts are capturing actual cost of delivery and determine whether fees should be charged.
- Tenure and post-tenure review process: The system administration will conduct a comprehensive review of the University's established tenure and post-tenure review process, including consideration of compensation adjustments for high performers as a result of post-tenure review and termination of unsatisfactory performers. In conducting the review, the system administration will keep the Faculty Council tuned in.

With the Board's endorsement, the leadership will move forward with this plan to enhance revenue and cut costs, beginning with FY 2016. He closed by saying that University leadership is taking ownership of the current situation and knows it is the University's problem to solve. He noted that some decisions may be unpopular and may upset people. In the end, however, the University will be better for dealing with the unsustainable business model now rather than waiting for a crisis.

At the conclusion of his report, Dr. DiPietro highlighted the accomplishments of a dentistry graduate from UTHSC, Dr. Christina Rosenthal, who owns her own dental clinic and started the “D.D.S., Determined to be a Doctor Someday” program to introduce promising low-income students to opportunities for careers in health care. She is currently earning her master’s degree in public health at Harvard University.

Dr. DiPietro called for questions or comments. Trustee Wharton asked for information about the incremental increase in revenue resulting from increased out-of-state enrollment. Dr. DiPietro provided an estimate for each campus. Trustee Wharton also asked for information comparing tuition increases at the University of Alabama with those at UT to see if Alabama’s practice of admitting a large number of out-of-state students has resulted in lower tuition increases. Trustee Wilson raised the question of whether a significant portion of the increased revenue from out-of-state tuition is negated by scholarships and other institutional support. Vice Chair Ferguson said increasing out-of-state enrollment is not just a revenue measure, noting that it will provide the University with a broader pool of high quality students and foster economic development by increasing the intellectual capital of the state.

Trustee Evans discussed the importance of continuing to send a message that state support is still necessary and that critical and hard choices and consequences occur when funding is decreased. Vice Chair Ferguson said this plan will put the University in a better position with state government because we are acting proactively and responsibly.

The Vice Chair brought the discussion to a close by stating that the Board stands ready to support Dr. DiPietro with this plan and provide its best advice. Trustee Murphy added that Dr. DiPietro has kept the Board fully engaged so he has buy-in from the Board when it comes time to make some of hard choices. The Board can then assist with discussions with constituents that may be upset about these difficult choices. Trustee Jubran wanted it to be clear that Dr. DiPietro can go forward to implement the boundaries plan immediately. Vice Chair Ferguson agreed.

## **V. ELECTION OF THE VICE PRESIDENT FOR DEVELOPMENT AND ALUMNI AFFAIRS**

Vice Chair Ferguson recognized President DiPietro to recommend a candidate for election for the position of Vice President for Development and Alumni Affairs. Dr. DiPietro stated that following a national search, he recommends the election of Rickey N. McCurry as the Vice President for Development and Alumni Affairs, effective May 15, 2015. He reviewed the search process for the position, the proposed compensation package and Mr. McCurry’s previous experience in higher education development and alumni relations. He noted Mr. McCurry’s previous experience with the UT System, having served as Associate Vice Chancellor for Development and Alumni Affairs at UT Knoxville for seven years

Page 4, Winter Meeting  
Board of Trustees  
February 26, 2015

(including one year in which he also served as the Vice Chancellor in an interim capacity).

Vice Chair Ferguson invited Mr. McCurry to address the Board. Mr. McCurry stated that he was honored to have this tremendous opportunity to work for UT to develop relationships and generate resources and return home to Tennessee.

Vice Chair Ferguson called for a motion. As a member of the Search Committee, Trustee Pryse discussed the search process and recommendation for Mr. McCurry. She moved that the Board elect Rickey N. McCurry as Vice President for Development and Alumni Affairs, with the initial compensation presented in the meeting materials (Exhibit 2). Trustee Driver stated that he would be honored and privileged to second the motion, and it was unanimously approved.

Dr. DiPietro then recognized Mr. Lofton Stuart for serving as interim director during the vacancy, and the Board offered a round of applause.

## **VI. ACTION ITEMS FROM THE FINANCE AND ADMINISTRATION COMMITTEE**

Vice Chair Ferguson recognized Trustee Anderson to report on two items of business from the Finance and Administration Committee.

### **A. Amendment of Rule on Use of University Property – Roll Call Vote**

Trustee Anderson reported that at the Finance and Administration Committee meeting yesterday, the Committee considered the amendment of two University rules under the UAPA. First, the proposed amendment of Rule on Use of University Property, which would further clarify the authorized uses and permissible uses of University property and establish standards for the issuance, appeal, and enforcement of a “no-trespass notice,” which is a written directive requiring a person to leave and/or not enter all or part of University property; and Establish restrictions on the use of unmanned aircraft on University property. He stated that the Committee recommends that the proposed amendment of this rule be adopted by the Board.

Trustee Anderson moved that the Board of Trustees adopt the amendment of Chapter 1720-01-2 of the Rules of The University of Tennessee as presented in the meeting materials (Exhibit 3). Trustee Wharton seconded the motion, a roll call vote was taken, and the motion passed.

### **B. Amendment of Rule on Residency Classification – Roll Call Vote**

Trustee Anderson stated that the Finance and Administration Committee

Page 5, Winter Meeting  
Board of Trustees  
February 26, 2015

recommended that the Board approve the proposed amendment of Rule on Residency Classification and housekeeping changes as included in the meeting materials. In response to recent state and federal legislation, the University Rule on classifying students as in-state or out-of-state must be revised. Trustee Anderson briefly outlined the changes made for veterans and other clarifications.

Trustee Anderson moved that the Board of Trustees adopt the amendment of Chapter 1720-1-1 of the Rules of The University of Tennessee as presented in the meeting materials (Exhibit 4). Trustee Driver seconded the motion, a roll call vote was taken, and the motion passed.

## VII. REPORT OF THE AUDIT COMMITTEE

Vice Chair Ferguson recognized Trustee Gallimore to report on the last meeting of the Audit Committee.

Trustee Gallimore stated that the Audit Committee met in December and reviewed the results of the entity wide risk assessment conducted to comply with the Tennessee Financial Integrity Act. This year, the Office of Audit and Compliance added risks and mitigating controls for two areas, uniform guidance and the Patient Protection and Affordable Care Act. As part of the work to comply with the Act, the Internal Audit team also conducts a self-assessment of controls using a web based questionnaire. The University reviews the controls for two areas each year. In 2014 they evaluated procurement of goods and services and sponsored projects. Of about 600 departments, 173 departments identified 281 control weaknesses all were corrected and no material weaknesses were noted for the University. The results of the risk self-assessment and the other work conducted to comply with the Act were submitted to the Tennessee Comptroller and the Commissioner of Finance and Administration.

The next item the Committee covered was the State Audit. The State Auditors issued the University Audit report on February 5th. The University received an unqualified opinion and there were no findings. The Auditors will present their report to the Audit Committee at the next meeting. Trustee Gallimore commended Mr. Peccolo, Mr. Maples, their team, and the chief business officers at the campuses and institutes for their excellent work in achieving an unqualified opinion with no findings.

Trustee Gallimore noted that Audit and Compliance team members are being recognized in their field. In June Bill Moles, Director Institutional Compliance, and Assistant Vice Chancellor Robin Nobles of UTK will present the University's compliance program at the leading compliance conference for higher education. Their presentation will highlight the benefits of a consistent framework across the entire system and how it helps to ensure accountability and adequate oversight of regulatory compliance.

In closing, Trustee Gallimore commended the leadership of Sandy Jansen as Executive Director of Audit and Compliance. He also noted that she is representing the University as President of the Association of College and University Auditors, the National trade group for University Auditors. He thanked Ms. Jansen for her service to the University, and the Board offered a round of applause.

### VIII. CONSENT AGENDA

The Vice Chair directed the Board's attention to the Consent Agenda. He stated that most of the items were considered and approved in Committee meetings. He noted that item B included revised dates for the 2015 Fall Meeting. He called for any requests to remove items from the Consent Agenda. Hearing none, the Vice Chair called for a motion to approve the Consent Agenda, consisting of the following items:

- A. Minutes of the Last Meeting
- B. Revised Dates for the 2015 Fall Meeting (Exhibit 5)
- C. Acquisition of 20 Dudley Street, Memphis (UTHSC) (Exhibit 6)
- D. Acquisition of 45 S. Dudley Street, Memphis (UTHSC) (Exhibit 7)
- E. Acquisition on 1302 White Avenue, Knoxville (UTK) (Exhibit 8)
- F. FY 2015 Revised Operating Budget (Exhibit 9)
- G. 2015 UTC Voluntary Retirement Incentive Program (Exhibit 10)
- H. Revised Policy on Approval of Student Fees (Exhibit 11)
- I. UTHSC Regional Tuition Rate Program for Certain Degree Programs in Nursing and Health Professions (Exhibit 12)
- J. Honorary Degree, Doctor of Humane Letters, UT Knoxville (Exhibit 13)
- K. Honorary Degree, Master of Fine Arts in Acting, UT Knoxville (Exhibit 14)
- L. Grant of Tenure to two UT Knoxville Faculty Members under Expedited Procedures (Exhibit 15)
- M. Revised UT Martin Faculty Handbook (Exhibit 16)
- N. Proposal for a Program of Study Leading to the Degree of Master of Science in Strategic

Communication at UT Martin (Exhibit 17 )

- O. Revision of Math Unit Requirements for Undergraduate Admission to UT Martin (Exhibit 18)
- P. Revised Affiliation and Services Agreement with UT Foundation, Inc. (Exhibit 19 )
- Q. Designation of The University of Tennessee/West Institute for Cancer Research as a Foundation Authorized to Receive and Hold Gifts for Support of Cancer Research and Education at the UT Health Science Center (Exhibit 20 ).

A motion was made to approve the Consent Agenda, seconded, and carried unanimously.

#### **XI. ANNOUNCEMENTS**

The Vice Chair announced that future meeting dates of the Board were included in the meeting materials and were available on the website and available in Diligent. He thanked the staff for coordinating logistics.

#### **XII. ADJOURNMENT**

With no further business to come before the Board, the meeting was adjourned.

Respectfully Submitted,

---

Catherine S. Mizell, Secretary

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 15, 2015  
COMMITTEE: Executive and Compensation  
ITEM: **2016 Dates for Regular Board Meetings and a Workshop**  
RECOMMENDATION: Approval  
PRESENTED BY: Catherine S. Mizell, Secretary

After conducting conflict checks, the following dates are proposed for three regular meetings of the Board of Trustees and a workshop in 2016:

Spring Meeting, March 31-April 1, 2016 in Martin

Annual Meeting, June 22-23, 2016 in Knoxville

Workshop, August 10, 2016 (location to be determined)

Fall Meeting, October 13-14, 2016 in Knoxville (tentative)

The Fall Meeting date is tentative, pending release of the 2016 Volunteer football schedule. If a home football game is not scheduled for October 15, 2016, an alternate Fall Meeting date will be recommended at a subsequent Committee meeting.

The Executive and Compensation Committee voted at its meeting on April 17, 2015 to recommend these dates to the Board of Trustees for approval.

**MOTION:**

**That the proposed 2016 dates for three regular Board meetings and a workshop be approved.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Executive and Compensation

CAMPUS/INSTITUTE: The University of Tennessee System

ITEM: **Resolution Appointing a Managerial Group for U.S. Government Contracts**

RECOMMENDATION: Approval

PRESENTED BY: Catherine S. Mizell, General Counsel and Secretary

The Department of Energy, Department of Defense, and other federal agencies with which the University has contracts impacting national security require the Board to appoint a Managerial Group and delegate to that group responsibility for negotiation, execution, and administration of U.S. Government contracts. Only members of the Managerial Group will receive security clearance to access to classified information related to these contracts.

The Board initially appointed a Managerial Group by resolution adopted on December 11, 2001. A new resolution is required annually to reflect changes in personnel. The resolution for 2015 follows.

**MOTION:**

**That the Resolution appointing a managerial group for U.S. Government contracts be approved.**

RESOLUTION OF THE BOARD OF TRUSTEES  
APPOINTING THE MANAGERIAL GROUP FOR CONTRACTS BETWEEN  
THE UNIVERSITY OF TENNESSEE AND THE  
UNITED STATES GOVERNMENT  
PURSUANT TO  
THE NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF  
TENNESSEE THAT:

1. Those persons occupying the following positions at The University of Tennessee shall be known as the Managerial Group, having the authority and responsibility for the negotiation, execution, and administration of U.S. Government contracts as described in the National Industrial Security Program Operating Manual:

President

Treasurer and Chief Financial Officer

Chancellor, The University of Tennessee, Knoxville

Vice Chancellor for Research and Engagement, The University of Tennessee,  
Knoxville

Facility Security Officer

General Counsel and Secretary

Executive Director, The University of Tennessee Space Institute

2. The Chief Executive and the members of the Managerial Group are cleared, or will be processed for clearance, to the level of The University of Tennessee's facility clearance. If uncleared, pending issuance of the requested access authorization, such individual shall be excluded from all access and shall not participate in any decision or other matter pertaining to the protection of classified information and/or special nuclear material.
3. The above-named Managerial Group is hereby delegated all of the Board's duties and responsibilities pertaining to the protection of classified information and/or special nuclear material released to The University of Tennessee.
4. In the future, when any individual is appointed to the Managerial Group as an additional member or replacement member, such individual shall immediately be processed for an access authorization at the same level as The University of Tennessee's facility clearance. Pending issuance of this requested access authorization, such individual shall be excluded from all access and shall not participate in any decision or other matter pertaining to the protection of classified information and/or special nuclear material.

5. The following named officers and members of the Board of Trustees of The University of Tennessee shall not require, shall not have, and can be effectively excluded from access to all classified information and/or special nuclear material released to The University of Tennessee and do not occupy positions that would enable them to affect adversely the policies or practices of The University of Tennessee's performance of classified contracts for the U.S. Government:

Officers

<u>Name</u>	<u>Title</u>
Steve R. Angle	Chancellor, The University of Tennessee at Chattanooga
Larry R. Arrington	Chancellor, The University of Tennessee Institute of Agriculture
Rickey N. McCurry	Vice President for Development and Alumni Affairs
David E. Millhorn	Executive Vice President/Vice President for Research and Economic Development
Robert M. Smith	Interim Chancellor, The University of Tennessee at Martin
Steve J. Schwab	Chancellor, The University of Tennessee Health Science Center

Members of the Board of Trustees

<u>Name</u>	<u>Title</u>
William E. Haslam	Trustee/Governor
Candice McQueen	Trustee/Commissioner of Education
Julius T. Johnson	Trustee/Commissioner of Agriculture
Russ Deaton	Trustee/Interim Executive Director, Tennessee Higher Education Commission
Charles C. Anderson, Jr.	Trustee
Jalen K. Blue	Trustee
Shannon A. Brown	Trustee
George E. Cates	Trustee
Brian W. Donavant	Trustee
Spruell Driver, Jr.	Trustee
William E. Evans	Trustee
John N. Foy	Trustee
D. Crawford Gallimore	Trustee
David A. Golden	Trustee
Vicky B. Gregg	Trustee
Raja J. Jubran	Trustee
Brad A. Lampley	Trustee

James L. Murphy III	Trustee
Sharon J. Miller Pryse	Trustee
Rhedona Rose	Trustee
Miranda N. Rutan	Trustee
Julia T. Wells	Trustee
Charles E. Wharton	Trustee
Tommy G. Whittaker	Trustee

CERTIFICATION

The above Resolution of the Board of Trustees Appointing the Managerial Group for Contracts between The University of Tennessee and the United States Government Pursuant to the National Industrial Security Program Operating Manual was duly adopted by the Board of Trustees on the 25th day of June, 2015.

\_\_\_\_\_  
Catherine S. Mizell, Secretary

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: All

ITEM: Ratification of Quasi-Endowments

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

A Board of Trustees Resolution dated September 22, 1995 authorizes the University, with approval by the President and Treasurer, to establish quasi-endowments without waiting for official governing board action, provided the Board of Trustees receives an annual report on the names and amounts of such quasi-endowments established during the previous fiscal year.

A schedule of the quasi-endowments established from May 2014 to June 2015 totaling \$982,834.53 is attached for your review and ratification.

**MOTION:**

**That quasi-endowments established from May 2014 to June 2015 with approval by the President and Treasurer be ratified.**



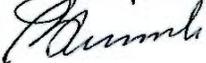
THE UNIVERSITY of TENNESSEE

KNOXVILLE, CHATTANOOGA, MARTIN, TULLAHOMA, MEMPHIS

OFFICE OF THE TREASURER AND CHIEF FINANCIAL OFFICER

MEMORANDUM

TO: Members of the Board of Trustees

FROM: Joseph A. DiPietro   
 Charles M. Peccolo 

DATE: June 3, 2015

SUBJECT: Quasi-Endowment Funds

A resolution approved on September 22, 1995, authorized the University President and Treasurer to approve the establishment of quasi-endowment funds and to report to the Board during its June meeting the names and amounts of such funds approved. The following quasi-endowment funds have been established from May 2014 to June 2015 in accordance with this resolution:

	<u>Endowment Amount</u>	<u>Program Supported</u>
<b>The University of Tennessee, Knoxville</b>		
Betty Patton Barbour Lady Vol Scholarship Quasi-Endowment	\$ 25,000.00	Scholarships for woman athletes
John C. Hodges Humanities Quasi-Endowment	\$200,000.00	Support for the Humanities Center
Frank C. Smartt Quasi-Endowment	\$ 78,217.67	Recruitment/retention of faculty in Engineering
Institute for Professional Leadership Quasi-Endowment	\$ 75,000.00	Support for Institute for Professional Leadership
<b>The University of Tennessee, Martin</b>		
Dr. Kenneth Vernon Bordeau Scholarship Quasi-Endowment	\$554,616.86	Scholarships in Geology Department
<b>The University of Tennessee, Agricultural Extension Service</b>		
Family Consumer Sciences Volunteer Leadership Development Quasi-Endowment	\$ 25,000.00	Support programs in Family and Consumer Sciences Volunteer Development
<b>The University of Tennessee, Institute for Public Service</b>		
Naifeh Center Effective Leadership Quasi-Endowment	<u>\$ 25,000.00</u>	Support for Naifeh Center
	<b>\$982,834.53</b>	

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: All

ITEM: **FY 2016 Distribution of UC Foundation Unrestricted Endowment Funds**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The proposed distribution of funds from the University of Chattanooga Foundation (UCF) unrestricted endowment in support of programs at The University of Tennessee at Chattanooga for FY 2016 is reflected on the following schedule. Final approval by the UCF Board of Trustees is pending, and any changes will be presented to this Board, or the Executive and Compensation Committee, for approval after final adoption of the planned distribution. Total UCF support of The University of Tennessee at Chattanooga for FY 2016 is projected to be \$1,674,000.

**MOTION:**

**That the proposed FY 2016 Distribution of UC Foundation Unrestricted Endowment Funds be approved as presented in the meeting materials with the understanding that any subsequent changes to the proposed distribution will be presented to the Board of Trustees or the Executive and Compensation Committee for approval.**

## FY16 UC Foundation Funding Recommendations

Category	Recurring	Non Recurring
<b>Strategic Initiatives</b>		
Complete College Tracking & Assessment		\$125,000
Addressing TN Promise and Hope needs		\$200,000
First Year Experience		\$40,000
Curriculum redesign		\$40,000
Start-up for Centers & Institutes		\$75,000
New Faculty Start-up		\$100,000
Advanced Manufacturing Application Center		\$25,000
Summer Bridge Program		\$45,000
Community Research Initiatives		\$100,000
<b>Faculty Development</b>		
Faculty Development + Teaching Innovation	\$30,000	
Faculty Appreciation Day	\$20,000	
<b>Executive Partnerships</b>		
Chancellor's Fund	\$10,000	
Provost's Fund	\$10,000	
<b>Student Scholarships</b>		
Leadership Awards	\$50,000	
Chancellor/Provost Scholarships	\$425,000	
Music Performance Awards	\$100,000	
Athletic Scholarships	\$50,000	
Enhancing Graduate Assistantships	\$100,000	
International Studies Scholarships (match)	\$20,000	
<b>Restricted (Quasi Endowment)</b>		
UC Foundation Professorships	\$46,500	
John Stagmaier Professorship	\$17,500	
Dr. Leroy Martin Distinguished Prof.	\$20,000	
Dorothy Patten Fine Arts Series	\$25,000	
	\$924,000	\$750,000
<b>Grand Totals</b>	<b>\$1,674,000</b>	

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTIA

ITEM: **Cherokee Woodlot Easement**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The University of Tennessee proposes to create a joint storm water easement on approximately 7.31+/- acres of University property and approximately 1.55+/- acres of adjacent property (see the following maps). This easement would allow for the possible future development of the 162 acre Cherokee Woodlot tract owned by the University.

A contiguous property owner is currently planning to develop a 20 acre parcel that adjoins the Cherokee Woodlot. Site storm water from both tracts drains into a large sinkhole partially located on both tracts.

The irregularly shaped, permanent storm water easement contains a total of approximately 8.86+/- acres and allows the University and the contiguous property owner to utilize the easement area. The contiguous property owner will be required to obtain all necessary local, state, and federal permits.

The University reserves the right to relocate the easement in the future at the expense of the University.

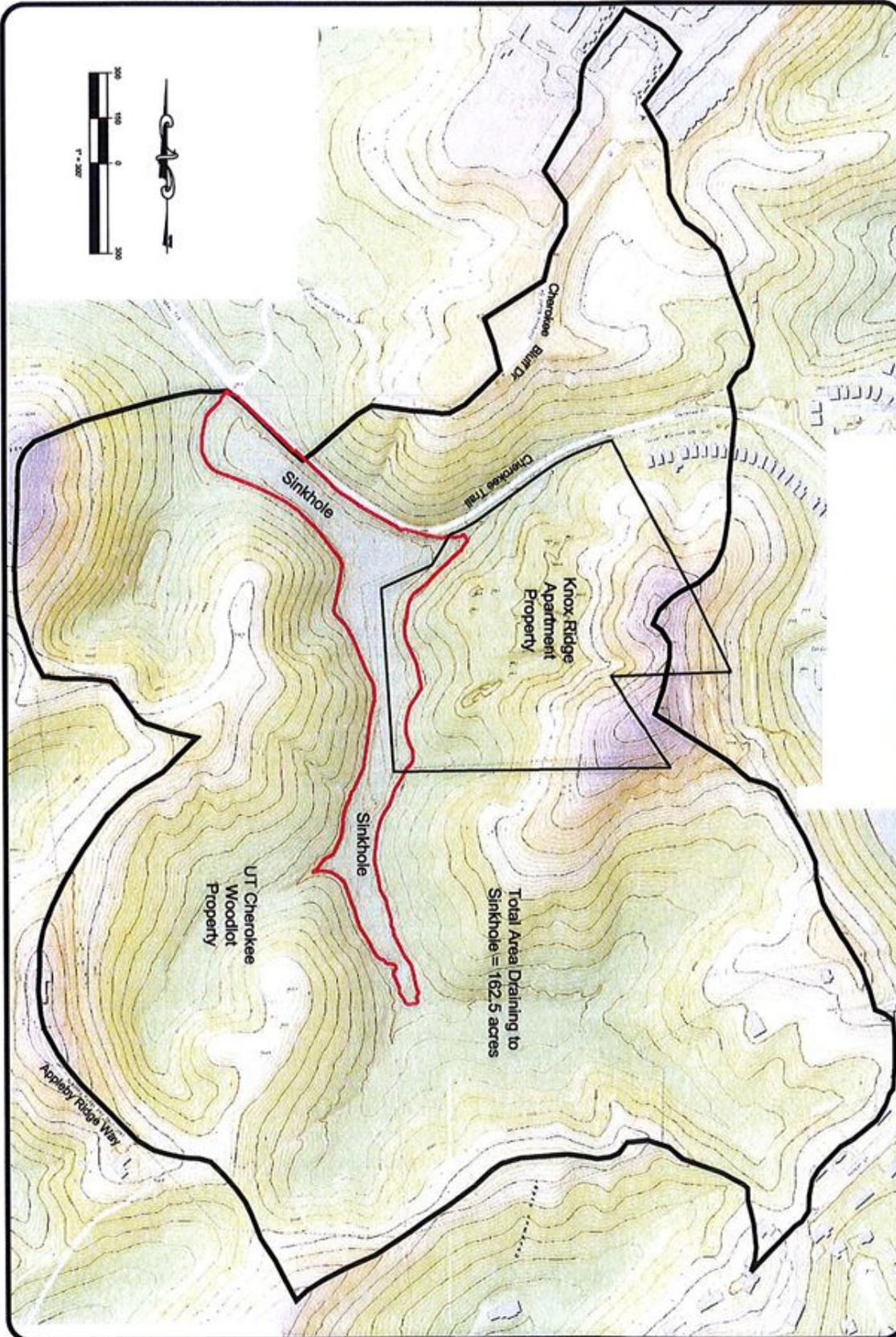
The administration requests authorization to dispose of approximately 7.31+/- acres and acquire approximately 1.55+/- acres. The disposal will be at or above the property's appraised value. Upon approval by the Board of Trustees, the University will seek all required state government approvals.

**MOTION:**

**That the administration be authorized to grant and acquire the joint permanent storm water easement described in the meeting materials.**

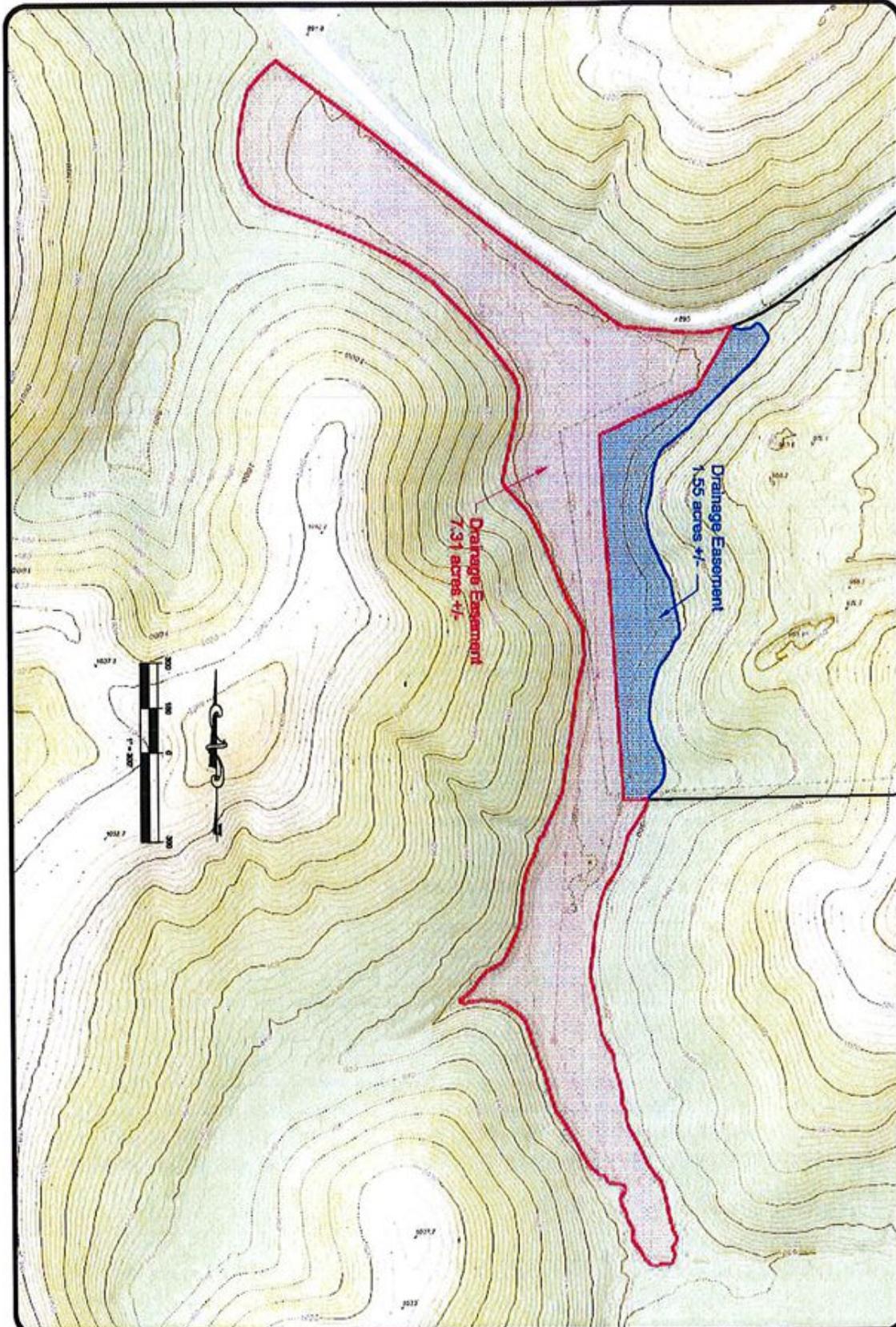


X:\Breck\Cherokee Trail - The Ridge\dwg\Cherokee Trail Master.dwg Jan 30, 2015 - 11:53am bbowlin - LDS Copyright 2008



<b>Exhibit 1</b> <small>Sheet No.</small>	<small>Drawn By</small> Checked Approved Date Scale	<small>No.</small> <small>Date</small> <small>Revision</small>	Drawing Description: <b>Exhibit 1</b> Sinkhole Drainage Basin	 <b>LAND DEVELOPMENT SOLUTIONS</b> <small>319 SIMONS RD, SUITE #1 - KNOXVILLE, TENNESSEE 37922                  PH: 865-471-2281</small>	Project: <b>UT / The Ridge</b> Sinkhole <small>Knoxville/Knox County, Tennessee</small>
	<b>NOT FOR CONSTRUCTION</b>				

X:\Brock\Cherokee Trail - The Ridge\dwg\ Cherokee Trail Master.dwg Jan 30, 2015 - 11:54am bbowlin - LDB Copyright 2008



<b>Exhibit 2</b> <small>Sheet No.</small>	<b>NOT FOR CONSTRUCTION</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>No.</th> <th>Date</th> <th>Revision</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> </tbody> </table>	No.	Date	Revision										Drawing Description: <b>Exhibit 2 Sinkhole Drainage Easement</b>	 <b>LAND DEVELOPMENT SOLUTIONS</b> <small>310 SIMONS RD., SUITE K - KNOXVILLE, TENNESSEE 37923 PK 865-671-2181</small>	Project: <b>UT / The Ridge Sinkhole</b> <small>Knoxville/Knox County, Tennessee</small>
	No.	Date	Revision														

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTK

ITEM: **Chi Phi Street Transfer from City of Knoxville**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The University of Tennessee, Knoxville proposes to request the City of Knoxville to transfer Chi Phi Avenue to the University. Chi Phi Avenue lies north of Terrace Avenue, east of 19<sup>th</sup> Street, South of Lake Avenue, and west of 18<sup>th</sup> Street (see the following map).

The proposed transfer is necessary for construction of a new parking garage, controlling pedestrian traffic, and safety. Upon approval by the Board of Trustees, the University will seek all required local and state government approvals.

**MOTION:**

**That the administration be authorized to request the City of Knoxville to transfer Chi Phi Avenue to the University.**

Annual Meeting of the Board of Trustees - Chi Phi Street Transfer from City of Knoxville (UT, Knoxville)



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

ITEM: **Annual Report of Sale of Gift Property**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The Bylaws require an annual report to the Board of all sales of real property acquired by gift. The report for 2014-2015 follows.

**MOTION:**

**That the 2014-2015 Annual Report of Sale of Gift Property be approved.**

<b>Annual Sales Report - Gifts of Real Property</b>			
<b>Sales Activity FY 2014-2015</b>			
<b>DONOR</b>	<b>PROPERTY ADDRESS</b>	<b>AMOUNT</b>	<b>USE OF PROCEEDS</b>
Richard J. Ott	4136 Ridgeway Lane, Knoxville, TN 37919	\$149,500	UTK Athletics
Ken Rayborn	9087 Balata Drive, Ooltewah, TN 37363	\$92,000	UTK Athletics - Susan Amanda True Rayborn athletic scholarship endowment
Harold Morris	1817 Grand Avenue, Knoxville, TN 37916	\$74,675	UTK Men's Athletics
	<b>TOTAL</b>	<b>\$316,175.00</b>	

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

ITEM: **President Emeritus Agreement with Dr. Joseph E. Johnson**

RECOMMENDATION: Approval

PRESENTED BY: Joseph A. DiPietro, President

Public Chapter 840 of the Tennessee Public Acts of 2000 authorizes higher education institutions to enter into one-year agreements with former presidents for services. The agreement may be renewed from year to year if the governing board is satisfied with the performance of services.

A proposed agreement with Dr. Joseph E. Johnson for the coming year appears on the following pages. The salary rate for Dr. Johnson and operating budget remains the same as the past fiscal year. All other terms and conditions are also unchanged. Following the proposed agreement is Dr. Johnson's report of services during the past fiscal year.

**MOTION:**

**That the President Emeritus Agreement with Dr. Joseph E. Johnson for FY 2016 be approved.**

**AGREEMENT FOR PRESIDENT EMERITUS SERVICES**

THIS AGREEMENT, entered into this 25th day of June 2015, by and between THE UNIVERSITY OF TENNESSEE, a public educational corporation of the State of Tennessee (University), by and through its Board of Trustees (Board) and DR. JOSEPH E. JOHNSON (Dr. Johnson).

**WITNESSETH:**

In consideration of the covenants and agreements herein contained, the University and Dr. Johnson agree as follows:

A. Dr. Johnson will serve as President Emeritus of The University of Tennessee with the following duties as specifically assigned from time to time by the President or with his approval:

1. Serving as the President's designee on various committees;
2. Representing the University at presidential inaugurations for other universities and similar academic ceremonies;
3. Assisting the Office of Development and Alumni Affairs in various fund-raising and alumni activities, including attendance at meetings of the Development Council and the National Alumni Association;
4. Representing the University on various boards and commissions promoting community and state development.
5. Teaching courses in leadership, public administration, or higher education.
6. Serving as the President's representative at any official function or meeting, at the discretion of the President.

Dr. Johnson will be responsible to the President for all services performed under this Agreement.

B. For the performance of his duties as President Emeritus, Dr. Johnson will receive the following compensation:

1. Annual salary of \$65,790.00, payable in twelve equal monthly installments;
2. A non-accountable expense allowance of \$6,000.00; and
3. Eight season tickets for football, 4 for women's basketball, and 4 for men's basketball (at Knoxville).

C. The University will reimburse Dr. Johnson for travel and entertainment expenses incurred in performing his duties as President Emeritus, in accordance with University regulations. Under University travel regulations, Dr. Johnson may retain an honorarium only if the University does not pay related travel expenses. If the University pays related travel expenses, Dr. Johnson must remit the honorarium in full to the University.

D. The University will provide Dr. Johnson with an office, a secretary, and an operating budget of \$36,950.00.

E. Dr. Johnson's service as President Emeritus is a part-time appointment for 120 days (or 960 hours) during the term of this Agreement. In accordance with applicable provisions of the state retirement code, Dr. Johnson's service as President Emeritus shall not exceed 120 days (or 960 hours) during the term of the Agreement, and he shall not accrue any additional retirement credit as a result of his service as President Emeritus.

F. This Agreement shall be effective as of July 1, 2015, and shall continue at the will and pleasure of the Board for a maximum term of one year (through June 30, 2016). In

its discretion, the Board may enter into subsequent agreements for Dr. Johnson's service as President Emeritus, provided that the term of each subsequent agreement shall not exceed one year.

G. The following general terms and conditions shall apply to this Agreement:

1. This Agreement contains the complete agreement between the parties concerning Dr. Johnson's appointment as President Emeritus. Neither party has made any representation with respect to the subject matter of this Agreement not specifically included in this Agreement, nor has either party relied on any such representation in entering into this Agreement.
2. This Agreement may only be modified by a writing signed by both parties.
3. The invalidity of any portion of this Agreement shall not and shall not be deemed to affect the validity of any other provision. In the event any provision of this Agreement is held to be invalid, the parties agree that the remaining provisions shall be deemed to be in full force and effect as if they had been executed by both parties subsequent to the expungement of the invalid provision.
4. This Agreement shall be interpreted in accordance with Tennessee law.
5. The failure of either party to this Agreement to insist upon the performance of any of the terms and conditions of this Agreement, or the waiver of any breach of any of the terms and conditions of this Agreement, shall not be construed as subsequently waiving any such terms and conditions, but the same shall continue and remain in full force and effect as if no forbearance or waiver had occurred.

[Signatures Follow]

IN WITNESS WHEREOF, the parties hereto have executed this Agreement by affixing their individual signature or the signature of an authorized official.

**THE UNIVERSITY OF TENNESSEE**

**JOSEPH E. JOHNSON**

\_\_\_\_\_  
Vice Chair of the Board of Trustees

\_\_\_\_\_  
Joseph E. Johnson

In accord with the formal agreement between the University of Tennessee and me, I spent time during 2014 – 2015 on the following activities related to the University of Tennessee:

1. I am a member of the Board of Directors of the University of Tennessee Medical Center (University Health System) as a representative of the University of Tennessee. I also chair the Board of Visitors for the Knoxville-based UT Graduate School of Medicine.
2. I am active with the alumni boards of the College of Pharmacy, College of Dentistry, and College of Medicine and with the Golden Grad events for these colleges. Such involvement allows me to assist with fundraising, alumni relations, and donor and donor prospect interactions.
3. I respond regularly to requests for information and advice from UT alumni friends and donors relating to a variety of topics and refer these folks to proper UT officials and offices or secure advice to pass along to people seeking such advice.
4. I participate in meetings of the UT President's Council and UT Alumni Board of Governors and its Executive Committee and its annual Leadership Conference. I also take part in the Alumni Association's Summer College and the Knoxville campus Golden Grad weekend.
5. I am a member of the volunteer advisory boards of the UT College of Architecture and Design, the College of Business Administration, and the College of Arts and Sciences. I assist these colleges in private fundraising calls and interact with volunteer leaders and donors. I serve on the Educators Hall of Honor Committee for the UT College of Education, Health, and Human Sciences, and the Baker Center Board of Directors.
6. During the UT Knoxville football season, Pat and I host UT volunteer leaders, donors, and prospective donors in the sky box assigned to us on the east side of Neyland Stadium. I also interact with other UT donors and friends in the other 71 east side sky boxes.
7. I assist UT professors of higher ed leadership in some of the graduate courses they teach. During 2014 – 2015, I joined Dean Bob Rider and Dr. Frank Cuevas in teaching master's and doctoral courses in higher ed leadership and higher ed funding. I am on the doctoral committee for Doug Hawks. A presentation was made to an undergraduate class taught by Ingrid Ruffin, a UT-K doctoral class taught by Dr. Keith Carver, and a UTC doctoral class taught by Dr. Beth Crawford.
8. I served as commencement speaker in May, 2015 for Roane State Community College.

9. Each year I go to UT Martin to participate in the meetings of the UT Martin Development Committee and of the UT Martin Alumni Council. Pat and I also attend the UT Martin homecoming weekend. I assist UT Martin development staff members with some gift calls on selected individuals.
10. I attend the 4-H Congress in Nashville and participate in activities of the UT Ag Institute Development Committee.
11. When requested, I provide information on a variety of questions, topics, concerns, and opportunities involving the University of Tennessee. I am regularly asked questions about issues affecting UT about which I have historical knowledge. At their request, I meet on occasion with UT officials.
12. I serve on the UT Alumni Association's Legislative Advocacy Council.
13. When requested, I make or assist with fundraising calls for the University of Tennessee. I maintain contacts with current and future UT donors including Gail Latimer, Charles Wharton, Kelly and Beverly Milam, Jerry Kennedy, Mr. Neal Allen, Dr. and Mrs. Bob Higgs, Dr. Bill Powell, Dr. David Harris, Mr. David Bailey, Dr. Phil Wenk, Dr. Lew Dougherty, Fay Bailey, Waymon Hickman, Doug McCarty, Home Federal Bank leaders, and Delta Dental Tennessee leaders among others.
14. I regularly take UT students, children of UT donors, friends, and volunteers to lunch or dinner. And, Pat and I host alumni leaders and donors when they are in Knoxville for UT events and activities.
15. As President Emeritus, I am asked to speak to a variety of groups on occasion.
16. I serve on the boards of directors for the Great Smoky Mountain Council of the Boy Scouts of America, the Knox County Imagination Library, the Helen Ross McNabb Center, the Hearing and Speech Foundation, Knox County Imagination Library, Museum of Appalachia, and the Pat Summitt Foundation Advisory Board. I am a long term member of the Knoxville Chamber of Commerce Finance Committee.
17. I teach three days a year at the University of Kentucky College Business Management Institute that offers education programs for college and business management leaders from the eastern United States. I teach courses in public relations and development.
18. I serve on occasion as a member of a Southern Association of Colleges and Schools accreditation teams.

19. I am involved with private fundraising for the UT Graduate School of Medicine in Knoxville and the UT Medical Center.
20. I serve as Chairman of the Tennessee Renewable Energy and Economic Development Council (TREEDC) that works with Tennessee cities on projects related to alternative fuels, energy conservation, green programs, and economic development. TREEDC is related to the UT Institute for Public Service.
21. I work hard to stay out of the hair of University of Tennessee officials but am available to assist them if and when they request assistance.



---

Joseph E. Johnson  
President Emeritus

JEJ/bkw

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

ITEM: **Resolutions on Corporate Authorization to Transfer Securities**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The following Resolutions are required solely for the purpose of including accurate titles for the two University Officers the Board has previously authorized to execute written instruments for the assignment and transfer of securities held by the University.

**MOTION:**

**That the Resolutions on Corporate Authorization to Transfer Securities be approved.**

**Certification of Corporate Authorization to Transfer  
(General)**

I, Catherine S. Mizell, being the duly constituted Secretary of The University of Tennessee, a corporation organized and existing under and by virtue of the Laws of the State of Tennessee (hereinafter called this Corporation) do hereby certify that the following is a true and complete copy of resolutions duly adopted at a meeting of the Board of Trustees of this Corporation, duly called and held on June 25, 2015, at which a quorum was present and voting; that said resolutions are still in force and effect and have not been rescinded; and that said resolutions are not in conflict with the Charter or Bylaws of this Corporation.

RESOLVED: That the Treasurer and Chief Financial Officer and the General Counsel and Secretary of this Corporation be and hereby are fully authorized and empowered to transfer, convert, endorse, sell, assign, set over and deliver any and all shares of stocks and bonds, debentures, notes, subscription warrants, stock purchase warrants, evidences of indebtedness, or other securities now or hereafter standing in the name of or owned by this Corporation and to make, execute and deliver, under the corporate seal of this Corporation, any and all written instruments of assignment and transfer necessary or proper to effectuate the authority hereby conferred.

FURTHER RESOLVED: That whenever there shall be annexed to any instrument of assignment and transfer, executed pursuant to and in accordance with the foregoing resolution, a certificate of the Secretary of this Corporation in office at the date of such certificate, and such certificate shall set forth these resolutions and shall state that these resolutions are in full force and effect and shall also set forth the names of the persons who are then officers of this Corporation, then all persons to whom such instrument with the annexed certificate shall thereafter come, shall be entitled, without further inquiry or investigation and regardless of the date of such certificate, to assume and to act in reliance upon the assumption that the shares of stock or other securities named in such instrument were theretofore duly and properly transferred, endorsed, sold, assigned, set over and delivered by this Corporation, and that with respect to such securities the authority of these resolutions and of such officers is still in full force and effect.

\_\_\_\_\_  
Charles M. Peccolo  
Treasurer and Chief Financial Officer

\_\_\_\_\_  
Catherine S. Mizell  
General Counsel and Secretary

IN WITNESS WHEREOF, I have hereunto set my hand and the seal of the Corporation  
this \_\_\_\_ day of \_\_\_\_\_ 20\_\_.

\_\_\_\_\_  
Secretary

Signature Guaranteed:

NOTE: The officer certifying the resolution must not execute the assignment. The certification and assignment must both bear the same date.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UT, Knoxville

ITEM: **Converting Course Fees to Differential Tuition for College of Architecture and Design**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

A differential tuition proposal for all courses in the College of Architecture and Design at UT, Knoxville is outlined in the following presentation.

**MOTION:**

- 1. That The University of Tennessee at Knoxville be authorized to implement a differential tuition plan for the College of Architecture and Design, beginning with the 2015-16 academic year; and**
- 2. That the proposed FY 2016 operating budget for UT Knoxville be developed and presented to the Board of Trustees incorporating a \$105.00 per student credit hour differential tuition for courses in the College of Architecture and Design; and**
- 3. That the differential tuition will increase in future years at the same rate as any future increases in the maintenance fee at UT Knoxville.**



# College of Architecture & Design Differential Tuition Proposal



THE UNIVERSITY OF  
TENNESSEE  
KNOXVILLE

# Funding for the Future

## The President's Boundaries Document

- Presented to the Board of Trustees in February 2015
- More sustainable business model
- Consider expanding and increasing differential tuition

# Differential Tuition

## FY 2015-16 Proposed Changes

- Transition College of Architecture & Design from “course fees” to differential tuition
- Proposed Rate: \$105 per credit hour

# College of Architecture & Design

## Reasons for Change

- Cost to students remains the same for the first year
- Provide transparency on the cost of education
- Expand experiential learning opportunities
- Enable hiring of new faculty and staff to support enrollment growth

# College of Architecture & Design

## Proposed Uses of Incremental Funds

- Day-to-day operation of the existing exhibition/fabrication laboratories
- Materials for experiential learning, design, and fabrication
- State-of-the-art equipment and student workstations

# College of Architecture & Design

## Proposed Uses of Incremental Funds

- Additional faculty and staff to accommodate growth
- Improve student recruitment, retention, and career placement
- Enhance online presence and print-related activities

# Questions or Comments



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UT, Knoxville

ITEM: Revised Differential Tuition for UT, Knoxville Haslam College of Business

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

With the approval of the Board of Trustees, the University of Tennessee, Knoxville implemented a differential tuition plan for the College of Business in fiscal year 2011. At that time, the differential tuition was set at \$50.00/credit hour with the provision that the differential tuition would increase at the same rate as the undergraduate maintenance fee in future years. Increasing the differential tuition at the same rate as the maintenance fee has resulted in a current per credit hour fee of \$68.

The Knoxville campus administration requests approval to increase the differential tuition to \$95.00/credit hour to address funding gaps relative to top-25 peers. The administration also requests authorization for the differential tuition to continue to increase at the same rate as the UT, Knoxville maintenance fee in future years.

**MOTION:**

**That the Board action of February 25, 2010 authorizing a differential tuition plan for the UT, Knoxville College of Business be amended to set the differential tuition at \$95.00/credit hour, effective July 1, 2015, with authorization for future increases to be set at the same rate as any future increase in the maintenance fee at UT, Knoxville.**



# Haslam College of Business Differential Tuition Proposal



THE UNIVERSITY OF  
TENNESSEE  
KNOXVILLE

# Differential Tuition

## FY 2015-16 Proposed Changes

College	Current Per Credit Hour	Proposed Per Credit Hour
Haslam College of Business	\$68	\$95

# Haslam College of Business

## Reasons for Change

- Address overall funding gaps relative to Top 25 peers
- Assist in funding strategic elements of the college's undergraduate program

# Haslam College of Business

## Proposed Uses of Incremental Funds

- Enhance student career development
  - Expand introductory course to all students
  - Create professional development opportunities
  - Broaden network of corporate alliances

# Haslam College of Business

## Proposed Uses of Incremental Funds

- Enhance and expand co-curricular activities
  - Add opportunities to study abroad
  - Expand Executive-in-Residence Program
  - Leverage technology

# Questions or Comments



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT UTC

ITEM: **Revised Differential Tuition for UTC College of Business**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

At the March 1, 2013 meeting, the Board of Trustees authorized UT Chattanooga to implement a differential tuition plan for the Colleges of Business, Nursing, and Engineering beginning with the 2013-14 academic year. The Board action authorized UTC to assess differential tuition for all courses offered in the Colleges of Nursing and Engineering and courses in the College of Business with departmental prefixes of ACC, BUS, ETR, FIN, MGT, and MKT. The Board also authorized the differential tuition to increase in future years at the same rate as any future increases in the undergraduate maintenance fee.

The Department of Economics has moved from the College of Arts and Sciences to the College of Business, but the March 2013 Board action did not include courses with the departmental prefix of ECON. The proposed Board action will authorize differential tuition for all courses in the College of Business without reference to departmental prefixes. This will accommodate future organizational changes that could occur in the College of Business as well as possible revisions to UTC's scheme for course prefixes.

**MOTION:**

**That the Board action of March 1, 2013 authorizing the UT Chattanooga differential tuition plan be amended to include all courses offered by the UTC College of Business regardless of departmental prefix.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT All Campuses

ITEM: **Standard Business Practice for Calculating Increases to Differential Tuition Rates**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

University policy and previous Board actions authorize the University to increase Differential Tuition rates each year at the same rate as any increase in the Maintenance Fee with no additional approval by the Board of Trustees. The administration requests authorization to apply a standard business practice to calculate increases to Differential Tuition.

It is customary for the administration to round all student fees to the nearest whole dollar amount in the interest of administrative efficiency. In practice, it is rare that percentage increases in Differential Tuition can be set exactly equal to percentage increases in the Maintenance Fee unless Differential Tuition is set at levels rounded to the nearest cent. The administration proposes to set Differential Tuition at the whole dollar amount that most closely approximates the percentage increase in the Maintenance Fee. The result in most years will be percentage increases that are slightly lower or higher than those approved for the Maintenance Fee. These annual variances will cancel one another out over time and ensure that Differential Fee increases are proportionate to Maintenance Fee increases over the long run.

Below is an example from UT, Knoxville's College of Engineering to illustrate how the proposed methodology would result in proportionate increases over multiple years:

- Changes from 2013-14 to 2014-15:
  - The 2013-14 Differential Tuition was \$57 per hour.
  - UT, Knoxville's Maintenance Fee increased 6.0%.
  - The 2014-15 Differential Tuition was set at \$60 per hour, a 5.3% increase.
- Proposed changes from 2014-15 to 2015-16:
  - UT, Knoxville's proposed Maintenance Fee increase is 3.0%.
  - The proposed 2015-16 Differential Tuition is \$62 per hour, a 3.3% increase.

- Cumulative changes over a two-year period:
  - UT, Knoxville's proposed 2015-16 Maintenance Fee is 9.2% higher than the 2013-14 Maintenance Fee.
  - The proposed 2015-16 Differential Tuition is only 8.8% higher than the 2013-14 Differential Tuition.
- Although the Differential Tuition increase proposed for 2015-16 is a higher rate (3.3%) than that proposed for the Maintenance Fee (3.0%), it is balanced by the rounding performed in the previous year.

**MOTION:**

**That the administration be authorized to set Differential Tuition rates at whole dollar amounts such that annual percentage increases are as close as possible to percentage increases approved for the Maintenance Fee when rounded to the nearest whole dollar, resulting in percentages that may be lower or higher than those approved for the Maintenance Fee.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTC

ITEM: UTC Regional Tuition Rate Program for Undergraduates

RECOMMENDATION: Approval

PRESENTED BY: Steve R. Angle, Chancellor, UTC

At the June 2007 meeting, the Board of Trustees authorized UT Chattanooga to establish a one-year pilot program to evaluate a differential tuition rate for undergraduate students of junior and senior standing who meet current admissions standards and reside in seven counties contiguous to Tennessee (six Georgia and one Alabama). Participants receive a 75 percent reduction in out-of-state tuition. The pilot program was implemented beginning Fall 2007. Extension of the pilot program was conditioned on further consideration by the Board. Each year since 2007, the Board of Trustees has approved a one-year extension of this program.

Analysis of enrollment and revenue for the 2014-2015 year of the program is presented on the following pages. The administration requests that the Board authorize the regional tuition rate program for graduate students on an ongoing basis without the necessity for an annual review and extension.

**MOTION:**

**That the UTC regional tuition rate program that assesses the in-state maintenance fee plus 25% of the out-of-state tuition for undergraduate students residing in seven contiguous counties in Georgia and Alabama be approved on an ongoing basis without the necessity for an annual review and extension.**



# **The University of Tennessee at Chattanooga Regional Tuition Differential Program**

27

**A presentation to the Board of Trustees of  
The University of Tennessee**

**June 2015**



## Program Overview

- UGRAD approved by Board of Trustees in June 2007; GRAD approved in October 2009
- UGRAD eligibility based on completion of 60 hours
- Based on **existing capacity** in upper-level undergraduate and graduate courses; **no new resources necessary**
- Eligibility **limited to seven counties** in north Georgia and Alabama
- Participants pay in-state tuition plus **25% of out-of-state tuition**



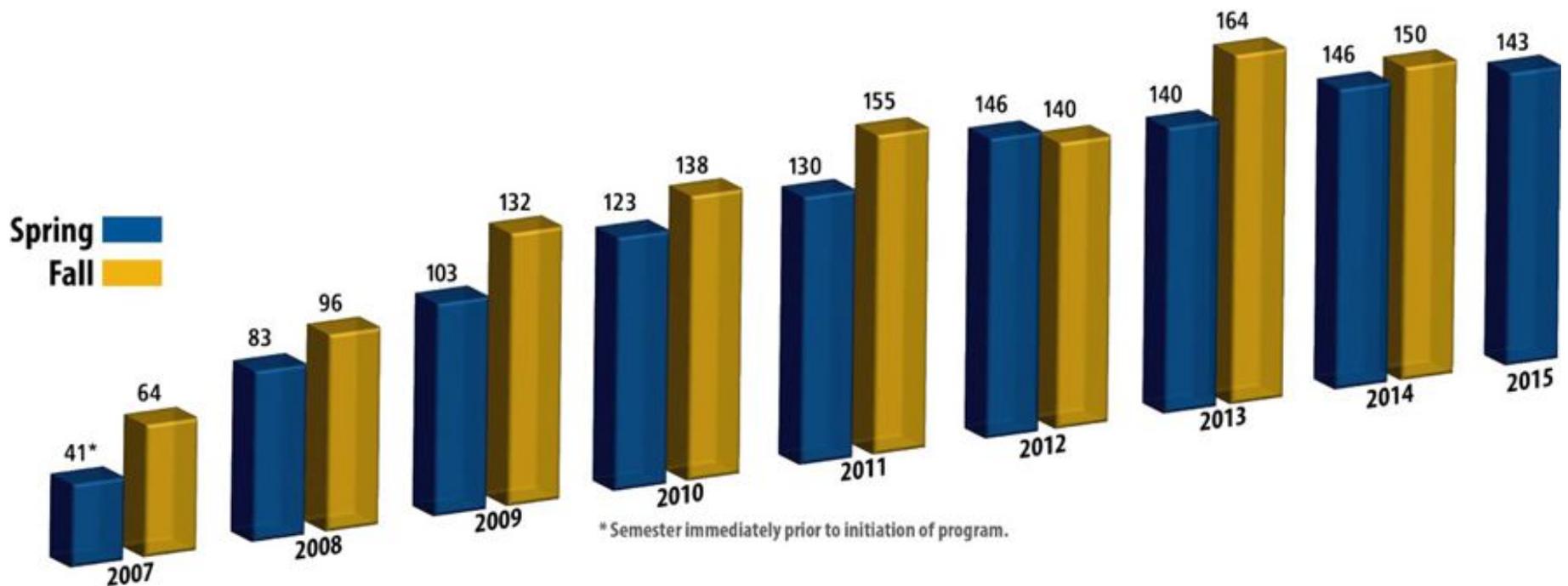
# Regional Tuition Demographic Area

27





# Undergraduate Enrollment Trend (Headcount)

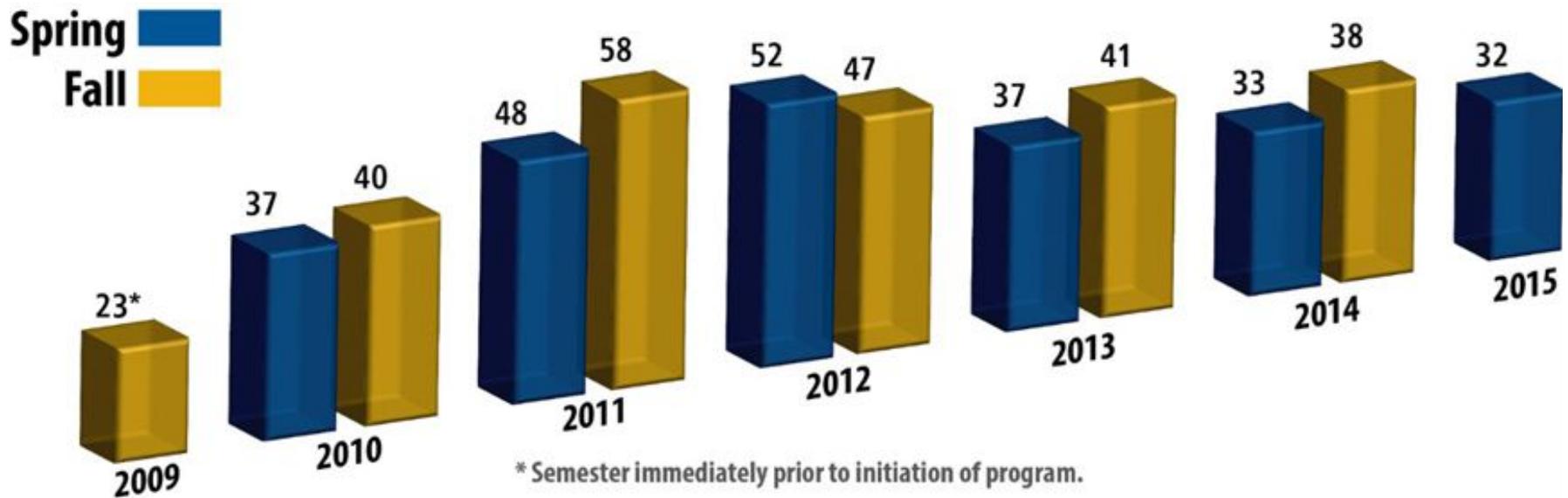


- Consistently earning revenue since fall 2008.
- Since inception, undergraduate program has earned \$2 million.



# Graduate Enrollment Trend (Headcount)

27





# Enrollment and Revenue Analysis 2014-15

27

UGRAD FTE Student Enrollment	Actual Revenue	Target Revenue* (\$11,275 x 50 FTE)	Gain (Loss)
129.58 (F14)	\$677,671	\$563,750	\$113,921
125.25 (S15)	\$655,026	\$563,750	\$91,276
		<b>Total</b>	<b>\$205,197</b>

GRAD FTE Student Enrollment	Actual Revenue	Target Revenue* (\$11,913 x 22.8 FTE)	Gain (Loss)
31.66 (F14)	\$185,805	\$271,616	(\$85,812)
26.10 (S15)	\$153,174	\$271,616	(\$118,442)
		<b>Total</b>	<b>(\$204,254)</b>

\*Based on breakeven threshold from Spring 2008.



# Cumulative Revenue Analysis

## 2009-15

27

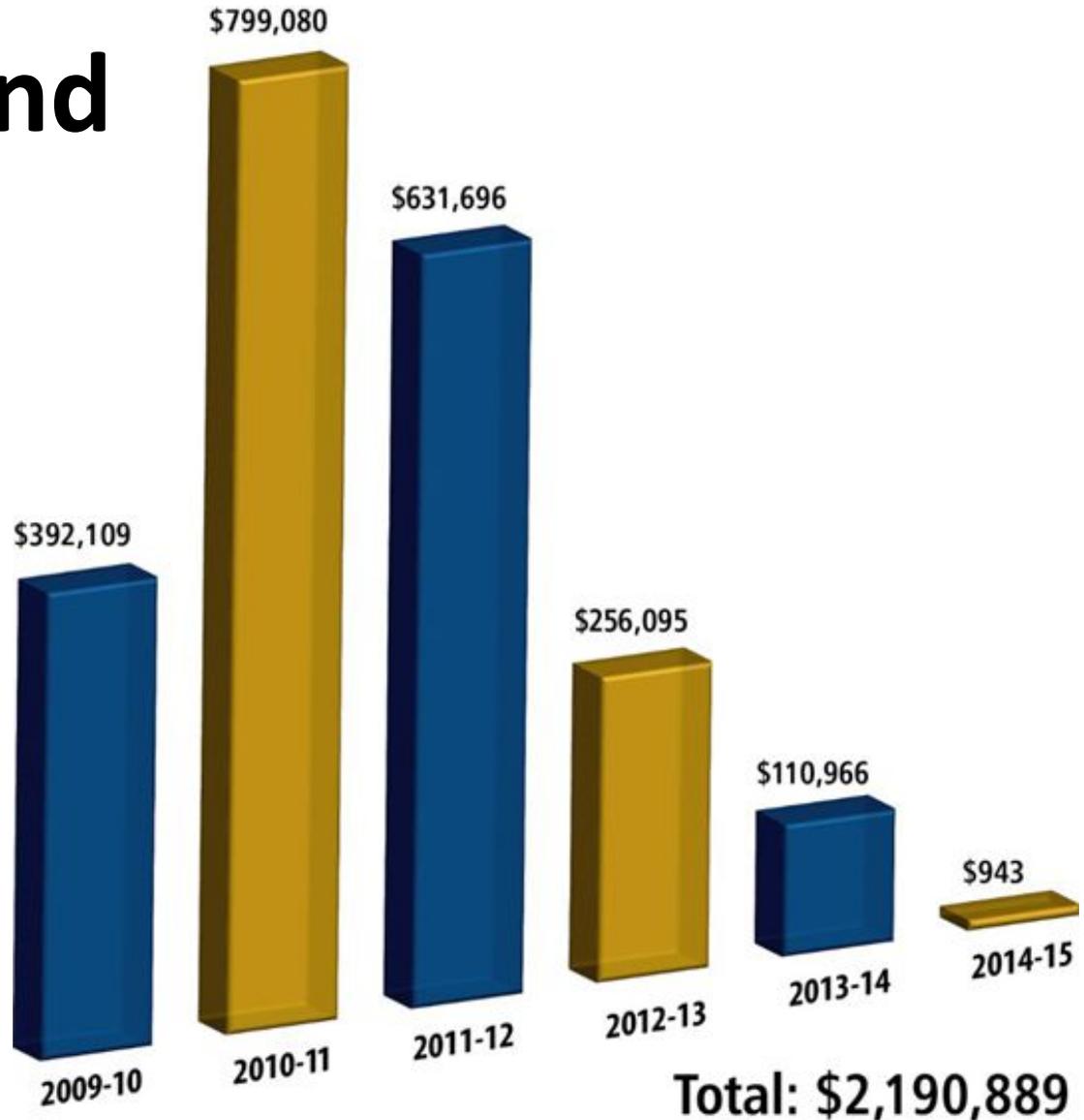
Cumulative UGRAD Revenue/Loss	Cumulative GRAD Revenue/Loss	Grand Total Revenue/Loss
\$2,356,002	(\$165,113)	<b>\$2,190,889</b>

- North Georgia school districts discontinued incentive funding for teachers earning graduate degrees
- Graduate enrollment is down across state and region
- UTC hired a Vice Chancellor for Research and Dean of Graduate School to focus on increasing graduate enrollment



# Financial Trend 2009-2015

UGRAD/GRAD  
Combined Cumulative



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTC

ITEM: **UTC Regional Tuition Rate Program for Graduate Students**

RECOMMENDATION: Approval

PRESENTED BY: Steve R. Angle, Chancellor, UTC

At the October 2009 meeting, the Board of Trustees authorized UT Chattanooga to establish a one-year pilot program to evaluate a differential tuition rate for graduate students who meet current admissions standards and reside in seven counties contiguous to Tennessee (six Georgia and one Alabama). Participants receive a 75 percent reduction in out-of-state tuition. Extension of the pilot program was conditioned on further consideration by the Board. Each year since 2010, the Board of Trustees has approved a one-year extension of this program.

Analysis of enrollment and revenue for the 2014-15 year of the program is presented on the following pages. The administration requests that the Board authorize the regional tuition rate program for graduate students on an ongoing basis without the necessity for an annual review and extension.

**MOTION:**

**That the UTC regional tuition rate program that assesses the in-state maintenance fee plus 25% of the out-of-state tuition fee for graduate students residing in seven contiguous counties in Georgia and Alabama be approved on an ongoing basis without the necessity for an annual review and extension.**



# **The University of Tennessee at Chattanooga Regional Tuition Differential Program**

28

**A presentation to the Board of Trustees of  
The University of Tennessee**

**June 2015**



## Program Overview

- UGRAD approved by Board of Trustees in June 2007; GRAD approved in October 2009
- UGRAD eligibility based on completion of 60 hours
- Based on **existing capacity** in upper-level undergraduate and graduate courses; **no new resources necessary**
- Eligibility **limited to seven counties** in north Georgia and Alabama
- Participants pay in-state tuition plus **25% of out-of-state tuition**

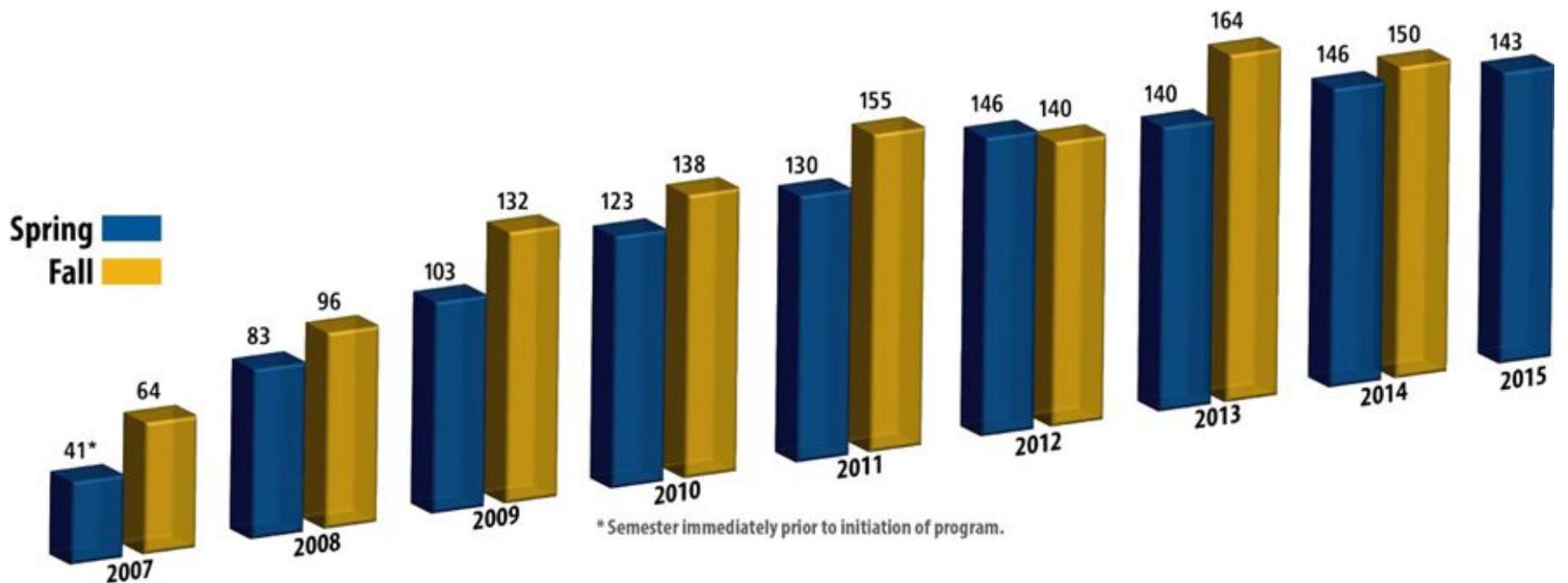


# Regional Tuition Demographic Area





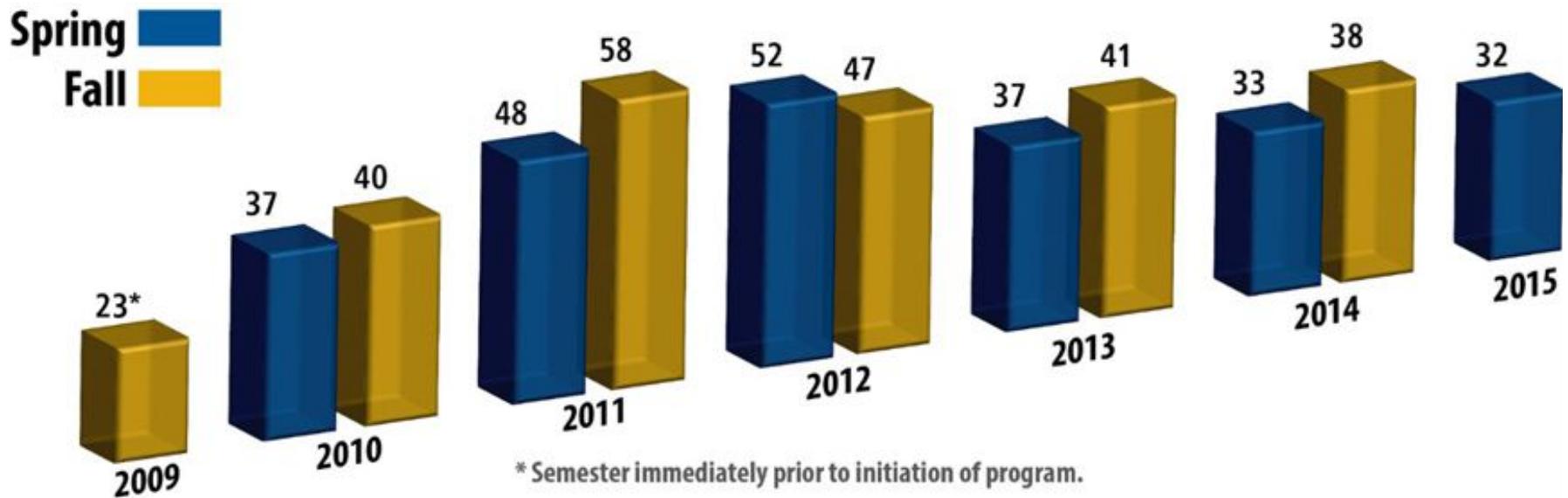
# Undergraduate Enrollment Trend (Headcount)



- Consistently earning revenue since fall 2008.
- Since inception, undergraduate program has earned \$2 million.



# Graduate Enrollment Trend (Headcount)





# Enrollment and Revenue Analysis 2014-15

28

UGRAD FTE Student Enrollment	Actual Revenue	Target Revenue* (\$11,275 x 50 FTE)	Gain (Loss)
129.58 (F14)	\$677,671	\$563,750	\$113,921
125.25 (S15)	\$655,026	\$563,750	\$91,276
		<b>Total</b>	<b>\$205,197</b>

GRAD FTE Student Enrollment	Actual Revenue	Target Revenue* (\$11,913 x 22.8 FTE)	Gain (Loss)
31.66 (F14)	\$185,805	\$271,616	(\$85,812)
26.10 (S15)	\$153,174	\$271,616	(\$118,442)
		<b>Total</b>	<b>(\$204,254)</b>

\*Based on breakeven threshold from Spring 2008.



# Cumulative Revenue Analysis

## 2009-15

28

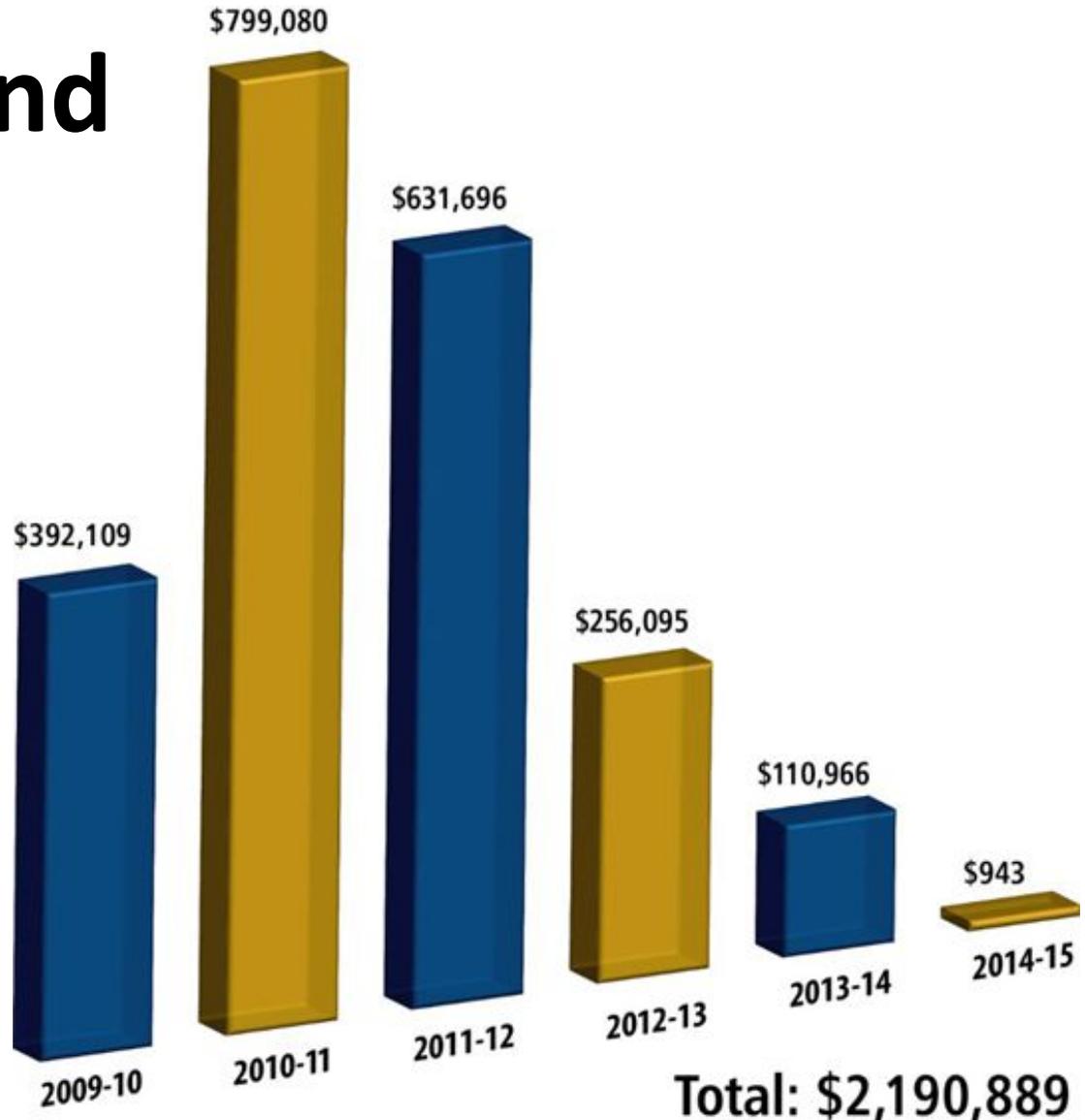
Cumulative UGRAD Revenue/Loss	Cumulative GRAD Revenue/Loss	Grand Total Revenue/Loss
\$2,356,002	(\$165,113)	<b>\$2,190,889</b>

- North Georgia school districts discontinued incentive funding for teachers earning graduate degrees
- Graduate enrollment is down across state and region
- UTC hired a Vice Chancellor for Research and Dean of Graduate School to focus on increasing graduate enrollment



# Financial Trend 2009-2015

UGRAD/GRAD  
Combined Cumulative



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTHSC

ITEM: **Distribution from Julian G. Fleming M.D. Scholarship Quasi-Endowment**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The UT Health Science Center requests approval to distribute \$200,000 from the corpus of the Julian Fleming Quasi-Endowment to provide need-based tuition assistance scholarships for medical students for the 2015-2016 academic year.

The March 31, 2015 market value of the quasi-endowment fund (#F070000385) is approximately \$887,880.86. The quasi-endowment was established by the Board of Trustees in 2013 from the estate of Dr. Julian Fleming to fund need-based tuition assistance scholarships. After this distribution, the quasi-endowment would still have a book value of \$651,914.84.

**MOTION:**

**That the one-time distribution of \$200,000 from the corpus of the Julian G. Fleming M.D. Scholarship Quasi-Endowment be approved for need-based tuition assistance scholarships for medical students for the FY 2015-2016 academic year.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

ITEM: **Distribution from Unrestricted Annual Giving Quasi-Endowment for Alumni and Development**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The University of Tennessee created a quasi-endowment from private gifts solicited by the UT Alumni Association (UTAA) in support of alumni programs and awards. The administration proposes to withdraw \$200,000 from the quasi-endowment corpus to fund expenditures in FY 2016 for the University Avenue Building where the UTAA will be located in the future.

The March 31, 2015 market value of the quasi-endowment fund is \$2,151,717 million. Approximately \$1,951,717 million will remain after this transfer.

**MOTION:**

**That the one-time distribution of \$200,000 from the Alumni Affairs Unrestricted Gift Quasi-Endowment be approved for expenditures for the University Avenue Building in FY 2016.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015  
COMMITTEE: Finance and Administration  
CAMPUS/INSTITUTE: Institute of Agriculture  
ITEM: **2015 AgResearch Voluntary Retirement Incentive Program For Staff**  
RECOMMENDATION: Approval of the Program and Authorization to Take All Steps Necessary to Implement the Program  
PRESENTED BY: Larry Arrington, Chancellor, UT Institute of Agriculture

As a part of the effort to refocus its resources in keeping with the University’s current fiscal objectives, the Institute of Agriculture (UTIA) proposes to offer a voluntary retirement incentive plan for AgResearch staff.

The proposed program would offer a lump sum incentive payment of four months’ base salary to eligible employees, as defined in the following plan document. Participation in the Program would be strictly voluntary.

Following the plan document is a pro forma, which includes the cost of a 13.5% surcharge required by the Tennessee State Consolidated Retirement System (TCRS). TCRS governs all University retirement plans, including the TCRS plan, the Optional Retirement Plan (ORP), and the now-closed Joint Contributory Retirement System plan (JCRS).

AgResearch anticipates that cost savings would be allocated in several ways: to create new faculty positions; to modify staff positions; or to address deferred maintenance needs at AgResearch facilities across the state. Some vacated positions may be eliminated with the goal of increasing efficiency and aligning resources with program needs.

**MOTION:**

**That the 2015 AgResearch Voluntary Retirement Incentive Program for Staff be approved as proposed, with authorization for the administration to take all steps necessary to implement the Program.**

**The University of Tennessee Institute of Agriculture (UTIA)  
2015 AgResearch Voluntary Retirement Incentive Program for Staff**

**Purpose:** The 2015 AgResearch Voluntary Retirement Incentive Program for Staff (the Program) is designed to generate cost savings to increase alignment of resources with program needs.

**Eligibility:** The Program is open to all full-time AgResearch staff whose appointment is at least 50% or more with AgResearch and who meet one of the following criteria as of December 31, 2015<sup>1</sup>:

1. Eligible for either Service Retirement<sup>2</sup> or Early Retirement<sup>3</sup> under the Tennessee Consolidated Retirement System (TCRS) or the Joint Contributory Retirement System (JCRS-A); OR
2. A participant in the Optional Retirement Program (ORP) who meets one of the following age and service<sup>4</sup> milestones:
  - 55 or older with at least 10 years of service; OR
  - 60 or older with at least 5 years of service; OR
  - any age with at least 25 years of service.

**Terms of the Proposed Incentive:**

- **Incentive Pay:** Participants will receive a lump sum incentive payment equal to four months' base monthly salary (not including any administrative or other stipend amounts) in effect on December 31, 2015 or on the separation date, if sooner.
- **Separation Date:** Participants will retire under this Program with a separation date of no later than December 31, 2015. All employment-related rights and obligations described in University policies and procedures remain unaffected by this Program and will continue to apply regardless of an employee's application or participation.
- **Dedicated Benefits Consultant:** Ms. Whitney Fair, AgResearch Human Resources Coordinator, is available to assist employees eligible for participation in this Program.

---

<sup>1</sup> Retirement eligibility is established by TCRS and may be changed without notice to the University or to employees. The terms Service Retirement and Early Retirement carry the meanings assigned by TCRS.

<sup>2</sup> Service Retirement (with unreduced benefits) under TCRS/JCRS is open to vested members aged 60 or older with at least 5 years of creditable service, or any age with at least 30 years of creditable service.

<sup>3</sup> Early Retirement (reduced benefits) under TCRS/JCRS is open to vested members aged 55 or older with at least 10 years of creditable service, or any age with at least 25 years of creditable service.

<sup>4</sup> These age and service requirements are intended to correlate with the requirements for TCRS/JCRS retirement. For purposes of this Program, "years of service" or "service" for a participant in ORP will be determined by the calculation of a service equivalent to the TCRS "creditable service" calculation (the "ORP Creditable Service Equivalent").

- **Application Process:** Eligible employees interested in participating in the Program must complete the 2015 AgResearch Voluntary Retirement Incentive Program Application and return it to Ms. Whitney Fair, located in Morgan Hall room 225A or by email attachment to wfair@utk.edu, on or before July 31, 2015. For more information on this Program or how to submit an application, please contact Ms. Fair at (865) 974-4506.
- **Notice of Acceptance:** Applicants who are accepted for participation in the Program will be notified of acceptance by e-mail and regular U.S. mail. A Retirement Incentive Agreement and Release will be provided with the notice of acceptance. Participants will have 45 days from the date of the acceptance letter to submit the signed and notarized agreement.

**Other Program Features:**

- AgResearch reserves the right to discontinue the Program at any time without advance notice to eligible employees.
- Program participants are ineligible to return to full-time or benefits-eligible work at the University.

**Projected Timetable (subject to revision):**

Program announced to staff	July 1, 2015
Administration receives, reviews and acknowledges applications	July 1, 2015 to July 31, 2015
<b>Application Deadline – Applications must be received by UTIA AgResearch Office of Human Resources</b>	<b>By 5:00 PM, July 31, 2015</b>
Administration provides notice to participants accepted for Program	By August 3, 2015
Participant packets available	Beginning August 3, 2015
Participants may review packet and standard Retirement Incentive Agreement and Release for up to 45 days	August 3, 2015 to September 18, 2015
Deadline for submission of signed Retirement Incentive Agreement and Release	September 18, 2015
Separation date from full-time employment	On or before December 31, 2015

### 2015 AgResearch Voluntary Retirement Incentive Program for Staff Funding Proposal

Assuming likely participation by roughly 40 employees, the projected cost of funding the 2015 AgResearch Voluntary Retirement Incentive Program for Staff is estimated to be \$990,656. Funding will be generated through salary and benefit pool savings from positions being vacated by participants in the Program. The projected net effect of the Program would be a reallocation of funds beginning in FY 2016 to: (a) fund staff positions within selected academic departments and research and education centers based on programmatic needs, and (b) fund new faculty positions.

<b>Funding the Incentive Program</b>		
	Exempt staff at average annual salary of \$55,000	Non-exempt staff at average annual salary of \$35,000
Projected Number of Participants.	25	15
Incentive Payment (4 months' salary per participant).	\$458,333	\$175,000
Accrued But Unused Annual Leave (estimated at 200 hours per participant).	\$132,212	\$50,481
FICA Payments (7.65% of the total payments to Program participants will be made for FICA).	\$45,177	\$17,249
Worker's Compensation Payments (0.25% of the total payments to Program participants will be made for Worker's Compensation).	\$1,476	\$564
TCRS One-Time Payment (A 13.5% surcharge payment will be made for Program participants enrolled in the TCRS, ORP and JCRS retirement programs).	\$110,164	
<b>Total projected one-time cost of Incentive Program</b>	<b>\$990,656</b>	

## 2015 AgResearch Voluntary Retirement Incentive Program for Staff University of Tennessee Institute of Agriculture

### *Application Form*

31

Please submit this completed form to AgResearch Human Resources to indicate your interest in participation in the University of Tennessee Institute of Agriculture - AgResearch Voluntary Retirement Incentive Program (VRIP). Completing this form does not commit the applicant to participation in the VRIP. ***To apply for the VRIP, this completed application MUST be received by AgResearch Human Resources no later than 5:00pm on July 31, 2015.*** Please see VRIP Plan Document for additional information relating to eligibility and procedures.

Additional information on VRIP will be sent to your home address and to your UT e-mail, as provided below:

---

Name:		Personnel #:	
Department:		Position Title:	
Supervisor:		Date:	
Home Address:			
	Street Address		
	City	State/Zip Code	
E-Mail Address:			
Proposed Retirement Date:			

**FOR OFFICIAL HR USE ONLY:**

Date Application Received: \_\_\_\_\_ Employee Funding Account: \_\_\_\_\_  
 Employee Age Effective 12/31/2015: \_\_\_\_\_ Service Credit Effective 12/31/2015: \_\_\_\_\_  
 Current Annual Leave Balance: \_\_\_\_\_ Current Sick Leave Balance: \_\_\_\_\_

Indicate retirement eligibility criteria met by employee:

- Eligible for either Service Retirement (Age 60 with 5 years of service or any age with 30 years of service) or Early Retirement under the Tennessee Consolidated Retirement System (TCRS) or the Joint Contributory Retirement System (JCRS-A) (Age 55 with 10 years of service or 25 years of service for members under the age of 55); OR
- A participant in the Optional Retirement Program (ORP) who meets one of the following age and service milestones:
- 55 or older with at least 10 years of service; OR
  - 60 or older with at least 5 years of service; OR
  - any age with at least 25 years of service

Signature of HR Reviewer: \_\_\_\_\_

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 24, 2015

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTHSC

ITEM: **UTHSC Long Range Master Plan**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

With the approval of the State Building Commission, the University engaged the architectural firm of Perkins and Will to develop a Long Range Master Plan for The University of Tennessee Health Science Center in Memphis. The Master Plan was last updated in 1996 and requires revisions to address enrollment growth and other changes.

Upon approval by the Board of Trustees, the University will seek all required state government approvals.

**MOTION:**

**That the Long Range Master Plan for the University of Tennessee Health Science Center in Memphis be approved.**

# 2015 CAMPUS MASTER PLAN EXECUTIVE SUMMARY



 THE UNIVERSITY OF TENNESSEE  
HEALTH SCIENCE CENTER.



**UTHSC MASTER PLAN KEY**

- A** TRANSLATIONAL SCIENCES RESEARCH BUILDING
- B** SIMULATION CENTER
- C** COLLEGE OF MEDICINE
- D** COLLEGE OF HEALTH PROFESSIONS
- E1** DUNN DENTAL BUILDING
- E2** DUNN DENTAL BUILDING EXPANSION
- F1** CANCER RESEARCH BUILDING - FIT UP
- F2** COLLEGE OF PHARMACY - FIT UP
- G** ALEXANDER BUILDING RENOVATION
- H** CROWE, MOONEY AND NASH RENOVATION
- I** COLEMAN COLLEGE OF MEDICINE RENOVATION
- J1** GMP FACILITY A
- J2** GMP FACILITY B
- K** HOUSING
- L** PARKING & TRANSIT CENTER RENOVATION
- M** HYDE FACILITIES BUILDING
- N** RESEARCH BUILDING A
- O** PHYSICAL PLANT SHOPS
- P1** PARKING DECK A
- P2** PARKING DECK B
- P3** PARKING DECK C
- Q** WOMEN'S AND INFANTS' PAVILION
- R1** STUDENT-ALUMNI CENTER RENOVATION
- R2** STUDENT-ALUMNI CENTER EXPANSION
- S1** PRIMARY CARE CLINIC
- S2** PRIMARY CARE CLINIC ALTERNATE LOCATION
- T** SECURITY
- U** RESEARCH BUILDING B
- V** MULTI-PURPOSE DEVELOPMENT
- W** HYMAN ADMINISTRATION BUILDING RENOVATION
- X** JOHNSON BUILDING
- Y** MOLECULAR SCIENCES BUILDING RENOVATION
- Z** VAN VLEET CANCER CENTER RENOVATION
- R1** PARTNERSHIP DEVELOPMENT OPP.
- R2** PARTNERSHIP DEVELOPMENT OPP.
- R3** PARTNERSHIP DEVELOPMENT OPP.

# ACKNOWLEDGEMENTS

## CONSULTANTS

Perkins+Will

Pickering Engineering

Connico Incorporated

## MASTER PLAN WORKING COMMITTEE

Emile J. David, Associated Vice Chancellor, Facilities Administration

Ken Bradshaw, Director, Facilities Administration

La'Keith Miller, Business Manager, Facilities Administration

Billy Hatcher, Director IT infrastructure Network

Debbie Smith, Associate Vice Chancellor, Research Administration

Teresa Hartnett, Director, Admin College of Medicine

Tim Mashburn, Executive Associate Dean, College of Medicine

Tony Hopson, Director, Real Property & Space Administration

Robbi Stivers, Executive Director, Capital Projects

Steve Tom, Director, Space Planning and Utilization

Andrea Kolen, Project Manager, Space Planning and Utilization

## EXECUTIVE COMMITTEE

Dr. Steve Schwab, Chancellor & Chief Executive Officer

Dr. Ken Brown, Executive Vice Chancellor & Chief Operating Officer

Anthony Ferrara, Chief Financial Officer

Dr. Cheryl Scheid, Chief Academic Officer

Dr. David Stern, Dean- Chief Clinical Officer

Dr. Lawrence Pfeffer, Chief Research Officer (interim)

Jan Van der Aa, Chief Information Officer

Dr. George Cook, President of Faculty Senate



## Planning Context and Process

In 2013, an Executive Committee as well as a Working Committee were established to assist in the planning and execution of a new campus master plan for the University of Tennessee Health Science Center. A planning team, led by Perkins+Will, was selected to create the plan under the guided direction of UTHSC Facilities Planning and Management. A hallmark of this planning process included engagement of and participation from a wide cross-section of groups on campus – university administration, academic leadership, student life leadership, sports and recreation leadership, faculty, staff and students. In addition to these on-campus groups, a variety of external stakeholder groups and organizations in the surrounding Memphis Medical District were invited to participate in the planning process. Several community open house forums were held to gather appropriate input on the planning concepts. The following components guided these planning activities and discussions:

- Strategic Plan relationship to the physical campus
- Existing conditions on campus
- Open space on campus
- Circulation and transportation
- Land use and acquisition goals
- Campus infrastructure
- Housing and recreation on campus
- Space utilization and affinity program alignment
  - Academic
  - Research
  - Clinical
  - Student Support
  - Facility Support

## Planning Assumptions and Needs

### ACADEMIC GOALS & STRATEGIC GROWTH

- Improve student support
- Implement cutting edge technologies for teaching and supporting education
- Expand clinical simulation
- Facilitate inter-professional opportunities for students and faculty
- Ensure the quality of all UTHSC academic programs and facilities

### ENROLLMENT TARGETS

- Current: 2,980 Full Time Equivalent Students (2,859 Head Count)
- 5 year: 3,330 Full Time Equivalent Students (3,253 Head Count)
- 10 year: 3,379 Full Time Equivalent Students (3,327 Head Count)

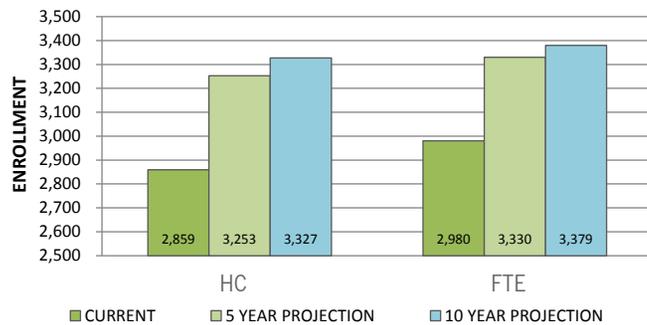


Figure 2.1: Enrollment Projections

### RESEARCH GOALS

- Provide strong core facilities with new strategic centers that focus on growth opportunities and potential revenue generating centers
- Link clinical and basic science researchers in creative ways
- Foster collaboration with integrated spaces - both indoors and outdoors
- Encourage cross-disciplinary teams and provide incentives for such activities
- Identify space for joint ventures with private industry – such as Good Manufacturing Practices (GMP) facilities

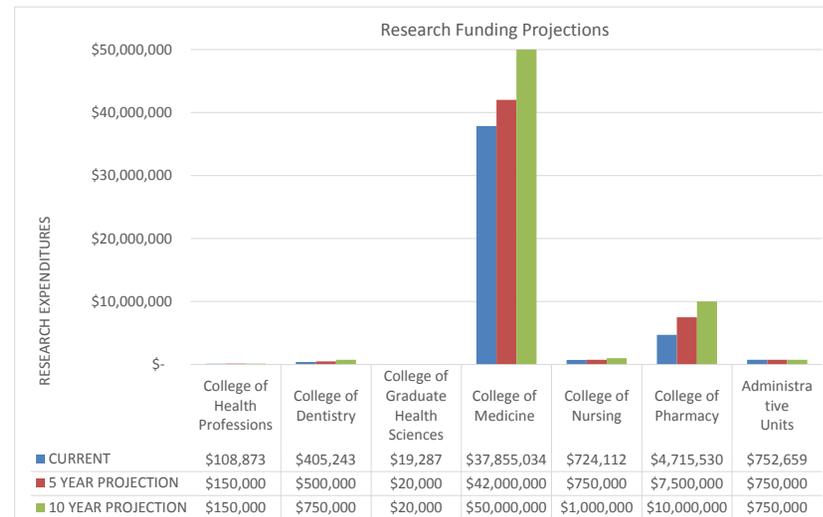


Figure 2.2: Research Expenditure projections

## Planning Assumptions and Needs

### LAND USE AND URBAN DESIGN GOALS

- Embrace the urban context and foster easy connections with partners
- Improve campus corridors and create “complete streets”
- Develop a “UT Loop” with campus landmarks
- Enhance campus open space; make it more useful and attractive
- Create a “pedestrian spine” along Monroe Avenue
- Coordinate utilities and circulation with campus tunnels
- Improve Health Sciences Park as the campus heart
- Showcase research and clinical centers of excellence



Figure 2.3: UTHSC from the East

### CLINICAL GOALS

- Create a healing environment: enhance the experience for every patient (young, old, educated and under served) at every opportunity – especially arrival, parking, signage, building entry, and amenities
- Broadcast clinical expertise and knowledge through branding
- Engage pedestrians and facilitate interaction by placing shared spaces and amenities on the ground level
- Strengthen connections between satellite clinical facilities, outreach centers, and their campus “home base”
- Cluster outpatient services for patient convenience and ease of wayfinding



Figure 2.4: Hamilton Eye Institute



Figure 2.5: Future Women's and Infants' Pavilion

## Planning Components and Concepts

### FACILITY USE, REINVESTMENT & IMPROVEMENTS

Facilities on campus vary quite drastically in their existing condition, including brand new buildings, buildings that are not being used due to outdated systems, below standard teaching or laboratory environments, and awkward, inflexible spaces. The historic core buildings of Crowe, Mooney and Nash have been designated worthy of reinvestment and repurposing for use by the College of Nursing. Renovations will also include updates to research facilities. The Molecular Sciences Building holds promise to be repurposed for a less intensive use than research laboratories. Shelled space is slated for fit-up in the Cancer Research and Pharmacy Buildings within the next 5 years. Investment is also planned for new Good Manufacturing Practices Buildings, in existing facilities adjacent to campus .

The 910, 920 Madison and Madison Plaza Buildings are not functional for academic purposes. Outdated layouts and materials, mechanical systems, and floor to floor heights indicate these buildings are at the end of their useful life. The same can be said for the Boling Center with its inflexible, cast-in-place, concrete structural system. There is little that can be done to these facilities without significant financial expenditure. A better investment of resources for programs in these existing buildings would be to construct new state-of-the-art facilities.

New academic buildings that are currently planned or under construction include a Translational Sciences Research Building, a Simulation Center to provide training for all colleges in a team-based setting, a College of Medicine Building to meet demands for instructional and office space, a College of Health Professions Building to co-locate all Memphis departments and provide adequate teaching laboratory

space, and an addition to the College of Dentistry to provide more clinical training space as well as planned program expansion. Development of two new research laboratory buildings is also planned in partnership with Memphis Bioworks to take advantage of the existing Vivarium and its proximity to campus.

A new clinical building is planned to consolidate the disparate clinics across campus into a new state of the art facility in close proximity to the Colleges of Medicine and Health Professions. In addition, a new Women's and Infants' Pavilion is planned to work in partnership with Regional One Health and Le Bonheur Children's Hospital.

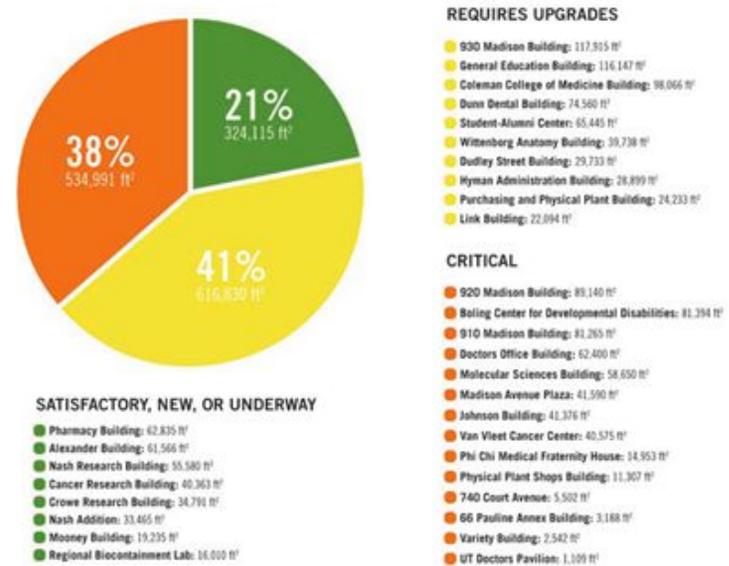


Figure 2.6: Existing Facilities Physical & Functional Conditions Chart

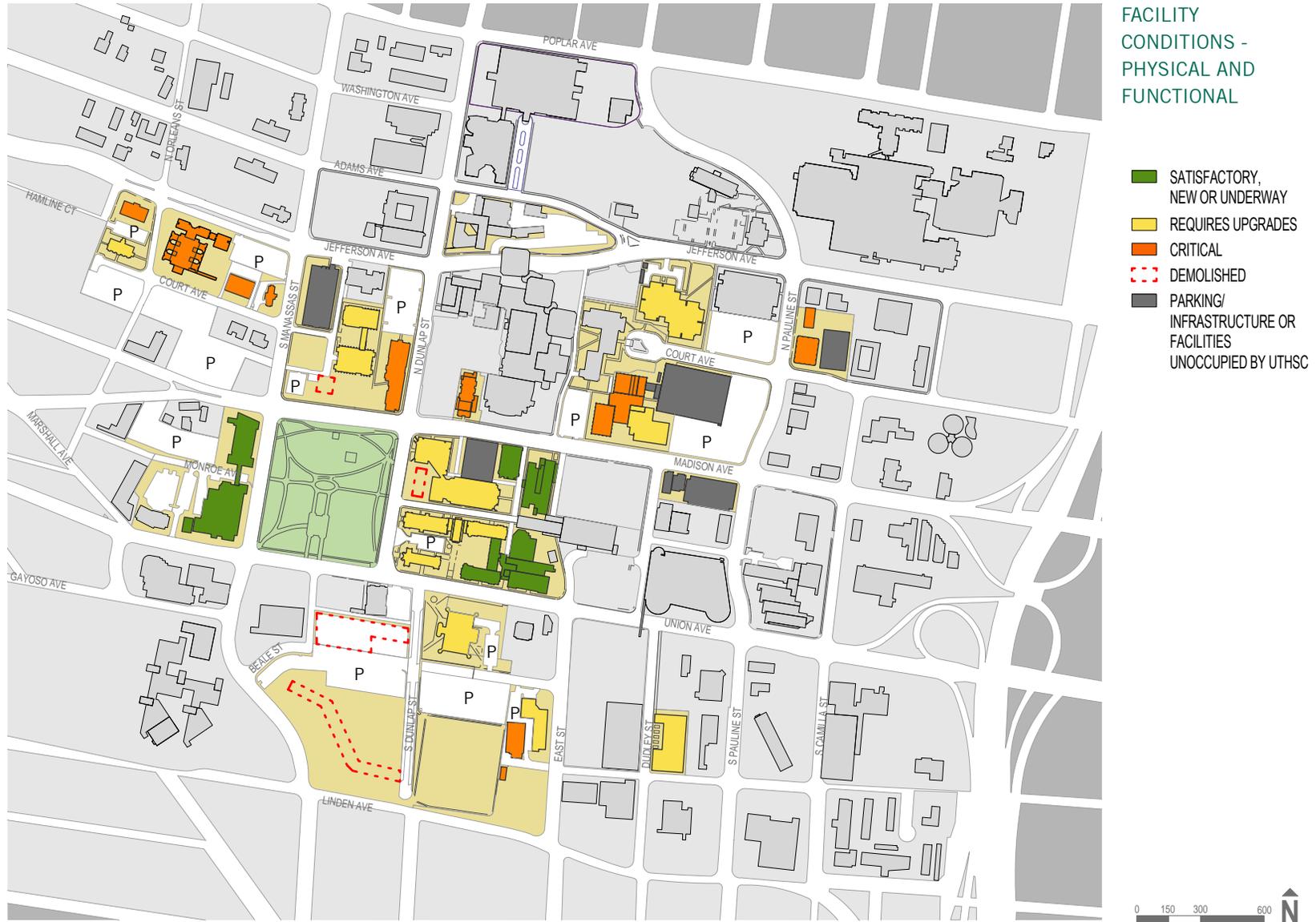


Figure 2.7: Facilities Physical & Functional Conditions

## SPACE NEEDS

Currently the campus is comprised of 40 buildings encompassing 1,436,281 assignable square feet (3,066,261 gross square feet) of space. A space needs analysis was completed to project the academic, research, clinical, student support and facility support space needs on campus for targeted enrollments of 3,330 full time equivalent (FTE) students in five years and 3,379 FTE in ten years. The analysis incorporated the following components: existing baseline square footage, new square footage due to projects in design or construction, anticipated square footage due to successful requests made via the capital budgeting process, reduced square footage due to facility remodeling or demolition, and benchmarked or guideline square footage needed per full time equivalent student. The space needs analysis and resultant space model were based on Tennessee's Higher Education Commission Document *THEC Space Allocation Guidelines User's Manual* along with the following data and criteria:

- Post-Secondary Education Federal Index Classification Manual (FICM) space taxonomy
- Existing Space Inventory provided by UTHSC
- Class Schedule Data as required by THEC and supplied by UTHSC
- Council for Education Facilities Planners International (CEFPI) - for space not designated in the THEC manual
- Research benchmarks based on external expenditures by college
- Perkins+Will square footage benchmark data from campuses throughout the United States
- Clinical space was reviewed and calculated based on criteria including numbers of patient visits, surgical procedures and patient encounter type. Benchmarks for clinical space included functional and clinical support space, as well.

Application of these clinical benchmarks, the *THEC Space Allocation Guidelines User's Manual* (2011), and the CEFPI Standards on space types, reveals an overall space deficiency at UTHSC of over 565,000 assignable square feet (ASF) on campus. Using an efficiency factor of 0.65, this translates into over 900,000 gross square feet of deficit building space for the enrollment and program goals set for the 10-year projection.

### UTHSC PROJECTED CAMPUS SPACE NEEDS

The 565,000 ASF of square footage needed in 10 years can be broken down into more specific categories typically found on an academic campus:

Academic Space deficit will be: 304,423 ASF (468,343 GSF)

- This space type category includes instructional rooms, class laboratories and program specific classrooms, open laboratories, academic offices, library and study space, as well as assembly and meeting rooms. Also included are media production and e-learning support spaces.

Research Space deficit will be: 43,024 ASF (66,190 GSF)

- This space type category includes research laboratories, animal facilities, and other campus "specialty labs."

Administrative/ Student Support Space deficit will be: 43,889 ASF (67,522 GSF)

- This space type category includes administrative offices, exhibition areas, food facilities, daycare, campus lounge space, and merchandising space.

Recreation Space deficit will be: 50,613 ASF (77,866 GSF)

- This space type category includes gyms, pools, fitness and other more passive recreation spaces.

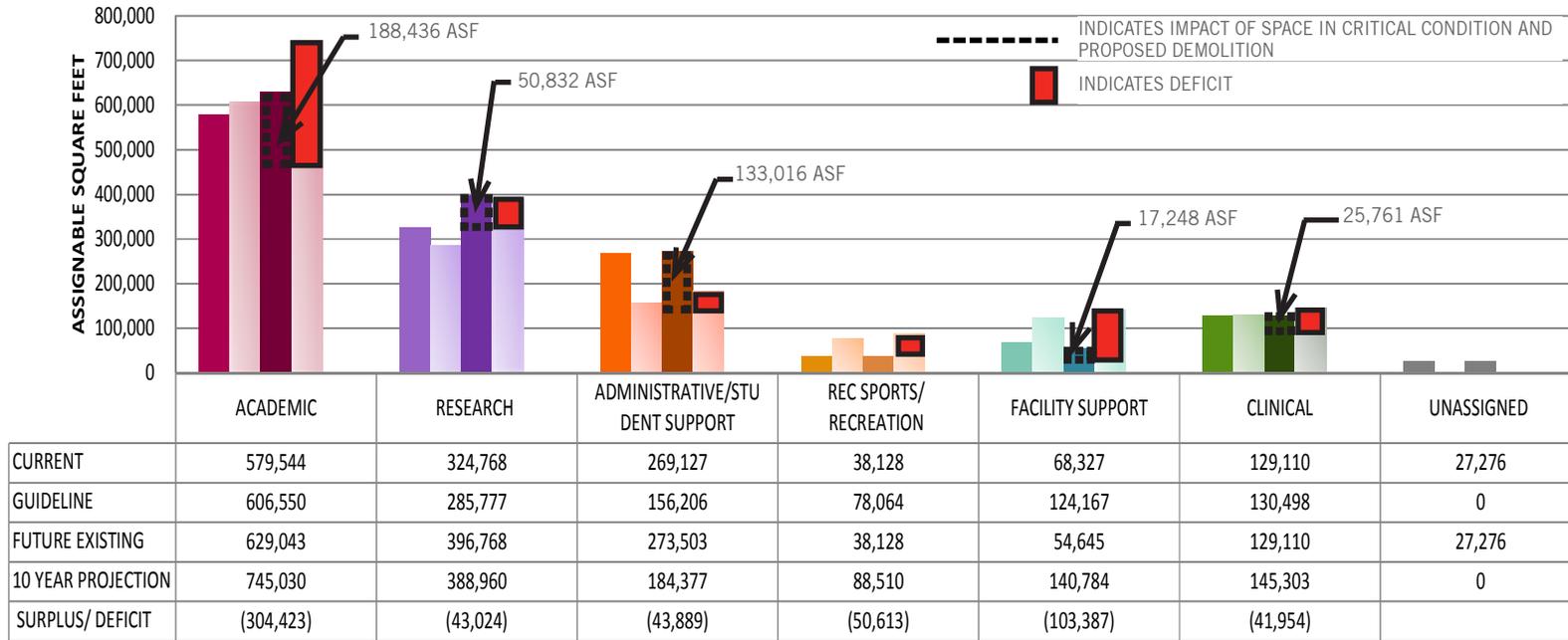
Facility Support Space deficit will be: 103,387 (159,056 GSF)

- This space type category includes central computing space, shops and materials storage spaces, central service, hazardous materials storage, and waste storage.

Clinical Space deficit will be: 41,954 ASF (64,544 GSF)

- This Space type category includes University-run clinical service spaces such as; the Hamilton Eye Institute, dental clinics, University Therapists, clinics currently residing in the Boling Center, and University Student Health Services Clinic.

### PROJECTED CAMPUS SPACE NEEDS



NOTE: FUTURE EXISTING BAR ASSUMES NO DEMOLITION OF FUTURE DEVELOPMENT SITES  
 FUTURE SURPLUS/ DEFICIT ASSUMES REMOVAL OF BUILDINGS IN CRITICAL CONDITION

Figure 2.8: Projected Campus Space Needs

## FUTURE DEVELOPMENT ZONES AND LAND USE

Future development at UTHSC is currently planned on University-owned property, property owned by a University partner as a joint venture, or property that will be acquired. Where possible, the University will expand on its own land. If space needs exceed University land holdings, these needs can be met through land purchase, land swaps, and program sharing opportunities with partners. Land use has been studied carefully and the goal to maintain an academic and instructional core between Madison and Union Avenues is key. Focusing new development in this area of campus takes advantage of partnerships with Memphis Bioworks to expand research in the central core. Constructing new academic buildings in this area will also provide a desired central location along Pauline Street for academic programs currently scattered across the district. The goal to showcase UTHSC's research and academic programs is achieved with this plan; new developments for research and education are at gateway locations into campus. Consolidation of clinical programs is also of utmost importance to UTHSC, allowing easy access for patients, students, faculty and staff. Maintaining clinical use zones to the north of Madison works well with existing facilities including the Hamilton Eye Clinic, Memphis Mental Health Hospital and the Regional One Medical Center. Student support spaces are outside of the main core providing parking, housing, recreation and dining opportunities for students and staff in close proximity to the center.

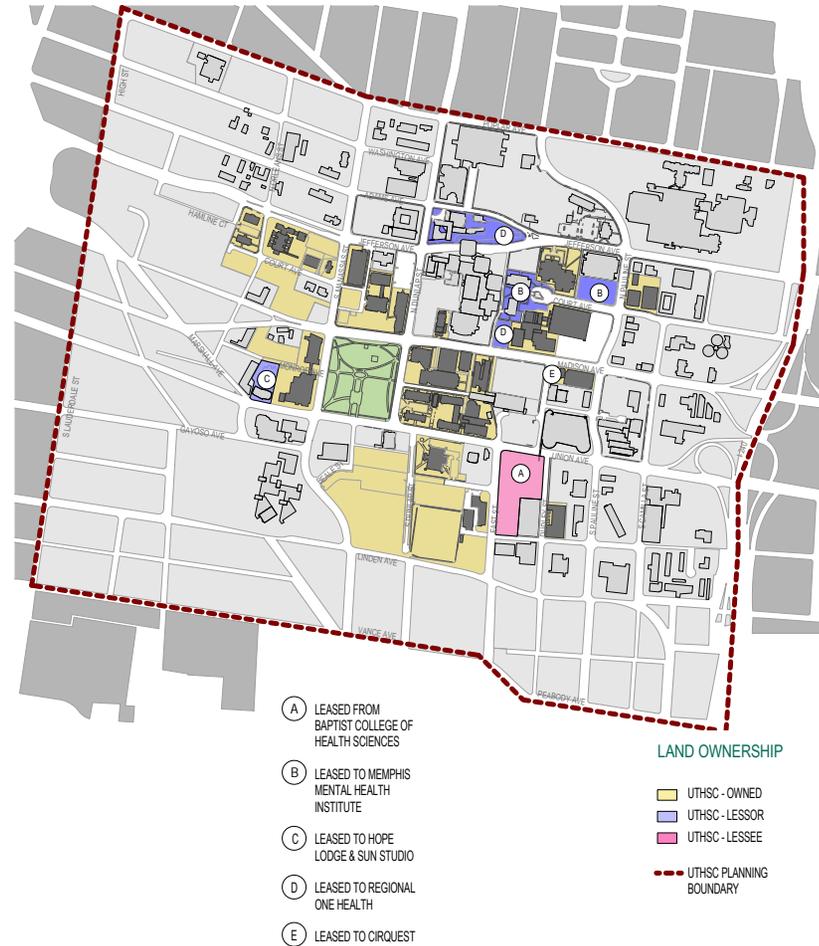


Figure 2.9: Land Ownership

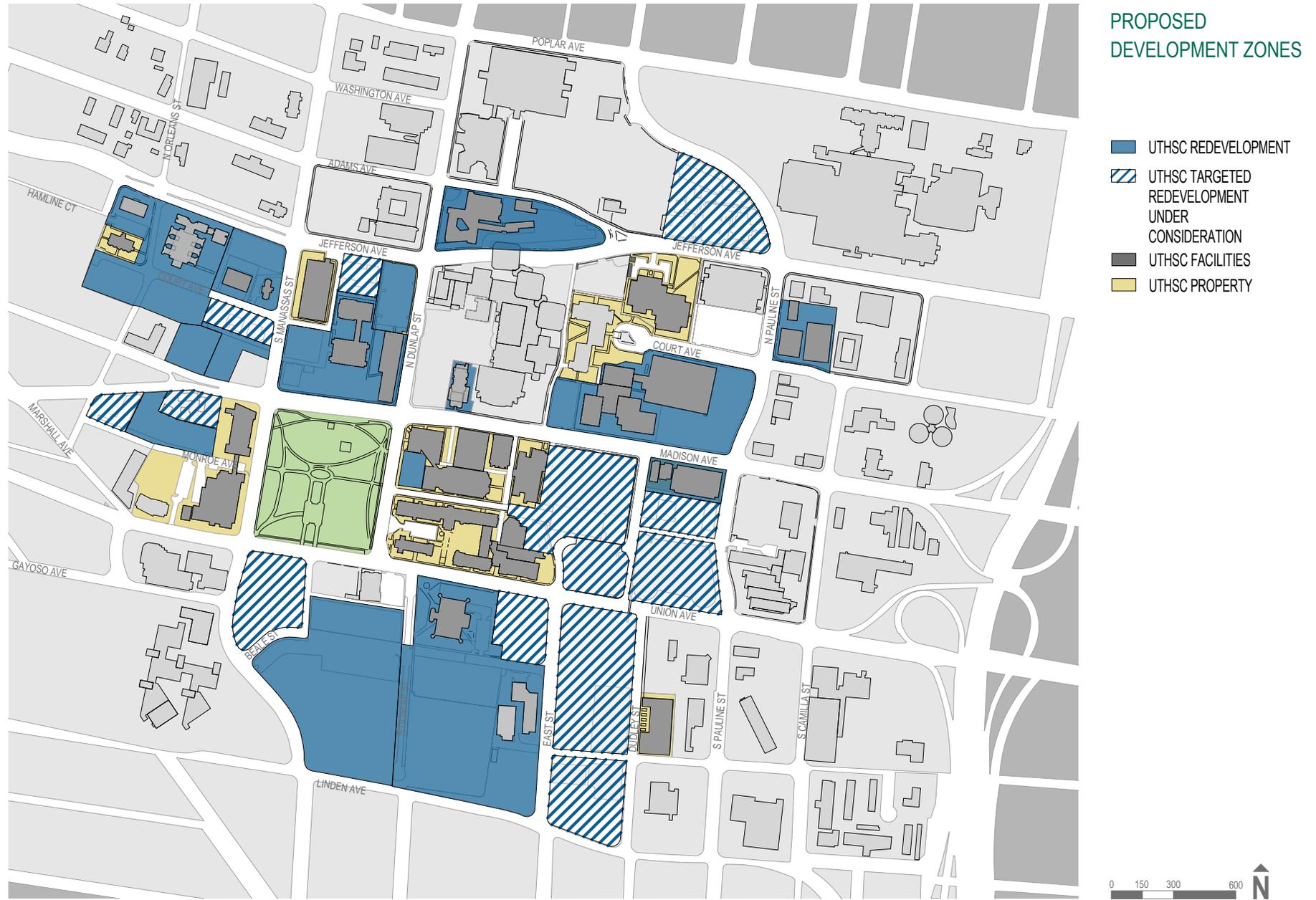


Figure 2.10: Future Development Zones

## CAMPUS WIDE SUSTAINABILITY

UTHSC Is committed to improving overall campus sustainability, particularly regarding reduction of energy consumption. The campus comprises more than 3 million square feet of space in the Memphis Medical District, using a substantial amount of energy on a daily basis to power labs, classrooms, offices and clinical care facilities.

UTHSC recently hired an energy manager to analyze current energy use and establish a campus energy management plan. This new plan will align with UTHSC's energy management goals and identify ways to create efficiencies within the current utility budget (which exceeds \$10 million annually).

In addition to energy standards, new construction projects should consider sustainable design principles such as:

- Conscientious site design - reducing stormwater site runoff, complimenting adjacent properties, promoting a comfortable and walkable environment and daylight harvesting are just a few of the ways the arrangement of the site can influence long-term impacts buildings have on the larger environment
- Indoor environmental quality - conserving energy and water resources through the use of new products and systems technologies and improving access to natural daylight and fresh air
- Resource-efficient materials - using biodegradable and environmentally friendly materials
- Minimizing construction waste - sorting and recycling discarded materials



## UTILITY INFRASTRUCTURE

Utilities on campus are vitally important components to successful operations and growth. UTHSC is positioned within the existing urban infrastructure of the Memphis Medical District; these public utility resources have ample capacity which UTHSC can tap into fairly easily. UTHSC currently runs off two central energy plants which provide both steam and chilled water for heating and cooling. The capacity of these energy plants will be challenged as the campus grows. The campus plan provides recommendations for additional plant locations on both the north and south sides of campus to meet these growing needs. The goal is to create two campus zones served by new or existing plants, that eliminate the need to run new piping through existing parts of campus to reach a single central plant. Planned replacement or update of inadequate distribution systems will be accomplished in phases as needed.



## OPERATIONS

Facilities and Maintenance Operations show the largest space deficit after academic spaces. This was reinforced through tours and conversations with the facilities staff. The master plan recommends renovation and expansion of the Purchasing and Physical Plant Building to house campus police and expand facilities offices. Acquisition of the property just east of the Physical Plant Building, across East Street, is recommended to house additional office space, new shops and storage space. The existing Physical Plants Shops is slated for demolition in Phase I. Renovation of the Hyde Facilities Building is currently underway for use as additional unit storage space. This replaces surplus property processing space lost with the demolition of the Beale Building.



## GREEN SPACE

Recommendations to improve campus green space are based on review of current campus conditions and future needs; they address goals for wayfinding, campus pedestrian circulation, street improvements, recreational requirements, and open space.

The following objectives guide the concepts and strategies:

- Well defined campus edges
- Development of campus loop and gateways
- Consistent and coherent landscape plantings
- Tree preservation
- Enhanced student, staff and faculty gathering spaces
- Strong pedestrian connections for North-South and East-West pedestrian corridors and streetscapes
- Accessible pedestrian circulation
- Coordinated open space and building use
- Campus safety and visibility

The over-arching strategy is to link the campus across Health Sciences Park, through the center of the campus core, with an enhanced pedestrian corridor along the vacated Monroe Avenue right-of-way. The goal is to create a campus concourse that takes pedestrians off the busy streets of Madison and Union and provides a safe, well-lit walk through the center of campus. Enhancing Health Sciences

Park and the path across it to the Translational Sciences Research and Cancer Research Buildings is key in creating a campus green space that is friendly, usable and binds the two sides of campus together. Extension of this UTHSC campus corridor all the way to Pauline Street will provide a clear connection from the planned academic buildings on the east side of campus all the way to the research buildings on the west side of campus on Manassas Street. Improvement of street crossings at Union Avenue and Madison Avenue will connect parking and student support spaces to the campus core as well as provide safer passage for pedestrians across the busy roadways. Creation of new auto courtyards at building locations will create clear separation of automobiles and pedestrians as they come together on campus. These auto courtyards will provide locations for patient drop-off, transportation pick-ups, and a clear designation of entry for associated buildings.

Recreational needs are also considered in the development of open space in the master plan. Redevelopment of Doctors Field is incorporated with the new housing planned between Linden Avenue and Beale Street on either side of Dunlap Street. A new soccer field, running track, softball field and tennis courts are all planned for this area and are intended to provide a transition between core UTHSC facilities and the residential neighborhood.



Figure 2.11: Proposed Green Space on Campus

## CIRCULATION, TRANSPORTATION & PARKING

Vehicular and pedestrian circulation on, through and around campus is one of the major issues that impacts this urban campus. Madison and Union Avenues both run east-west from I-240 to downtown Memphis. These streets are wide, fast-paced, and are the “front doors” to campus. Recommendations in the master plan to improve pedestrian safety include reduced speeds on Union and Madison and improved street crossings from major parking structures located to the north and south of these streets to the heart of campus. Another recommendation is to provide safer and easier vehicular parking access for students, staff, faculty and patients off these major thoroughfares. Improving pedestrian crossings through the use of skyways (overhead pedestrian bridges) and tunnels is also a strategy the University can use immediately to connect across busy streets. Another key priority is improved pedestrian crossings on Manassas and Dunlap Streets at the Health Sciences Park to slow traffic and increase safety.

Mass transit through the Memphis Area Transit Authority (MATA) bus and trolley system provides numerous stops on campus and in the district. This provides alternative transport to and from campus from many locations throughout the Memphis metro area.

A new campus-based transit hub is planned at the southeast corner of campus, at Monroe Avenue and Pauline Street. This hub will provide convenient parking and allow staff, students, faculty and patients easy access to both MATA and Medical District buses. New bus routes will connect multiple campus points and partner locations where rotations, classes, clinics and appointments are being held.

Recommended improvements for parking in the plan are: a new parking garage on the north side of campus at the corner of Jefferson Avenue and Dunlap Street, a new parking garage on the old bus terminal site at the corner of Beale Street and Dunlap Street, and acquisition of the existing parking garage at Union Avenue and Pauline street for use as a transit hub. Also recommended are the addition of a new parking garage at the new Women’s and Infants’ Pavilion, improvement to the Baptist Hospital lot to remove the existing garage in disrepair and improvement to the scattered lots between Jefferson and Court Avenues. The recommendations are intended to increase the available parking spaces on campus.

Currently few bike routes or paths surround the UTHSC campus. The Transportation Improvement Program provides a prioritized list of multi-modal transportation projects within the Memphis Urban Area Metropolitan Planning Organization (MPO) area. Included in this program are bicycle routes and paths in the vicinity of campus. The recommendation of the master plan is to enhance these planned paths by making connections across campus that increase safety and encourage biking throughout the Memphis Medical District.





## CAMPUS LIFE/ HOUSING

The old dormitory style housing complexes, the Goodman Family Residence Hall and Randolph Hall, have recently been demolished as they no longer were serving the housing needs for students on campus. The removal of this housing stock has created a need for a newer, more modern model of student, staff and faculty housing on campus property. Through conversations with students, faculty and staff, the demand for apartment style housing within walking distance has been noted.

The master plan recommends the southernmost portion of the UTHSC campus (former location of Goodman Hall) be redeveloped in partnership with housing developers to provide and manage housing for students, staff and faculty. This model of university-owned land with developer-managed housing can provide a successful alternative to the institution which no longer wants to be in the housing business. Currently the site is planned for a three-story housing block fronting Manassas Street and Linden Avenue. This townhouse model can create a residential face towards the neighborhood to the south and frame UTHSC's planned recreational open space.

Improvements to campus life are recommended through the renovation and additions shown to the Student-Alumni Center. Improvements and upgrades to the recreational facilities should be considered as these amenities work to draw-new students to campus, as well as provide opportunities for existing students, faculty and staff to maintain health and wellness on a daily basis. Incorporation of food services, restaurants and meeting spaces should be considered in the new Student-Alumni Center Addition, as well as, in the proposed multi-use developments located at the edges of campus. Incorporation of these dining and student services spaces into the new academic facilities, along with the transit center, will also assure the “productive collisions” between students, faculty, and staff within different disciplines across campus.





Figure 2.13: UTHSC Campus Building Use

# Implementation Plan

## PHASE I

PROJECT	LABEL	DEMOLITION GSF	RENOVATION GSF	NEW GSF	FUNDING	\$/SF (see Notes)	BUDGET (see Notes)
Translational Sciences Research Building	A			135,117	State	\$362.00	\$49,000,000
Simulation Center	B			63,000	State	\$441.00	\$27,783,000
College of Medicine Building	C			248,000	State	\$389.00	\$96,472,000
College of Health Professions Building	D			120,000	State	\$415.00	\$49,800,000
Dunn Dental Building	E1		129,269		Other	\$393.00	\$50,802,717
Dunn Dental Building Expansion	E2			157,000	State	\$443.00	\$69,551,000
4th Floor Cancer Research Building Fit-Up	F1		21,479		Other	\$332.00	\$7,131,028
5th & 6th Floor College of Pharmacy Fit-Up	F2		46,000		State	\$332.00	\$15,272,000
Alexander Building	G		96,252		State		
Crowe/Mooney/Nash	H		253,555		State		
Coleman College of Medicine	I		158,000		State	\$391.00	\$61,778,000
GMP Facility A	J1		35,500		Other	\$341.00	\$12,105,500
GMP Facility B	J2		25,600		Other	\$343.00	\$8,780,800
Housing	K			205,000	Other	\$157.00	\$32,185,000
Parking & Transit Center	L		57,000		Other	\$330.00	\$18,810,000
Hyde Facilities Building	M		12,723		Other	\$236.00	\$3,002,628
Parking Deck A	P1			327,000	Other	\$89.00	\$29,103,000
Adams Pavilion		(214,662)			Other	\$28.00	\$6,010,536
<b>STATE SUBTOTAL</b>			<b>553,807</b>	<b>723,117</b>			<b>\$369,656,000</b>
<b>OTHER SUBTOTAL</b>		<b>(214,662)</b>	<b>281,571</b>	<b>532,000</b>			<b>\$167,931,209</b>

Figure 2.14:Phase I Implementation Framework Worksheet

# PHASE 1

Within 5 years

- ⋯ DEMOLITION
- NEW CONSTRUCTION
- RENOVATION
- STREETScape IMPROVEMENTS
- SURFACE PARKING
- OPEN SPACE/ SITE IMPROVEMENTS
- RECREATION FIELDS
- POTENTIAL UTILITY PLANT

- A Translational Sciences Research Building
- B Simulation Center
- C College of Medicine Building
- D College of Health Professions Building
- E1 Dunn Dental Building
- E2 Dunn Dental Expansion
- F1 Cancer Research Building
- F2 College of Pharmacy
- G Alexander Building
- H Crowe, Mooney & Nash
- I Coleman College of Medicine
- J1 GMP Facility A
- J2 GMP Facility B
- K Housing
- L Parking & Transit Center
- M Hyde Facilities Building
- P1 Parking Deck A

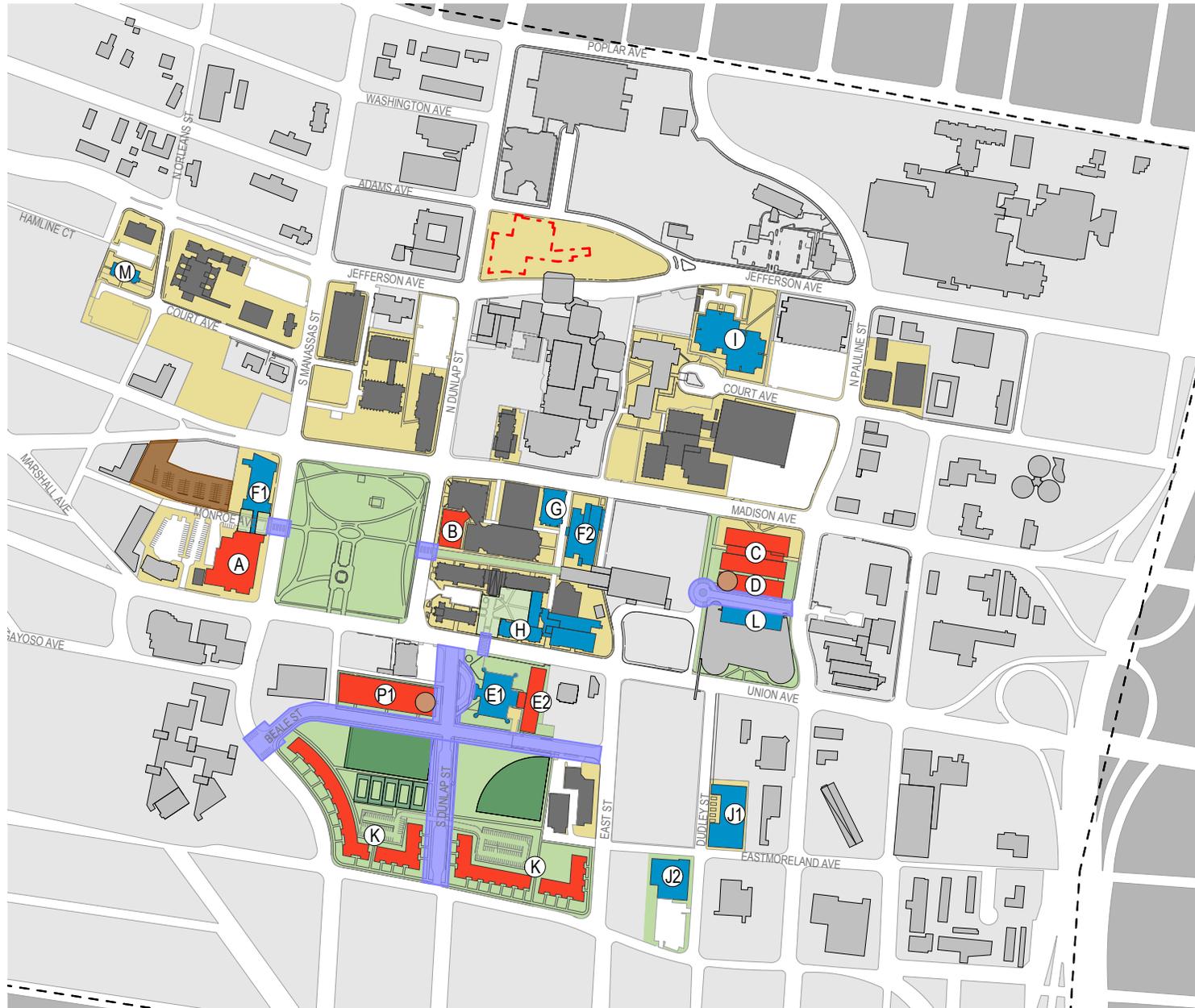


Figure 2.15: Phase I Implementation Framework Plan

## Implementation Plan PHASE II

PROJECT	LABEL	DEMOLITION GSF	RENOVATION GSF	NEW GSF	FUNDING	\$/SF (see Notes)	BUDGET (see Notes)
Research Building A	N			205,000	Other	\$437.00	\$89,585,000
Physical Plant	O			80,000	State	\$339.00	\$27,120,000
Parking Deck B	P-2			200,000	Other	\$89.00	\$17,800,000
Women's and Infants' Pavilion Parking	P-3			230,000	Other	\$89.00	\$20,470,000
Women's and Infants' Pavilion	Q			245,000	Other	\$543.00	\$133,035,000
Student-Alumni Center	R1		104,881		State	\$450.00	\$47,196,450
Student-Alumni Center Addition	R2			126,800	State	\$403.00	\$51,100,400
Primary Care Clinic	S1			80,000	Other	\$416.00	\$33,280,000
Security	T		33,906		State	\$352.00	\$11,934,912
Doctors Office Building/Pauline Annex		(83,731)			State	\$29.00	\$2,428,199
910 Madison Building		(119,245)			See Note 1	\$27.00	\$3,219,615
920 Madison Building		(119,872)			See Note 1	\$27.00	\$3,236,544
Madison Plaza		(121,167)			See Note 1	\$39.00	\$4,725,513
Boling Center for Developmental Disabilities Building		(159,942)			State	\$28.00	\$4,478,376
Physical Plant Shops Building		(13,579)			State	\$53.00	\$719,687
740 Court Ave		(8,375)			State	\$53.00	\$443,875
Phi Chi Fraternity House		(20,886)			State	\$53.00	\$1,106,958
Variety Building		(3,115)			State	\$53.00	\$165,095
<b>STATE SUBTOTAL</b>		<b>(265,627)</b>	<b>33,906</b>	<b>206,800</b>			<b>\$146,693,952</b>
<b>OTHER SUBTOTAL</b>		<b>(360,284)</b>	<b>104,831</b>	<b>960,000</b>			<b>\$305,351,672</b>

Figure 2.16:Phase II Implementation Framework Worksheet

## PHASE 2 5-10 Years

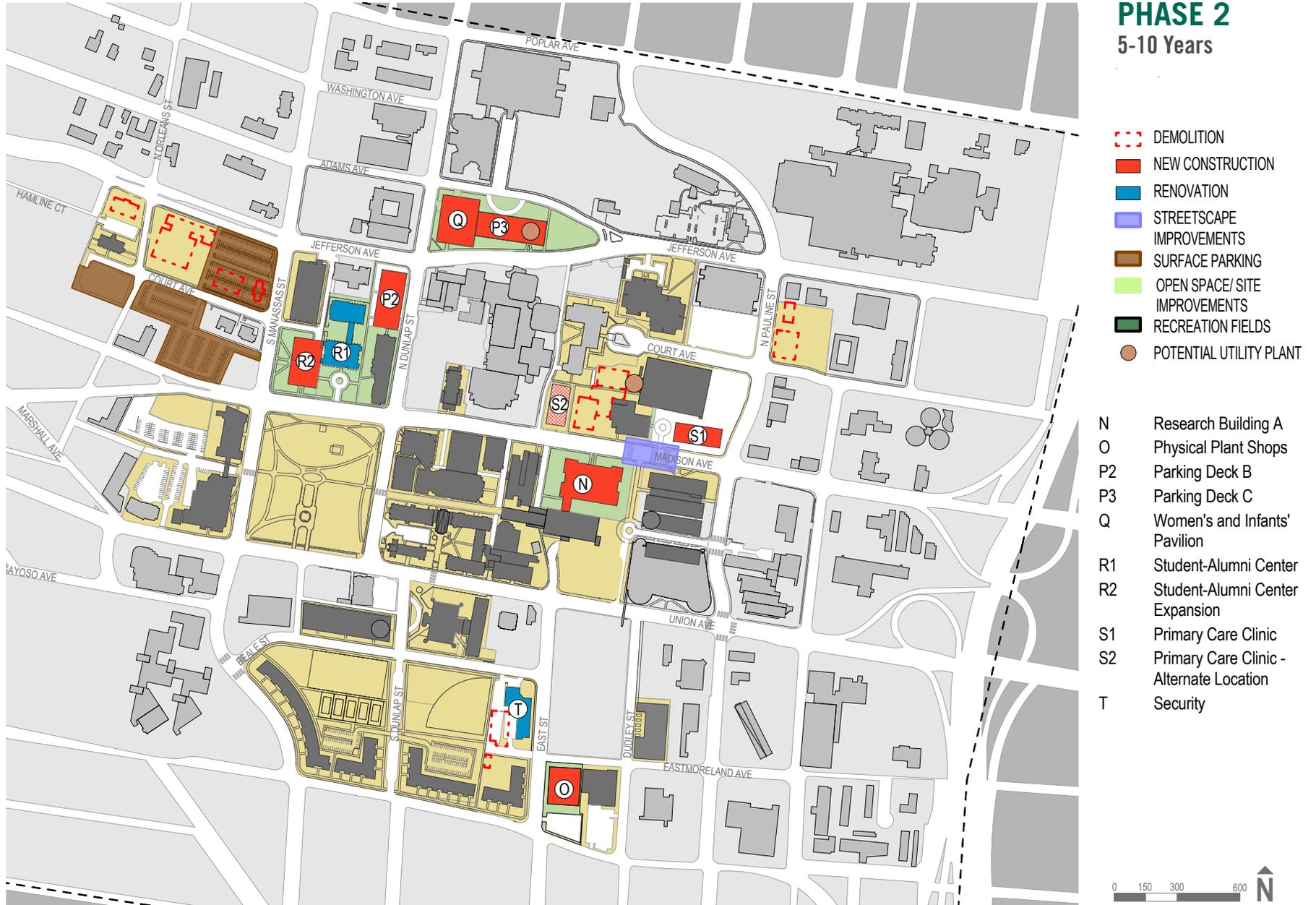


Figure 2.17:Phase II Implementation Framework Plan

## Implementation Plan PHASE III

PROJECT	LABEL	DEMOLITION GSF	RENOVATION GSF	NEW GSF	FUNDING	\$/SF (see Notes)	BUDGET (see Notes)
Research Building B	U			120,000	Other	\$451.00	\$54,120,000
Multi-Purpose Development	V			20,000	Other	\$408.00	\$8,160,000
Hyman Administration Building	W		50,885		State	\$332.00	\$16,893,820
Johnson Building	X		73,875		State	\$397.00	\$29,328,375
Molecular Sciences Building	Y		99,813		State	\$351.00	\$35,034,363
Van Vleet Cancer Center	Z		81,150		Other	\$157.00	\$12,740,550
<b>STATE SUBTOTAL</b>		-	<b>224,573</b>	-			<b>\$81,256,558</b>
<b>OTHER SUBTOTAL</b>		-	<b>81,150</b>	<b>140,000</b>			<b>\$75,020,550</b>

Notes -

- 1) Projects that have already been funded and approved by the SBC/Legislature would not typically be included on this list.
- 2) If the funding source is the State, the campus must fund 10% of the total cost. In some cases, the campus may fund a larger portion through reserves, gifts, etc. The STATE Subtotal and OTHER subtotal \$ at the bottom of each sheet should reflect this.
- 3) Historically, demolitions have not been funded by the State unless it was part of a new construction project. However, in some recent limited cases, the State has funded stand alone demolitions.
- 4) For utility related projects to be funded by the State, you must demonstrate the vast majority of the service being provided

Figure 2.18:Phase III Implementation Framework Worksheet

### PHASE 3 Beyond 10 Years

- ⋯ DEMOLITION
- NEW CONSTRUCTION
- RENOVATION
- STREETSCAPE IMPROVEMENTS
- SURFACE PARKING
- OPEN SPACE/ SITE IMPROVEMENTS
- RECREATION FIELDS
- POTENTIAL UTILITY PLANT

- U Research Building B
- V Multi-Purpose Development
- W Hyman Administration Building
- X Johnson Building
- Y Molecular Sciences Building
- Z Van Vleet Cancer Center

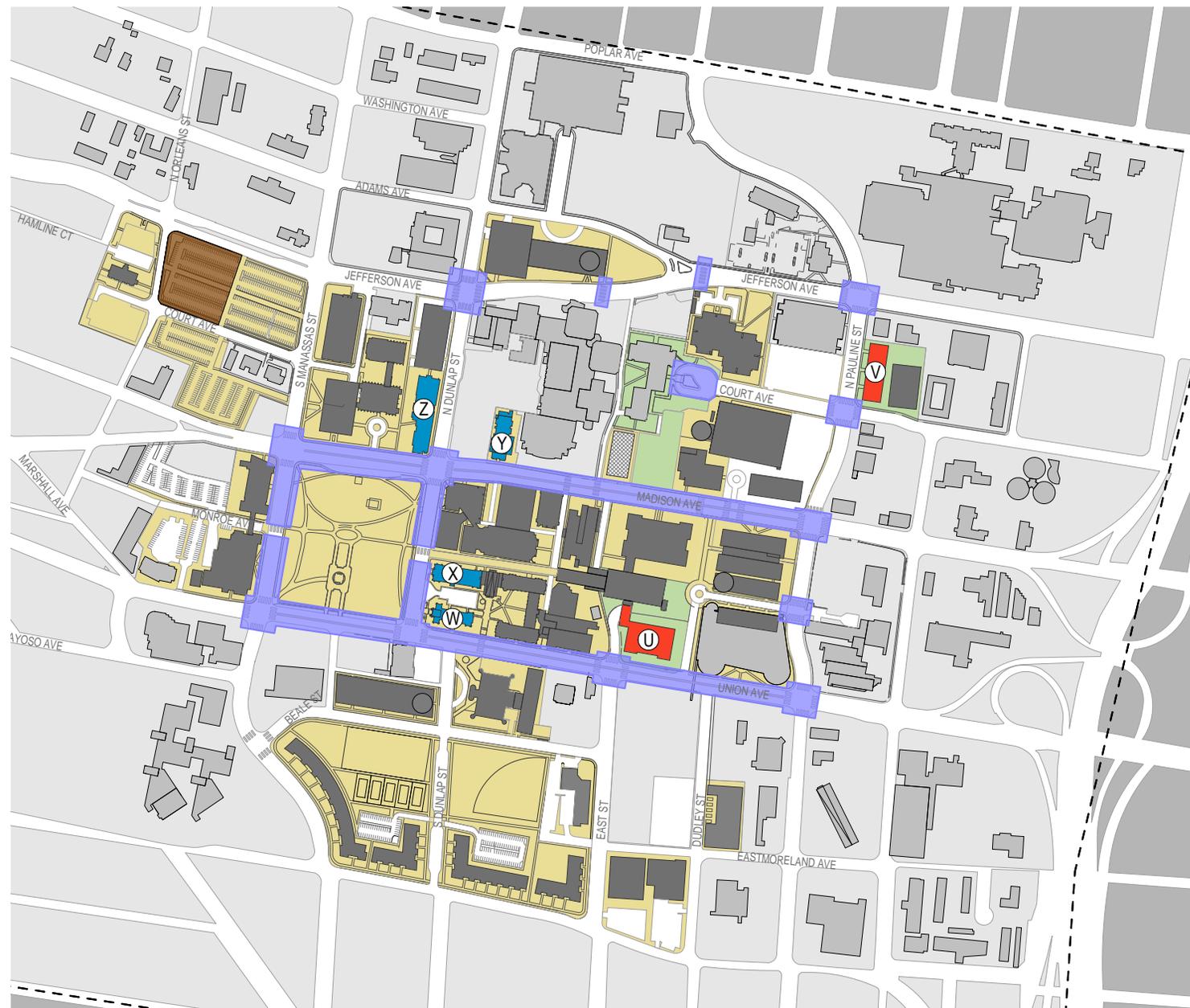


Figure 2.19: Phase III Implementation Framework Plan

## Existing Campus Development



Figure 2.20: Existing Campus Aerial Photograph

## Proposed Master Plan Development



- A** TRANSLATIONAL SCIENCES RESEARCH BUILDING
- B** SIMULATION CENTER
- C** COLLEGE OF MEDICINE
- D** COLLEGE OF HEALTH PROFESSIONS
- E2** DUNN DENTAL BUILDING EXPANSION
- K** HOUSING
- N** RESEARCH BUILDING A
- O** PHYSICAL PLANT SHOPS
- P1** PARKING DECK A
- P2** PARKING DECK B
- P3** PARKING DECK C
- Q** WOMEN'S AND INFANTS' PAVILION
- R2** STUDENT-ALUMNI CENTER EXPANSION
- S1** PRIMARY CARE CLINIC
- U** RESEARCH BUILDING B
- V** MULTI-PURPOSE DEVELOPMENT

Figure 2.21: UTHSC Campus Master Plan Aerial Rendering



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: All

ITEM: **2015 Comprehensive List of Academic Programs**

RECOMMENDATION: Approval

PRESENTED BY: Katherine N. High, Vice President  
Academic Affairs and Student Success

33

The Comprehensive List of Academic Programs is a complete inventory of all academic programs offered by The University of Tennessee System. The listing is organized by campus, college, department, major, sub-major (“concentration,” “option,” track,” etc.), and degree. The Comprehensive List is updated each June and provided to THEC.

Each annual update is tied to internal and external reports, and so includes routine (non-substantive) name-changes, ongoing curricular adjustments, and other actions that do not require separate consideration and approval by the Board of Trustees.

By approving the Comprehensive List, the Board of Trustees makes its contents official. Upon approval by the Board, the Comprehensive List will be forwarded to THEC for its records.

Following the Comprehensive List is a compilation of academic programs terminations, inactivations, approvals, and reactivations from June 1980 to June 2015.

**MOTION:**

**That the 2015 Comprehensive List of Academic Programs be approved and forwarded to the Tennessee Higher Education Commission.**

**UTC Comprehensive List of Academic Programs  
June 2015**

College of Arts & Sciences			
Department	Major	Submajor	Degree
Art	Art		BA
Art	Art	Graphic Design	BFA
Art	Art	Painting & Drawing	BFA
Art	Art	Photography and Media Art	BFA
Art	Art	Sculpture	BFA
Art	Art Education		BS
Biological & Environmental Science	Biology	General Biology	BS
Biological & Environmental Science	Biology	Preprofessional	BS
Biological & Environmental Science	Biology	STEM	BS
Biological & Environmental Science	Environmental Science		MS
Biological & Environmental Science	Environmental Science	Biology	BS
Biological & Environmental Science	Environmental Science	Chemistry	BS
Biological & Environmental Science	Environmental Science	Engineering Science	BS
Biological & Environmental Science	Environmental Science	Geographic and Cartographic Sciences	BS
Biological & Environmental Science	Environmental Science	Geology	BS
Biological & Environmental Science	Environmental Science	Mathematics	BS
Biological & Environmental Science	Environmental Science	Sociology & Anthropology	BS
Chemistry	Chemistry	Chemistry	BS
Chemistry	Chemistry	Biochemistry	BS
Chemistry	Chemistry	STEM	BS
Communication	Communication		BA
Criminal Justice	Criminal Justice		BS
Criminal Justice	Criminal Justice		MSCJ
Criminal Justice	Legal Assistant Studies	Legal Assistant Studies	BS
Criminal Justice	Legal Assistant Studies	Social Sciences	BS
Economics	Economics		BA
Economics	Economics		BS
English	English	Creative Writing	MA
English	English	Literary Study	MA
English	English	Rhetoric and Writing	MA
English	English & American Language & Literature	English & American Language & Literature	BA
English	English & American Language & Literature	Rhetoric and Professional Writing	BA
English	English & American Language & Literature	Creative Writing	BA
Modern & Classical Languages & Literature	Modern & Classical Languages & Literature	French	BA
Modern & Classical Languages & Literature	Modern & Classical Languages & Literature	Greek & Latin	BA
Modern & Classical Languages & Literature	Modern & Classical Languages & Literature	Latin	BA
Modern & Classical Languages & Literature	Modern & Classical Languages & Literature	Spanish	BA
History	History		BA
Integrated Studies	Integrated Studies	Integrated Studies	BIS
Integrated Studies	Integrated Studies	Professional Studies	BIS

**UTC Comprehensive List of Academic Programs**  
**June 2015**

College of Arts & Sciences			
Department	Major	Submajor	Degree
Interdisciplinary Studies	Humanities	International Studies	BA
Interdisciplinary Studies	Humanities	Women's Studies	BA
Interdisciplinary Studies	Humanities	Liberal Arts	BA
Mathematics	Mathematics	Actuarial Science	BS
Mathematics	Mathematics	General Mathematics	BS
Mathematics	Mathematics	STEM	BS
Mathematics	Mathematics	Applied Mathematics	MS
Mathematics	Mathematics	Applied Statistics	MS
Mathematics	Mathematics	Algebra and Discrete Mathematics	MS
Mathematics	Mathematics	Education	MS
Music	Music		BA
Music	Music	Instrumental Performance	BM
Music	Music	Instrumental Music Education	BM
Music	Music	Music Education	MM
Music	Music	Performance	MM
Music	Music	Theory & Composition	BM
Music	Music	Vocal Performance	BM
Music	Music Education	Vocal Music Education	BM
Philosophy & Religion	Philosophy & Religion	Combined Concentration	BA
Philosophy & Religion	Philosophy & Religion	Philosophy	BA
Philosophy & Religion	Philosophy & Religion	Religious Studies	BA
Physics, Geology & Astronomy	Geology	Geology	BS
Physics, Geology & Astronomy	Geology	Environmental Geology	BS
Physics, Geology & Astronomy	Geology	STEM	BS
Physics, Geology & Astronomy	Physics	Physics	BS
Physics, Geology & Astronomy	Physics	Biophysics	BS
Physics, Geology & Astronomy	Physics	STEM	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	American Studies	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	International & Comparative Studies	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	Legal Studies	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	Public Admin & Non-profit Management	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	Public Policy	BS
Political Sci, Public Admin & Nonprofit Mgmt	Public Administration	Public Administration	MPA
Political Sci, Public Admin & Nonprofit Mgmt	Public Administration	Local Government Management	MPA
Political Sci, Public Admin & Nonprofit Mgmt	Public Administration	Non-profit Management	MPA
Political Sci, Public Admin & Nonprofit Mgmt	Public Admin & Non-profit Management		Gr Cert
Psychology	Psychology		BS
Psychology	Psychology	Industrial/Organizational Psychology	MS
Psychology	Psychology	Research	MS
Sociology, Anthropology & Geography	Sociology & Anthropology	Anthropology	BA
Sociology, Anthropology & Geography	Sociology & Anthropology	Anthropology	BS
Sociology, Anthropology & Geography	Sociology & Anthropology	Sociology	BS
Theatre & Speech	Theatre		BA

**UTC Comprehensive List of Academic Programs  
June 2015**

<b>College of Business</b>			
Department	Major	Submajor	Degree
Accounting	Accountancy		MACC
Accounting	Business Administration	Accounting	BS
Business Administration	Business Administration	Business Administration	MBA
Business Administration	Business Administration	Executive	MBA
Business Administration	Business Administration	Professional MBA	MBA
Business Administration	Business Analytics		Gr Cert
Finance	Business Administration	Finance	BS
Management	Business Administration	General Management	BS
Management	Business Administration	Human Resource Management	BS
Marketing & Entrepreneurship	Business Administration	Entrepreneurship	BS
Marketing & Entrepreneurship	Business Administration	Marketing	BS

<b>College of Engineering &amp; Computer Science</b>			
Department	Major	Submajor	Degree
Computer Science & Engineering	Computer Science	Computer Science	MS
Computer Science & Engineering	BioMedical Informatics		Gr Cert
Computer Science & Engineering	Advanced Information Systems Security		UG Cert
Computer Science & Engineering	Information Systems Security		UG Cert
Computer Science & Engineering	Computer Science	Information Security and Assurance	BS
Computer Science & Engineering	Computer Science	Information Security and Assurance	MS
Computer Science & Engineering	Computer Science	Scientific Applications	BS
Computer Science & Engineering	Computer Science	Software Systems	BS
Computer Science & Engineering	Computer Science	STEM	BS
Engineering	Computational Engineering		PhD
Engineering	Chemical Engineering		BSChE
Engineering	Civil Engineering		BSCE
Engineering	Engineering	Computer Engineering	BSE
Engineering	Engineering	Chemical	MS
Engineering	Engineering	Civil	MS
Engineering	Engineering	Computational	MS
Engineering	Engineering	Electrical	BSEE
Engineering	Engineering	Electrical	MS
Engineering	Engineering	Environmental	BSE
Engineering	Engineering	Industrial	BSE
Engineering	Engineering	Industrial	MS
Engineering	Engineering	Mechanical	BSME
Engineering	Engineering	Mechanical	MS
Engineering	Engineering	Nuclear	BSE
Engineering	Engineering	STEM	BSE
Engineering	Electrical Engineering Smart Grid Cert.		Gr Cert
Engineering	Electrical Engineering Smart Power Distrib.		Gr Cert
Engineering	Nuclear Engineering		Gr Cert
Engineering	Power System Protection		Gr Cert
Engineering	Sustainable Electrical Energy		Gr Cert

UTC Comprehensive List of Academic Programs  
June 2015

College of Engineering & Computer Science			
Department	Major	Submajor	Degree
Engineering	Engineering Management	Engineering Management	MS
Engineering	Engineering Management	Construction Management	MS
Engineering	Engineering Management	Power Systems Management	MS
Engineering	Engineering Management Construction Mgt.		Gr Cert
Engineering	Fundamentals of Engineering Mngt		Gr Cert
Engineering	Logistics & Supply Chain Management		Gr Cert
Engineering	Engineering Mgt. Project & Technology Mgt		Gr Cert
Engineering	Quality Management		Gr Cert
Engineering	Engineering Mngt & Leadership Ethics		Gr Cert
Engineering	Eng Mngt Power System Mngt		Gr Cert
Engineering	Engineering Technology Management	Engineering Management	BS
Engineering	Engineering Technology Management	Construction Management	BS

College of Health, Education & Professional Studies			
Department	Major	Submajor	Degree
Health and Human Performance	Health & Human Performance	Exercise Science: Pre-Professional	BS
Health and Human Performance	Health & Human Performance	Nutrition: Food & Nutrition	BS
Health and Human Performance	Health & Human Performance	Nutrition: Dietetics	BS
Health and Human Performance	Health & Human Performance	Health & Physical Education K-12	BS
Health and Human Performance	Health & Human Performance	Sport and Leisure Service Administration	BS
Health and Human Performance	Health & Human Performance	Exercise Science: Nutrition	BS
Health and Human Performance	Health & Human Performance	Dietetics	BS
Health and Human Performance	Health & Human Performance	Physical Activity and Health	MS
Health and Human Performance	Athletic Training		MS
Education, School of	Advanced Educational Practice	Educational Leadership	EdS
Education, School of	Advanced Educational Practice	Instructional Leadership	EdS
Education, School of	Advanced Educational Practice	Principal Licensure	EdS
Education, School of	Advanced Educational Practice	School Psychology	EdS
Education, School of	Counseling	Clinical Mental Health Counseling	MEd
Education, School of	Counseling	School Counseling	MEd
Education, School of	Counseling	Clinical Mental Health Counseling	Gr Cert
Education, School of	Counseling	School Counseling	Gr Cert
Education, School of	Elementary Education	Urban Specialist	Gr Cert
Education, School of	Elementary Education	Early Childhood Education	MEd
Education, School of	Elementary Education	Elementary Education	MEd
Education, School of	Elementary Education	Licensure	MEd
Education, School of	Learning and Leadership		EdD
Education, School of	School Leadership		Gr Cert
Education, School of	School Leadership	Principal/Supervisor Licensure	MEd
Education, School of	School Leadership	Reading Specialist	MEd
Education, School of	School Leadership	Teacher Leadership	MEd

**UTC Comprehensive List of Academic Programs  
June 2015**

<b>College of Health, Education &amp; Professional Studies</b>			
Department	Major	Submajor	Degree
Education, School of	Secondary Education	The Arts	MEd
Education, School of	Secondary Education	Visual Art	MEd
Education, School of	Secondary Education	Health Education	MEd
Education, School of	Secondary Education	Licensure	MEd
Education, School of	Secondary Education	English	MEd
Education, School of	Secondary Education	Economics	MEd
Education, School of	Secondary Education	Geography	MEd
Education, School of	Secondary Education	History	MEd
Education, School of	Secondary Education	Political Science	MEd
Education, School of	Special Education	Early Childhood	MEd
Education, School of	Special Education	Mild Disabilities	MEd
Education, School of	English as a Second Language		Gr Cert
Education, School of	Early Childhood	Child and Family Studies	BS
Education, School of	Early Childhood	Early Childhood Education	BS
Education, School of	Exceptional Learning: K-12		BS
Education, School of	Middle Grades Education	English	BS
Education, School of	Middle Grades Education	Mathematics	BS
Education, School of	Middle Grades Education	Natural Sciences	BS
Education, School of	Middle Grades Education	Social Sciences	BS
Education, School of	Secondary Education	English	BS
Education, School of	Secondary Education	Economics	BS
Education, School of	Secondary Education	Geography	BS
Education, School of	Secondary Education	History	BS
Education, School of	Secondary Education	Political Science	BS
Interior Design	Interior Architecture		BS
Interior Design	Interior Design		MID
Interior Design	Interior Design		MS
Nursing, School of	Nursing		BSN
Nursing, School of	Nursing	Administration	MSN
Nursing, School of	Nursing	Anesthesia	MSN
Nursing, School of	Nursing	Education	MSN
Nursing, School of	Nursing	Nursing Administration	MSN
Nursing, School of	Nursing	Family Nurse Practitioner	MSN
Nursing, School of	Nursing	Nursing	DNP
Nursing, School of	Nursing	Nursing Administrative Systems	DNP
Nursing, School of	Family Nurse Practitioner		Gr Cert
Nursing, School of	Health Care Informatics		Gr Cert
Nursing, School of	Nursing Anesthesia		Gr Cert
Nursing, School of	Nursing Education		Gr Cert
Occupational Therapy	Occupational Therapy		OTD
Physical Therapy	Physical Therapy	Physical Therapy	DPT
Physical Therapy	Physical Therapy	Post Professional	DPT
Physical Therapy	Rehabilitation Science	General	BS
Social Work	Social Work		BSW
Social Work	Social Work		MSW

### UTHSC Comprehensive List of Academic Programs June 2015

<b>College of Allied Health Sciences</b>			
Department	Major	Submajor	Degree
Audiology & Speech Pathology	Audiology (Joint w/UTK)		BSAP
Audiology & Speech Pathology	Audiology	1) Traditional, 2) Post-Professional	AuD
Audiology & Speech Pathology	Audiology		MS
Audiology & Speech Pathology	Speech-Language Pathology	Aural Re/habilitation	MSSLP
Clinical Lab Sciences	Clinical Laboratory Sciences	Advanced Practice	MSCLS
Clinical Lab Sciences	Cytopathology Practice		MCP
Clinical Lab Sciences	Medical Laboratory Science	1) Professional-entry, 2) MLT to MLS	BSMLS
Dental Hygiene	Dental Hygiene		BSDH
Dental Hygiene	Dental Hygiene		MDH
Health Informatics & Information Mgmt	Health Informatics & Information Mgmt	1) Entry Level 2) Post-Graduate	MHIIM
Health Informatics & Information Mgmt	Health Informatics & Information Mgmt		Gr Cert
Occupational Therapy	Occupational Therapy		MOT
Physical Therapy	Physical Therapy	1) Entry Level 2) Transitional	DPT
Physical Therapy	Physical Therapy	1) Musculoskeletal or 2) Neurological	MSPT
Physical Therapy	Physical Therapy Science		ScDPT
Physician Assistant Studies	Medical Science - Physician Assistant		MMS-PA
<b>College of Dentistry</b>			
Department	Major	Submajor	Degree
Dentistry	Dentistry	Interdisciplinary	DDS
<b>College of Graduate Health Science</b>			
Department	Major	Submajor	Degree
Speech & Hearing Science	Speech & Hearing Science	Audiology	PhD
Speech & Hearing Science	Speech & Hearing Science	Hearing Science	PhD
Speech & Hearing Science	Speech & Hearing Science	Speech and Language Pathology	PhD
Speech & Hearing Science	Speech & Hearing Science	Speech-Language Science	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Interdisciplinary	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biomechanics	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biomaterials and Regenerative Tech	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biosensors and Electrophysiology	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Bioimaging	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Interdisciplinary	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biomechanics	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biomaterials and Regenerative Tech	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biosensors and Electrophysiology	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Bioimaging	PhD

### UTHSC Comprehensive List of Academic Programs June 2015

<b>College of Graduate Health Science</b>			
Department	Major	Submajor	Degree
Dentistry	Dental Science	Orthodontics	MDS
Dentistry	Dental Science	Pediatric Dentistry	MDS
Dentistry	Dental Science	Periodontology	MDS
Dentistry	Dental Science	Prosthodontics	MDS
Dentistry	Dental Science	Endodontics	MDS
Interdepartmental	Biomedical Sciences	Cancer and Development Biology	MS
Interdepartmental	Biomedical Sciences	Cell Biology and Physiology	MS
Interdepartmental	Biomedical Sciences	Laboratory Research and Management	MS
Interdepartmental	Biomedical Sciences	Microbiology, Immunology and Biochemistry	MS
Interdepartmental	Biomedical Sciences	Molecular and Systems Pharmacology	MS
Interdepartmental	Biomedical Sciences	Neuroscience	MS
Interdepartmental	Biomedical Sciences	Cancer & Development Biology	PhD
Interdepartmental	Biomedical Sciences	Cell Biology & Physiology	PhD
Interdepartmental	Biomedical Sciences	Microbiology, Immunology and Biochemistry	PhD
Interdepartmental	Biomedical Sciences	Molecular and Systems Pharmacology	PhD
Interdepartmental	Biomedical Sciences	Neuroscience	PhD
Interdepartmental	Health Outcomes & Policy Research	Residency/Masters	MS
Interdepartmental	Health Outcomes & Policy Research	Health Systems Pharmacy Management	MS
Interdepartmental	Health Outcomes & Policy Research	Health Policy/Health Services Research	MS
Interdepartmental	Health Outcomes & Policy Research	Health Policy/Health Services Research	PhD
Interdepartmental	Health Outcomes & Policy Research	Pharmacoeconomics	PhD
Nursing Sciences	Nursing Sciences		PhD
Pharmaceutical Sciences	Pharmaceutical Sciences	Medicinal Chemistry	MS
Pharmaceutical Sciences	Pharmaceutical Sciences	Pharmaceutics	MS
Pharmaceutical Sciences	Pharmaceutical Sciences	Medicinal Chemistry	PhD
Pharmaceutical Sciences	Pharmaceutical Sciences	Pharmaceutics	PhD
Preventive Medicine	Epidemiology		MS
Pharmacology	Pharmacology		MS
		Clinical Research	Gr Cert
<b>College of Medicine</b>			
Department	Major	Submajor	Degree
Medicine/Ophthalmology		Orthoptist Fellowship	Gr Cert
Medicine	Medicine		MD

**UTHSC Comprehensive List of Academic Programs  
June 2015**

<b>College of Nursing</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Nursing	Nursing	1) Accelerated BSN, 2) RN-to-BSN	BSN
Nursing	Nursing	Adult/Gerontology Acute Nurse Practitioner	DNP
Nursing	Nursing	Family Nurse Practitioner	DNP
Nursing	Nursing	Neonatal Nurse Practitioner	DNP
Nursing	Nursing	Nurse Anesthesia	DNP
Nursing	Nursing	Pediatric Nurse Practitioner	DNP
Nursing	Nursing	Psychiatric Mental Health Nursing	DNP
Nursing	Nursing	Clinical Nurse Leader	MSN
<b>College of Pharmacy</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Pharmacy		Nuclear Pharmacy	Gr Cert
Pharmacy	Pharmacy		PHARM.D

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Agricultural Sciences & Natural Resources			
Department	Major	Submajor	Degree
Agricultural and Resource Economics	Food & Agricultural Business	Ag Equipment Systems Management	BSARE
Agricultural and Resource Economics	Natural Resource & Environmental Econ.		BSARE
Agricultural and Resource Economics	Agricultural and Resource Economics		MS
Agricultural and Resource Economics	Agricultural and Resource Economics	Agribusiness	MS-MBA
Agricultural and Resource Economics	Agricultural and Resource Economics	Agricultural Economics	MS
Agricultural and Resource Economics	Agricultural and Resource Economics	Natural Resource Economics	MS
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Communications	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Education	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Extension Education	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Leadership	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Science	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Communications	MS
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Education	MS
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Leadership	MS
Agriculture & Natural Resources (Int. Dept.)		International Agriculture and Natural Resources	
Animal Science	Animal Science		PhD
Animal Science	Animal Science		MS
Animal Science	Animal Science	Pre-Veterinary Medicine 3+1	BSAN
Animal Science	Animal Science	Animal Industries	BSAN
Animal Science	Animal Science	Bioscience	BSAN
Animal Science	Animal Science	Pre-Veterinary Medicine	BSAN
Animal Science	Animal Science	5 Year BS/MS program	BSAN/MS
Biosystems Engineering & Soil Science	Biosystems Engineering		BSBSE
Biosystems Engineering & Soil Science	Biosystems Engineering	Honors Biosystems Engineering	BSBSE
Biosystems Engineering & Soil Science	Biosystems Engineering	Honors Pre-Professional	BSBSE
Biosystems Engineering & Soil Science	Biosystems Engineering		MS
Biosystems Engineering & Soil Science	Biosystems Engineering		PhD
Biosystems Engineering & Soil Science	Biosystems Engineering	Energy Science & Engineering	PhD
Biosystems Engineering & Soil Science	Biosystems Engineering	Pre-Professional	BSBSE
Biosystems Engineering & Soil Science	Biosystems Engineering Technology		MS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Agricultural Systems Technology	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Conservation Agriculture & Envir. Sustainability	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Construction Science	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Environmental Science	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Off-Road Vehicle Technology	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Soil Science	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences		MS
Entomology & Plant Pathology	Entomology & Plant Pathology	Entomology	MS
Entomology & Plant Pathology	Entomology & Plant Pathology	Plant Pathology	MS
Entomology & Plant Pathology	Entomology & Plant Pathology	Bioinformatics and Genomics	MS
Entomology & Plant Pathology	Entomology, Plant Pathology & Nematology	Biodiversity and Ecosystem Resilience	PhD
Entomology & Plant Pathology	Entomology, Plant Pathology & Nematology	Bioinformatics, Genomics, & Molecular Interactions	PhD
Entomology & Plant Pathology	Entomology, Plant Pathology & Nematology	Organismal Biology & Ecology	PhD
Entomology & Plant Pathology	Entomology, Plant Pathology & Nematology	Sustainable Disease & Integrated Pest Management	PhD
Food Science & Technology	Food Science & Technology		MS
Food Science & Technology	Food Science & Technology	Food Chemistry	PhD
Food Science & Technology	Food Science & Technology	Food Microbiology	PhD
Food Science & Technology	Food Science & Technology	Food Processing	PhD
Food Science & Technology	Food Science & Technology	Pre-Pharmacy	BSFS
Food Science & Technology	Food Science & Technology	Pre-Pharmacy 3+1	BSFS
Food Science & Technology	Food Science & Technology	Pre-Professional	BSFS
Food Science & Technology	Food Science & Technology	Pre-Professional 3+1	BSFS
Food Science & Technology	Food Science & Technology	Science	BSFS
Food Science & Technology	Food Science & Technology	Technology	BSFS
Food Science & Technology	Food Science & Technology	Five-year BS/MS program	BSFS/MS
Forestry, Wildlife, Fisheries	Forestry		MS
Forestry, Wildlife, Fisheries	Forestry	Bio-Based Products & Wood Science & Technology	MS
Forestry, Wildlife, Fisheries	Forestry	Forest Resources Management	BSF
Forestry, Wildlife, Fisheries	Forestry	Restoration & Conservation Science	BSF
Forestry, Wildlife, Fisheries	Forestry	Wildland Recreation	BSF

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Agricultural Sciences & Natural Resources			
Department	Major	Submajor	Degree
Forestry, Wildlife, Fisheries	Forestry	Urban Forestry	BSF
Forestry, Wildlife, Fisheries	Natural Resources	Bio-Based Products & Wood Science & Technology	PhD
Forestry, Wildlife, Fisheries	Natural Resources	Natural Resource Economics	PhD
Forestry, Wildlife, Fisheries	Natural Resources	Wildlife Health	PhD
Forestry, Wildlife, Fisheries	Wildlife & Fisheries Science	Wildlife & Fisheries Management	BSWFS
Forestry, Wildlife, Fisheries	Wildlife & Fisheries Science	Wildlife Health	BSWFS
Forestry, Wildlife, Fisheries	Wildlife & Fisheries Science		MS
Forestry, Wildlife, Fisheries	Wildlife & Fisheries Science	Wildlife Health	MS
Interdepartmental	Plants, Soils, and Insects	Bioactive Natural Products	PhD
Interdepartmental	Plants, Soils, and Insects	Crop Sciences	PhD
Interdepartmental	Plants, Soils, and Insects	Entomology	PhD
Interdepartmental	Plants, Soils, and Insects	Environmental and Soil Sciences	PhD
Interdepartmental	Plants, Soils, and Insects	Horticulture	PhD
Interdepartmental	Plants, Soils, and Insects	Integrated Pest Management	PhD
Interdepartmental	Plants, Soils, and Insects	Plant Breeding	PhD
Interdepartmental	Plants, Soils, and Insects	Plant Pathology	PhD
Interdepartmental	Plants, Soils, and Insects	Weed Science	PhD
Interdepartmental	Plants, Soils, and Insects	Plant Molecular Genetics	PhD
Plant Sciences	Plant Sciences		MS
Plant Sciences	Plant Sciences	Crop Sciences	MS
Plant Sciences	Plant Sciences	Horticulture	MS
Plant Sciences	Plant Sciences	Plant Breeding	MS
Plant Sciences	Plant Sciences	Plant Molecular Genetics	MS
Plant Sciences	Plant Sciences	Weed Science	MS
Plant Sciences	Plant Sciences	Landscape Design	BSPS
Plant Sciences	Plant Sciences	Bioenergy	BSPS
Plant Sciences	Plant Sciences	Biotechnology	BSPS
Plant Sciences	Plant Sciences	Horticulture Science & Production	BSPS
Plant Sciences	Plant Sciences	Organic Production	BSPS
Plant Sciences	Plant Sciences	Public Horticulture	BSPS
Plant Sciences	Plant Sciences	Turfgrass Science & Management	BSPS

College of Architecture & Design			
Department	Major	Submajor	Degree
Architecture, School of	Architecture		BArch
Architecture, School of	Architecture	Conservation and Stewardship	MArch
Architecture, School of	Architecture	High Performance Buildings	MArch
Architecture, School of	Architecture	Sustainable Design	MArch
Architecture, School of	Architecture	Urban Design	MArch
Architecture, School of	Conservation and Stewardship		Gr Cert
Architecture, School of	High Performance Buildings		Gr Cert
Architecture, School of	Sustainable Design		Gr Cert
Architecture, School of	Urban Design		Gr Cert
Interior Design	Interior Design	Track 1 - Traditional Track	BSID
Interior Design	Interior Design	Track 2 - Master or Architecture Preparatory Track	BSID
Landscape Architecture Program	Landscape Architecture		MALA
Landscape Architecture Program	Landscape Architecture		MSLA
Landscape Architecture Program	Landscape Architecture	Track 1 (first professional degree track)	MLA
Landscape Architecture Program	Landscape Architecture	Track 2 (post-professional degree track)	MLA

College of Arts & Sciences			
Department	Major	Submajor	Degree
Anthropology	Anthropology		BA
Anthropology	Anthropology	Honors Anthropology	BA
Anthropology	Anthropology	Diasters, Displacement, & Human Rights	BA
Anthropology	Anthropology	Archaeology	MA
Anthropology	Anthropology	Biological Anthropology	MA
Anthropology	Anthropology	Cultural Anthropology	MA

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Arts & Sciences			
Department	Major	Submajor	Degree
Anthropology	Anthropology	Mediterranean Archaeology	MA
Anthropology	Anthropology	Zoarchaeology	MA
Anthropology	Anthropology	Archaeology	PhD
Anthropology	Anthropology	Biological Anthropology	PhD
Anthropology	Anthropology	Cultural Anthropology	PhD
Anthropology	Anthropology	Zoarchaeology	PhD
Anthropology	Disasters, Displacement & Human Rights		Gr Cert
Art, School of	Art History		BA
Art, School of	Art		BA
Art, School of	Graphic Design		BFA
Art, School of	Studio Art	Two-Dimensional Arts	BFA
Art, School of	Studio Art	Three-Dimensional Arts	BFA
Art, School of	Studio Art	Four-Dimensional Arts	BFA
Art, School of	Art	Ceramics	MFA
Art, School of	Art	Painting/Drawing	MFA
Art, School of	Art	Transmedia Design	MFA
Art, School of	Art	Printmaking	MFA
Art, School of	Art	Sculpture	MFA
Biochem & Cellular & Molecular Biology	Biochem & Cellular & Molecular Biology		MS
Biochem & Cellular & Molecular Biology	Biochem & Cellular & Molecular Biology		PhD
Biology, Division of	Biological Sciences	Biochem & Cellular & Molecular Biology	BS
Biology, Division of	Biological Sciences	Ecology & Evolutionary Biology	BS
Biology, Division of	Biological Sciences	Honors BioChem & Cellular & Molecular Biology	BS
Biology, Division of	Biological Sciences	Honors Ecology & Evolutionary Biology	BS
Biology, Division of	Biological Sciences	Honors Microbiology	BS
Biology, Division of	Biological Sciences	Microbiology	BS
Chemistry	Chemistry		BS
Chemistry	Chemistry	Honors Chemistry	BS
Chemistry	Chemistry		BSCH
Chemistry	Chemistry	Honors Chemistry	BSCH
Chemistry	Chemistry	Analytical Chemistry	MS
Chemistry	Chemistry	Environmental Chemistry	MS
Chemistry	Chemistry	Inorganic Chemistry	MS
Chemistry	Chemistry	Organic Chemistry	MS
Chemistry	Chemistry	Physical Chemistry	MS
Chemistry	Chemistry	Polymer Chemistry	MS
Chemistry	Chemistry	Analytical Chemistry	PhD
Chemistry	Chemistry	Chemical Physics	PhD
Chemistry	Chemistry	Environmental Chemistry	PhD
Chemistry	Chemistry	Inorganic Chemistry	PhD
Chemistry	Chemistry	Organic Chemistry	PhD
Chemistry	Chemistry	Physical Chemistry	PhD
Chemistry	Chemistry	Polymer Chemistry	PhD
Chemistry	Chemistry	Theoretical Chemistry	PhD
Chemistry	Chemistry	Radiochemistry Graduate Certificate	Gr Cert
Classics	Classics	Classical Archaeology	BA
Classics	Classics	Honors Classical Archaeology	BA
Classics	Classics	Classical Civilization	BA
Classics	Classics	Greek	BA
Classics	Classics	Honors Classical Civilization	BA
Classics	Classics	Honors Greek	BA
Classics	Classics	Honors Latin	BA
Classics	Classics	Latin	BA
College Scholars Program	College Scholars Program		BA
Earth & Planetary Sciences	Geology & Environmental Studies	Environmental Studies	BS
Earth & Planetary Sciences	Geology & Environmental Studies	Honors Environmental Studies	BS
Earth & Planetary Sciences	Geology & Environmental Studies	Geology	BS
Earth & Planetary Sciences	Geology & Environmental Studies	Honors Geology	BS
Earth & Planetary Sciences	Geology		MS
Earth & Planetary Sciences	Geology		PhD
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Behavior	MS
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Ecology	MS
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Evolutionary Biology	MS

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Arts & Sciences			
Department	Major	Submajor	Degree
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Behavior	PhD
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Ecology	PhD
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Evolutionary Biology	PhD
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Energy Science & Engineering	PhD
Economics (Intercollegiate)	Economics		BA
Economics (Intercollegiate)	Economics	Honors Economics	BA
English	English	Creative Writing	BA
English	English	Honors Creative Writing	BA
English	English	Honors Individualized Program	BA
English	English	Honors Literature	BA
English	English	Honors Rhetoric & Writing	BA
English	English	Honors Technical Communication	BA
English	English	Individualized Program	BA
English	English	Literature	BA
English	English	Rhetoric & Writing	BA
English	English	Technical Communication	BA
English	English		MA
English	English	Creative Writing	MA
English	English	Rhetoric, Writing & Linguistics	MA
English	Creative Writing		MFA
English	English	Creative Writing	PhD
English	English	Literature, Criticism & Textual Studies	PhD
English	English	Rhetoric, Writing & Linguistics	PhD
Geography	Geography		BA
Geography	Geography	Honors Geography	BA
Geography	Geography		MS
Geography	Geography		PhD
History	History		BA
History	History	Honors History	BA
History	History		MA
History	History	American	PhD
History	History	European	PhD
Interdepartmental/Intercollegiate	Life Sciences	Genome Science and Technology	MS
Interdepartmental/Intercollegiate	Life Sciences	Genome Science and Technology	PhD
Interdepartmental/Intercollegiate	Life Sciences	Plant Physiology & Genetics	MS
Interdepartmental/Intercollegiate	Life Sciences	Plant Physiology & Genetics	PhD
Interdisciplinary Programs	Interdisciplinary Programs	Africana Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	American Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Asian Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Cinema Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Honors Cinema Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Comparative Literature	BA
Interdisciplinary Programs	Interdisciplinary Programs	Global Studies - Global Society & Culture Track	BA
Interdisciplinary Programs	Interdisciplinary Programs	Global Studies - Global Politics & Economy Track	BA
Interdisciplinary Programs	Interdisciplinary Programs	Judaic Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Latin Amer. & Caribbean Studies-Brazilian Studies Track	BA
Interdisciplinary Programs	Interdisciplinary Programs	Latin Amer. & Caribbean Studies -General Studies Track	BA
Interdisciplinary Programs	Interdisciplinary Programs	Linguistics	BA
Interdisciplinary Programs	Interdisciplinary Programs	Medieval & Renaissance Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Neuroscience	BA
Interdisciplinary Programs	Interdisciplinary Programs	Honors Neuroscience	BA
Interdisciplinary Programs	Interdisciplinary Programs	Sustainability	BA
Interdisciplinary Programs	Interdisciplinary Programs	Women's Studies	BA
Interdisciplinary Programs	Africana Studies		Gr Cert
Interdisciplinary Programs	Social Theory		Gr Cert
Interdisciplinary Programs	Linguistics		Gr Cert
Interdisciplinary Programs	Medieval Studies		Gr Cert
Interdisciplinary Programs	Women's Studies		Gr Cert
Mathematics	Mathematics		BS
Mathematics	Mathematics	Honors Mathematics	BS
Mathematics	Mathematics		MM

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Arts & Sciences			
Department	Major	Submajor	Degree
Mathematics	Mathematics		MS
Mathematics	Mathematics	Applied Mathematics	MS
Mathematics	Mathematics		PhD
Mathematics	Mathematics	Mathematical Ecology/Evolution	PhD
Microbiology	Microbiology		MS
Microbiology	Microbiology		PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	French & Francophone Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Honors French & Francophone Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business-French & Franc. Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Chinese	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Japanese	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Portuguese	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Honors German	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - German	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	German Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	German Language & Literature	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Hispanic Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Hispanic Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Italian	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Italian	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Russian Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Honors Russian Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Russian Studies	BA
Modern Foreign Languages & Literatures	French		MA
Modern Foreign Languages & Literatures	German		MA
Modern Foreign Languages & Literatures	Spanish		MA
Modern Foreign Languages & Literatures	Modern Foreign Languages	Applied Linguistics (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	French (1st & 2nd Concentration)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	German (1st & 2nd Concentration)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Italian (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Latin American Studies (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Portuguese (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Russian (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Spanish (1st & 2nd Concentration)	PhD
Music, School of	Artist Certificate in Music		Gr Cert
Music, School of	Music Theory Pedagogy		Gr Cert
Music, School of	Music		BA
Music, School of	Music	Applied Music	BA
Music, School of	Music	Music and Culture	BA
Music, School of	Music	Music ED - String Emphasis	BM
Music, School of	Music	Music ED - Woodwind/Brass/Percussion Emphasis	BM
Music, School of	Music	Music ED - Vocal Gen Keybd Emphasis	BM
Music, School of	Music	Music ED - Vocal Gen Vocal Emphasis	BM
Music, School of	Music	Organ	BM
Music, School of	Music	Piano	BM
Music, School of	Music	Piano Pedagogy	BM

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Arts & Sciences			
Department	Major	Submajor	Degree
Music, School of	Music	Sacred Music - Organ Track	BM
Music, School of	Music	Sacred Music - Piano Track	BM
Music, School of	Music	Sacred Music - Voice Track	BM
Music, School of	Music	Strings	BM
Music, School of	Music	Studio Music and Jazz	BM
Music, School of	Music	Theory/ Composition	BM
Music, School of	Music	Voice	BM
Music, School of	Music	Brass Instruments	BM
Music, School of	Music	Woodwind and Percussion Instruments	BM
Music, School of	Music	Choral Conducting	MMU
Music, School of	Music	Collaborative Piano	MMU
Music, School of	Music	Composition	MMU
Music, School of	Music	Instrumental Conducting	MMU
Music, School of	Music	Jazz	MMU
Music, School of	Music	Music Education	MMU
Music, School of	Music	Music Theory	MMU
Music, School of	Music	Musicology	MMU
Music, School of	Music	Performance	MMU
Music, School of	Music	Piano Pedagogy	MMU
Music, School of	Music	Vocal Pedagogy	MMU
Philosophy	Philosophy		BA
Philosophy	Philosophy	Honors Philosophy	BA
Philosophy	Philosophy	Legal & Political Philosophy	BA
Philosophy	Philosophy	Honors Legal & Political Philosophy	BA
Philosophy	Philosophy	Philosophy of Science & Medicine	BA
Philosophy	Philosophy	Honors Philosophy of Science & Medicine	BA
Philosophy	Philosophy		MA
Philosophy	Philosophy		PhD
Physics & Astronomy	Physics	Academic	BS
Physics & Astronomy	Physics	Astronomy	BS
Physics & Astronomy	Physics	General	BS
Physics & Astronomy	Physics	Honors Academic	BS
Physics & Astronomy	Physics	Honors Astronomy	BS
Physics & Astronomy	Physics	Astrophysics	MS
Physics & Astronomy	Physics	Atomic, Molec., Optical & Low Temp. Physics	MS
Physics & Astronomy	Physics	Biophysics	MS
Physics & Astronomy	Physics	Chemical Physics	MS
Physics & Astronomy	Physics	Condensed Matter & Surface Physics	MS
Physics & Astronomy	Physics	Elementary Particle Physics	MS
Physics & Astronomy	Physics	Geophysics	MS
Physics & Astronomy	Physics	Mathematical & Computational Physics	MS
Physics & Astronomy	Physics	Nuclear & Relativistic Heavy Ion Physics	MS
Physics & Astronomy	Physics	Theoretical Physics	MS
Physics & Astronomy	Physics	Astrophysics	PhD
Physics & Astronomy	Physics	Atomic, Molec., Optical & Low Temp. Physics	PhD
Physics & Astronomy	Physics	Biophysics	PhD
Physics & Astronomy	Physics	Chemical Physics	PhD
Physics & Astronomy	Physics	Condensed Matter & Surface Physics	PhD
Physics & Astronomy	Physics	Elementary Particle Physics	PhD
Physics & Astronomy	Physics	Energy Science & Engineering	PhD
Physics & Astronomy	Physics	Mathematical & Computational Physics	PhD
Physics & Astronomy	Physics	Nanomaterials	PhD
Physics & Astronomy	Physics	Nuclear & Relativistic Heavy Ion Physics	PhD
Physics & Astronomy	Physics	Theoretical Physics	PhD
Political Science	Political Science		BA
Political Science	Political Science	Honors Political Science	BA
Political Science	Political Science	Public Administration	BA
Political Science	Political Science	International Affairs	BA
Political Science	Political Science		MA
Political Science	Political Science		PhD
Political Science	Public Policy & Administration		MPPA

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Arts & Sciences			
Department	Major	Submajor	Degree
Political Science	Public Policy & Administration	Dual JD-MPPA Program	MPPA- JD
Political Science	Global Security Studies		Gr Cert
Pre-Professional Programs	Medical Laboratory Science		BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Dentistry	BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Law	BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Medicine	BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Pharmacy	BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Veterinary Medicine	BS
Psychology	Psychology		BA
Psychology	Psychology	Honors Psychology	BA
Psychology	Psychology	Experimental Psychology	MA
Psychology	Psychology	General Psychology	MA
Psychology	Psychology	Clinical Psychology	PhD
Psychology	Psychology	Counseling Psychology	PhD
Psychology	Psychology	Experimental Psychology	PhD
Religious Studies	Religious Studies		BA
Religious Studies	Religious Studies	Honors Religious Studies	BA
Sociology	Sociology		BA
Sociology	Sociology	Criminology & Criminal Justice	BA
Sociology	Sociology	Honors Criminology & Criminal Justice	BA
Sociology	Sociology	Environmental Issues	BA
Sociology	Sociology	Honors Environmental Issues	
Sociology	Sociology	Honors Sociology	BA
Sociology	Sociology	Criminology	MA
Sociology	Sociology	Environmental Sociology	MA
Sociology	Sociology	Political Economy	MA
Sociology	Sociology	Criminology	PhD
Sociology	Sociology	Environmental Sociology	PhD
Sociology	Sociology	Political Economy	PhD
Statistics (intercollegiate)	Statistics		BS
Theatre	Theatre		BA
Theatre	Theatre	Honors Theatre	BA
Theatre	Theatre	Acting	MFA
Theatre	Theatre	Costume Design	MFA
Theatre	Theatre	Lighting Design	MFA
Theatre	Theatre	Scene Design	MFA

College of Business Administration			
Department	Major	Submajor	Degree
Accounting & Information Management	Accounting	Collateral Option	BSBA
Accounting & Information Management	Accounting	Dual Concentration International Business	BSBA
Accounting & Information Management	Accounting	Audit and Controls	MAcc
Accounting & Information Management	Accounting	Information Management	MAcc
Accounting & Information Management	Accounting	Taxation	MAcc
Business Administration (Intercollegiate)	Public Administration		BSBA
Economics	Economics	Collateral Option	BSBA
Economics	Economics	Dual Concentration International Business	BSBA
Economics	Economics	Traditional Option	BSBA
Economics	Economics		MA
Economics	Economics		PhD
Finance	Finance	Collateral Option	BSBA
Finance	Finance	Dual Concentration International Business	BSBA
Finance	Finance	Dual Concentration Business Analytics	BSBA
Interdepartmental	Business Administration	Aerospace & Defense MBA	MBA
Interdepartmental	Business Administration	Business Analytics	MBA
Interdepartmental	Business Administration	Dual JD-MBA Program	MBA-JD
Interdepartmental	Business Administration	Dual MS-MBA Program	MBA-MS

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Business Administration			
Department	Major	Submajor	Degree
Interdepartmental	Business Administration	Dual Pharm D- MBA	PharmD-MBA
Interdepartmental	Business Administration	Executive MBA	MBA
Interdepartmental	Business Administration	Finance	MBA
Interdepartmental	Business Administration	Entrepreneurship and Innovation	MBA
Interdepartmental	Business Administration	Human Resource Management	MBA
Interdepartmental	Business Administration	Supply Chain Management	MBA
Interdepartmental	Business Administration	Marketing	MBA
Interdepartmental	Business Administration	Operations Management	MBA
Interdepartmental	Business Administration	Physician Executive MBA	MBA
Interdepartmental	Business Administration	Professional MBA	MBA
interdepartmental	Business Administration	Accounting	PhD
Interdepartmental	Business Administration	Finance	PhD
Interdepartmental	Business Administration	Supply Chain Management	PhD
interdepartmental	Business Administration	Organizations & Strategy	PhD
Interdepartmental	Business Administration	Marketing	PhD
Interdepartmental	Business Administration	Statistics	PhD
Management	Human Resource Management	Collateral Option	BSBA
Management	Human Resource Management	Dual Concentration International Business	BSBA
Management	Human Resource Management		MS
Management	Management	Collateral Option	BSBA
Management	Management	Dual Concentration International Business	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Collateral Option	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration Information Management	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration International Business	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration Marketing	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration Business Analytics	BSBA
Marketing & Supply Chain Management	Marketing	Collateral Option	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration Information Management	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration International Business	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration Supply Chain Management	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration Business Analytics	BSBA
Business Analytics & Statistics	Management Science		PhD
Business Analytics & Statistics	Management Science	Analytics	PhD
Business Analytics & Statistics	Business Analytics	Collateral Option	BSBA
Business Analytics & Statistics	Business Analytics	Dual Concentration Information Management	BSBA
Business Analytics & Statistics	Business Analytics	Dual Concentration International Business	BSBA
Business Analytics & Statistics	Business Analytics	Dual Concentration Supply Chain Management	BSBA
Business Analytics & Statistics	Business Analytics	Dual Concentration Marketing	BSBA
Business Analytics & Statistics	Business Analytics		MS
Business Analytics & Statistics	Applied Statistical Strategies		Gr Cert
Business Analytics & Statistics	Statistics		MS
Statistics, Operations & Mgmt. Science	Statistics	Industrial Statistics	MS
Business Analytics & Statistics			

College of Communication & Information			
Department	Major	Submajor	Degree
Advertising & Public Relations, School of	Advertising		BSC
Advertising & Public Relations, School of	Public Relations		BSC
Communication Studies, School of	Communication Studies		BAC
Communication Studies, School of	Communication Studies	Honors Communication Studies	BAC
Journalism & Electronic Media, School of	Journalism & Electronic Media		BSC
Information Sciences, School of	Information Sciences		MS
Interdepartmental	Communication & Information	Advertising	MS
Interdepartmental	Communication & Information	Communication Studies	MS
Interdepartmental	Communication & Information	Journalism & Electronic Media	MS
Interdepartmental	Communication & Information	Public Relations	MS
Interdepartmental	Communication & Information	Advertising	PhD
Interdepartmental	Communication & Information	Communication Studies	PhD
Interdepartmental	Communication & Information	Information Sciences	PhD
Interdepartmental	Communication & Information	Journalism & Electronic Media	PhD
Interdepartmental	Communication & Information	Public Relations	PhD

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Education, Health & Human Sciences			
Department	Major	Submajor	Degree
Child & Family Studies	Child & Family Studies	General-Emphasis	MS
Child & Family Studies	Child & Family Studies	Teacher-Licensure (PreK-3)	MS
Child & Family Studies	Child & Family Studies		BSHHS
Child & Family Studies	Child & Family Studies		PhD
Educational Leadership & Policy Studies	College Student Personnel		MS
Educational Leadership & Policy Studies	Educational Administration		MS
Educational Leadership & Policy Studies	Educational Administration	Leadership Academy	MS
Educational Leadership & Policy Studies	Education	Educational Administration	EdS
Educational Leadership & Policy Studies	Higher Education Administration		PhD
Educational Leadership & Policy Studies	Educational Administration (PreK-12)		Gr Cert
Educational Leadership & Policy Studies	University Research Administration		Gr Cert
Educational Psychology & Counseling	Counseling	Clinical Mental Health Counseling	MS
Educational Psychology & Counseling	Counseling	Rehabilitation Counseling	MS
Educational Psychology & Counseling	Counseling	School Counseling	MS
Educational Psychology & Counseling	Educational Psychology	Adult Education	MS
Educational Psychology & Counseling	Educational Psychology	Applied Educational Psychology	MS
Educational Psychology & Counseling	School Psychology		PhD
Educational Psychology & Counseling	Counselor Education		PhD
Educational Psychology & Counseling	Education	Cultural Studies of Educational Foundations	MS
Educational Psychology & Counseling	Education	Instructional Technology	MS
Educational Psychology & Counseling	Educational Psychology & Research		PhD
Educational Psychology & Counseling	Educational Psychology & Research	Adult Learning	PhD
Educational Psychology & Counseling	Educational Psychology & Research	Evaluation, Statistics, and Measurement	PhD
Educational Psychology & Counseling	Evaluation, Statistics, and Measurement		Gr Cert
Educational Psychology & Counseling	Grief, Loss, and Trauma		Gr Cert
Educational Psychology & Counseling	Qualitative Research Methods in Educations		Gr Cert
Educational Psychology & Counseling	Cultural Studies in Education		Gr Cert
Educational Psychology & Counseling	Online Teaching & Learning		Gr Cert
Kinesiology, Recreation, & Sport Studies	Kinesiology		BSEd
Kinesiology, Recreation, & Sport Studies	Kinesiology	Biomechanics	MS
Kinesiology, Recreation, & Sport Studies	Kinesiology	Exercise Physiology	MS
Kinesiology, Recreation, & Sport Studies	Kinesiology	Sport Psychology and Motor Behavior	MS
Kinesiology, Recreation, & Sport Studies	Kinesiology and Sport Studies	Kinesiology	PhD
Kinesiology, Recreation, & Sport Studies	Kinesiology and Sport Studies	Sport Studies	PhD
Kinesiology, Recreation, & Sport Studies	Recreation and Sport Management	Sport Management	BSEd
Kinesiology, Recreation, & Sport Studies	Recreation and Sport Management	Therapeutic Recreation	BSEd
Kinesiology, Recreation, & Sport Studies	Recreation and Sport Management	Sport Management	MS
Kinesiology, Recreation, & Sport Studies	Recreation and Sport Management	Therapeutic Recreation	MS
Interdepartmental	Education	Leadership Studies in Education	PhD
Interdepartmental	Education	Learning Environments and Educational Studies	PhD
Interdepartmental	Education	Literacy Studies	PhD
Interdepartmental	Education	Special Education	PhD
Interdepartmental	Education	Teacher Education	PhD
Nutrition	Nutrition		BSHHS
Nutrition	Nutrition	Dietics	BSHHS
Nutrition	Nutrition	Basic Science	BSHHS
Nutrition	Nutrition	Dual MS-MPH Program	MS-MPH
Nutrition	Nutrition	Cellular and Molecular Nutrition	MS
Nutrition	Nutrition	Public Health Nutrition	MS
Nutrition	Nutritional Sciences		PhD
Nutrition	Nutritional Sciences	Cellular and Molecular Nutrition	PhD
Nutrition	Nutritional Sciences	Community Nutrition	PhD
Public Health	Public Health	Community Health Education	MPH
Public Health	Public Health	Dual MS-MPH Program	MS-MPH
Public Health	Public Health	Health Policy & Management	MPH
Public Health	Food Safety		Gr Cert
Public Health	Public Health	Health Policy & Management	JD-MPH
Public Health	Public Health	Community Health Education	DrPH
Public Health	Public Health	Epidemiology	DrPH
Public Health	Public Health	Veterinary Public Health	MPH

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Education, Health & Human Sciences			
Department	Major	Submajor	Degree
Retail, Hospitality, & Tourism Management	Retail, Hospitality, & Tourism Management		PhD
Retail, Hospitality, & Tourism Management	Hotel, Restaurant, & Tourism		BSRHTM
Retail, Hospitality, & Tourism Management	Retail & Consumer Sciences		BSRHTM
Theory & Practice in Teacher Education	Audiology & Speech Pathology		BSEd
Theory & Practice in Teacher Education	Special Education	Education of the Deaf & Hard of Hearing	BSEd
Theory & Practice in Teacher Education	Special Education	Educational Interpreting	BSEd
Theory & Practice in Teacher Education	Special Education	Interventionist & Comprehensive Special Education with Elementary Education	BSEd
Theory & Practice in Teacher Education	Special Education	Interventionist & Comprehensive Special Education with Secondary Education	BSEd
Theory & Practice in Teacher Education	Teacher Education	Elementary Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Educational Technology	EdS
Theory & Practice in Teacher Education	Teacher Education	English Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Foreign Language/ESL Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Mathematics Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Reading Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Science Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Social Science Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Special Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Teaching and Learning	EdS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Art Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Educ of the Deaf & Hard of Hearing	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Elementary Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- English Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Foreign Language/ESL Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Mathematics Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Reading Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Science Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Science Education (Informal Education)	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Social Science Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Special Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1 - Science, Tech, Engineering & Mathematics	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1 - Teaching and Learning	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Art Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Educ of the Deaf & Hard of Hearing	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Elementary Teaching	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2 - English Language Learning	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2 - Science Grades 6-8 Teaching	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Mathematics Grades 6-8 Teaching	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2 - Secondary Teaching	MS
Theory & Practice in Teacher Education	Urban Education		Gr Cert

College of Engineering			
Department	Major	Submajor	Degree
Chemical & Biomolecular Engineering	Chemical Engineering		BSCHE
Chemical & Biomolecular Engineering	Chemical Engineering	Biomolecular Engineering	BSCHE
Chemical & Biomolecular Engineering	Chemical Engineering	Honors Biomolecular Engineering	BSCHE
Chemical & Biomolecular Engineering	Chemical Engineering	Honors Chemical Engineering	BSCHE
Chemical & Biomolecular Engineering	Chemical Engineering		MS
Chemical & Biomolecular Engineering	Chemical Engineering	Dual MS-MBA Program	MS-MBA
Chemical & Biomolecular Engineering	Chemical Engineering	Energy Science and Engineering	PhD
Chemical & Biomolecular Engineering	Chemical Engineering		PhD
Civil & Environmental Engineering	Civil Engineering		BSCCE
Civil & Environmental Engineering	Civil Engineering	Honors Civil Engineering	BSCCE
Civil & Environmental Engineering	Civil Engineering	Construction Engineering	MS
Civil & Environmental Engineering	Civil Engineering	Geotechnical/ Materials Engineering	MS
Civil & Environmental Engineering	Civil Engineering	Public Works Engineering	MS
Civil & Environmental Engineering	Civil Engineering	Structural Engineering	MS

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Engineering			
Department	Major	Submajor	Degree
Civil & Environmental Engineering	Civil Engineering	Transportation Engineering	MS
Civil & Environmental Engineering	Civil Engineering	Dual MS-MBA Program	MS-MBA
Civil & Environmental Engineering	Civil Engineering	Climate Impacts Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Construction Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Energy Science and Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Environmental Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Geotechnical/Materials Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Public Works Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Structural Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Transportation Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Water Resources Engineering	PhD
Civil & Environmental Engineering	Environmental Engineering	Water Resources Engineering	MS
Civil & Environmental Engineering	Environmental Engineering	Dual MS-MBA Program	MS-MBA
Electrical Eng & Computer Science	Computer Engineering		BSCOME
Electrical Eng & Computer Science	Computer Engineering	Honors Computer Engineering	BSCOME
Electrical Eng & Computer Science	Computer Engineering	Computer Architecture	MS
Electrical Eng & Computer Science	Computer Engineering	Computer Networks	MS
Electrical Eng & Computer Science	Computer Engineering	Computer Vision	MS
Electrical Eng & Computer Science	Computer Engineering	Data Fusion	MS
Electrical Eng & Computer Science	Computer Engineering	Data Structures	MS
Electrical Eng & Computer Science	Computer Engineering	Data Visualization	MS
Electrical Eng & Computer Science	Computer Engineering	Dual MS-MBA Program	MS-MBA
Electrical Eng & Computer Science	Computer Engineering	Embedded Systems	MS
Electrical Eng & Computer Science	Computer Engineering	Image Processing	MS
Electrical Eng & Computer Science	Computer Engineering	Information Systems	MS
Electrical Eng & Computer Science	Computer Engineering	VLSI System Design	MS
Electrical Eng & Computer Science	Computer Engineering	Computer Architecture	PhD
Electrical Eng & Computer Science	Computer Engineering	Computer Networks	PhD
Electrical Eng & Computer Science	Computer Engineering	Computer Vision	PhD
Electrical Eng & Computer Science	Computer Engineering	Data Fusion	PhD
Electrical Eng & Computer Science	Computer Engineering	Data Structures	PhD
Electrical Eng & Computer Science	Computer Engineering	Data Visualization	PhD
Electrical Eng & Computer Science	Computer Engineering	Embedded Systems	PhD
Electrical Eng & Computer Science	Computer Engineering	Energy Science and Engineering	PhD
Electrical Eng & Computer Science	Computer Engineering	Image Processing	PhD
Electrical Eng & Computer Science	Computer Engineering	Information Systems	PhD
Electrical Eng & Computer Science	Computer Engineering	VLSI System Design	PhD
Electrical Eng & Computer Science	Computer Science		BSCS
Electrical Eng & Computer Science	Computer Science	Honors Computer Science	BSCS
Electrical Eng & Computer Science	Computer Science		MS
Electrical Eng & Computer Science	Computer Science	Dual MS-MBA Program	MS-MBA
Electrical Eng & Computer Science	Computer Science		PhD
Electrical Eng & Computer Science	Computer Science	Energy Science and Engineering	PhD
Electrical Eng & Computer Science	Electrical Engineering		BSEE
Electrical Eng & Computer Science	Electrical Engineering	Honors Electrical Engineering	BSEE
Electrical Eng & Computer Science	Electrical Engineering	Power & Energy Systems	BSEE
Electrical Eng & Computer Science	Electrical Engineering	Honors Power & Energy Systems	BSEE
Electrical Eng & Computer Science	Electrical Engineering	Circuit Theory	MS
Electrical Eng & Computer Science	Electrical Engineering	Communication Theory	MS
Electrical Eng & Computer Science	Electrical Engineering	Computers	MS
Electrical Eng & Computer Science	Electrical Engineering	Control Systems	MS
Electrical Eng & Computer Science	Electrical Engineering	Dual MS-MBA Program	MS-MBA

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Engineering			
Department	Major	Submajor	Degree
Electrical Eng & Computer Science	Electrical Engineering	Electro-Optics	MS
Electrical Eng & Computer Science	Electrical Engineering	Electromagnetic Theory	MS
Electrical Eng & Computer Science	Electrical Engineering	Plasma Engineering	MS
Electrical Eng & Computer Science	Electrical Engineering	Power Electronics	MS
Electrical Eng & Computer Science	Electrical Engineering	Power Systems	MS
Electrical Eng & Computer Science	Electrical Engineering	Solid-State Electronics	MS
Electrical Eng & Computer Science	Electrical Engineering	Circuit Theory	PhD
Electrical Eng & Computer Science	Electrical Engineering	Communication Theory	PhD
Electrical Eng & Computer Science	Electrical Engineering	Computers	PhD
Electrical Eng & Computer Science	Electrical Engineering	Control Systems	PhD
Electrical Eng & Computer Science	Electrical Engineering	Electro-optics	PhD
Electrical Eng & Computer Science	Electrical Engineering	Electromagnetic Theory	PhD
Electrical Eng & Computer Science	Electrical Engineering	Energy Science and Engineering	PhD
Electrical Eng & Computer Science	Electrical Engineering	Plasma Engineering	PhD
Electrical Eng & Computer Science	Electrical Engineering	Power Electronics	PhD
Electrical Eng & Computer Science	Electrical Engineering	Power Systems	PhD
Electrical Eng & Computer Science	Electrical Engineering	Solid-State Electronics	PhD
Electrical Eng & Computer Science	Fire Protection Engineering		Gr Cert
Electrical Eng & Computer Science	Power and Energy Systems		Gr Cert
Industrial & Systems Engineering	Engineering Management		Gr Cert
Industrial & Systems Engineering	Industrial Engineering		BSIE
Industrial & Systems Engineering	Industrial Engineering	Honors Industrial Engineering	BSIE
Industrial & Systems Engineering	Industrial Engineering	Dual MS-MBA Program	MS-MBA
Industrial & Systems Engineering	Industrial Engineering	Engineering Management	MS
Industrial & Systems Engineering	Industrial Engineering	Energy Science and Engineering	PhD
Industrial & Systems Engineering	Industrial Engineering	Engineering Management	PhD
Interdepartmental	Engineering Science		MS
Interdepartmental	Engineering Science	Flight Test Engineering (UTSI only)	MS
Interdepartmental	Engineering Science	Aerospace Engineering	MS
Interdepartmental	Engineering Science	Biomedical Engineering	MS
Interdepartmental	Engineering Science	Civil Engineering	MS
Interdepartmental	Engineering Science	Environmental Engineering	MS
Interdepartmental	Engineering Science	Mechanical Engineering	MS
Interdepartmental	Engineering Science	Dual MS-MBA Program	MS-MBA
Interdepartmental	Engineering Science		PhD
Interdepartmental	Engineering Science	Aerospace Engineering	PhD
Interdepartmental	Engineering Science	Biomedical Engineering	PhD
Interdepartmental	Engineering Science	Civil Engineering	PhD
Interdepartmental	Engineering Science	Environmental Engineering	PhD
Interdepartmental	Engineering Science	Energy Science and Engineering	PhD
Interdepartmental	Engineering Science	Mechanical Engineering	PhD
Interdepartmental	Reliability & Maintainability Engineering		Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Chemical Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Computer Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Electrical Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Industrial Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Mechanical Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Nuclear Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Aerospace Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Biomedical Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Mechanical Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Chemical Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Computer Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Electrical Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Industrial Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Materials Science & Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Nuclear Engineering	MS

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

College of Engineering			
Department	Major	Submajor	Degree
Materials Science & Engineering	Materials Science & Engineering		BSMSE
Materials Science & Engineering	Materials Science & Engineering	Biomaterials	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Honors Biomaterials	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Honors Materials Science & Engineering	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Nanomaterials	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Honors Nanomaterials	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Dual MS-MBA Program	MS-MBA
Materials Science & Engineering	Materials Science & Engineering	Biomaterials	MS
Materials Science & Engineering	Materials Science & Engineering	Materials	MS
Materials Science & Engineering	Materials Science & Engineering	Metallurgy	MS
Materials Science & Engineering	Materials Science & Engineering	Nanomaterials	MS
Materials Science & Engineering	Materials Science & Engineering	Polymers	MS
Materials Science & Engineering	Materials Science & Engineering	Biomaterials	PhD
Materials Science & Engineering	Materials Science & Engineering	Energy Science and Engineering	PhD
Materials Science & Engineering	Materials Science & Engineering	Materials	PhD
Materials Science & Engineering	Materials Science & Engineering	Metallurgy	PhD
Materials Science & Engineering	Materials Science & Engineering	Nanomaterials	PhD
Materials Science & Engineering	Materials Science & Engineering	Polymers	PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering		BSAE
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Honors Aerospace Engineering	BSAE
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering		MS
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Applied Mechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Systems and Controls	MS
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Thermal-Fluid Mechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Dual MS-MBA Program	MS-MBA
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering		PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Applied Mechanics	PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Energy Science and Engineering	PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Systems and Controls	PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Thermal-Fluid Mechanics	PhD
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering		BSBME
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Honors Biomedical Engineering	BSBME
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering		MS
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Biomechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Biomedical Systems	MS
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Dual MS-MBA Program	MS-MBA
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering		PhD
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Biomechanics	PhD
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Biomedical Systems	PhD
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Energy Science and Engineering	PhD
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering		BSME
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Honors Mechanical Engineering	BSME
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Dual MS-MBA Program	MS-MBA
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering		MS
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Applied Mechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Systems and Controls	MS
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Thermal-Fluid Mechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering		PhD
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Applied Mechanics	PhD
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Systems and Controls	PhD
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Thermal-Fluid Mechanics	PhD
Nuclear Engineering	Nuclear Engineering		BSNE
Nuclear Engineering	Nuclear Engineering	Honors Nuclear Engineering	BSNE
Nuclear Engineering	Nuclear Engineering	Honors Radiological Engineering	BSNE
Nuclear Engineering	Nuclear Engineering	Radiological Engineering	BSNE
Nuclear Engineering	Nuclear Engineering		MS
Nuclear Engineering	Nuclear Engineering	Dual MS-MBA Program	MS-MBA
Nuclear Engineering	Nuclear Engineering	Radiological Engineering	MS
Nuclear Engineering	Nuclear Engineering		PhD
Nuclear Engineering	Nuclear Engineering	Energy Science and Engineering	PhD
Nuclear Engineering	Nuclear Engineering	Radiological Engineering	PhD
Nuclear Engineering	Nuclear Criticality Safety		Gr Cert
Nuclear Engineering	Nuclear Security Science & Analysis		Gr Cert

**Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs**

**UTK Comprehensive List of Academic Programs  
June 2015**

<b>College of Law</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Law	Law		JD
Law	Law	Advocacy & Dispute Resolution	JD
Law	Law	Business Transactions	JD
Law	Law	Dual JD/MA Program	JD/MA
Law	Law	Dual JD/MBA Program	JD/MBA
Law	Law	Dual JD/MPA Program	JD/MPA
Law	Law	Dual JD/MPH Program	JD/MPH
Law	Law		LLM
<b>College of Nursing</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Nursing	Adult Health Nursing Clinical Nurse Specialist		Gr Cert
Nursing	Family Nurse Practitioner		Gr Cert
Nursing	Health Policy		Gr Cert
Nursing	Mental Health Nursing		Gr Cert
Nursing	Nurse Anesthesia		Gr Cert
Nursing	Nursing Administration		Gr Cert
Nursing	Nursing Education		Gr Cert
Nursing	Nursing of Women & Children		Gr Cert
Nursing	Nursing		BSN
Nursing	Nursing	RN Track	BSN
Nursing	Nursing	Accelerated Track	BSN
Nursing	Nursing	Adult Health Nursing Clinical Nurse Specialist	MSN
Nursing	Nursing	Family Nurse Practitioner	MSN
Nursing	Nursing	Mental Health Nursing	MSN
Nursing	Nursing	Nurse Anesthesia	MSN
Nursing	Nursing	Nursing Administration	MSN
Nursing	Nursing	Nursing of Women & Children	MSN
Nursing	Nursing		DNP
Nursing	Nursing	Nursing Administration	DNP
Nursing	Nursing	Mental Health Practitioner	DNP
Nursing	Nursing	Nursing of Women & Children	DNP
Nursing	Nursing	Nurse Anesthesia	DNP
Nursing	Nursing	Family Nurse Practitioner	DNP
Nursing	Nursing		PhD

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2015

Intercollegiate			
Department	Major	Submajor	Degree
Intercollegiate	Aviation Systems (at UTSI)		MS
Intercollegiate	Comparative & Experimental Medicine		MS
Intercollegiate	Comparative & Experimental Medicine	Forensic Odontology	MS
Intercollegiate	Comparative & Experimental Medicine		PhD
Intercollegiate: Center for Interdisciplinary Research and Graduate Education	Energy Science and Engineering		PhD

College of Social Work			
Department	Major	Submajor	Degree
Social Work	Social Work		BSSW
Social Work	Social Work	Honors Social Work	BSSW
Social Work	Social Work	Management, Leadership & Community Practice	MSSW
Social Work	Social Work	Evidence-based Interpersonal	MSSW
Social Work	Gerontology		Gr Cert
Social Work	Veterinary Social Work		Gr Cert
Social Work	Trauma Treatment		Gr Cert
Social Work	Social Work		DSW
Social Work	Social Work		PhD

College of Veterinary Medicine			
Department	Major	Submajor	Degree
Veterinary Medicine	Veterinary Medicine		Gr Cert
Veterinary Medicine	Veterinary Medicine		DVM

UTM Comprehensive List of Academic Programs - June 2015

College of Agriculture & Applied Sciences			
Department	Major	Submajor	Degree
Agriculture, Geosciences, & Natural Resources	Ag & Natural Resources	Agribusiness and Risk Management	MSANR
Agriculture, Geosciences, & Natural Resources	Ag & Natural Resources	Systems Science in Agriculture	MSANR
Agriculture, Geosciences, & Natural Resources	Ag & Natural Resources	Natural Resources Systems Management	MSANR
Agriculture, Geosciences, & Natural Resources	General Agriculture	Agricultural Business	BSAG
Agriculture, Geosciences, & Natural Resources	General Agriculture	Agricultural Engineering Technology	BSAG
Agriculture, Geosciences, & Natural Resources	General Agriculture	Agricultural Science	BSAG
Agriculture, Geosciences, & Natural Resources	General Agriculture	Animal Science	BSAG
Agriculture, Geosciences, & Natural Resources	General Agriculture	Plant & Soil Science	BSAG
Agriculture, Geosciences, & Natural Resources	Geoscience	Geography	BS
Agriculture, Geosciences, & Natural Resources	Geoscience	Geology	BS
Agriculture, Geosciences, & Natural Resources	Geoscience	Meteorology	BS
Agriculture, Geosciences, & Natural Resources	Natural Resources Management	Environmental Management	BSNRM
Agriculture, Geosciences, & Natural Resources	Natural Resources Management	Park Administration	BSNRM
Agriculture, Geosciences, & Natural Resources	Natural Resources Management	Soil & Water Conservation	BSNRM
Agriculture, Geosciences, & Natural Resources	Natural Resources Management	Wildlife & Fisheries Biology	BSNRM
Family & Consumer Sciences	Family & Consumer Sciences	Child & Family Studies	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Family & Consumer Sciences Education	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Dietetics	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Food & Nutrition	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Fashion Merchandising	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Dietetics	MSFCS
Family & Consumer Sciences	Family & Consumer Sciences	General	MSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Gerontology	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Hospitality & Food Service Management	BSFCS
Military Science & Leadership			

College of Business & Global Affairs			
Department	Major	Submajor	Degree
Accounting, Finance, Economics, & Pol. Sci.	Accounting		BSBA
Accounting, Finance, Economics, & Pol. Sci.	Economics	Economics	BSBA
Accounting, Finance, Economics, & Pol. Sci.	Economics	General Business	BSBA
Accounting, Finance, Economics, & Pol. Sci.	Economics	International Business	BSBA
Accounting, Finance, Economics, & Pol. Sci.	Finance		BSBA
Accounting, Finance, Economics, & Pol. Sci.	International Studies		BA
Accounting, Finance, Economics, & Pol. Sci.	International Studies	Business & Economic Development	BA
Accounting, Finance, Economics, & Pol. Sci.	International Studies	Strategic Studies	BA
Management, Marketing, & Information Systems	Information Systems	Management Information Systems	BSBA
Management, Marketing, & Information Systems	Information Systems	Office Information Systems	BSBA
Management, Marketing, & Information Systems	Management	Management	BSBA
Management, Marketing, & Information Systems	Management	Sport Business	BSBA
Management, Marketing, & Information Systems	Marketing		BSBA
Accounting, Finance, Economics, & Pol. Sci.	Political Science	General	BA
Accounting, Finance, Economics, & Pol. Sci.	Political Science	General	BS
Accounting, Finance, Economics, & Pol. Sci.	Political Science	Public Administration	BA
Accounting, Finance, Economics, & Pol. Sci.	Political Science	Public Administration	BS
Accounting, Finance, Economics, & Pol. Sci.	Political Science	International Security Studies	BA
Accounting, Finance, Economics, & Pol. Sci.	Political Science	International Security Studies	BS
Interdepartmental	Business Administration		MBA
Interdepartmental	Business Administration	Agricultural	MBA
Interdepartmental	Business Administration	General Business	MBA

Annual Meeting of the Board of Trustees - 2015 Comprehensive List of Academic Programs

UTM Comprehensive List of Academic Programs - June 2015

College of Education, Health & Behavioral Sciences			
Department	Major	Submajor	Degree
Behavioral Sciences	Criminal Justice	Corrections	BSCJ
Behavioral Sciences	Criminal Justice	Courts and Law	BSCJ
Behavioral Sciences	Criminal Justice	Generalist	BSCJ
Behavioral Sciences	Criminal Justice	Law Enforcement	BSCJ
Behavioral Sciences	Criminal Justice	Forensics	BSCJ
Behavioral Sciences	Psychology		BA
Behavioral Sciences	Psychology		BS
Behavioral Sciences	Social Work		BSSW
Behavioral Sciences	Sociology		BA
Behavioral Sciences	Sociology		BS
Educational Studies	Counseling	Clinical Mental Health	MSEd
Educational Studies	Counseling	School	MSEd
Educational Studies	Counseling	Counseling Addictions	MSEd
Educational Studies	Counseling	Student Affairs & College Counseling	MSEd
Educational Studies	Educational Leadership		MSEd
Educational Studies	Teaching	Curriculum & Instruction (Elementary)	MSEd
Educational Studies	Teaching	Curriculum & Instruction (Secondary)	MSEd
Educational Studies	Teaching	Initial Licensure (Elementary)	MSEd
Educational Studies	Teaching	Initial Licensure (Secondary)	MSEd
Educational Studies	Teaching	Non Licensure	MSEd
Educational Studies	Teaching	Interdisciplinary	MSEd
Educational Studies	Teaching	Initial Licensure - K-12	MSEd
Educational Studies	Integrated Studies	K-6 Licensure	BSEd
Educational Studies	Integrated Studies	4-8 Licensure	BSEd
Educational Studies	Integrated Studies	Pre K-3 Licensure	BSEd
Educational Studies	Secondary Education	Secondary Biology	BSEd
Educational Studies	Secondary Education	Secondary Business	BSEd
Educational Studies	Secondary Education	Secondary Chemistry	BSEd
Educational Studies	Secondary Education	Secondary Earth & Space Science	BSEd
Educational Studies	Secondary Education	Secondary Economics	BSEd
Educational Studies	Secondary Education	Secondary English	BSEd
Educational Studies	Secondary Education	Secondary French	BSEd
Educational Studies	Secondary Education	Secondary Geography	BSEd
Educational Studies	Secondary Education	Secondary Government	BSEd
Educational Studies	Secondary Education	Secondary History	BSEd
Educational Studies	Secondary Education	Secondary Mathematics	BSEd
Educational Studies	Secondary Education	Secondary Spanish	BSEd
Educational Studies	Special Education	P-12 Modified Licensure	BSEd
Health & Human Performance	Health & Human Performance	Exercise Science & Wellness	BSHHP
Health & Human Performance	Health & Human Performance	Health and Human Performance Generalist	BSHHP
Health & Human Performance	Health & Human Performance	Licensure K-12	BSHHP
Health & Human Performance	Health & Human Performance	Sport Management	BSHHP
Nursing	Nursing		BSN
Interdisciplinary Studies, Office of	Individualized		BIS

## UTM Comprehensive List of Academic Programs - June 2015

College of Engineering & Natural Sciences			
Department	Major	Submajor	Degree
Biological Sciences	Biology	Cell & Molecular Biology	BS
Biological Sciences	Biology	Organismal Biology	BS
Biological Sciences	Biology	Ecology & Environmental Biology	BS
Chemistry & Physics	Chemistry		BS
Chemistry & Physics	Chemistry		BSCH
Computer Science	Computer Science		BS
Engineering	Engineering	Civil	BSE
Engineering	Engineering	Electrical	BSE
Engineering	Engineering	Industrial	BSE
Engineering	Engineering	Mechanical	BSE
Mathematics & Statistics	Mathematics	General Mathematics & Statistics	BA
Mathematics & Statistics	Mathematics	Mathematics	BA
Mathematics & Statistics	Mathematics	Secondary Mathematics	BA
Mathematics & Statistics	Mathematics	Statistics	BA
Mathematics & Statistics	Mathematics	General Mathematics & Statistics	BS
Mathematics & Statistics	Mathematics	Mathematics	BS
Mathematics & Statistics	Mathematics	Secondary Mathematics	BS
Mathematics & Statistics	Mathematics	Statistics	BS
College of Humanities & Fine Arts			
Department	Major	Submajor	Degree
Communications	Communications	Broadcasting	BA
Communications	Communications	Broadcasting	BS
Communications	Communications	News Editorial	BA
Communications	Communications	News Editorial	BS
Communications	Communications	Public Relations	BA
Communications	Communications	Public Relations	BS
Communications	Communications	Media Design	BA
Communications	Communications	Media Design	BS
Communications	Strategic Communications		MASC
English & Modern Foreign Languages	English	Literature	BA
English & Modern Foreign Languages	English	Writing	BA
English & Modern Foreign Languages	English	Secondary English Education	BA
English & Modern Foreign Languages	French		BA
English & Modern Foreign Languages	Spanish		BA
History & Philosophy	History		BA
History & Philosophy	History		BS
History & Philosophy	Philosophy		BA
Music	Music		BAM
Music	Music	Music Education	BM
Music	Music	Performance	BM
Visual and Theatre Arts	Fine and Performing Arts	Studio- Graphic Design	BFA
Visual and Theatre Arts	Fine and Performing Arts	Studio- Theatre	BFA
Visual and Theatre Arts	Fine and Performing Arts	Studio- Visual Art	BFA
Visual and Theatre Arts	Fine and Performing Arts	Teaching - Art Education	BFA
Visual and Theatre Arts	Fine and Performing Arts	Teaching - Dance Education	BFA

**ACADEMIC PROGRAM SUMMARY  
JUNE 1980 - JUNE 2015**

<b>Campus</b>	<b># of Programs Terminated/Inactivated</b>	<b># of Programs Approved/Reactivated</b>
UTC	19	28
UTK	92	31
UTM	24	12
UTHSC	19	21
<b>Total</b>	<b>154</b>	<b>92</b>

**The University of Tennessee System  
Academic Program Terminations & Inactivations  
June 1980 –June 2015**

<b>UT Chattanooga</b>		
<b>Program</b>	<b>Action</b>	<b>Date</b>
BS with a Major in Office Administration	Terminate	10/83
Certificate in Office Administration	Terminate	10/83
BS with a major in Health & Physical Education	Terminate	2/84
BA with a major in Social Work	Terminate	6/85
BS with a major in Early Childhood & Elementary Education	Terminate	6/91
BA with a major in American Studies	Terminate	6/96
MPT in Physical Therapy	Terminate	6/02
BOT in Occupational Therapy	Terminate	6/03
BS with a major in Medical Technology	Terminate	6/08
BS with a major in Human Ecology	Terminate	6/08
BS with a major in Foreign Language Education K-12	Terminate	6/10
BS with a major in Theatre Education	Terminate	6/10
BS with a major in Secondary Mathematics	Terminate	6/10
BS with a major in Secondary Natural Sciences	Terminate	6/10
BS/BM with a major in Music Education	Terminate	6/10
Graduate Certificate in Rhetoric & Writing	Inactivate	6/15
BA with a major in Psychology	Inactivate	6/15
Graduate Certificate in Internet Application Programming	Inactivate	6/15
Graduate Certificate in Computer Networking	Inactivate	6/15
<b>Total Terminations/Inactivations: 19</b>		

<b>UT Knoxville</b>		
<b>Program</b>	<b>Action</b>	<b>Date</b>
2 year Certificate in Office Administration	Terminate	1/81
MS with a major in Radiation Biology	Terminate	6/81
PhD with a major in Radiation Biology	Terminate	6/81
MS with a major in Economics	Terminate	6/82
MACT with a major in Economics	Terminate	6/82
MACT with a major in History	Terminate	6/82
BSHE with a major in Interior Design & Housing	Terminate	2/83
MACT with a major in Chemistry	Terminate	2/83
MACT with a major in Biology	Terminate	2/83
BSBA with a major in Office Administration	Terminate	2/83
BSBA with a major in Business Education	Terminate	2/83

p. 1 Terminations/Approvals List updated 6/4/2015

MS with a major in Distributive Education	Terminate	6/83
MA with a major in Art	Terminate	6/83
MACT with a major in Physics	Terminate	6/83
MACT with a major in Sociology	Terminate	6/83
BSBA with a major in Banking	Terminate	6/83
BSBA with a major in Insurance	Terminate	6/83
BSBA with a major in Real Estate & Urban Development	Terminate	6/83
BAHE with a major in Interior Design and Housing	Terminate	2/83
MS with a major in Engineering Administration	Terminate	10/83
MACT with a major in English	Terminate	6/84
MACT with a major in Business Education	Terminate	6/84
PhD with a major in Health Education	Terminate	6/84
MACT with a major in German	Terminate	10/84
Certificate in Asian Studies	Terminate	10/84
DBA in Business Administration	Terminate	6/85
MA with a major in Mathematics	Terminate	9/85
BSHE Coordinated UG program in Dietetics	Terminate	10/86
PhD with a major in Spanish	Terminate	10/86
PhD with a major in German Languages and Literature	Terminate	10/86
ME with a major in Mechanical Engineering	Terminate	1/87
ME with a major in Aerospace Engineering	Terminate	1/87
ME with a major in Industrial Engineering	Terminate	1/87
BSEd with a major in Elementary Physical Education (K-8)	Terminate	1/87
BSEd with a major in Secondary Physical Education (7-12)	Terminate	1/87
MA with a major in Speech & Theatre	Terminate	6/87
ME with a major in Nuclear Engineering	Terminate	6/87
BSAg with a major in Agricultural Mechanization	Terminate	9/88
MS with a major in Adult Education	Terminate	6/89
MS with a major in Business Education	Terminate	6/89
MS with a major in Industrial Education	Terminate	6/89
BSED with a major in Dance	Terminate	6/89
ME with a major in Civil Engineering	Terminate	9/89
ME with a major in Electrical Engineering	Terminate	9/89
MS with a major in Food Science	Terminate	10/90
BSEd with a major in Elementary Education	Terminate	6/91
MS with a major in Music Education	Terminate	6/92
MA with a major in Music	Terminate	6/93
MS with a major in Art Education	Terminate	6/93
MS with a major in Food Service & Food Lodging Administration	Terminate	6/96
EdD with a major in Health Education	Terminate	6/96
MS with a major in Human Ecology	Terminate	6/96

p. 2 Terminations/Approvals List updated 6/4/2015

EdD with a major in Human Resource Development	Terminate	6/96
MS with a major in Interior Design	Terminate	6/96
EdS with a major in Safety Education and Service	Terminate	6/96
EdS with a major in Vocational Education and Service	Terminate	6/96
MS with a major in Interior Design	Terminate	6/97
MS with a major in Human Ecology	Terminate	6/97
MS with a major in Food Service & Lodging Administration	Terminate	6/97
EdD with a major in Health Education	Terminate	6/97
EdD with a major in Human Resource Development	Terminate	6/97
BS with a major in Biochemistry	Terminate	6/98
MS with a major in Zoology	Terminate	6/98
MS with a major in Rehabilitation Counseling	Terminate	6/98
PhD with a major in Agriculture Economics	Terminate	6/01
BSEd with a major in Business/Marketing Education	Terminate	6/01
PhD with a major in Biomedical Sciences	Terminate	3/03
BSHE with a major in Business/Marketing Education	Terminate	3/03
BSHE with a major in Child Development	Terminate	6/03
BSEd with a major in Human Services	Terminate	6/03
MS with a major in Botany	Terminate	6/05
PhD with a major in Botany	Terminate	6/05
BSHE with a major in Community Health Education	Terminate	6/05
MS with a major in Health Promotion & Health Education	Terminate	6/05
BSBA with a major in Business Studies	Terminate	6/06
PhD with a major in Industrial Organizational Psychology	Terminate	6/08
BA with a major in Audiology	Terminate	10/08
BA with a major in Speech Pathology	Terminate	10/08
MA with a major in Speech Pathology	Terminate	10/08
AuD with a major in Audiology	Terminate	10/08
PhD with a major in Speech and Hearing Science	Terminate	10/08
MS with a major in Safety Education, Instructional Technology, Health & Cultural Studies	Terminate	6/09
MSP with a major in Planning	Terminate	6/09
BA Individualized Program	Terminate	6/11
BS with a major in Engineering Physics	Terminate	6/11
MS with a major in Polymer Engineering	Terminate	6/12
PhD with a major in Polymer Engineering	Terminate	6/12
PhD with a major in Health Behavior & Health Education	Inactivate	6/15
Graduate certificate in Public Health Leadership	Inactivate	6/15
Graduate certificate in Sustainability Science	Inactivate	6/15
Graduate certificate in Global Disaster Nursing	Inactivate	6/15
Graduate certificate in Global Disaster Studies	Inactivate	6/15

<b>Total Terminations/Inactivations: 92</b>		
---	--	--

<b>UT Martin</b>		
<b>Program</b>	<b>Action</b>	<b>Date</b>
BSN with a major in Nursing	Terminate	6/80
BSBA with a major in Business – Foreign Studies	Terminate	10/83
Master of Accountancy with a major in Accounting	Inactivate	2/84
BSCJ with a major in Criminal Justice (external)	Terminate	6/84
BSBA with a major in Health Service Management	Inactivate	10/84
BSBA with a major in Business Education	Terminate	1/85
MBA with a major in Business Administration	Inactivate	6/85
BSAg with a major in Agriculture Education	Terminate	6/85
BSHE with a major in Home Economics Education	Terminate	6/87
BSBA with a major in Health Services Management	Terminate	6/89
BSED with a major in Early Childhood Education	Terminate	6/91
AAN with a major in Nursing	Terminate	2/90
MSEd with a major in Educational Psychology & Guidance	Inactivate	6/92
MSEd with a major in Educational Administration & Supervision	Inactivate	6/92
BSEd with a major in Secondary Physics	Terminate	6/94
BA with a major in Biology	Terminate	6/95
BSEd with a major in Art Education	Terminate	6/96
BS in Public Administration with a major in Public Administration	Terminate	6/97
BSEd with a major in Secondary German	Terminate	6/98
BS with a major in Music	Terminate	6/01
BA with a major in Economics	Terminate	3/03
BS with a major in Economics	Terminate	3/03
Master of Accountancy (MAc)	Terminate	6/06
BS with a major in Health Sciences	Terminate	6/09
<b>Total Terminations/Inactivations: 24</b>		

<b>UT Health Science Center</b>		
<b>Program</b>	<b>Action</b>	<b>Date</b>
1 year Certificate for Nurse Practitioners	Terminate	10/80
BS with a major in Radiation Technology	Terminate	1/81
Certificate in Microbiology	Terminate	6/82
BS with a major in Pharmacy	Terminate	10/83
Certificate in Clinical Immunohematology	Terminate	6/89
MS with a major in Drug & Material Toxicology	Terminate	6/89
PhD with a major in Drug & Material Toxicology	Terminate	6/89

p. 4 Terminations/Approvals List updated 6/4/2015

PhD with a major in Health Science Administration	Inactivate	2/94
BA with a major in Biology	Terminate	6/95
MS with a major in Medicinal Chemistry	Terminate	6/96
MS with a major in Pharmaceuticals	Terminate	6/96
BS in Nursing with a major in Nursing	Inactivate	6/97
BS in Public Administration with a major in Public Administration	Terminate	6/97
BSPT with a major in Physical Therapy	Terminate	6/98
MPT with a major in Physical Therapy	Terminate	6/01
BOT with a major in Occupational Therapy	Terminate	10/03
BS with a major in Health Informatics	Terminate	6/09
BSN with a major in Nursing	Inactivate	6/11
BSHIIM with a major in Health Informatics & Information Management	Inactivate	6/12
<b>Total Terminations/Inactivations: 19</b>		

**The University of Tennessee System  
Academic Program Approvals and Reactivations  
June 1980 –June 2013**

UT Chattanooga		
Program	Action	Date
MS with a major in Computer Science	Approval	2/81
BSW with a major in Social Work	Approval	9/81
MA with a major in English	Approval	5/83
MPA with a major in Public Administration	Approval	7/85
MS with a major in Engineering Management	Approval	8/89
BS with a major in Physical Therapy	Approval	10/89
MSN with a major in Nursing	Approval	8/90
MAcc with a major in Accounting	Approval	8/92
BS with a major in Legal Assistant Studies	Approval	9/94
MS with a major in Environmental Science	Approval	7/96
BSOT with a major in Occupational Therapy	Approval	11/96
MS with a major in Athletic Training	Approval	7/97
EdS with a major in Advanced Educational Practice, Concentration in Educ Tech	Approval	7/98
DPT Physical Therapy	Approval	10/02
PhD with a major in Computational Engineering	Approval	10/03
EdD with a major in Learning & Leadership	Approval	6/04
MS Electrical Engineering with a major in Electrical Engineering	Approval	3/06

p. 5 Terminations/Approvals List updated 6/4/2015

MS Mechanical Engineering with a major in Mechanical Engineering	Approval	3/06
MS with a major in Athletic Training	Approval	10/08
MS with a major in Mathematics	Approval	2/09
BSCE with a major in Civil Engineering	Approval	6/09
BSChE with a major in Chemical Engineering	Approval	6/09
DNP with a major in Nursing Practice	Approval	6/10
Doctor of Occupational Therapy (DOT)	Approval	6/12
Bachelor of Integrated Studies (BIS)	Approval	6/12
MSW with a major in Social Work	Approval	6/15
MS with a major in Interior Design	Approval	6/15
MID with a major in Interior Design	Approval	6/15
<b>Total Approvals/Reactivations: 28</b>		
<b>UT Knoxville</b>		
<b>Program</b>	<b>Action</b>	<b>Date</b>
BSID with a major in Interior Design	Approval	7/80
BS with a major in Hotel & Restaurant Administration	Approval	7/80
PhD with a major in Food Technology & Science	Approval	5/81
MS with a major in Life Sciences	Approval	9/81
PhD with a major in Life Sciences	Approval	9/81
BS with a major in Biochemistry	Approval	3/84
BFA with a major in Graphic Design/Illustration	Approval	9/84
PhD with a major in Computer Science	Approval	1/86
PhD with a major in Modern Foreign Languages	Approval	7/86
BA with a major in Speech	Approval	4/87
BA with a major in Theatre	Approval	4/87
PhD with a major in Nursing (joint with Memphis)	Approval	7/88
MArch with a major in Architecture	Approval	8/92
BSCPE Computer Engineering	Approval	10/99
PhD with a major in Natural Resources	Approval	4/01
AuD with a major in Audiology	Approval	6/01
PhD with a major in Industrial Engineering	Approval	3/04
MS with a major in Computer Engineering	Approval	6/04
PhD with a major in Computer Engineering	Approval	6/04
MS with a major in Reliability & Maintenance Engineering	Approval	6/06
MALA with a major in Landscape Architecture	Approval	6/08
MLA with a major in Landscape Architecture	Approval	6/08
MSLA with a major in Landscape Architecture	Approval	6/08
BSAg with a major in Natural Resources & Environmental Economics	Approval	10/08
DNP with a major in Nursing Practice	Approval	6/10

PhD with a major in Energy Science and Engineering	Approval	10/10
DSW with a major in Social Work	Approval	6/11
MFA with a major in Creative Writing	Approval	6/14
BSAP with a major in Audiology & Speech Pathology	Approval	6/14
PhD with a major in Entomology, Plant Pathology & Nematology	Approval	6/15
Doctor of Public Health with a major in Public Health	Approval	6/15
<b>Total Approvals/Reactivations: 31</b>		

UT Martin		
Program	Action	Date
BSSW with a major in Social Work	Approval	2/81
BSN with a major in Nursing	Approval	7/99
Master of Accountancy with a major in Accounting	Reactivation	2/88
Master of Business Administration	Reactivation	2/88
BSEd with a major in Art Education	Approval	5/89
BFA with a major in Fine and Performing Arts	Approval	8/89
BA with a major in International Studies	Approval	8/92
BA with a major in Philosophy	Approval	11/92
MSEd with a major in Counseling (formerly titled Educational Psychology & Guidance)	Reactivate	6/94
BSE with a major in Engineering	Approval	7/96
MSAOM with a major in Ag Operations Management	Approval	10/00
MASC with a major in Strategic Communications	Approval	2/15
<b>Total Approvals/Reactivations: 12</b>		

UT Health Science Center		
Program	Action	Date
PhD with a major in Health Sciences Administration	Approval	11/87
PhD with a major in Nursing (joint with Knoxville)	Approval	7/88
MSPT with a major in Physical Therapy	Approval	2/90
Dual Pharm D and PhD	Approval	6/91
PhD with a major in Health Science Administration	Reactivate	2/95
MS with a major in Biomedical Engineering (joint with University of Memphis)	Approval	7/96
PhD with a major in Biomedical Engineering (joint with University of Memphis)	Approval	7/96
MS with a major in Epidemiology	Approval	7/97
DNSc with a major in Nursing	Approval	7/98

MSCLS with a major in Clinical Laboratory Sciences	Approval	7/98
ScDPT with a major in Physical Therapy	Approval	10/02
DPT with a major in Physical Therapy	Approval	3/03
MOT with a major in Occupational Therapy	Approval	10/03
BSN with a major in Nursing	Reactivate	10/03
MSHIIM with a major in Health Informatics & Information Management	Approval	10/05
MSCP with a major in Cytopathology Practice	Approval	10/05
MS with a major in Speech-Language Pathology	Approval	10/08
AuD with a major in Audiology	Approval	10/08
PhD with a major in Speech and Hearing Science	Approval	10/08
Master of Medical Science – Physician Assistant	Approval	11/12
BSN with a major in Nursing	Reactivate	6/14
<b>Total Approvals/Reactivations: 21</b>		

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: All

ITEM: **Authorization to Confer Degrees**

RECOMMENDATION: Approval

PRESENTED BY: Katherine N. High, Vice President for  
Academic Affairs and Student Success

The University's Charter, dating from the early 19<sup>th</sup> century, provides for degrees to be conferred on students at meetings of the Board of Trustees. Each year, the Board delegates to the President, the Chancellors, or another University official designated by the President, full authority to confer degrees at commencement ceremonies held during the coming year.

**MOTION:**

**That the President, the Chancellors, or another University official designated by the President be authorized to confer degrees at commencement ceremonies held during the time intervening between this meeting and the next annual meeting to be held in June 2016.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: UT Chattanooga

ITEM: **Honorary Bachelor's Degree**

RECOMMENDATION: Approval

PRESENTED BY: Steve R. Angle, Chancellor, UT Chattanooga

The Board of Trustees Policy on Awarding Honorary Degrees allows each campus to award up to three honorary degrees each year. In accordance with the Board policy, each campus developed specific procedures for nominating and considering honorary degrees. The nominating committee includes a representative faculty group, the campus Chancellor, and the Chair of the Academic Affairs and Student Success Committee of the Board. The awarding of honorary degrees must be approved by the Board of Trustees upon the recommendation of the President.

UT Chattanooga proposes to award an honorary bachelor's degree to Mr. Charles D. Cofield. Mr. Cofield was born in 1936 in LaGrange, Georgia. While working in the oil fields in Texas at age seventeen, he developed a process for producing a polypropylene rope to clean oil spills. He later donated this process to Texas Tech University. While a student at LaGrange College, he entered the carpet industry, working at Callaway Mills. He then went on to study chemistry at the University of Chattanooga and displayed a strong interest in and focus on practical applications of chemistry. He was inducted into the National Chemistry Honor Society while a student at the University of Chattanooga. His interests led him into the chemical industry before he completed his degree. Throughout his career, Mr. Cofield started and managed fifteen chemical companies.

Mr. Cofield's work ethic, character, science talents, interest in carpet manufacturing and related chemical processes, along with his problem solving skills, led to a successful and inspirational career. He has lectured in Europe, Asia, Africa and South America on the theory and practice of textile dyeing. He is the holder of numerous patents in the textile chemical field.

Mr. Cofield's work as an advocate of education and as a civil servant is also impressive. He has been involved with the Georgia Sheriff Association's *Youth Homes* program, he supports continuing education programs at schools, and he has served as a county water commissioner reflecting his commitment to environmental conservancy.

This proposal has been approved at all administrative levels and is in accordance with Board policy and campus procedures. Upon approval by the Board, the degree will be conferred at either the December 2015 or May 2016 commencement ceremony.

Supporting documentation on awarding an honorary degree to this outstanding individual is provided in the following materials.

**MOTION:**

**That an Honorary Bachelor's Degree from The University of Tennessee at Chattanooga be awarded to Mr. Charles D. Cofield.**



**Office of the Chancellor**  
101 Founders Hall, Dept. 5605  
615 McCallie Avenue  
Chattanooga, TN 37403-2598  
Phone: (423) 425-4141  
Fax: (423) 756-5559  
www.utc.edu

MEMORANDUM

TO: Dr. Joe DiPietro  
FROM: Dr. Steve Angle  
RE: Charles Cofield, UTC Honorary Bachelor's Degree  
DATE: May 27, 2015

On May 12, 2015 a UTC faculty committee met to review Mr. Charles Cofield as a candidate for an honorary bachelor degree from UTC, to be awarded at either the December 2015 or the May 2016 commencement ceremony. This meeting was held in accordance with the UT policy on awarding honorary degrees as adopted by the board in June, 1999 and revised in October 2002.

Committee members were:

Ms. Beverly Kutz, Library  
Dr. Joanie Sompayrac, College of Business  
Dr. Jennifer Boyd, Biological and Environmental Sciences  
Dr. Susan Davidson, Nursing and president of Faculty Senate  
Dr. Stephen Kuhn, Mathematics  
Ms. Terry Denniston- chief of staff to the chancellor  
Mrs. Vicky Gregg- UT Board of Trustee member (unable to attend)

Trustee Vicky Gregg was unable to attend the meeting but was aware of the proposal and has endorsed it. The committee reviewed Mr. Cofield's background and the intent of conferring this degree. It was the committee's unanimous opinion that he possesses outstanding qualities that make him a deserving recipient of this award.

The committee is recommending that an honorary bachelor degree be awarded to Mr. Charles Cofield and that the degree be called an honorary bachelor degree in Scientific Innovation and Entrepreneurial Leadership.

A copy of his resume is attached as well as other supporting documentation.

Encls.

Cc: Dr. Katie High  
Mrs. Vicky Gregg

April 30, 2015

University of Tennessee Chattanooga  
Honorary Degree Committee  
615 McCallie Ave  
Chattanooga, TN 37402

Dear Committee Members:

Please accept this letter as a testament to my support for Mr. Charles D. Cofield to be bestowed with an honorary undergraduate degree from the University of Tennessee at Chattanooga. My case for support of Mr. Cofield as a deserving recipient of this honor is summarized below.

Mr. Cofield was born in 1936 in Troup County LaGrange Georgia and started working weekends at the age of twelve. He was an Eagle Scout. He graduated from LaGrange High School in 1954. While working in the oil fields in Texas at seventeen, he developed a process for producing a polypropylene rope to clean oil spills. This process he later donated to Texas Tech University. A few years later, Mr. Cofield entered the carpet industry while working a job at Callaway Mills during his time as a student at LaGrange College. He also served his country in the United States Army. He studied chemistry at the University of Chattanooga under Professor Irvine Grote. He displayed a strong interest and focus on practical applications of chemistry. While many chemists may go on to work for different chemical companies, Mr. Cofield was unique in that he went on to *start and manage* multiple different chemical companies. His practical interests led him into the chemical industry before he completed his degree at the University of Chattanooga (UC). However, his scholastic achievement at UC was indicated by being inducted into the National Chemistry Honor Society (Gamma Sigma Epsilon). He also studied at Arkansas A&M.

His work ethic, character, science talents, interest in carpet manufacturing and related chemical processes, along with his problem solving skills led to a successful and inspirational career. Charles Cofield has founded fifteen businesses. He has successfully divested from many while he remains the owner of seven. While vice president of Technology and Technical Manager of Tanatex (Sybron Corporation) Lyndhurst, New Jersey, he traveled to Europe, Asia, Africa and South American where he lectured on the theory and practice of textile dyeing. He is the holder of numerous patents in the textile chemical field.

In addition to the above, his work as an advocate of education and as a civil servant is also quite impressive. From his involvement with the Georgia Sheriff Association's *Youth Homes* program to his support of continuing education programs at a variety of schools, Mr. Cofield has dedicated much of his time and resources toward developing the potential of our country's youth. He and his wife, Bernita, serve on multiple community boards. He is a former county water commissioner, a position fostered by his commitment to environmental conservancy.

Without question he is an innovative leader in the application of chemistry in the business world. He is a model for students who wish to find their own success as he did – through hard work, determination, creativity and a desire to make the world, and those around him, better. He is an outstanding candidate to receive an honorary undergraduate degree from UTC, and I respectfully submit his name for consideration.

Sincerely,

A handwritten signature in cursive script that reads "Tom Rybolt".

Tom Rybolt, Professor and Head  
Department of Chemistry  
University of Tennessee—Chattanooga

### **Charles D. Cofield**

Born August 8, 1936 in Troup County LaGrange, Georgia.

Parents - Effie Thompson Cofield graduate of UTK and William D. Cofield graduate of UGA (both deceased)

One brother, James Cofield - deceased - One sister, Florence Cofield - deceased.

Married to Bernita Pritchett Cofield - wife of 40 years ( 1st wife Barbara Stewart Cofield - deceased)

Four children: Stewart Cofield, Steve Cofield - deceased, Sherri Cofield Kroll and Christopher Norwood

Five grandchildren: Alexander (14), Nathaniel (12) and Eliza Kroll (10), Pritchett (5) & Caitlyn (3)

Norwood

#### **Education:**

Graduated from LaGrange High School in 1954

Letterman in Football, Golf and Track - 4 years - All State 2nd & 3rd Year - All American (HM) 4th year

Attended LaGrange College for 1 year. His interest in carpet began when he took a job at Callaway Mills while a student at LaGrange College. Inspired by his experience, he moved to Lafayette, Georgia in 1960 and matriculated to the University of Chattanooga in 1961. There he distinguished himself in his focus on the practical applications of science and studied chemistry under Professor Irvin Grote. Professor Grote remarked that he knew Charles would go into business, rather than work on a bench like the average Chemist. Charles also studied at- Arkansas A&M

Scholastic achievements of merit - Gamma Sigma Epsilon - Honor Society

#### **Career**

Charles was born poor and went to a camp for underprivileged children. He became an Eagle Scout.

Charles began working at the age of twelve on weekends in his father's wholesale grocery store & retail.

While working in oil fields at the age of seventeen, he developed a process for producing a polypropylene rope to clean oil spills. He later donated the process to Texas Tech.

Charles started in the carpet industry in 1958 the year of advent of bulk continuous filament nylon.

1964 - Division Technical Director of Burlington Industries

1967 - VP of Technology -Technical Manager of Tanatex (Sybron Corporation) Lyndhurst, New Jersey

Traveled to Europe, Asia, Africa and South America where he lectured on the theory and practice of textile dyeing/polyester.

Charles is also the holder of numerous patents in the textile chemical field.

1972 - Joined Constellation Dyeing and Finishing as President to aid in the company restructuring.

Constellation was at that time the largest dye-house in the world.

1973 - Group President of Chromalloy America

1976-1986 - Began his own business American Emulsions

1986 - Sold American Emulsions to RPM

1986 - Retired

1987 - Back in Business - Retired again in 1999

1999 - Started his new business - Star Holdings - Current business ArrowStar, LLC, StarChem, LLC, StarChem, Inc. and StarChem, S.A. Honduras Charles is the Chairman and CEO.

2014 - Sold Polystar, LLC to Cargill

Founder of fifteen businesses - divested six - currently owns seven.

Served in the United States Army 1959-1960 - Rank E5

**Community Service:**

Church affiliation - Rockbridge Community Church & the 1st Baptist Church of Chatsworth

Georgia Sheriff Association - Youth Homes

Continuing education programs of various schools

Abbotsford Wildlife Foundation

Member of Alexis de Tocqueville Society

Previously served as County Water Commissioner

Chairman of the Joint Development Authority for Murray and Whitfield County, Georgia

His wife Bernita serves on four community boards as well.

Charles considers his main accomplishment as being able to make the life of others better.

**Personal goals** - Never, never stop learning and to leave the world a better place.

**Business philosophy** - All transactions must be equitably beneficial to all involved parties

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: UT Chattanooga

ITEM: **Proposal for a Program of Study Leading to the Degree of Master of Social Work**

RECOMMENDATION: Approval

PRESENTED BY: India Lane, Assistant Vice President  
Academic Affairs and Student Success

36

UTC has developed a full curriculum proposal for the Master of Social Work degree program.

The MSW degree at UTC will complement others in the state by providing a niche program for working adults seeking advanced social work training to meet the needs of the aging adult. The program will be delivered as a hybrid evening program and can be completed in one to two years, depending on the level of prior education and experience of the student.

This new graduate program is part of a strategic approach to program development at UTC and is well aligned with other UTC programs and the UTC mission. The MSW program builds on a revitalized, fully accredited undergraduate program and existing faculty expertise and is focused to match Chattanooga area needs and priorities in the aging population.

Two needs assessments, an external evaluation, and extensive departmental planning have contributed to this final proposal. The evaluator's concluding statements best summarize the advantages of this proposed program:

*The program has a sound foundation, its mission meshes quite well with the institutional mission to meet the diverse needs of the region through strategic partnerships and community involvement, the concentration focus on service to*

*older adults meets a significant community need, and the adapted program delivery model, utilizing evening courses, avoids duplication and provides an affordable, accessible MSW alternative for persons living in the community and region who are not in a position to relocate.*

*I strongly recommend without reservations that the MSW proposal be approved and that implementation proceed immediately.*

The MSW program proposal is presented today with the full support of the UTC faculty, Chancellor Angle, the Vice President for Academic Affairs and Student Success, and President DiPietro. Upon approval by the Board of Trustees, the program will be submitted to THEC for approval in July.

#### **MOTION**

**That the Master of Social Work degree program at UT Chattanooga be approved.**

THE UNIVERSITY OF TENNESSEE

PROPOSAL FOR THE INITIATION OF A  
NEW DEGREE PROGRAM

Submitted by  
The University of Tennessee Chattanooga  
Institution Submitting the Proposal

January 15, 2015  
Date of Submission

College of Health Education and Professional Studies  
Work  
Name of College, School, or Division  
Department/Academic Unit

Department of Social  
Name of

A NEW PROGRAM LEADING TO THE DEGREE OF:

Master of Social Work  
Title of Degree as on Diploma

Social Work  
Title of Major

44.0701  
CIP/THEC Code

MSW  
Formal Degree Abbreviation

Master of Social Work  
Degree Designation on Student's Transcript  
(Indicate how degree, major, etc. will be recorded)

Fall 2016  
Proposed Starting Date

**ABSTRACT**

---

Institution: The University of Tennessee Chattanooga

Division/Department: College of Health Education and Professional Studies

Program leading to a Degree of: Master of Social Work with a Major in Social Work

With sub-majors in: N/A

Proposed Start-Up Date: Fall 2016 Total Credit Hours Required for Major: 60 semester hours

New Courses Proposed:

*Core Courses*

- Theory and Practice I (3 credit hours)
- Theory and Practice I Lab (1 credit hour)
- Social Policy (3 credit hours)
- Social Work Research I (3 credit hours)
- Foundation Field Education I (4 credit hours)
- Foundation Field Seminar I (1 credit hour)
- Theory and Practice II (3 credit hours)
- Theory and Practice II Lab (1 credit hour)
- Social Work Research II (3 credit hours)
- Theories of Aging and Development (3 credit hours)
- Foundation Field Education II (4 credit hours)
- Field Seminar II (1 credit hour)
- Advanced Practice with Aging Families I (3 credit hours)
- Mental Health and Substance Abuse Services across the Life Span (4 credit hours)
- Program Evaluation I (3 credit hours)
- Advanced Field Education I (4 credit hours)
- Advanced Field Seminar (1 credit hour)
- Advanced Practice with Aging Families II (3 credit hours)
- Leadership and Administration in Social Work (4 credit hours)
- Program Evaluation II (3 credit hours)
- Advanced Field Education II (4 credit hours)
- Advanced Field Seminar II (1 credit hour)
- Advanced Standing Seminar I (3 credit hours)\*
- Advanced Standing Seminar II (3 credit hours)\*

Number of New Courses: 24\*

Number of New Course Credit Hours: 66 credit hours\*

\* Advanced Standing Seminar I & II are for students entering the program with a BSW degree as they are eligible for admission directly into the advanced (2<sup>nd</sup>) year of the program upon completion of the summer seminar courses.

**ESTIMATED HEADCOUNT ENROLLMENT, GRADUATES, AND FACULTY FOR NEW MSW PROGRAM<sup>1</sup>**

Year	Fall Full-Time Headcount	Spring Full-Time Headcount	Summer Full-Time Headcount	Attrition Rate 5%	Graduates	FTE Faculty	
						Current	New
1	20	20	--	-1	--	3	0
2	44	44	0	-2	18	3	2
3	49	49	12*	-3	22	5	1
4	58	58	12	-4	33	6	0
5	58	58	12	-4	33	6	0

Costs of Program:**	Year 1: \$325,142
	Year 2: \$521,487
	Year 3: \$629,212
	Year 4: \$658,145
	Year 5: \$683,475

Tuition revenue generated based on in-state rates:	Year 1	\$184,100
	Year 2	\$415,605
	Year 3	\$476,967
	Year 4	\$693,051
	Year 5	\$727,870

\*\* CSWE requires MSW programs to have at least six faculty members. Programs can begin with three faculty members in year one of candidacy, then must add two faculty members the second year, and a final faculty member in year three. Three of the existing BSW faculty will be used to offer the initial year of the MSW. In the second year, two additional faculty members will be hired, and a final faculty member will be added in year three. Operating expenses for year one are a part of the existing Social Work Department budget. An increase in operating expenses will occur in subsequent years as new faculty members are added.

Accrediting Organization (if applicable): Council on Social Work Education (CSWE)

Target Date for Accreditation: Spring 2016 for Initial Candidacy & Spring 2020 for Initial Accreditation

<sup>1</sup> Enrollment based on admission of 20 students in year one and 25 students thereafter. A 5% attrition rate has been applied for each year. Beginning in year three, the program will admit 12 Advanced Standing Students each summer. Students with a BSW from a program accredited by CSWE are eligible to enter the advanced year of the MSW program after completing two advanced standing summer seminars.

\*Advanced Standing Students

## PROPOSAL FOR INITIATION OF NEW DEGREE PROGRAM

### MASTER OF SOCIAL WORK

#### BACKGROUND INFORMATION

The Department of Social Work at the University of Tennessee at Chattanooga is seeking to develop a Master of Social Work Degree (MSW). The proposed degree would fall under CIP category 44.0701—Social Work. The target start date for the first class would be Fall 2016.

The proposed MSW will meet the growing need for qualified candidates with advanced social work training to provide professional services to older adults in the Southeast region of Tennessee. Advanced practice at the MSW level refers to refined training of candidates for use of social work knowledge, values, and skills in specialized areas of practice. Advanced social work practice encompasses knowledge and methods of engagement, assessment, intervention, and evaluation used in non-profit, for-profit, public, and private social service settings to promote the well-being of targeted populations. The knowledge and skills learned in an MSW program are used across a wide variety of career fields, including medical social worker, hospice social worker, clinical social worker, volunteer coordinator, group home manager, and nephrology social worker.

Social work requires a strong knowledge of human behavior theory; the ability to critically analyze multiple sources of knowledge including principles of logic, scientific inquiry, and practice wisdom; and then to use that information to choose the most effective interventions to help individuals, families, groups, and communities in need of services to enhance their well-being. The MSW will be a professionally oriented, evening program designed to prepare working adults for advanced social work practice with older adults in the Southeast Tennessee region.

#### PROGRAM DESCRIPTION

##### **Mission**

The University of Tennessee at Chattanooga is an engaged, metropolitan university committed to excellence in teaching, research, and service, and dedicated to meeting the diverse needs of the region through strategic partnerships and community involvement. In fulfilling its mission, it is dedicated to three core values. The value of preparing for the future encompasses the development of ethically and socially responsible leaders, professionals, scholars, and citizens, as well as the creation of opportunities for those who seek truth, knowledge, and quality of life. The value of education and engagement is characterized by excellence in teaching within a student-focused, supportive, and challenging environment; achievement and national recognition in research, scholarship, and creative endeavors; and effective partnerships that provide meaningful involvement in educational, economic, and community development. The third core value, positive institutional environment, is defined by the following characteristics: a collegial, mutually respectful, and professionally rewarding environment; broad diversity of people and ideas to strengthen the institution and community; and reasonable and affordable access to higher education.

In support of the Institutional Mission, the UTC strategic plan is guided by a pledge handed down from generation to generation—"We shall achieve." This simple yet bold statement tells the world what to expect from the UTC campus. Much emphasis is placed, appropriately so, on the achievement element of this phrase. Equally important, however, is the subject "we" – the notion that we can do more by working together than by working separately. In a word, partnerships. Strategic planning must build upon a legacy of achievement and combine with a commitment to academic excellence, innovation, and service. It is a vital, continuous process that contributes to the future success of the university. As the university looked at strengths, weaknesses, and challenges, several themes repeatedly emerged—strong emphasis on student interaction, a commitment to experiential learning, a close relationship with the community and region, and a dedication to alumni. Partnerships became the foundation of the university's strategic plan, and from that single idea came a series of strategic directives and action steps: partnerships between departments; partnerships between faculty members; partnerships between students and faculty members; and partnerships between the campus and the community.

The main strategic directives from the plan are:

1. Partnerships for Students: Teaching and Learning
2. Partnerships for Education and Research
3. Partnerships for Diversity
4. Enabling Partnerships

Within each of these directives a series of measurable action steps has been developed. Committees have been formed to promote and monitor the progress of each action step and to develop other appropriate measurable outcomes. Another university committee has taken up the task of aligning budgeting priorities with planning initiatives.

UTC's strategic plan calls for UTC to grow in enrollment, including among the best academically prepared students in Tennessee. The strategic plan calls upon the campus to grow in externally funded research and to address resources and teaching loads to accommodate this. This plan challenges the campus to discover programs that will bring us national distinction and help us become a major institution of higher education.

In education, in research, and in service, the university can find a pathway to excellence through partnerships. Thanks to the outstanding work of everyone who offered their support and input to this plan, UTC believes it has found that path. The MSW degree seeks to help propel the university toward excellence through partnerships by collaborating with agencies to meet the social service needs of older adults in the region. In preparing a focused group of social workers for advanced practice with older adults, the proposed program is closely aligned with UTC's responsibility to develop cutting edge academic offerings, build and participate in strategic partnerships, and become deeply involved in the community (Kronely & Associates, 2014).

Consistent with Tennessee Higher Education Commission's 2010-2015 State Master Plan, the Complete College Tennessee Act 2010, and the Academic Program Supply and Occupational

Demand Projections: 2008-2018 an MSW at UTC will meet the increasing need for master's level social workers to provide services to older adults within this region of Tennessee. Additionally, the proposed program will build upon the UTC strategic focus of working together with community agencies to address the social service needs of older adults in the Chattanooga region in partnership.

## CURRICULUM

### Academic Focus and Program Learning Outcomes

The proposed degree program emphasizes professional advanced practice, as opposed to a research, degree program. The Council on Social Work Education (CSWE) defines a graduate program in social work as one that prepares students for advanced practice. Advanced practice refers to refined training of candidates for use of social work knowledge, values, and skills in specialized areas of practice. Advanced social work practice encompasses knowledge and methods of engagement, assessment, intervention, and evaluation used in non-profit, for-profit, public, and private social service settings to promote the well-being of targeted populations. The curriculum will be developed to meet the needs of employers using the recommendations from the independent needs assessment, feedback from the UTC Social Work Professional Advisory Board, and information obtained from the CSWE Commission on Accreditation guidelines for education policy and accreditation standards for competent social work practice.

Based on the findings of the independent needs assessment, the UTC Social Work Advisory Board, and the CSWE Commission on Accreditation, the MSW program at UTC will prepare students for *social work with older adults* by training them for advanced practice as defined by the following CSWE competences:

1. Professional Identity - learn to serve as a representative of the profession, its mission, and its core values. Know the profession's history. Commit to professional enhancement and continued personal growth and conduct.
2. Apply Social Work Ethical Principles to Guide Professional Practice—become knowledgeable and make practice decisions rooted in the value base, ethical standards of practice, and relevant law guiding work with clients.
3. Apply Critical Thinking to Inform and Communicate Professional Judgments—use critical thinking, augmented by creativity and curiosity, to make practice decisions based on multiple sources of knowledge, synthesize and apply that information, then communicate decisions effectively.
4. Engage Diversity and Difference in Practice—Understand and appreciate the intersections of multiple dimensions of diversity and how differences shape human experiences.
5. Advance Human Rights and Social and Economic Justice—Recognize that every person, regardless of position in society, has basic human rights. Incorporate social justice

principles in practice in organizations, institutions, and society to ensure basic human rights for all social work clients.

6. Engage in Research-Informed Practice and Practice-Informed Research—use practice experience to inform research, use evidence-based interventions, evaluate practice outcomes, and use findings to improve practice with future clients.
7. Apply Knowledge of Human Behavior and the Social Environment—develop and apply knowledge of human behavior theory across the life course, the range of systems in which people live, and the ways social systems promote or deter people in enhancing their physical, social, emotional, and spiritual well-being.
8. Engage in Policy Practice—understand how policy affects service delivery and actively engage in policy practice to ensure effective and efficient service delivery for clients.
9. Respond and Shape Contexts that Shape Practice—stay informed and work to improve the organizational, community, and society contexts that influence practice.
10. Engage, Assess, Intervene, and Evaluate Practice with Individuals, Families, Groups, Organizations, and Communities—learn to grasp and demonstrate the dynamic and interactive processes of practice with individuals, families, groups, organizations, and communities as clients. Identify, analyze, and implement evidence-based interventions to achieve client goals; using research and technological advances; evaluating program outcomes and practice effectiveness; developing, analyzing, advocating, and providing leadership for policies and services; and promoting social and economic justice.

### **Course Delivery Format**

Responding to the findings from the independent needs assessment completed by Kronely and Associates, the MSW program at UTC will provide coursework to meet the needs of adult students. Prospective students, advisory board members, and others interviewed emphasized the need for face-to-face course work that meets in the evenings that incorporates the use of technology to deliver the curriculum to adult students. In order to maximize to the program for students in the region, all required courses will be offered through personalized, face-to-face evening courses paired with online and hybrid delivery as appropriate for the course material.

### **Required Degree Hours**

60 credit hours (Two-Year Program)

36 credit hours (Advanced Standing Program)

MSW programs accredited by the Council on Social Work Education (CSWE) offer two pathways for students to earn their MSW degree. Students with undergraduate degrees from fields such as psychology, education, nursing, English, or math complete a two-year program where the first year of courses provides a foundation for social work knowledge, values, and

skills, followed by a second year for concentrating on advanced practice methods for specific populations.

Students with a BSW degree from an undergraduate program accredited by the CSWE are eligible to enter directly into the advanced year of the MSW program after completing two courses in the summer prior to beginning the advanced year. The rationale from CSWE for this pathway is that students with a BSW from an accredited program already have a foundation from their undergraduate courses. The two courses in the summer allow MSW programs to bridge information needed for entrance into the advanced year and prepare students for the rigors of graduate study. This pathway in social work is commonly referred to as Advanced Standing. The MSW program at UTC will only provide admission into Advanced Standing for 12 students in each cohort. The program will admit the first cohort of advanced standing students after graduating the first cohort of students in the two-year program as required by the Council on Social Work Education.

**Program Course Requirements**

Students will complete required coursework in 4 primary areas within the curriculum. The courses are specifically prescribed to meet the accreditation standards for CSWE in preparing advanced competent social work practitioners.

Primary Areas of the Curriculum

- Theory and Practice
- Policy, Leadership, and Administration
- Social Work Research and Program Evaluation
- Field Education

Proposed Courses for the Two-Year Program (60 credit hours) for Students without BSW

<b>Year One</b>	
<u>Fall Semester</u>	<u>15 hours</u>
Theory and Practice I	3 hours
Theory and Practice I Lab	1 hour
Social Policy I	3 hours
Social Work Research I	3 hours
Foundation Field Education I	4 hours
Field Seminar I	1 hour
<u>Spring Semester</u>	<u>15 hours</u>
Theory and Practice II	3 hours
Theory and Practice II Lab	1 hour
Social Work Research II	3 hours
Theories of Aging and Development	3 hours
Foundation Field Education II	4 hours
Field Seminar II	1 hour

**Appendix A**  
**UTC Financial Estimate Worksheet**  
**Master of Social Work (MSW)**

	Year 1	Year 2	Year 3	Year 4	Year 5
	2015-2016	2016-2017	2017-2018	2018-2019	2017-2018
<b>I. Expenditures</b>					
<b>A. One-Time Expenditures</b>					
Accreditation Fees	7,298	0	0	0	5,794
Accreditation Costs	6,500	6,500	6,500	6,500	0
Consultants	2,500	0	0	0	0
Other	0	0	0	0	0
Sub-Total One-Time	16,298	6,500	6,500	6,500	5,794
<b>B. Recurring Expenditures</b>					
Faculty*	0	130,498	195,747	195,747	195,747
Benefits	0	54,809	82,213	82,213	82,213
Clerical/Support	0	0	0	0	0
Benefits	0	0	0	0	0
Grad Assistants	6,705	7,041	7,393	7,393	7,397
Travel	3,000	5,000	6,000	6,000	6,000
Communication	2,000	2,000	2,000	2,000	2,000
Supplies	2,000	2,500	2,500	2,500	2,500
Equipment	1,000	4,000	1,000	1,000	1,000
Field Expenses	1,000	1,000	1,000	1,000	1,000
Sub-Total Recurring Total	15,705	206,848	297,853	297,853	297,853
<b>TOTAL EXPENDITURES</b>	<b>32,003</b>	<b>213,348</b>	<b>304,353</b>	<b>304,353</b>	<b>304,353</b>
<b>II. Revenue</b>					
Tuition and fees	184,100	415,605	476,967	693,051	727,870
<b>TOTAL REVENUE LINE</b>	<b>184,100</b>	<b>415,605</b>	<b>476,967</b>	<b>693,051</b>	<b>727,870</b>
<b>BALANCED BUDGET LINE</b>	<b>152,097</b>	<b>202,257</b>	<b>172,614</b>	<b>388,698</b>	<b>424,219</b>

\*Three of the existing BSW faculty will be used to offer the initial year. In the second year, two additional faculty members will be hired and a final faculty member will be added in year three.

**External Consultant Report**

**Proposal for the initiation of a New Degree Program  
University of Tennessee Chattanooga  
Master of Social Work  
Services to Older Adults Concentration**

**David A. Sherwood, Ph.D.  
March 4, 2015**

## Criteria for Review

### **Mission:**

The proposed MSW program with a concentration in service to older adults is an excellent fit with the mission of the University of Tennessee at Chattanooga to provide excellence in teaching, research, and service, dedicated to meeting the diverse needs of the region through strategic partnerships and community involvement. The focus on service to older adults is a regional priority identified in the needs assessment process and the design of the program is ideally structured to serve the needs of regional students who are not in a position to relocate to undertake graduate studies. Social work programs, by their nature, including extensive use of local agency-based internships, build partnerships and collaborative relationships with the community, using community-based field instructors and developing community advisory boards to maximize the responsiveness of the program to regional needs.

The complementary nature of the university and program missions will be a strong asset as the program seeks accreditation from the Council on Social Work Education. Programs are required to demonstrate that the program mission is consistent with its context, including both the institution and the community. Meetings with Chancellor Angle, Provost Ainsworth, Graduate School Dean Walker, and College Dean Rutledge clearly confirmed administrative understanding and support for the proposed MSW program.

### **Curriculum:**

The curriculum outlined in the proposal represents a solid foundation for building a strong MSW program that will be accredited by the Council on Social Work Education.

Frankly, the current version of the materials is probably a bit more generic in its language than the final version is likely to be. The language is appropriate for this initial proposal, but will naturally be further developed when approval is granted. The program's concentration focus on service to older adults is articulated, but more specificity will be called for as the program develops its materials for candidacy for accreditation. The materials will need to be clearer about how the foundation social work competencies will be extended in the concentration year for advanced practice with older adults, both in terms of how the competencies will be operationalized in the teaching and field experiences and how they will be assessed in the classes and field. Course titles and

descriptions will likely be refined in the developmental process of operationalizing the curriculum.

**Academic Standards:**

Academic admission standards for the proposed MSW program are quite appropriate and are consistent with institutional standards for other master’s degree programs and those found in accredited MSW programs around the country.

What is not specifically articulated in the proposal but will be needed as the program develops its materials for candidacy and initial accreditation are clear criteria, policies, and procedures for evaluating professional performance that might lead to termination from the program, including remediation and grievance. This is an essential component of professional programs preparing graduates to work intimately with troubled persons and systems on the micro, mezzo, and macro levels. Meetings with Program Director Sherr confirmed that appropriate policies are already articulated and in place for the BSW program and that they will be adapted appropriately to serve the MSW program on its approval. Meetings with Chancellor Angle, Provost Ainsworth, Graduate School Dean Walker, and College Dean Rutledge confirmed that they are aware of this need in professionally-related programs. Graduate School Dean Walker and College Dean Rutledge are particularly experienced with this in other programs within their jurisdiction.

**Faculty:**

The current social work faculty members provide a strong foundation for the proposed MSW program. They have appropriate degrees and professional experience, representing diverse areas of practice yet relevant expertise for the proposed MSW concentration area of advanced practice with services for older adults.

The proposed plan of adding two additional full-time faculty in year two of implementation and one more in year three is cost-effective and will mesh appropriately with the Council on Social Work Education’s accreditation standards for new programs.

Dr. Sherr’s experience in social work education in other MSW programs before coming to the University of Tennessee at Chattanooga, his scholarship, his administrative experience, and his experience serving on the Council on Social Work Education Commission on Educational Policy all combine to make him a well-qualified leader for the development of this new MSW program.

**Library Resources:**

Library resources appear to be quite strong and appropriate, especially considering the extensive online access to databases and full-text resources from campus computers and any off-campus computer through university-assigned passwords. The library facility is new and truly state-of-the-art.

As an editor, I couldn't help but notice that a couple of journals relating to spirituality and religion in social work were not on the list of subscriptions. One is the *Journal of Spirituality and Religion in Social Work*. The other is *Social Work & Christianity*, which I edit. Of course, materials from these journals and others like them may be accessed through online database subscriptions such as ProQuest and EBSCO Publishing's full-text and bibliographic research databases.

**Administration/Organization:**

The administrative plan and organizational chart of the Department of Social Work is quite appropriate and consistent with the kind of organization found in accredited social work programs that contain both BSW and MSW programs.

What is not clear in the proposal materials is how the field education components of the BSW and MSW programs will be administered. This will need to be clarified when the program is approved and it begins to develop the initial accreditation materials, admit students, and place MSW students throughout the Chattanooga community.

An issue that is not necessary to be addressed in the proposal but which will be important in the implementation phase is how the department plans to be a unified department while operating both the BSW and MSW programs. BSW programs can easily come to feel like stepchildren to larger and more prestigious MSW programs. There are a variety of ways to address this issue and there are no accreditation mandates regarding this issue or how it should be addressed. However, it is crucial for social work departments to be proactive in planning and implementing functional organizational, administrative, and curriculum/teaching strategies to maintain faculty unity and morale and to develop a strong sense of community among students, both undergraduate and graduate.

**Support Resources:**

Support resources for both students and faculty appear to be quite appropriate and adequate.

**Facilities:**

Facilities appear to be adequate for the establishment of the MSW program. For the longer-term strength of the BSW and MSW programs I would recommend finding a location with sufficient office, meeting, and faculty/student lounge

space to accommodate all the faculty and support staff in one location. This is particularly helpful to undergraduate students, but may be even more important for faculty. It is a powerful condition for building and maintaining faculty and administrative communication, collaboration, and camaraderie throughout both the BSW and MSW programs.

**Need and Demand:**

The needs assessment conducted with the support of Kronley and Associates provides ample evidence that the proposed MSW program contributes directly to institutional priorities and responds to documented needs in the Chattanooga area and surrounding region. These findings are consistent with other studies of needs in social work and services to older adults.

**No Unnecessary Duplication:**

The proposed MSW program does not duplicate any other undergraduate or graduate program at the University of Tennessee at Chattanooga. Instead, it strongly augments the university’s offerings in social work, a field with extensive connections to the Chattanooga area and the surrounding region.

The proposed MSW program focusing on advanced practice with older adults through its adapted structure, including evening delivery of courses, also provides a unique opportunity to serve students who are already living and working in the region. The program allows students to stay in place while completing desired and required advanced professional preparation and certification. The meeting with members of Professional Advisory Board and Field Council members strongly affirmed the value of this program for the community and region.

**Cooperating Institutions:**

Social work has identified extensive field education internships as its “signature pedagogy” where professional competencies are consolidated and implemented in actual professional practice settings in the community. The Department of Social Work has already developed a large network of community agencies, both public and private, through its well-established BSW program. These connections will grow even stronger with the MSW program. The MSW program will compound the number of agencies seeking interns from the university and hiring these students when they complete the program. The meeting with Professional Advisory Board and Field Council members emphatically confirmed the extent of community support for the program and willingness to work collaboratively with the university.

**Diversity and Access:**

Social work programs in other colleges and universities throughout the country have consistently proven to be strong contributors to diversity on their campuses in terms of students, faculty, and campus leadership. This is clearly the case at the University of Tennessee at Chattanooga as well. The proposal notes that social work student cohorts have been 40 percent non-Caucasian compared to 20 percent for the university as a whole. The evening cohort course delivery model proposed for the MSW program will greatly facilitate access of working adults from the local region.

**Assessment/Evaluation and Accreditation:**

The program assessment and evaluation process outlined in the proposal is comprehensive and diverse. This kind of assessment will serve the program well as develops, sustains its quality, and finds ways to improve. Assessment is a fundamental component of the CSWE accreditation process, so the program will have a strong level of accountability both from within the institution and from the professional social work community. Social work programs often find themselves in leadership positions when it comes to program assessment and evaluation in their home institutions.

**Recommendation:**

I strongly recommend without reservations that the MSW proposal be approved and that implementation proceed immediately. The program has a sound foundation, its mission meshes quite well with the institutional mission to meet the diverse needs of the region through strategic partnerships and community involvement, the concentration focus on service to older adults meets a significant community need, and the adapted program delivery model, utilizing evening courses, avoids duplication and provides an affordable, accessible MSW alternative for persons living in the community and region who are not in a position to relocate.

Compared to most schools considering the initiation of an MSW program, the University of Tennessee at Chattanooga is uniquely well positioned. The university has a strong BSW program that has recently been reaffirmed in its accreditation by the Council on Social Work Education, the Social Work Department Director is a well-qualified social work educator who serves on the CSWE Commission on Educational Policy, and the Department has already established strong connections with community and regional social work agencies. The review process required by the Tennessee Higher Education Commission has served the Social Work Department well in laying a solid foundation at the university, university system, and state levels for moving forward.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE:	June 25, 2015
COMMITTEE:	Academic Affairs and Student Success
CAMPUS/UNIT	UT Chattanooga
ITEM:	<b><u>Proposal for a Program of Study Leading to the Degrees of Master of Interior Design and Master of Science of Interior Design</u></b>
RECOMMENDATION:	Approval
PRESENTED BY:	India Lane, Assistant Vice President Academic Affairs and Student Success

UTC has developed a full curriculum proposal for the post-professional degrees of Master of Interior Design and Master of Science of Interior Design. These degree options allow a baccalaureate trained interior design professional to achieve advanced training in evidence-based interior design, including theoretical principles of advanced design, research methods and analysis.

The MSID graduate will be prepared for evidence-based practice in the specialized areas of urban revitalization. The MID graduate will be similarly prepared for specialized practice as well as applied research and college teaching. The MID is the contemporary terminal degree for those interested in academic careers in interior design and related fields.

These new graduate programs are part of a strategic approach to program development at UTC and are perfectly aligned with other UTC programs and the UTC mission. These programs:

- Build on a strong undergraduate program with existing faculty expertise and facilities in place;
- Are focused to match the needs and priorities of the Chattanooga area;

- Fill a state and regional gap in advanced interior design education while complementing other UT programs;
- Open opportunities for collaboration in applied research across the institution and the UT system; and
- Engage community partners to provide mutual benefit to Chattanooga and to UTC students.

Two needs assessments, an external evaluation and extensive departmental planning led to this final proposal. Summary comments from the external reviewer include endorsement of both degree programs as proposed:

*...they serve a necessary function for the interior design field in this geographical region and embrace a logical orientation toward the pragmatic area of urban revitalization....Based on my experience with graduate programs in this field, these proposals appear academically viable, supportive of the Tennessee workforce, and achievable in the context of available resources and those that will be made available to the program.*

The MIS and MSID program proposals are presented today with the full support of the UTC faculty, UTC Chancellor Angle, the Vice President for Academic Affairs and Student Success and President DiPietro. Upon approval by the Board of Trustees, the programs will be submitted to THEC for approval in July 2015 and will begin enrolling students in 2016.

**MOTION:**

- 1. That the Master of Interior Design degree program at UT Chattanooga be approved.**
- 2. That the Master of Science of Interior Design degree program at UT Chattanooga be approved.**

MS/MID Proposal – revised 5-1-15

The University of Tennessee at Chattanooga

---

Proposal for the Initiation of a  
 Master of Science degree in Interior Design (MS)  
 and a  
 Master of Interior Design (MID)

---

Submitted by:

The University of Tennessee at Chattanooga  
 College of Health, Education and Professional Studies

A NEW PROGRAM LEADING TO THE DEGREE OF:

Master of Science  
Master of Interior Design  
 Title of Degree as on Diploma

Interior Design  
 Title of Major

50.0408  
 CIP/THEC Code

INTD  
 Formal Degree Abbreviation

Master of Science  
Master of Interior Design  
 Degree Designation on Student's Transcript

August 2016  
 Proposed Starting Date

37

MS/MID Proposal – revised 5-1-15

TABLE OF CONTENTS

Abstract	3
A. Program Intent	5
B. Curriculum	8
C. Organization	25
D. Evaluation	26
E. Accreditation	27
F. Related Undergraduate Programs	27
G. Need for Program	28
H. Diversity and Access	31
I. Estimated Size of Program	32
J. Duplication	32
K. Faculty	33
L. Library Resources	35
M. Support Resources	35
N. Cost/Benefit	37
O. Costs/Productivity of Recently Initiated Programs	38
P. Consultants	39
Q. Financial Estimate Form	41
References	42
Appendix A – MS / MID Program Needs Assessment Study	44
Appendix B– THEC Consultant’s Report	110
Appendix C- Letters of Support: Community Partners	115

MS/MID Proposal – revised 5-1-15

---

**Abstract**

---

Institution: **The University of Tennessee at Chattanooga**

Division/Department: **Department of Interior Design**

Programs Leading to the Degrees of: **Master of Science (MS)**  
**Master of Interior Design (MID)**

With a Major in: **Interior Design** Proposed Start-Up Date: **August 2016**

Total Credit Hours Required for Major: **MS - 36** **MID - 51**

New Courses Proposed: **See Course List on Page 12 of Document**

Number of New Courses: **36** Number of New Course Credit Hours: **108**

**Estimated Headcount Enrollment, FTE's and Graduates for New Programs Combined**

**Table 1. Estimated Enrollment**

Year	Fall Full-Time Headcount	Spring Full-Time Headcount	Summer Full-Time Headcount	Graduates
1 (2016-2017)	10	10	5	--
2 (2017-2018)	25	25	13	5
3 (2018-2019)	35	35	18	13
4 (2019-2020)	35	35	18	15
5 (2020-2021)	35	35	18	15

In parallel, there are two master degrees being proposed by the UTC Department of Interior Design within this proposal: a Master of Science (MS) degree and a Master's of Interior Design (MID) degree. It is projected that the two programs together would admit 10 students the first year and 15 students each subsequent year. It is difficult to predict what percentage of these students will choose the MID over the MS; therefore projections for the MS degree program reflect half of these numbers, with five (5) students being admitted in the first year and eight (8) students being admitted each subsequent year and projections for the MID degree program

**MS/MID Proposal – revised 5-1-15**

reflecting the other half of the numbers with five (5) students being admitted in the first year and seven (7) students being admitted each subsequent year. Students will have the opportunity to take classes year-around or may choose a Fall/Spring model; therefore, it is projected that half of the students will take classes in the Summer term. MS students can potentially graduate in four (4) semesters, whereas MID students can potentially graduate in six (6) semesters.

**Table 2. New Cost Generation**

New Costs Generated by Proposed Programs:		MS	MID	TOTAL
	Year 1:	\$100,400	\$100,400	\$200,800
	Year 2:	\$132,192	\$132,192	\$264,384
	Year 3:	\$101,955	\$101,955	\$203,910
	Year 4:	\$104,799	\$104,799	\$209,598
	Year 5:	\$107,229	\$107,229	\$214,458

37

**Table 3. Tuition Revenue**

Tuition and fee revenue generated based on in-state rates with an annual increase of 3%:	Year 1	\$117,700
	Year 2	\$305,502
	Year 3	\$439,535
	Year 4	\$452,721
	Year 5	\$466,303

The cost and revenue numbers above reflect that there are two degree proposals concurrently being proposed, each using the same resources and faculty.

Accrediting Organization (if applicable): N/A  
 Target Date for Accreditation: N/A

MS/MID Proposal – revised 5-1-15

**Table 4. Degree Comparison**

MS / MID Degree Comparison				
	Master of Science (MS)		Master of Interior Design (MID)	
Purpose	To prepare design professionals for a career in the building industry with a master’s degree focusing on specialized knowledge in evidence-based design research with emphasis on urban revitalization and the built environment.		To prepare design professionals for a career in academia with a terminal degree focusing on specialized knowledge in evidence-based design research with emphasis on urban revitalization and the built environment.	
Target Audience	Individuals within the building industry holding a bachelor’s degree in interior design, architecture, or related field.		Individuals within the building industry holding a bachelor’s degree in interior design, architecture, or related field.	
Curriculum: Total Required Credit Hours	<b>36</b>		<b>51</b>	
Interior Design Core	15		21	
	Research & Programming	3	Research & Programming	3
	Theory & Practice	3	Theory & Practice	3
	Research Methods	3	Research Methods	3
	Statistics	3	Statistics	3
	Curriculum Development	-	Curriculum Development	3
	Field Practicum	3	Field Practicum	6
Specialization Electives	12		12	
Cognate Area	3		9	
Thesis/Capstone Project	6		9	

37

**A. Program Intent**

The Department of Interior Design at the University of Tennessee at Chattanooga (UTC) is seeking to develop a **Master of Science (MS)** degree and a **Master of Interior Design (MID) degree**. The proposed degrees would fall under CIP category 50.0408 – Interior Design. The projected start date for the first class would be Fall 2016. The target audience for the **MS** degree would be individuals within the building industry holding a bachelor’s degree in interior design, architecture, or related field who are interested in a design specialization that focuses

**MS/MID Proposal – revised 5-1-15**

on urban revitalization and the built environment. The target audience for the **MID** degree would be individuals within the building industry holding a bachelor's degree in interior design, architecture, or related field who are not only interested in a design specialization that focuses on urban revitalization and the built environment, but who also have a desire to teach at the college level.

**Background**

Over the past several decades, interior design has evolved from making spaces visually appealing to addressing complex problems (Hedge & Hill, 2011) directly related to improving quality of life, increasing productivity, and protecting the health, safety, and welfare of the public (Binggeli, 2007; Jensen, 2001; Moody, 2012). Currently, there is a renewed interest in interior design graduate education due to:

- 1) an increase in design specializations,
- 2) the emergence of evidence-based design, and
- 3) a lack of qualified interior design faculty

(Dickerson, Anthony, & Marsden, 2012; Dohr, 2007).

The UTC Department of Interior Design is ready to answer this call through the Master of Science (MS) degree and the Master of Interior Design (MID) degree. The MS degree will prepare design professionals who desire specialized knowledge in evidence-based design research of the built environment. The MID will also prepare the student for a career in academia. Currently, there are NO opportunities in the State of Tennessee for students to gain a master's degree in Interior Design, much less one focused on the necessary training to teach interior design at the university level.

**Institutional Vision, Mission, and Core Values**

UTC is a metropolitan university that serves a diverse region centered in Hamilton County. The University's success is inextricably intertwined with that of the communities in the area it supports. The region that the University serves, especially Chattanooga and its adjacent suburbs, is in the midst of a profound transformation, moving from a relatively low-wage

**MS/MID Proposal – revised 5-1-15**

manufacturing economy to a regional center that is staking its future on sustainable development, more nimble start-up enterprises, greater integration with the knowledge economy, and a revitalized urban core that connects to an adjacent greenspace. The Interior Design MS and MID degrees seeks to help propel this metamorphosis by addressing multifaceted issues that both influence and respond to an emerging ecosystem. In applying the skills and knowledge from a field that is also undergoing significant change, the proposed program is closely aligned with UTC's responsibility to develop cutting edge academic offerings, build and participate in strategic partnerships, and become deeply involved in the community (Kronley & Associates, 2014).

The University of Tennessee at Chattanooga's Vision to "be recognized as a premiere metropolitan university, known for its outstanding undergraduate and graduate academic programs" (UTC, 2012a) is furthered by the proposed Interior Design MS degree by providing a graduate program to prepare design professionals who desire specialized knowledge of the built environment, as well as the proposed MID degree by preparing these individuals to teach others at the university level. In addition, the University's Mission states that UTC is "dedicated to meeting the diverse needs of the region through strategic partnerships and community involvement" (UTC, 2012a). The Interior Design MS and MID degrees would further this mission through its community partnerships and focus on urban revitalization. Like UTC's Core Values, the Interior Design Department is committed, through both the MS and MID degrees, to develop ethically and socially responsible leaders within the building industry; to create a supportive, collegial learning environment for those who seek knowledge; to build effective community partnerships; and to achieve national recognition through research, scholarship, and creative endeavors (UTC, 2012a).

The Tennessee Higher Education Commission's (THEC) 2010-2015 State Master Plan and the Complete College Tennessee Act 2010 mandate that universities focus on "educational attainment and increased degree production, taking into consideration Tennessee's economic development, workforce development, and research needs" (THEC, 2010). In accordance with the THEC Master Plan, partnerships for educational excellence will be created through these degrees. Faculty and students will engage and collaborate with local organizations such as

**MS/MID Proposal – revised 5-1-15**

*Cornerstones, Inc., River City Company, and GreenSpaces* based on their area of specialization, creating a new path for knowledge and research to be applied directly in the community. The proposed Interior Design MS degree is designed to address the skills needed for individuals within the building industry interested in careers that focus on urban revitalization and the built environment, whereas the MID degree provides this specialization while preparing the individual for a successful career in academia.

**B. Curriculum****Academic Focus**

**Master of Science (MS).** Many cities in the United States have suffered a large decline due to the changing economy, older infrastructure, and trends in increased suburbanization resulting in abandoned buildings and neglected neighborhoods. Today, urban revitalization is often implemented to create livable cities out of those suffering from loss and deterioration. Chattanooga is an example of a city implementing a revitalization plan that has significantly impacted the economy and brought reinvestment back into the downtown area, and yet there remains much to do. Chattanooga's revitalization plan includes renovating and upgrading downtown neighborhoods with an emphasis on historic preservation and sustainability (Stettler, 2001). In accordance with these growing needs, there is a demand across the nation for professionals trained in the varying areas of urban revitalization. The UTC Department of Interior Design is prepared to answer this call. The Interior Design M.S. degree will create an opportunity for practicing design professionals to specialize in areas of **urban revitalization** ranging from **sustainability** to **historic preservation**. These areas of specialization will allow UTC to create effective community partnerships that provide opportunities for students enrolled in the UTC Interior Design M.S. degree program to conduct current and relevant research as well as have meaningful involvement with the community.

**Master of Interior Design.** The three pillars of professorship are teaching, service, and research/creative scholarship. The Department of Interior Design MID will give individuals experience and proficiency in all three areas. All MID students are required to take at least one class in curriculum development, and will be given opportunities to gain instructional

**MS/MID Proposal – revised 5-1-15**

experience in the undergraduate classroom. Partnerships formed in the community will create opportunity for all MID students to get involved in service. Teaching and service will also be key components of the field practicum requirement. Research and scholarly activities are built in to courses throughout the curriculum and culminate in a thesis/thesis project. All MID students will be required to write and submit proposals for research dissemination based on their chosen area of specialization, laying the foundation for continued scholarly dissemination that is required for a successful career in academia. The MID degree program will prepare students for a career as an interior design professor with a balanced focus on teaching, service, and research/creative scholarship.

To meet the above demands all students enrolled in the UTC Interior Design MS and MID degrees must be prepared to meet the following competences within their chosen area of specialization:

1. Research – students must demonstrate knowledge of evidence-based research.
2. Communication – students must be effective communicators (oral, written, visual media, drawings)
3. Community Engagement – students must demonstrate collaboration through multidisciplinary community partnerships.
4. Critical Thinking—students must use critical thinking skills as related to design analysis and project solutions.
5. Ethical & Responsible Behavior—students must use ethical and accepted standards of practice, demonstrate commitment to professional development of the profession, and understand the value of their contribution to the built environment.

In addition, to prepare MID students for successful careers in academia all MID students must be prepared to meet the following additional competency:

6. Curriculum Development – Research, plan, implement, and assess undergraduate curriculum.

## MS/MID Proposal – revised 5-1-15

**Course Delivery Format**

In 2013 a need's assessment was conducted to address preferred coursework delivery methods for the new master's degree/s in Interior Design. More than three quarters of the responses from Interior Design students across Tennessee reflected a desire for *hybrid online classes and face-to-face classes*. Two thirds identified *face-to-face classes on campus from Monday-Friday* as their preferred instructional format, while over half thought night classes would be beneficial. More than forty percent of these responses identified an online class option as positive, with weekend classes as the overwhelmingly least favorite option. Alumni and professionals placed a higher degree of value on using a hybrid delivery or an online delivery method, which is to be expected given that these respondents represent those currently working in their field (Porter et al., 2013). Based on the results of the needs assessment, the coursework for the proposed UTC Interior Design MS and MID degrees will be a combination of face-to-face meetings and hybrid/online components, where appropriate.

**Admissions Criteria**

The admission, retention, and graduation requirements for the UTC Interior Design MS and MID degrees will be consistent with those of existing master's degree programs offered at UTC as described in the University of Tennessee at Chattanooga *Graduate Catalog*.

***UTC Graduate Studies Admission Requirements***

Students applying for admission to the Interior Design MS and MID degrees must meet the university-wide requirements for graduate admissions. The following admission criteria are explained in the University of Tennessee at Chattanooga *Graduate Catalog*:

“To be eligible for **Degree Regular Admission** an applicant **must have** a baccalaureate degree from a regionally accredited college or university or foreign equivalent and be in good academic standing at the last institution attended. In addition to the previous two requirements, an applicant for regular admission must meet one of the following requirements from a regionally accredited institution or foreign equivalent. All GPAs are based on a 4.0 point scale; the last two years of undergraduate coursework are equivalent to approximately 60-70 semester hours or 90-100 quarter hours. (Updated GPA requirements approved by Graduate Council spring 2011)

## MS/MID Proposal – revised 5-1-15

- 2.70 minimum GPA for all undergraduate work taken for the baccalaureate degree or
- 3.00 GPA for the last two years of undergraduate academic coursework or
- 3.00 GPA for 30 or more semester hours undergraduate credit **after** earning the first bachelor's degree or
- 2.70 GPA for the last two years of undergraduate academic coursework **and** a 3.00 GPA on **fewer than** 24 hours graduate coursework or
- 3.00 GPA for **24 or more** graduate hours or
- An earned master's degree or higher-level degree with at least a 3.00 GPA.  
(UTC, 2013)

### *The Interior Design MS and MID Admissions Criteria*

Admission into the UTC Interior Design MS degree program will be based on a holistic assessment of the following criteria:

- 1 Applicants must obtain admission to both the UTC Graduate School and the UTC Interior Design Program.
1. Applicants must meet the general UTC Graduate School requirements of graduation from a regionally accredited college or university.
2. Applicants must complete a Graduate School Application. Official transcripts from all schools attended must be submitted directly to the UTC Graduate School.
3. Applicants must hold a bachelor's degree in Interior Design, Architecture, or a related field with a minimum 3.0 undergraduate grade point average (GPA). Degrees in progress will be considered. Official transcripts are required.
4. Applicant must take and submit an official score report for the Graduate Record Examination (GRE).
5. Applicants must complete the Interior Design Department application process:
  - a. Upon admission to the UTC Graduate School, the UTC Graduate School will forward all required items to the Department of Interior Design.
  - b. Potential students must submit:
    - i. a Statement of Interest that identifies goals and research interest, not to exceed 500 words.

## MS/MID Proposal – revised 5-1-15

- ii. a portfolio of undergraduate and professional work, if applicable. Print or digital versions are acceptable and should not exceed 25 images/pages. Portfolios must demonstrate competence equivalent to standards outlined by the Council for Interior Design Accreditation.
- iii. a minimum of three professional and/or academic letters of reference.
- c. Potential students must complete an in-person or phone interview.

### **Required Degree Hours**

**Master of Science (MS): 36 credit hours (including a Thesis/Capstone Project)**

**Master of Interior Design (MID): 51 credit hours (including a Thesis/Capstone Project)**

### **Coursework**

The Interior Design MS and MID degrees will be full-time degree programs. The curriculum is organized into four sections: Interior Design M.S. Core, Specialization Electives, Cognate Area, and Thesis/Thesis Project.

#### ***Master of Science (MS).***

The core will require students to obtain knowledge in Research & Programming, Theory & Practice, Research Methods, and Statistics, in addition to requiring students to complete a 3-credit hour field practicum. Students will select 12 hours of departmental electives based on their chosen area of specialization. They will also select a cognate area outside the Interior Design department for a minimum of 3 credit hours. Finally, students will choose to complete a traditional thesis or a capstone project.

MS/MID Proposal – revised 5-1-15

**Proposed Interior Design M.S. Course of Study**

**36 Credit Hours**

<b>Interior Design M.S. Core</b>		<b>15 hours</b>
Research & Programming	3 hours	
Theory & Practice	3 hours	
Research Methods	3 hours	
Statistics <i>(taught outside the INTD Dept.)</i>	3 hours	
Field Practicum	3 hours	
<b>Specialization Electives (INTD)</b>		<b>12 hours</b>
<b>Cognate Area (outside INTD)</b>		<b>3 hours</b>
<b>Thesis/Capstone Project</b>		<b>6 hours</b>
<b>TOTAL</b>		<b>36 hours</b>

<u>Fall Semester</u>		<u>9 hours</u>
INTD 5XXX	Theory and Practice I	3 hours
INTD 5XXX	Research & Programming	3 hours
INTD 5XXX	Specialization Elective	3 hours

<u>Spring Semester</u>		<u>9 hours</u>
XXX XXXX	Statistics	3 hours
INTD 5XXX	Research Methods	3 hours
INTD 5XXX	Specialization Elective	3 hours

<u>Fall Semester</u>		<u>9 hours</u>
INTD 5XXX	Field Practicum	3 hours
INTD 5XXX	Specialization Elective	3 hours
INTD 5XXX	Thesis/Capstone Project	3 hours

<u>Spring Semester</u>		<u>9 hours</u>
INTD 5XXX	Specialization Elective	3 hours
XXX XXXX	Cognate Area	3 hours
INTD 5XXX	Thesis/Capstone Project	3 hours

37

## MS/MID Proposal – revised 5-1-15

### ***The Master of Interior Design (MID).***

In addition to Research & Programming, Theory & Practice, Research Methods, and Statistics, the required core will require students to obtain knowledge in Curriculum Development and will require students to complete a 6-credit hour field practicum. MID students can use the field practicum to obtain additional curriculum development and experience in the undergraduate classroom. Students will also select 12 hours of departmental electives based on their chosen area of specialization. In addition, they will select a cognate area outside the Interior Design department for a minimum of 9 credit hours. MID students will be encouraged to pursue their cognate in education. Finally, students will choose to complete a traditional thesis or a capstone project.

MS/MID Proposal – revised 5-1-15

**Proposed MID Course of Study  
51 Credit Hours**

<b>Interior Design MID Core</b>	<b>21 hours</b>
Research & Programming	3 hours
Theory & Practice	3 hours
Research Methods	3 hours
Statistics ( <i>taught outside the INTD Dept.</i> )	3 hours
EDAS 5350 ( <i>taught outside the Dept.</i> )	3 hours
Field Practicum	6 hours
<b>Specialization Electives (INTD)</b>	<b>12 hours</b>
<b>Cognate Area (outside INTD)</b>	<b>9 hours</b>
<b>Thesis/Capstone Project</b>	<b>9 hours</b>
	<b>51 hours</b>

<b>Fall Semester</b>		<b>9 hours</b>
INTD 5XXX	Theory and Practice I	3 hours
INTD 5XXX	Research & Programming	3 hours
INTD 5XXX	Specialization Elective	3 hours
<b>Spring Semester</b>		<b>9 hours</b>
INTD 5XXX	Research Methods	3 hours
XXX XXXX	Statistics	3 hours
EDAS 5350	Curriculum Development in Higher Education	3 hours
<b>Summer Semester</b>		<b>6 hours</b>
INTD 5XXX	Specialization Elective	3 hours
INTD 5XXX	Field Practicum	3 hours
<b>Fall Semester</b>		<b>9 hours</b>
INTD 5XXX	Thesis/Capstone Project	3 hours
INTD 5XXX	Specialization Elective	3 hours
XXX XXXX	Cognate Area	3 hours
<b>Spring Semester</b>		<b>9 hours</b>
INTD 5XXX	Thesis/Capstone Project	3 hours
XXX XXXX	Cognate Area	3 hours
INTD 5XXX	Specialization Elective	3 hours
<b>Fall Semester</b>		<b>9 hours</b>
INTD 5XXX	Thesis/Capstone Project	3 hours
XXX XXXX	Cognate Area	3 hours
INTD 5XXX	Field Practicum	3 hours

	<b>Budget</b>				
	Year 1	Year 2	Year 3	Year 4	Year 5
<b>I. Expenditures</b>					
<b>A. One-Time Expenditures</b>					
New Renovated Space	\$25,000	\$20,000			
Equipment	\$25,000	\$20,000			
Library	\$10,000				
Other	\$2,500				
<b>Sub-Total One-Time</b>	<b>\$62,500</b>	<b>\$40,000</b>			
<b>B. Recurring Expenditures</b>					
Personnel					
Faculty	\$60,000	\$121,800	\$125,454	\$129,218	\$133,095
Benefits *.42	\$25,200	\$51,156	\$52,691	\$54,271	\$55,900
Sub-Total Faculty	\$85,200	\$172,956	\$178,145	\$183,489	\$188,995
Graduate Assistants					
Stipend	\$7,000	\$7,070	\$7,141	\$7,212	\$7,284
Tuition/Fees*	\$8,600	\$8,858	\$9,124	\$9,397	\$9,679
Sub-Total Graduate Assistants	\$15,600	\$15,928	\$16,265	\$16,609	\$16,963
Operating					
General Operating	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000
Printing	\$1,500	\$1,500	\$1,500	\$1,500	\$1,500
Travel	\$3,000	\$3,500	\$2,500	\$2,500	\$1,500
Sub-Total Operating Expenses	\$9,500	\$10,000	\$9,000	\$9,000	\$8,000
<b>Total Recurring</b>	<b>\$110,300</b>	<b>\$198,884</b>	<b>\$203,410</b>	<b>\$209,098</b>	<b>\$213,958</b>
<b>TOTAL EXPENDITURES (A+B)</b>	<b>\$172,800</b>	<b>\$238,884</b>	<b>\$203,410</b>	<b>\$209,098</b>	<b>\$213,958</b>
<b>II. Revenue</b>					
Tuition and Fees <sup>1</sup>	\$116,100	\$296,743	\$360,388	\$380,607	\$392,026
Private Grants or Gifts	\$25,000	\$25,000			
Other	\$500	\$1,250	1,500	1,500	1,500
<b>TOTAL REVENUE</b>	<b>\$141,600</b>	<b>\$322,993</b>	<b>\$361,888</b>	<b>\$382,107</b>	<b>\$393,526</b>
<b>Difference</b>	<b>(\$31,200-)</b>	<b>\$84,109</b>	<b>\$158,478</b>	<b>\$173,009</b>	<b>\$179,568</b>



## Jill Pable, Ph.D, FIDEC, ASID

3776 Ivy Green Trail Tallahassee FL 32311

3/11/15

India Lane  
Assistant Vice President  
Office of Academic Affairs and Student Success  
University of Tennessee  
821 Andy Holt Tower  
Knoxville Tennessee 37996

Dear Dr. Lane:

This letter will provide my review comments as requested by your office concerning the Master of Science (MS) degree and Master of Interior Design (MID) degrees proposed by the University of Tennessee Chattanooga Interior Design Department. These comments are based on my review of the Proposal for Initiation for these two degrees and my visit to the Chattanooga campus on March 3-4, 2015.

It is helpful to begin my comments with a contextual description of the interior design profession and current higher education responses to its demands. As our society's needs continue to advance, interior environments that support the requirements of users become more complex and specialized, necessitating the presence of professionals with focused knowledge in areas including general, fire, and health building codes, lighting, sustainability practices, history, business procedures standards, computer communication technology, sketching, and the very design process that facilitates the successful creation of new and renovated building projects. The demand for interior designers, according to the Bureau of Labor Statistics, is expected to increase by 13% from 2012 to 2022. The practice of interior design, especially in commercial projects, is licensed and regulated in a number of U.S. states and all Canadian provinces, and has for decades self-regulated its knowledge and procedural standards through its higher education accrediting body (Council for Interior Design Accreditation) and international-scope licensing examination (Council for Interior Design Qualification).

While undergraduate degrees in interior design are the necessary starting point for career preparation, a national consensus of design practitioners and attendant organizations are reaching the conclusion that a higher level of design practitioner capability is becoming necessary in order to meet the public's

PHONE  
850.228.2888

EMAIL  
jillpable@gmail.com

demand for interior environments that accommodate distinct user needs. For example, design specializations in healthcare, historic preservation, and office design, to name but a few, are offering students enhanced viability for design employment. Also, the quickly evolving interior design profession recognizes that their skill set must also embrace the ability for practitioners to conduct applied original research (and by extension, engage in evidence-based design practices). This is for two reasons: first, so practitioners (and academics as well) can advance collective knowledge of successful building practices to better the profession; and second, so that practitioners can craft competitive, proprietary skills within their firms in order to win more competitive contracts. Further, there exists a need to maintain and replenish the interior design academic faculty population so that students today and tomorrow will gain the skills necessary to practice in this new reality. In this situation, it is logical to create rigorous interior design graduate programs of study that serve the profession, and more importantly, the public's evolving expectations.

My review of the University of Tennessee Chattanooga MS and MID graduate program proposals shows that these initiatives are well poised to accomplish several important required goals identified by your organization:

1. The proposed programs attend well to the **mission** of the University of Tennessee system in that they are grounded in Tennessee's economic and workforce development and research needs through their stated focus on aspects of urban revitalization. A potential strength of these programs is the connections to Tennessee non-profit organizations such as GreenSpaces and the River City Company. These serve as potentially pivotal points for student engagement anchored in real-world design application—a movement that is receiving much attention in national interior design education of late. Chattanooga's substantial stable of historic buildings offer a natural springboard and living laboratory of renovation and repurposing that might not only retain qualified interior design graduate students in Tennessee after their degrees are complete, but could also leverage Chattanooga's intended growth toward the next level: well-designed city infrastructure heightens the probability for the long-term attraction of a workforce demographic that could continue this positive trend.
2. The **MS degree** is well established as the degree type for advanced work in interior design, and is an appropriate choice for this degree's proposed goal of preparing design practitioners. The **MID degree** has gathered support nationwide in recent years as a terminal degree suitable for academic preparation, much like the MArch degree serves the architecture field. A total of 36 and 51 required credit hours respectively for the MS and MID degrees are within observed national parameters.
3. The proposed **curricula** for both degrees describe a fundamental framework that addresses urban revitalization through the subcategories of historic preservation and sustainability. This focus is logical, region-supportive, and sufficiently broad to permit the Department to positively evolve how it addresses

this need in the near and distant future. My experience with both these concentrations at the national level is that they are of high interest now and will continue to be in the future as built environment trends continue to move toward renovation and away from ground-up construction. Both degrees also include courses enabling students to conduct entry-level original research. The terminal-degree MID, with its specific orientation toward academic career preparation, steps up the hour requirements for research accordingly. The MID degree also wisely attends to the other two main tenets of an academic career—teaching skill and service engagement. I wish to especially commend the program creators for the service inclusion, as this establishes the need in students to give back to others as an assumed and necessary part of their professional duties.

The proposals are quite flexible in their current description of curriculum coursework, which will no doubt progress toward greater structure during the 2015-2016 planning period. The natural limitations of available faculty support for the proposals' course breadth and the thesis requirement, for example, will prompt further detail; however, the foundation has been laid for these necessary next steps in the existing proposals. The projected quantity of students appears realistic. The external surveys of Tennessee interior design practitioners and students show due diligence and support the notion that there is a need and market for these programs. In fact, it is possible that the urban revitalization focus of these programs may well attract students from a broader geography than surveyed.

4. The proposals successfully make the case that existing and new **faculty** will be able to support the programs. All current faculty possess terminal practice degrees, which is a necessary qualification with the introduction of master's level graduate studies. These faculty's number and qualifications meet existing institutional standards and are consistent with external expectations. However, I concur that the hiring of two additional faculty, as outlined in the proposals, will be necessary to maintain the institution's student-to-faculty ratios, support the existing undergraduate teaching requirements as well as new graduate courses, and begin the process of effectively mentoring thesis-writing graduate students. The record of the program's leader, Dr. Dana Moody, demonstrates sufficient experience and organized management skills to launch and maintain this initiative as it is envisioned.

#### **Anticipated needs**

The MS and MID proposals are necessarily broad in their discussion at this stage, which is understandable. That said, obviously further detailed decisions for the program will be addressed after these proposals' approval, and several of those areas bear special mention:

- Recognizing that there are a variety of research engagement methods that exist in evidence-based design, it would be assistive to identify the type and level of original research skills that



each degree addresses. This would not only help the graduate program in its marketing tasks, but also provide students further guidance on choosing the right degree path.

- I note that the new impact of two graduate programs will undoubtedly have an impact on **administrative requirements** for the Department. As a Graduate Program Coordinator myself, I have seen the critical nature of clerical support for tasks ranging from admissions and thesis committee management to policy implementation and assistantship administration. Adequate support in these tasks in turn frees a graduate coordinator faculty member to effectively advise these advanced students, revise the curriculum as necessary, and facilitate students' entrance into their careers.
- It is helpful to anticipate the impact of **faculty engagement** with planning/administration of these degrees as well as the new level of student mentoring that will be necessary (i.e. serving as a major professor on a thesis). Attendant compensation (in salary, release time or other form) and/or vita service record acknowledgement demonstrates to faculty the worth of these actions and in this way supports these initiatives' long-term viability.

In summation, my assessment of the MS and MID proposals with regard to their scope, orientation and goals are that they serve a necessary function for the interior design field in this geographical region and embrace a logical orientation toward the pragmatic area of urban revitalization. Sufficient infrastructure (i.e. relationships with potential community partners as well as other departments on campus) appears in place to undertake this initiative. Similarly, administrative leadership at the University level have voiced their support for these proposals' overall intent and the details and schedules of their procedures. Based on my experience with graduate programs in this field, these proposals appear academically viable, supportive of the Tennessee workforce, and achievable in the context of available resources and those that will be made available to the program.

Thank you for this opportunity to review these proposals.

Sincerely,

Jill Pable, Ph.D., FIDEC, ASID

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: College of Agricultural Sciences and Natural Resources  
(UT Institute of Agriculture, UT Knoxville)

ITEM: **Proposal for a Program of Study Leading to the Degree of Doctor of Philosophy in Entomology, Plant Pathology, and Nematology**

RECOMMENDATION: Approval

PRESENTED BY: India Lane, Assistant Vice President  
Academic Affairs and Student Success

38

The Department of Entomology and Plant Pathology has proposed a major reorganization of an existing doctoral program leading to a new Ph.D. degree option in Entomology, Plant Pathology, and Nematology. This degree designation and degree program, with four possible subject concentrations, better reflects the contemporary work of the department and the needs of potential graduate students.

The revised doctoral program includes a new departmental home, curriculum, and six new courses, as well as structured opportunities for graduate students to gain leadership, teaching and outreach or extension experience. Graduate students also will have multiple field opportunities at existing facilities and research centers across the state.

Extensive departmental planning, as well as an external evaluation, contributed to the final proposal. The concentrations in Biodiversity and Ecosystem Resilience; Bioinformatics, Genomics, and Molecular Interactions; Organismal Biology and Ecology; and Sustainable Disease and Integrated Pest Management Systems allow focused training in high-need agricultural disciplines. The focus on nematology (the study of roundworms affecting health and disease of plants, animals and humans), including designation of nematology in the named degree, is unique in North America.

The Tennessee Higher Education Commission requires external review and evaluation of proposed new programs. After a review of the proposal and intensive site visit, the external evaluator endorsed the development of this program, citing broad support from students, faculty, and administrators:

*During the site visit, it was plainly obvious that the new Ph.D. program has very enthusiastic support from the current students in the EPPN M.S. program, current students in the PSI Ph.D. program who work with EPPN faculty, the EPPN faculty and administrators at the college and campus levels.*

Because this program revises and reorganizes existing graduate degree options, no new funds or faculty are needed. Ongoing growth in graduate student numbers is expected to continue; mechanisms are in place within the Institute of Agriculture to fund research and stipends.

This Ph.D. program proposal is presented with the full support of the UT Knoxville and UTIA faculty and Chancellors, the Vice President for Academic Affairs and Student Success and President DiPietro. Upon approval by the Board of Trustees, the program will be submitted to THEC for approval in July 2015.

**MOTION:**

**That the Doctor of Philosophy in Entomology, Plant Pathology, and Nematology degree program in the College of Agricultural Sciences and Natural Resources (UT Institute of Agriculture and UT Knoxville) be approved.**



## Abstract

This document describes the full proposal for a Doctor of Philosophy (Ph.D.) degree program in Entomology, Plant Pathology, and Nematology (EPPN) by the Department of Entomology and Plant Pathology (EPP) in the College of Agricultural Sciences and Natural Resources at the University of Tennessee, Knoxville. The department currently offers a Ph.D. degree through the inter-departmental Plants, Soils, and Insects (PSI) program, along with Plant Sciences and Biosystems Engineering and Soil Science Departments. However, the name of the graduate program and its multi-departmental organization hinders student recruitment, departmental investment, faculty support, and competitiveness for garnering external resources. The proposed Ph.D. program is structured with four concentrations (sub-majors): (i) Biodiversity and Ecosystem Resilience; (ii) Bioinformatics, Genomics, and Molecular Interactions; (iii) Organismal Biology and Ecology; and (iv) Sustainable Disease and Integrated Pest Management, but will also have a common core curriculum. In addition to rigorous course work and dissertation research, students would complete professional experiences in Academic outreach, Extension, Leadership/Service, Mentoring, and Teaching to develop appropriate *professional skills* to prepare them for their future careers. It is also anticipated that the new name and the departmental ownership afforded by the proposed program reorganization will enable recruitment of high quality students, the delivery of more focused education, as well as in-depth research leading to better student training, higher student attainment, and greater research productivity. To accommodate the expanded scope of the proposed doctoral program and its easy navigation by the prospective students, the department's name will also be changed to Entomology, Plant Pathology, and Nematology (EPPN).

## A. Introduction

The Department of Entomology and Plant Pathology (EPP) in the College of Agricultural Sciences and Natural Resources at the University of Tennessee, Knoxville plans to develop a **Doctor of Philosophy (Ph.D.) degree program in Entomology, Plant Pathology, and Nematology (EPPN)**. The proposed degree would fall under CIP Code: 01.1105 - Plant Protection and Integrated Pest Management, with a projected starting date of Fall Semester 2015.

Currently, the EPP department, along with the Plant Sciences and Biosystems Engineering and Soil Science Departments, offers a Ph.D. degree through the inter-departmental Plants, Soils, and Insects (PSI) program with concentrations (sub-majors) in Entomology, Plant Pathology, Integrated Pest Management, and Bioactive Natural Products. The PSI program has existed for a decade and has produced on average 4.3 Ph.D. students per year, of which 2.0/year were in the Entomology and Plant Pathology Department. We currently have 11 Ph.D. students in the program, and two more will matriculate in Fall 2015. However, the name of the graduate program and its multi-departmental organization hinders student recruitment, departmental investment, faculty support, and competitiveness for garnering external resources.

The proposed Ph.D. program will better meet the needs of qualified candidates in the three nationally and internationally recognized disciplines of Entomology, Plant Pathology, and Nematology, and will produce qualified professionals in the strong demand areas of food, agriculture, forestry, and environmental science and management. The proposed program will attract more, highly qualified graduate students who are typically attracted to these disciplines. It is also anticipated that departmental ownership afforded by the proposed program reorganization will enable the delivery of excellent, focused education, along with in-depth research leading to more rigorous student training, higher student attainment, and greater research productivity. To accommodate the expanded scope of the proposed program and its easy navigation by the prospective students, the department's name will also be changed to Entomology, Plant Pathology, and Nematology (EPPN).

Upon approval of the proposed new doctoral program, the students currently enrolled in PSI will be given the option to join the new program or complete their degrees in the PSI program. EPP faculty will continue to advise students who choose to stay in the PSI program until they graduate, but EPP will no longer accept new students through the PSI program. The interdepartmental PSI program will continue to exist and serve the needs of faculty and students in the departments of Plant Sciences and Biosystems Engineering and Soil Science, however, its name and scope will change over time.

## B. Mission

The mission of *The University of Tennessee* is to provide the people of Tennessee with access to quality higher education, economic development, and enhanced quality-of-life opportunities. As the state's flagship comprehensive research institution, the primary mission of the *University of Tennessee, Knoxville* is "to move forward the frontiers of human knowledge and enrich and elevate the citizens of the state of Tennessee, the nation, and the world. As the preeminent land-grant university in the state, UT, Knoxville embodies the spirit of excellence in teaching, research, scholarship, creative activity, outreach, and engagement attained by the nation's finest public research institutions." The *College of Agricultural Sciences and Natural Resources (CASNR)* at UT, Knoxville prepares students in natural and social sciences-based professional academic programs for careers in agriculture, natural resources and other arenas. The CASNR academic programs accomplish the following:

- Provide strong general education with a foundation in fundamental knowledge, an understanding of the human experience, a global perspective, and communication skills (visual, oral and written);
- Build on the natural and social sciences to provide experiential learning that applies gained knowledge to problem-solving situations using critical thinking and analytical skills;
- Provide student-centered educational programs where students engage in the process and take responsibility for their education;
- Provide international experiences to provide a global perspective to their education.

As one of the eight departments in CASNR, the mission of the *Department of Entomology and Plant Pathology* at UT, Knoxville is to advance science and provide information to improve the sustainability of food and fiber production, protection of natural resources, and the lives of people in Tennessee and beyond. The

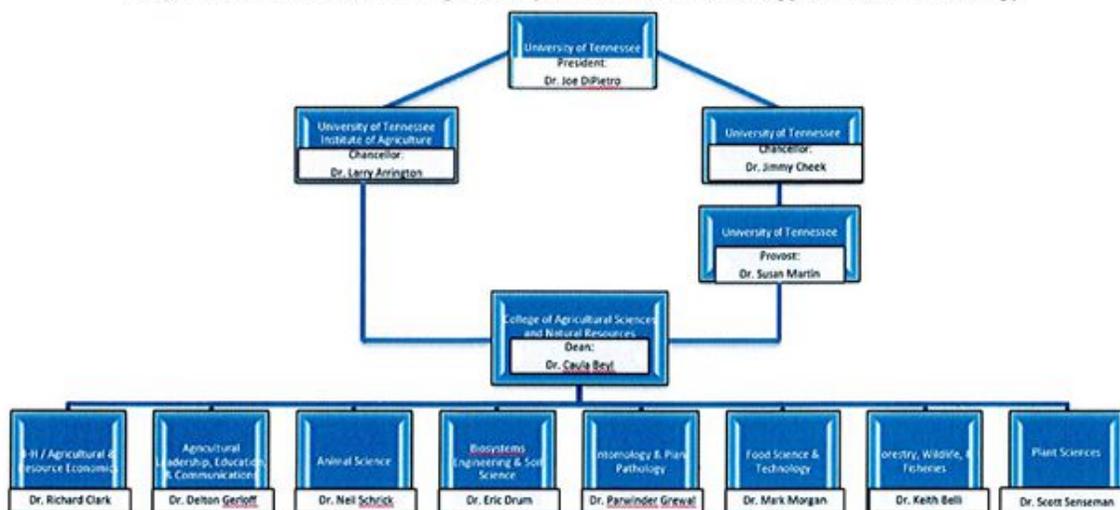
department fulfills its mission through innovative research, knowledge-based outreach, and excellence in teaching, while adhering to core values of integrity, equality, and respect. The proposed Ph.D. programs will further the mission of UT, Knoxville, CASNR, and of the Department of Entomology and Plant Pathology by providing graduate training in the high-need career paths in the nationally recognized agricultural disciplines of Entomology, Plant Pathology and Nematology, thereby enhancing higher education opportunities and research productivity of the department and of University of Tennessee, Knoxville.

The Complete College Tennessee Act of 2010 mandated that universities focus on “educational attainment and increased degree production, taking into consideration Tennessee’s economic development, work force development and research needs.” The Tennessee Higher Education Commission (THEC) outlined several goals for higher education for the 2010-2015 state’s master plan, “The Public Agenda for Tennessee Higher Education 2010-2015.” Specifically, THEC identified the need for academic programs that target undersupplied occupations and that help increase the supply of qualified graduates in high-demand fields requiring a postsecondary degree. Governor Haslam’s Drive to 55 Agenda calls for over 55% of Tennessee residents to have a credential or college degree by 2025 and he ties higher education directly to improving Tennessee agriculture. The state master plan specifically states the need to increase the number of doctoral degrees in the high demand fields. In accord with these directives, the proposed doctoral program in Entomology, Plant Pathology and Nematology aims to increase the numbers of well-trained Entomologists, Plant Pathologists and Nematologists who will conduct research to solve the emerging and invasive plant and vector-borne animal/human diseases and pests affecting Tennessee’s agriculture, forestry, and natural resources, thus fueling the state’s economy. The proposed doctoral program will also directly address the shortage in agricultural scientists and professionals at the national and state levels. The United States currently under-produces Entomologists, Plant Pathologists, and Nematologists, while the demand for these specialists is expected to grow between 10-12% nationally within the next 10 years (US Bureau of Labor Statistics, 2013). The Tennessee Department of Labor predicts that the “Pest Management Professional” will remain as one of the “hot jobs” in Tennessee through to 2020.

The University of Tennessee, Knoxville identified three system-wide areas of strategic focus in its master plan – student access and success, research and economic development, and outreach and globalization. More specifically, in its pursuit of becoming one of the Top 25 universities in the nation, the University of Tennessee’s strategic plan explicitly calls for a substantial increase in the numbers of Ph.D. degrees awarded and an expansion in research accomplishments as judged by increased research publications and federal research expenditures. The proposed program is well-aligned with the university’s strategic plan as it creates a framework for recruiting and graduating a greater number of Ph.D. students, with associated gains in research productivity. The departmental ownership of the proposed doctoral program will not only enthruse the faculty, but will also enable the department to realign internal resources and leverage external ones to increase the numbers of Ph.D. degrees awarded. Students will be better served due to more in-depth education and research in the nationally and internationally recognized disciplines of Entomology, Plant Pathology and Nematology. The department and the graduate students will be better able to compete for external resources, particularly for the newly-established National Needs Fellowships and the NIFA Pre-doctoral Fellowships. Carrying the specific Ph.D. title in these well-recognized disciplines, the graduating students will also be more competitive for employment both nationally and internationally.

*Administration/Organization:* The new program will be housed within the existing Department of Entomology and Plant Pathology and, therefore, requires no new organizational units. The organization chart showing EPPN as positioned within the College of Agricultural Sciences and Natural Resources, and the respective administrative oversight leading up to the President of the University of Tennessee, is shown below.

Organizational Chart showing the Department of Entomology and Plant Pathology



SACS Review: All the infrastructure and basic curricula are already in place in the academic department. SACS Substantive Change Review is not required.

**C. Program Need**

Ensuring vitality of the nation’s food and agricultural enterprise requires that we tap the talents of all citizens and influence future leaders so that they are better prepared to understand the capabilities and limitations of science and technology in producing and delivering sufficient, safe, and healthy food for the increasing human population. Today’s global agricultural enterprise that stretches beyond the farm is supported by a workforce that includes not only farmers, but many other skilled professionals, including scientists, food chemists, seed suppliers, ethanol producers, packaging engineers, food safety experts, risk assessors, grocery suppliers, and many others. Goecker *et al.* (2010) reported that about 54,000 scientists and professionals will be required annually to fill vacancies in the food, agriculture, and natural resources system between 2010 and 2015 in the United States alone. Unfortunately, colleges engaged in the Food and Agricultural Sciences will graduate only about 29,300 students annually with B.S. or higher degrees per year ([www.csrees.usda.gov/nea/education/part/education\\_part\\_employment.html](http://www.csrees.usda.gov/nea/education/part/education_part_employment.html)). Not only does the U.S. need more graduates currently, growth in demand is projected for graduates with advanced academic preparation in order to match ongoing, rapid advances in knowledge and technologies. Here again, the National Academy of Sciences (NAS) has concluded that the United States is allowing itself to slip from its global leadership role in science and technology (NAS, 2007). Therefore, the colleges and universities engaged in Food and Agricultural sciences must undergo a significant transformation and provide bold academic and research leadership.

A 2009 report by the National Research Council of the National Academies of Sciences entitled “A New Biology for the 21<sup>st</sup> Century: Ensuring the United States Leads the Coming Revolution,” recognized the need for a revolution in biological sciences to address broad societal challenges. The four societal challenges identified in this report are: (i) Generate food plants to adapt and grow sustainably in changing environments; (ii) Understand and sustain ecosystem function and diversity in the face of rapid change; (iii) Expand sustainable alternatives to fossil fuel; and (iv) Understand individual health. All four of these challenges can be directly, or indirectly addressed through research and graduate training in agricultural sciences; the doctoral program proposed herein serves as one example.

The U.S. Bureau of Labor Statistics projects a 10% increase in employment of agricultural and food scientists from 2010 to 2020. It predicts that “agricultural scientists will be needed to balance increased agricultural output with protecting and preserving soil, water, and ecosystems. They increasingly will help develop

sustainable agricultural practices by creating and carrying out plans to manage pests, crops, soil fertility, erosion, and animal waste in ways that reduce the use of harmful chemicals and minimize damage to the natural environment. In addition, demand for biofuels—renewable energy sources from plants—is expected to increase” (<http://www.bls.gov/ooh/life-physical-and-social-science/agricultural-and-food-scientists.htm>).

The U.S. Bureau of Labor Statistics also predicts that “most growth over the next 10 years for agricultural and food scientists will be in research and development led by private industry.” Private industry has increased its demand for agricultural and food scientists because their expertise is necessary for developing food, crops, and drugs, along with ensuring quality and safety. Furthermore, research in genomics and agricultural sustainability is expected to generate even more numbers of available agricultural science positions. Research by these scientists may improve crop yields or have an impact on other fields, such as biofuels. A THEC sponsored study conducted by the University of Tennessee Center for Business and Economic Research entitled, “Academic Program Supply and Occupational Demand Projection: 2008-2018,” specifically identified a shortage of anticipated degrees in the CIP Code: 01.1105 – Plant Protection and Integrated Pest Management despite a projected overall increase in doctoral degrees awarded during this period.

Finally, the separate professional societies associated with Entomology, Plant Pathology, and Nematology – three disciplines which form the core of the Plant Protection and Integrated Pest Management CIP category-- list current jobs on their respective websites ([www.entsoc.org](http://www.entsoc.org); [www.apsnet.org](http://www.apsnet.org); [www.nematologists.org](http://www.nematologists.org)). The total numbers of available academic and industry jobs for Ph.D. level graduates in the three disciplines usually vary between 20 and 45 at any given time. In addition entomologists, plant pathologists and nematologists are employed in federal, state and city governments, high schools and 2-year professional colleges with vocational agricultural programs, nursery and landscape associations, and as crop consultants.

Overall, the trends call for strong and transformative Ph.D. programs in plant protection and integrated pest management. *Entomology* focuses on the study of insects that provide many beneficial ecosystem services including biocontrol, pollination, honey and other products, and macro-decomposition but also pose economic threats to plant and animal agriculture, human structures, urban landscapes, and natural resources such as forests. Insect pests cause economic losses to the tune of \$350 billion annually worldwide. Insects also serve as vectors of fatal human, animal and plant diseases and act as annoyance pests such as bed bugs, fire ants and face flies, resulting in additional economic losses. Additionally, distribution and spread of insect pests and disease vectors is rapidly changing due to climate change and global warming. The spread of invasive insect pests such as the emerald ash borer, Japanese beetle, walnut twig beetle, and hemlock woolly adelgid currently threatens forest resources, and the brown marmorated stink bug and spotted wing *Drosophila* threaten field and orchard crops in Tennessee. New, more environmentally-friendly pesticides are now being developed, requiring ever greater knowledge of insect biology, physiology and insect plant interactions.

*Nematology*, the study of roundworms, focuses on plant, animal, and human parasitic nematodes and on nematodes that provide beneficial ecosystem services including biological control and nutrient cycling in terrestrial, freshwater and marine systems. Plant-parasitic nematodes alone cause over \$78 billion in annual crop losses worldwide (Barker et al., 1994). Funding cuts, departmental reorganizations and retirements have resulted in the loss of nematology research and graduate programs in the U.S. Currently there are no graduate programs that award Ph.D. degrees exclusively in Nematology in North America, while the need for Nematology graduates is on the rise. For example, spread of plant-parasitic nematodes such as the soybean cyst nematodes into temperate climates due to global warming is threatening sustainability of the American soybean industry ([www.nematologists.org](http://www.nematologists.org)). Several new environment-friendly nematicides have become available on the market; the industry urgently needs nematologists trained in practical field research to evaluate these and other alternative nematode-related situations. Although majority of the early research activity in Nematology was focused on plant-parasitic nematodes, during the past two decades nematodes have emerged as important biocontrol agents of insect pests and more recently they have been recognized as the most comprehensive bioindicators of soil health. Full genome sequences of several economically important nematode species have been completed and several species have become legitimate model systems in neurobiology, genetics, parasitology and symbiosis, though a better understanding of this information requires Ph.D.-level scientists. All

these developments and challenges require Nematology graduates with modern skills and tools to solve the complex problems, seize future opportunities, and provide international leadership.

Arthropods and nematodes also often serve as vectors of serious diseases of humans (malaria and dengue fever), animals (*Anaplasma* and Heartwater), and plants (*Geosmithia morbida*, sharka, tristeza, and grapevine fan leaf). Additionally they are responsible for the spread of food-borne diseases caused by *Salmonella* and *Escherichia coli*. Research on the complex biology and ecology of disease vectors including their life histories, transmission patterns, links to reservoirs, and vector competency to identify points for management and to develop a better understanding of transmission to minimize disease spread and incidence are needed.

*Plant Pathology* focuses on the study of plant pathogens, including the mechanisms of virulence and host-plant resistance, epidemiology and spread of plant and food-borne pathogens, and the development of disease control strategies. According to the American Phytopathological Society, plant diseases – spread by bacteria, fungi, phytoplasmas, viruses, viroids, or other pathogens – cause billions of dollars in economic losses each year to agriculture, landscape, and forest settings in the United States. These diseases reduce yields, lower product quality or shelf-life, decrease aesthetic or nutritional value, and, sometimes, contaminate food and feed with toxic compounds. Control of plant diseases is essential for providing an adequate supply of food, feed, fiber and aesthetics. Yet, growers spend millions of dollars each year only to partially control the pathogens that attack their crops and ornamental plants. Besides the obvious monetary benefits to producers and processors, successful plant health protection is important for maintaining and increasing food supplies with minimal increases in land under cultivation. Additionally, the knowledge and management of plant diseases with quarantine significance and implications are vital, not only for protecting our domestic crops from foreign disease, but also for maintaining and expanding export markets for plants and plant products.

In addition to serving an important national and international need, the proposed program is well aligned with institutional priorities as The University of Tennessee, Knoxville, strives to become a Top 25 institution. This new Ph.D. program, created through the restructuring of the Plant, Soils, and Insects program, will increase the number of doctoral degrees awarded by fostering departmental ownership, enabling the attraction of high quality and greater numbers of Ph.D. students typically attracted to these nationally recognized disciplines, and by enhancing competitiveness of the department and the University of Tennessee, Knoxville among peer institutions. To accommodate the expanded scope of the proposed doctoral program, the departments name will also be changed to Entomology, Plant Pathology, and Nematology (EPPN).

#### D. Unnecessary Duplication

There are no programs in the same CIP classification at the same degree level offered at any other public institutions in Tennessee. The proposed program will be offered only at the University of Tennessee, Knoxville. The proposed program also is the first in the nation to encompass all three disciplines within the crop protection CIP classification in a single Ph.D. program, thus will be the most comprehensive program available. It is also the first in the nation to offer a Nematology concentration at the graduate level, and is thus likely to become a magnet for graduate students in Nematology not only from the U.S., but also from North America, Europe, South America, and Asia. The proposed program will provide equal opportunity to all students irrespective of color, race, gender, or national origin.

#### E. Enrollment/Productivity

The projected enrollment data shown in Table 1 below are based on the three-year rolling average numbers of Ph.D. students graduated from the department and on the number of currently enrolled students. Currently there are 11 Ph.D. students enrolled in the department and all would be given the option to join the proposed program. However, students who are close to completion will likely graduate in Plant Soils and Insects program as that will continue to exist for at least 5 more years.

**Table 1: Projected Program Enrollment and Productivity**

Year	Full-time Major	Part-time Major	Total FTE	Graduates
------	-----------------	-----------------	-----------	-----------

*Admission to the Proposed Doctoral Program:* The proposed program's admission requirements are aligned with the UTK Graduate School requirements, which are given below:

- Bachelor's degree from a college or university accredited by the appropriate regional accrediting agency. A foreign degree must be equivalent to a U.S. Bachelor's degree and must be accredited by its regional or national accreditation agency.
- U.S. Degree holders must have earned a 2.7 out of a possible 4.0 GPA or a minimum of 3.0 during the senior year of undergraduate study. Foreign degree holders must have earned a minimum of 3.0 on a 4.0 scale or other equivalent to a 'B' average. If the student has completed previous graduate course work, she/he must have a grade-point average of 3.0 on a 4-point scale or equivalent.
- Applicants whose native language is not English must submit TOEFL or IELTS test scores. To be fully admitted, applicants must submit a minimum score of 550 on the paper-based TOEFL; 80 on the TOEFL iBT or 6.5 on the IELTS. Applicants may be eligible for English Proficiency Conditional Admission. More information is available on the English Proficiency Conditional Admission page.
- The Dean of the Graduate School must approve all admissions.

In addition to the Graduate School requirements, the Department of Entomology and Plant Pathology seeks applicants with a minimum composite score of 300 on the Graduate Record Examination (GRE), and a minimum of 3.5 on the Analytical Writing section. These students receive higher priority for support via grants, contracts and fellowships. The department may consider students with slightly lower scores if the applicant provides a clear demonstration of aptitude, e.g., published papers written in English, presentations at scientific meetings, significant involvement in relevant workshops, etc. For the proposed doctoral program, the department also requires that the student must have completed an M.S. with a research-based thesis or equivalent qualification demonstrated by refereed publications in the relevant disciplines.

Following admission to the proposed program, a further student assessment – graduate comprehensive exam - will be conducted to determine admission to candidacy. Admission to Candidacy indicates agreement that the student has demonstrated the ability to do acceptable graduate work and that satisfactory progress has been made toward a degree. This action usually connotes that all prerequisites to admission have been completed and a program of study has been approved. A student may be admitted to candidacy for the doctoral degree after passing the comprehensive examination, fulfilling any language requirements, and maintaining at least a 3.0 average in all graduate coursework. Each student is responsible for filing the Admission to Candidacy Form ([http://gradschool.utk.edu/forms/Adm\\_Candidacy\\_Docs.pdf](http://gradschool.utk.edu/forms/Adm_Candidacy_Docs.pdf)), which lists all courses to be used for the degree, including courses taken at the University of Tennessee, Knoxville, or at another institution prior to admission to the doctoral program, and is signed by the doctoral committee. Application must be made for Admission to Candidacy, and approved by the Office of the University Registrar at least one full semester prior to the date the degree is to be conferred.

A Doctoral Student Progress Tracking Form will be used to monitor student progress in the program. Students will be responsible for completing and submitting the form fully signed by his/her major advisor to the departmental Graduate Studies Committee at the end of each semester, which will determine retention, progression, or dismissal from the program.

## F. Curriculum

### **Total credit hours required: 48 (including Dissertation)**

The academic focus of the proposed Ph.D. program in Entomology, Plant Pathology, and Nematology will fall under the CIP Code: 01.1105-Plant Protection and Integrated Pest Management. Students will choose one of the four concentrations (sub-majors): (i) Biodiversity and Ecosystem Resilience; (ii) Bioinformatics, Genomics, and Molecular Interactions; (iii) Organismal Biology and Ecology; and (iv) Sustainable Disease and Integrated Pest Management, but will also have a common core curriculum. The concentrations are described in detail in Appendix 1 and a complete map of the curriculum is given in Table 2 below. Briefly, students will take 6-9 credit hours of required concentration-specific coursework (see Table 2) at the 500-level or above, depending upon the concentration selected. The required concentration-specific courses will not be substituted with courses from

other departments or those taken elsewhere except under exceptional circumstances. Students will be able to switch concentrations anytime during the program, but must choose one to graduate. Students in all concentrations will take 7-10 credit hours of elective coursework from within or outside the department, two departmental seminars (EPP 640), Scientific Writing and Grantsmanship (EPP 675), and at least one advanced quantitative methods course. In addition, 24 credit hours of EPP 600 Doctoral Research and Dissertation will be required for all students. The minimum credit hour requirements and other stipulations on **coursework** are given in Table 2.

**Table 2: A map of the curriculum of the proposed Ph.D. program in Entomology, Plant Pathology, and Nematology with concentrations, required\* and elective course credits, and professional experiences**

Course credit hours/professional experiences required	Biodiversity and Ecosystem Resilience	Bioinformatics, Genomics, and Molecular Interactions	Organismal Biology and Ecology	Sustainable Disease and Integrated Pest Management
Concentration-specific required coursework; choose any one course	EPP620	EPP622	EPP505 EPP514 EPP520 EPP521 EPP523 EPP525	EPP630
Number of credit hours	3	3	3	3
Concentration-specific required coursework; Choose any two courses except for Organismal Biology and Ecology concentration in which only one is required	EPP505 EPP514 EPP520 EPP528 EPP548 EPP551 EPP552	EPP515 EPP521 EPP528 EPP561	EPP528 EPP551 EPP620	EPP505 EPP508 EPP512 EPP514 EPP520 EPP521 EPP523 EPP525 EPP528 EPP530 EPP551
Number of credit hours	6	6	3	6
Electives credit hours needed	7	7	10	7
EPP640 Seminar credit hours	2	2	2	2
EPP675 Scientific Writing & Grantsmanship	3	3	3	3
Quantitative Methods course	3	3	3	3
EPP600 Doctoral Research	24	24	24	24
Professional experiences**				
Academic Outreach	Yes	Yes	Yes	Yes
Extension	Yes	Yes	Yes	Yes
Leadership	Yes	Yes	Yes	Yes
Mentoring	Yes	Yes	Yes	Yes
Teaching	Yes	Yes	Yes	Yes
Total credit hours	48	48	48	48

*\*If equivalents of these courses have been taken elsewhere, the student can request a course waiver so the course does not have to be repeated; \*\*departmental requirements and not graded courses for credit.*

**THEC Financial Estimate Form**  
**University of Tennessee, Knoxville**  
**Doctoral Program in Entomology, Plant Pathology, and Nematology**  
**Appendix II**

Five-year projections are required for baccalaureate and post-baccalaureate programs and certificates. Three-year projections are required for associate degrees and undergraduate certificates. Projections should include cost of living increases per year.

	Year 1	Year 2	Year 3	Year 4	Year 5
<b>I. Expenditures</b>					
<b>A. One-time Expenditures</b>					
New/Renovated Space	NA	NA	NA	NA	NA
Equipment	NA	NA	NA	NA	NA
Library	NA	NA	NA	NA	NA
Consultants	5,000	NA	NA	NA	NA
Travel	NA	NA	NA	NA	NA
Other (marketing)	1,000	750	500	500	NA
<b>Sub-Total One-time</b>	<b>\$ 6,000</b>	<b>\$ 750</b>	<b>\$ 500</b>	<b>\$ 500</b>	<b>NA</b>
<b>B. Recurring Expenditures</b>					
<b>Personnel</b>					
<b>Administration</b>					
Salary	NA	NA	NA	NA	NA
Benefits	NA	NA	NA	NA	NA
<b>Sub-Total Administration</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>
<b>Faculty</b>					
Salary	\$ 1,069,199	\$ 1,101,275	\$ 1,134,313	\$ 1,168,343	\$ 1,203,393
Benefits*	NA	NA	NA	NA	NA
<b>Sub-Total Faculty</b>	<b>\$ 1,069,199</b>	<b>\$ 1,101,275</b>	<b>\$ 1,134,313</b>	<b>\$ 1,168,343</b>	<b>\$ 1,203,393</b>
<b>Graduate Assistants</b>					
Salary	\$ 48,000	\$ 109,200	\$ 194,040	\$ 240,786	\$ 311,168
Benefits	7,200.00	16,380.00	29,106.00	36,117.90	46,675.20
Tuition and Fees* (See Below)	16,416	37,346	66,362	82,349	106,420
<b>Sub-Total Graduate Assistants</b>	<b>\$ 71,616</b>	<b>\$ 162,926</b>	<b>\$ 289,508</b>	<b>\$ 359,253</b>	<b>\$ 464,263</b>
<b>Operating</b>					
<b>Total Recurring</b>	<b>\$ 1,140,815</b>	<b>\$ 1,264,201</b>	<b>\$ 1,423,821</b>	<b>\$ 1,527,595</b>	<b>\$ 1,667,656</b>
<b>TOTAL EXPENDITURES (A+B)</b>	<b>\$ 1,146,815</b>	<b>1,264,951</b>	<b>1,424,321</b>	<b>1,528,095</b>	<b>1,667,656</b>

\*If tuition and fees for Graduate Assistants are included, please provide the following information:

	Year 1	Year 2	Year 3	Year 4	Year 5
Base Tuition and Fees Rate	\$ 5,472.00	\$ 5,745.60	\$ 6,032.88	\$ 6,334.52	\$ 6,651.25
Number of Graduate Assistants	3	7	11	13	16

	Year 1	Year 2	Year 3	Year 4	Year 5
<b>II. Revenue</b>					
Tuition and Fees <sup>1</sup>	16,416	37,346	66,362	82,349	106,420
Institutional Reallocation <sup>2</sup>	1,069,199	1,101,275	1,134,313	1,168,343	1,203,393
Federal Grants <sup>3</sup>	-	-	-	-	-
Private Grants or Gifts <sup>4</sup>	-	-	-	-	-
Other <sup>5</sup>	61,200	126,330	223,646	277,404	357,843
<b>BALANCED BUDGET LINE</b>	<b>\$ 1,146,815</b>	<b>\$ 1,264,951</b>	<b>\$ 1,424,321</b>	<b>\$ 1,528,095</b>	<b>\$ 1,667,656</b>

**Notes:**

- In what year is tuition and fee revenue expected to be generated and explain any differential fees. Tuition and fees include maintenance fees, out-of-state tuition, and any applicable earmarked fees for the program.  
 Tuition and fee revenue is expected to be generated in year 1 as some existing PhD students in the Plant, Soil & Insects program who are already under the direction of  
**faculty in the Entomology & Plant Pathology department switch to the new program. No differential fees are planned.**
  - Please identify the source(s) of the institutional reallocations, and grant matching requirements if applicable.  
**Source of institutional reallocations will be operating funds supplied by AgResearch and College of Agricultural Sciences & Natural Resources**
  - Please provide the source(s) of the Federal Grant including the granting department and CFDA number.  
**Most grants are on 1-3 year cycles and the actual sources will vary year to year.**
  - Please provide the name of the organization(s) or individual(s) providing grant(s) or gift(s).  
**Many of the grants are from the United States Department of Agriculture. The agrochemical and agricultural production industries also provide various gift and contract funds which vary from year to year.**
  - Please provide information regarding other sources of the funding.  
**Funding will come from a variety of federal, state, and other grants and gifts**
- \* Faculty have split appointments and benefits come from other UT units

38

## **Review of proposed Ph.D. program in Entomology, Plant Pathology and Nematology, University of Tennessee, Knoxville.**

Submitted by: Edwin E. Lewis  
Associate Dean for Agricultural Sciences  
Professor of Entomology and Nematology  
University of California, Davis

After reading the proposal assembled by the Department of Entomology, Plant Pathology and Nematology (henceforth EPPN), and participating in a site visit to the campus in Knoxville, TN, I strongly support the development of the proposed Ph.D. program in this department.

The proposed program seeks to provide the EPPN department with a stand-alone Ph.D. program that will replace the department's participation in a cross-departmental Ph.D. program named "Plants, Soils and Insects" that currently serves the departments of EPPN, Plant Sciences and Biosystems Engineering and Soil science. There are several justifications for this change listed in the proposal, including, improved name recognition, better student recruitment results, more focused and rigorous student training and greater research productivity. Moreover, the name of the program, EPPN, is significant; this graduate program will be the only one (to my knowledge) in the USA to have "Nematology" in the name. While this may seem a small thing at some level, there can be a significant outcome of this name since there are many potential international students, especially from the tropics, who seek nematology training. There are many nematologists in the USA who may be able to train these students, but for the most part, they are associated with graduate programs in either Entomology (as is the case with me) or Plant Pathology. I believe that the name of this Ph.D. program will draw students seeking training in Nematology.

During the site visit, it was plainly obvious that the new Ph.D. program has very enthusiastic support from the current students in the EPPN M.S. program, current students in the PSI Ph.D. program who work with EPPN faculty, the EPPN faculty and administrators at the college and campus levels. This level of enthusiasm is essential in the development of a new program.

The proposal lays out the fiscal information for the developing program in a clear and concise way. There is no "new" support required from the UT administration, since the current funding allocation to the department will support the proposed new program. There are clear milestones for graduation rates that support the contention that the program will be sustainable over time.

Below I list some suggestions for thought. The first category lists potential alterations to the proposal document itself to improve clarity and impact. The second category includes suggestions about the program.

### Suggestions for the proposal

1. The fact that the new Ph.D. program will actually replace the EPPN department's participation in the current program is not clear until fairly late in the proposal. I suggest that the details of the planned separation of EPPN from the current program, how students enrolled in the PSI program will be treated, and how the two programs (PSI and EPPN) will articulate in the transition period be provided early in the proposal. I didn't actually understand how this worked until asking in person.
2. Explain more clearly the responsibilities of students in the program for annual reporting and meeting with their guidance committees.
3. On page 9 of the proposal the following is stated: "*Related Undergraduate Programs at UTK as Feeder Programs*: In addition to students with a thesis-based MS degree from the Entomology and Plant Pathology Department, students with appropriate background from other UT departments (Plant Sciences, Ecology and Evolutionary Biology, Microbiology, Molecular Genetics, Soil Science, etc.) may also apply.". I am not sure why this is stated. Is there a plan for treating them as actual feeder programs, such as some sort of arrangement that makes it easier for students from these programs to apply for admission? If not, this is a bit distracting.
4. There may be questions about what happens with the current PSI program after this takes place. I am referring to the students who would not transfer to the EPPN program because they do not have an EPPN faculty member for their major professor. I'm not sure whether this would be within the scope of the current proposal, but I know that I had this question.
5. Provide a bit more information about the required classes. For example, I did not find information about whether students could substitute classes outside EPPN for required classes. I realize that they can use previously taken classes to meet requirements, but what about taking classes while in the program from other departments? I believe that in conversation, I did learn that the students would be able to substitute classes for alternate programs, but it isn't clear in the proposal.

6. Can faculty who are now members of PSI, but not members of EPPN, become members of the EPPN Ph.D. program faculty? Or is this strictly a departmental program? Either is OK, but I'm sure that current PSI faculty would want to know this.

#### Suggestions for the program

1. The experiential learning requirement for this program is a great idea. However, I think that it needs to be better defined than how it is described in the proposal. Two potential problems can be solved with further description of details of the program. First, there needs to be a "maximum" as well as a "minimum" amount of time and effort students should be expected to devote to this aspect of their training. I worry that a student may concentrate on their experience to the detriment of their research if it is not clear from the very beginning what is expected of them, and when the experience project will be complete. This may be achieved by requiring the student to state the "beginning, middle and end" of the project in their proposal. Second, when the student's guidance committee approves a proposal, there should be clarity about the acceptability of the activity. Thus, students should not ever start the activity without approval, or they would risk spending time and effort on a project that is not found to be acceptable by their committee.
2. The proposed grant writing course would be more useful to students if it were required during their first year. For example, there are several national fellowship RFPs announced (NSF pre-doctoral awards, EPA STAR awards, etc.) for students in their first or second year of graduate school. One approach for the class would be to have a completed proposal to one of these programs as the ultimate required project. Also, if the students complete a proposal that reflects their Ph.D. project, parts of the completed work might be useful in applying to other, smaller granting programs sponsored by local entities or UT.
3. Does the student's advisory committee conduct the Ph.D. Qualifying Exam? In other words, is the committee that is put together at the beginning of a student's program the only one? If this is a university policy, then this comment can be discounted.
4. How flexible is the program with regard to a student changing concentration? Will this take a request to the graduate admissions committee, or to the guidance committee? Or, is this even possible? It would seem a good idea to lay out the procedures for this, since there will certainly be students who have a change of

heart part-way through their program of study. Would there be a “point of no return” date?

5. Will a Master’s degree be a requirement for admission into the Ph.D. program? This is often not the case.
6. Related to #5, can a student in the current M.S. program in EPPN transition into a Ph.D. program before completion of their M.S. degree without reapplying to the program?
7. If a current Ph.D. student decides to leave the program, is there a possibility of them receiving a M.S. degree after a certain point in the degree program? One common cut-off is the qualifying exam.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS: UT, Knoxville

ITEM: **Proposal for a Program of Study Leading to the Degree of Doctor of Public Health**

RECOMMENDATION: Approval

PRESENTED BY: Katherine N. High, Vice President for Academic Affairs and Student Success

The University of Tennessee, Knoxville has developed a full curriculum proposal for the establishment of a Doctor of Public Health (DrPH) degree. This new degree will replace an existing PhD in Education with a concentration in Health Behavior/Health Education, which is the current degree available to students seeking an advanced degree related to public health. The DrPH is a more appropriate terminal degree in public health than the current PhD, and establishing the DrPH will allow all departmental faculty to serve on dissertation committees.

The proposed DrPH degree will be housed in the Department of Public Health, which also offers a Master of Public Health degree (MPH). The MPH will provide a solid foundation for the DrPH, spanning all public health competencies as recommended by the disciplinary accrediting body. The DrPH will require no new faculty, as existing faculty within the Department of Public Health will teach courses and guide the completion of dissertations.

The program will address the documented and forecasted shortages of public health physicians and public health nurses, epidemiologists, health care educators, and administrators. Public health is also critical to the state: Tennessee ranks 42 out of 50 states in the 2013 *America's Health Rankings*. The greatest contributors to this poor ranking are those relevant to public health: tobacco use, poor nutrition, and physical inactivity. Producing public health graduates with the knowledge and capacity to

address these and related problems is a fundamental goal in establishing the DrPH degree at UT, Knoxville.

The DrPH has the full support of the faculty, administration, Chancellor Jimmy Cheek, the Vice President for Academic Affairs and Student Success, and President DiPietro. A site visit with two external consultants and the chief academic officer at THEC was conducted in early June, and the recommendation from the consultants was to proceed with implementation. Upon approval by the Board of Trustees, the program will be submitted to THEC for approval in July 2015 and will begin enrolling students in the fall of 2015.

**MOTION:**

**That the degree of Doctor of Public Health at The University of Tennessee, Knoxville be approved.**

**Proposal for the Initiation of a Doctor of Public Health**

**Submitted by**

**The University of Tennessee, Knoxville**

**Department of Public Health**

**College of Education, Health and Human Sciences**

**June 2015**

**A NEW PROGRAM LEADING TO THE DEGREE OF:**

Doctor of Public Health

Title of degree as on diploma

Public Health

Title of major

CIP/THEC Code

31.51.2201.00

DrPH

Formal degree abbreviation

August 2015

**ABSTRACT**

**Doctor of Public Health Degree Program**

Institution: The University of Tennessee, Knoxville

Division/Department: Department of Public Health

Program Leading to the Degree of Doctor of Public Health with a Major in Public Health

With Sub-Majors (Concentrations) in: 1) Community Health Education and 2) Epidemiology

Proposed Start-up Date: Fall 2015

Total Credit Hours Required for Major: 90 for DrPH; 53 for students with a previous Master of Public Health (with an additional 17 hours of approved hours from the MPH degree, for a total of 70).

**New Courses Proposed**

<b>Course Number, Title</b>	<b>Credit Hours</b>
PUBH 610: Scientific Writing for the Health Sciences	1
PUBH 611: Leadership in Public Health	1
PUBH 612: Public Health Ethics and Law	1
PUBH 687: Practice Engagement/Field Placement <sup>1</sup>	9

<sup>1</sup> 687 provides 1-6 credit hours; DrPH students will be required to take two semesters, for a total of 9 hours.

Number of New Courses: 4

Number of New Course Credit Hours: 12

Estimated Headcount Enrollment, FTEs, Graduates and Faculty for New Program						
Year	Fall Full-Time Headcount	Fall Part-Time Headcount	Fall Full-Time Equated Students	Graduates	FTE Faculty	
					Current	New
1 2016	4	1	4.5	0	10	0
2 2017	8	2	9	0	10	0
3 2018	18	4	20	3	10	0
4 2019	22	5	24.5	5	10	0
5 2020	22	5	24.5	5	10	0

**Accrediting Organization:** The Council on Education for Public Health (CEPH) is the national accrediting body for public health programs and schools. CEPH accredits both MPH and DrPH programs.

**Target Date for Accreditation:** CEPH accreditation for the DrPH will be sought no earlier than 2016, and no later than 2022.

## I. INTRODUCTION

**The DrPH:** The Doctor of Public Health (DrPH) degree has represented comprehensive training in the core and supporting sciences, and practical knowledge of public health, for over 100 years. According to the Association of Schools and Programs of Public Health (ASPPH), the degree was created to represent the highest possible qualifications for a (governmental) health officer. Today, the DrPH degree is offered in many health-related doctoral programs, most typically in schools of public health.

In many institutions offering multiple degrees, there is a distinction made between the doctor of philosophy (PhD) and DrPH degrees. The PhD is an advanced degree in research training, theory and methodology, and stresses knowledge and competency in statistical methods and research design. The DrPH is often referred to as more of a “professional” degree, and more often targeting administrators and practitioners who have professional experience beyond the Master of Public Health (MPH) degree.

As an advanced professional degree emphasizing methods, applications, and applied research, the DrPH links theory to practice, bridging the skills acquired in the doctoral training program more directly to the basic public health needs of the community. Graduates from DrPH programs address significant public health issues using innovative methods and new knowledge they have acquired in their doctoral program. But graduates with a DrPH degree may also pursue academic positions, with capabilities in teaching, research, and service. Careers for graduates with a DrPH include high-level administration, teaching, policy-making, applied research, and program evaluation positions.

Currently, there is only one institution offering the DrPH degree in Tennessee: East Tennessee State University in Johnson City.

The proposed UT Knoxville DrPH program is consistent with the goals of the campus, the UT Knoxville VolVision, and the Complete College Tennessee Act (CCTA) of 2010. The CCTA stresses the need for increased production of higher-level professional degrees and doctoral degrees and recognizes the need for higher education degrees to fuel economic and workforce development. The existence of affordable and flexible models of educational opportunity to meet these goals is a hallmark of the act ([http://www.state.tn.us/thec/complete\\_college\\_tn/complete\\_college\\_tn.html](http://www.state.tn.us/thec/complete_college_tn/complete_college_tn.html)).

The Centers for Disease Control and Prevention (CDC) has recently stated that serious public health workforce shortages exist in several areas that are vital to population health, and these shortages limit the nation's capacity and plans for enhancement. Throughout the country, states and communities report a need for more public health practitioners with core knowledge in health policy and administration, behavior health education, epidemiology, environmental health sciences, and disease surveillance, to name a few. The Association of Schools and Programs of Public Health (ASPPH) estimates that 250,000 more public health workers will be needed by 2020 to maintain capacity. The National Institutes of Health, Office of Behavioral Social Science Research, has noted the critical need to maximize the impact of scientific discovery and translate this research into practical applications that are implemented effectively and efficiently in real-world settings. The DrPH was established over 100 years ago to help communities meet this need; at no time since then has the potential for public health professionals to improve the health of people and communities in Tennessee and the nation been greater than it is today.

The Department of Public Health at UTK is relatively new, but the public health academic program has been in existence for over four decades. The Department of Public Health was established in 2010, with

the Programs in Public Health moving out of the Department of Nutrition, where the public health faculty had been housed for two years. Prior to being in the Department of Nutrition, the Programs in Public Health had been located in various departments, but never as a stand-alone department.

The public health academic program was first accredited in 1969 by the national accrediting organization for schools and programs in public health (then an organizational entity within the American Public Health Association). It was the first accredited Master of Public Health (MPH) program in the country outside of a school of public health or medicine. It has been continuously accredited by the Council on Education for Public Health (CEPH) since CEPH's inception, having most recently achieving accreditation with the maximum period possible (7 years) in 2008. Since its first MPH graduate in 1971, the program has graduated over 1200 MPH students over its lifetime.

The Department of Public Health also has one PhD program – a PhD in Education, with a concentration in Health Education/Health Behavior. This PhD program developed when the Colleges of Education and Human Ecology merged in the early 2000's. Prior to that, the PhD available to students in public health was a PhD in Human Ecology. When the colleges merged, it was believed that a doctoral degree focused solely on public health (or Community Health) would have an insufficient number of graduates to meet Tennessee Higher Education Commission (THEC) standards (15 per 5 years, or an average of 3 per year). Thus the public health doctoral program was subsumed under the larger umbrella of the PhD in Education. That is part and parcel of the current situation which has led to the development of the Intent to Plan for the DrPH degree. A PhD in Education is not attractive to most students who are seeking a terminal degree in public health. Since only faculty with specialty training in health behavior/health education are equipped to mentor students in this PhD program, this limits the involvement of departmental faculty, and thus further limits the capacity of the department to grow its doctoral program.

With only an additional four new courses, the Department intends to establish the DrPH by utilizing the current courses provided in the PhD program. Once the DrPH is established, the Department of Public Health will discontinue its Health Behavior/Health Education concentration within the PhD in Education program. Thus, establishing the DrPH will not only lead to achieving the university goal of increasing the production of higher-level professional degrees and doctoral degrees, it will also facilitate the university's goals of eliminating low-producing programs (i.e., the Health Behavior/Health concentration within the PhD in Education). **A key positive impact of the proposed DrPH program is that it will allow the department to involve all of its faculty in mentoring doctoral students; currently, because the PhD program is specific to Health Behavior/Health Education, only 3 of the 10 public health faculty serve as major professors for our own doctoral students.**

## II. DOCTOR OF PUBLIC HEALTH PROGRAM DESCRIPTION AND INTENT

### A. Mission: Consistency with the Approved Mission Statement of the Institution.

**Mission of the University of Tennessee:** The mission of the University of Tennessee (UT) is to provide the people of Tennessee with access to quality higher education, economic development, and enhanced quality-of-life opportunities.

The UT System Strategic Plan outlines five areas of emphasis: Enhancing Educational Excellence, Expanding Research Capacities, Fostering Outreach and Engagement, Ensuring Effectiveness and Efficiency, and advocating for the University of Tennessee. The proposed DrPH program is in line with these areas of emphasis.

**Mission of the University of Tennessee, Knoxville:** As the state's flagship comprehensive research institution, UT, Knoxville's primary purpose is to move forward the frontiers of human knowledge and enrich and elevate society. The specific goals are to:

1. Advance the community of learning by engaging in scientific research, humanistic scholarship, and artistic creation;
2. Provide a high quality educational experience to undergraduate students in a diverse learning environment – promoting the values and institutions of democracy that prepare students to lead lives of personal integrity and civic responsibility in a global society;
3. Prepare the next generations of skilled and ethical professionals by providing excellent graduate and professional education that prepares graduates to compete in a diverse world market;
4. Promote a campus environment that welcomes and honors women and men of all races, creeds, and cultures and an atmosphere that values intellectual curiosity, pursuit of knowledge, and academic freedom and integrity;
5. Conduct research, teaching, and outreach to improve human and animal medicine and health;
6. Contribute to improving the quality of life, increasing agricultural productivity, protecting the environment, promoting the well-being of families, and conserving natural resources;
7. Offer a wide variety of off-campus educational and training programs, including the use of information technologies, to individuals and groups;
8. Partner with communities to provide educational, technical and cultural support to increase the livability of those communities; and
9. Partner with industry and government to improve the quality of the workplace and to serve as an engine for economic and cultural development.

### **Mission of the College of Education, Health and Human Sciences:**

To promote a healthy, educated, and civil society; to encourage life-long learning; and to enhance the quality of life within the diverse, global community by preparing professionals to lead and serve through research and engagement in outreach activities.

### **Mission of the Department of Public Health:**

The University of Tennessee's Department of Public Health prepares and mentors its students for exceptional careers in academia, public health research, administration and practice, which promote optimal health of individuals and communities.

### **Goal of the Doctor of Public Health (DrPH)**

The goal of the DrPH program is to prepare skilled public health professionals who will address public health problems in a variety of settings and serve as leaders in improving the health of individuals and communities. This goal is congruent with UT System goals: 1 (Enhancing Educational Excellence), 3 (Expand Research Capacities), 5 (Advocating for the University of Tennessee System), and the program objectives presented in the next section illustrate this congruence. (see <http://president.tennessee.edu/strategicplan/plan.html#vii> )

#### **Program Objectives and Outcomes and Relationship to Campus Goals**

At the completion of the DrPH program, students will be able to:

- Identify and address health issues in the community using the tools of public health practice, including community assessment and program administration (UT goals 1 and 3);
- Demonstrate professionalism, advocacy, ethical principles, and scientific integrity in advanced public health practice (UT goal 5);
- Provide collaborative leadership in the development of evidence-based practice-oriented public health services for diverse populations (UT goals 3 and 5);
- Generate patient, system and outcomes research and analyze other evidence to guide improvements in practice (UT goals 1 and 3); and
- Integrate behavioral and epidemiologic research with public health practice and program administration using evidence-based and theoretical models (UT goals 1 and 3).
- Teach and conduct research on the organization, financing, and impact of local, state, and federal public health services. (UT goals 1 and 3)

#### **Consistency with THEC Statewide Master Plan**

This proposal is consistent with the Statewide Master Plan for Tennessee Higher Education 2010-2015. The THEC Master Plan focuses the Public Agenda on “educational attainment and increased degree production, taking into consideration Tennessee’s economic development, work force development and research needs”.

([http://www.tn.gov/thec/complete\\_college\\_tn/ccta\\_files/master\\_plan/The%20Public%20Agenda%20with%20Appendices%20Jan2011.PDF](http://www.tn.gov/thec/complete_college_tn/ccta_files/master_plan/The%20Public%20Agenda%20with%20Appendices%20Jan2011.PDF) )

Tennessee ranks 42 (with 50 being worst, 1 being best) in the 2013 *America’s Health Rankings*. The greatest contributors to this poor ranking are those relevant to public and population health: tobacco use, poor nutrition, and physical inactivity. Producing public health graduates with the knowledge and capacity to address these and related problems is a fundamental goal in establishing the DrPH degree at the University of Tennessee.

The University of Tennessee, Knoxville (UTK) has a long and successful history of providing education in the area of public health. The College of Education, Health and Human Sciences (CEHHS) has offered the Master of Public Health (MPH) degree for over 40 years. The MPH program has been highly successful, providing a basic education in the core disciplines of public health to over 1,200 MPH students and 112 PhD students during this time, many who live in rural and underserved areas of Tennessee. The MPH program at UTK has supplied the Tennessee public health workforce with skilled and knowledgeable graduates who continue to improve the health of individuals and communities throughout our state. Of over 500 MPH graduates for whom post-graduation employment records are available, over 60% work in a public health setting in Tennessee, with the remaining working in various public health settings across the country and overseas. The DrPH program will provide a higher level of training for MPH graduates (and others) who are seeking advanced skills and theoretical knowledge needed to initiate,

facilitate, and lead public health programs in the practice setting. Graduates of the DrPH will also be capable of teaching and conducting research on the organization, financing, and impact of local, state, and federal public health services.

**THEC Planning Goal: Increase the number of students entering academic programs identified as critical workforce areas.**

According to the ASPPH, the U.S. faces a future public health workforce crisis. The current public health workforce is inadequate to meet the health needs of the U.S. and global population. The ASPPH estimates that 250,000 more public health workers will be needed by 2020. There are documented and forecasted shortages of public health physicians, public health nurses, epidemiologists, health care educators, and administrators. Without these public health workers, we all are vulnerable to serious health risks. The ASPPH estimates that to replenish the workforce and avert a crisis, programs in public health will have to train three times the current number of graduates over the next decade. (See <http://www.cdc.gov/osels/sepdpo/documents/ph-workforce-summit-report.pdf> )

Public health is interdisciplinary and the workforce represents a wide range of professions, including nurses, social workers, physicians, epidemiologists, researchers, health educators, and laboratory technicians. Many public health jobs require a graduate degree in public health. A graduate degree gives public health professionals a competitive edge over other professionals and enables professionals to gain knowledge of the factors which influence local, national, and global legislative and social policies; apply broad-based, state-of-the-art quantitative and qualitative skills needed for problem solving; develop multidisciplinary and collaborative strategies for solving health-related problems; enhance communication skills by working with diverse populations; and, be positioned for a leadership role in health promotion and disease prevention.

**THEC Core Assumption: Improving health and quality of life.**

Adequate health, mental health and social care are necessary components of acquiring and maintaining a productive and high quality of life. The proposed program will create educational opportunities to increase the competence of advanced public health practitioners and thus, provide quality care for the citizens of Tennessee. Graduates of MPH and DrPH programs, such as our own MPH and the proposed DrPH program, are the primary source of public health sector employment at the leadership and managerial-levels in the U.S. today. Moreover, graduates with the DrPH degree have a particular role to fill in public health, which emphasizes the need for this degree within the University of Tennessee System. As was quoted in a recent ASPPH Consensus Conference on the Future of the DrPH Degree: "MPH holders can be expected to manage programs and demonstrate that they are being well run, and PhD-holders may be technically competent in one of the five core areas but may not be an advocate or change agent. In contrast, DrPH-holders are change agents, requiring a higher level of thinking and practice skill. DrPH-holders define the problem, not just recognize it. One health director said to a School of Public Health representative that DrPH students give something no one else does." (<http://www.asph.org/userfiles/DrPHPost-MtgReportFINAL.pdf> )

While the primary focus of the DrPH will be to strengthen the public health (and related health sector) workforce at the leadership level, the Department of Public Health is very intentional in also having DrPH graduates who are capable of functioning and succeeding in academic positions.

## B. Curriculum

The DrPH program educates individuals for advanced practice and leadership roles in various areas of advanced public health practice. The curriculum will be competency-based and will follow the current ASPPH DrPH Core Competency Model (see **Appendix 1** or:

[http://www.asph.org/publication/DrPH\\_Core\\_Competency\\_Model/index.html](http://www.asph.org/publication/DrPH_Core_Competency_Model/index.html) )

The DrPH competencies cover seven domains:

- 1) Advocacy
- 2) Communication
- 3) Community/Cultural orientation
- 4) Critical analysis
- 5) Leadership
- 6) Management
- 7) Professionalism and Ethics

This new degree program will place an emphasis on such elements as philosophical, ethical, and scientific principles that provide the foundation of professional public health practice. Through the utilization of increased scholarly inquiry, above and beyond the expectation and capability of the master's (MPH) prepared individual, and in concert with the additional research and intra-disciplinary collaboration provided by the continued acquisition of knowledge and skills in an area of advanced practice specialization, the DrPH elevates the art and science of advanced public health practice to a level comparable with that of other health professionals prepared at the practice doctorate level. Students admitted to the program will be trained, and demonstrate competence, in core disciplines of public health (epidemiology, biostatistics, environmental health, health policy and management, and health behavior / health education). DrPH students will complete advanced courses and practical training in advanced research methods and public health theory / practice beyond the MPH core requirements, and will attain mastery of these skills as they apply to specific public health problems and needs.

### Concentrations

The DrPH Degree will be awarded in two concentrations – Community Health Education and Epidemiology. The concentrations and educational objectives are described below:

*Community Health Education* addresses the role of behavioral (social and psychological) factors in disease causation, prevention, and health services, with application to public health education and promotion for the prevention of disease and injury. The objectives are to: develop, implement, and evaluate programs in health promotion and education through collaborative partnerships; establish and maintain community partnerships; apply theoretical perspectives in analyzing the behavioral, cultural and ethical dimensions of community health problems; identify and assess the determinants of community and population health, including social and behavioral factors contributing to health-related behaviors; and conduct scientific investigations.

*Epidemiology* deals with methods for elucidating the causes of disease and evaluating health services, programs, and treatments. Students will be provided with the conceptual knowledge and statistical skills to study, analyze, and monitor the distribution and determinants of disease occurrence and other outcome measures in human populations. The educational objectives are to provide knowledge on methods for determining the causes of disease and for evaluating health

services, programs, and treatments; develop concepts and statistical skills to conduct, study, analyze, and monitor the distribution and determinants of disease and other outcome measures; apply basic methodological skills to analyze discrete problems in health; acquire critical thinking for problem-solving and developing research protocols; and use the problem-solving approach for collection, analysis, and synthesis of data.

### **Core Curriculum Content**

Public Health curriculum, at both the graduate and undergraduate levels, must meet the standards set by The Council on Education in Public Health (CEPH) ([www.ceph.org](http://www.ceph.org)), which is an independent agency recognized by the U.S. Department of Education that accredits schools of public health and public health programs outside schools of public health. According to CEPH, the areas of knowledge basic to public health include the following:

#### **Public Health Core Disciplines**

**Biostatistics** – collection, storage, retrieval, analysis and interpretation of health data; design and analysis of health-related surveys and experiments; and concepts and practice of statistical data analysis;

**Epidemiology** – distributions and determinants of disease, disabilities and death in human populations; the characteristics and dynamics of human populations; and the natural history of disease and the biological basis of health;

**Environmental health sciences** – environmental factors including biological, physical and chemical factors that affect the health of a community;

**Health planning, policy, and administration** – planning, organization, administration, management, evaluation and policy analysis of health and public health programs; and

**Social and behavioral sciences** – concepts and methods of social and behavioral sciences relevant to the identification and solution of public health problems.

The proposed DrPH addresses all these areas and will prepare public health professionals at the highest levels.

### **Catalog Description**

#### **Doctor of Public Health (DrPH)**

The Department of Public Health DrPH program is the terminal degree in public health, designed for students who have already earned the MPH degree; however, exceptional students without the MPH may be admitted to the DrPH program. At the completion of the DrPH program, students will be able to:

- Demonstrate a high level of thinking and practice skill in recognizing, defining, and addressing public health problems and needs;

**DrPH CURRICULUM FOR ONE PROGRAM CYCLE**

The curriculum plan below includes coursework for the student who does not have a prior MPH (Table 1), and for students who enter the program with an MPH from an accredited school or program (Table 2).

<b>Table 1. DrPH Proposed Sequence of Courses – No Prior MPH</b>				
Year 1 - both concentrations	Year 2 – both concentrations	Fall Year 3	Spring Year 3	Year 4 – both concentrations
PUBH 509 Graduate Seminar in Public Health (1)	PUBH 609 Public Health Doctoral Seminar (1)	Health Behavior/Health Education concentration	Health Behavior/Health Education concentration	PUBH 600 Doctoral Research and Dissertation (24)
PUBH 520 Public Health Policy and Administration (3)	PUBH 536 Research Methods in Health (3)	PUBH 527 Theories and Techniques in Health Planning (4)	1 elective (3)	PUBH 611 Leadership in Public Health (1)
PUBH 530 Biostatistics (3)	STAT 531 Survey of Statistical Methods I (3), or STAT 537 Statistics for Research I (3), or SOWK 605 Analysis of Social Work Data I (3)	PUBH 656 Comparative Theories in Health Behavior (3)	PUBH 687 Internship (6)	PUBH 612 Public Health Ethics and Law (1)
PUBH 540 Principles of Epidemiology (3)	PUBH 550 Principles and Practices of Community Health Education (3)	PUBH 637 Applications in Program Evaluation (3)	PUBH 610 Scientific Writing for the Health Sciences (1)	PUBH 687 Internship (3)
<b>Spring Year 1 – both concentrations</b>	<b>Spring Year 2 – both concentrations</b>	<b>Epidemiology concentration</b>	<b>Epidemiology concentration</b>	
PUBH 509 Graduate Seminar in Public Health (1)	PUBH 609 Public Health Doctoral Seminar (1)	CMVM 615 GIS and Geographical Epidemiology (3)	PUBH 642 Advanced Epidemiologic Methods (3)	
PUBH 555 Health and Society (3)	PUBH 636 Advanced Research Methods (3)	KNS 521 Physical Activity Epidemiology Methods (3)	PUBH 687 Internship (6)	
PUBH 510 Environmental and Occupational Health (3)	STAT 532 Survey of Statistical Methods II (3), or STAT 538 Statistics for Research II (3), or SOWK 606 Analysis of Social Work Data II (3)	PUBH 541 Disease Outbreak Investigation (1)	PUBH 610 Scientific Writing for the Health Sciences (1)	
PUBH 537 Fundamentals of Program Evaluation (3)	PUBH 552 Community Health Problem Solving (4)	1 elective (3)		

Table 2. DrPH Proposed Sequence of Courses – Student with prior MPH			
Fall Year 1 – both concentrations	Fall Year 2	Spring Year 2	Year 3 – both concentrations
PUBH 609 Public Health Doctoral Seminar (1)	Health Behavior/Health Education concentration	Health Behavior/Health Education concentration	PUBH 600 Doctoral Research and Dissertation (24)
PUBH 536 Research Methods in Health (3) <sup>1</sup>	PUBH 527 Theories and Techniques in Health Planning (4) <sup>1</sup>	1 elective (3) <sup>1</sup>	PUBH 611 Leadership in Public Health (1)
STAT 531 Survey of Statistical Methods I (3), or STAT 537 Statistics for Research I (3), or SOWK 605 Analysis of Social Work Data I (3)	PUBH 656 Comparative Theories in Health Behavior (3)	PUBH 687 Internship (6)	PUBH 612 Public Health Ethics and Law (1)
PUBH 550 Principles and Practices of Community Health Education (3) <sup>1</sup>	PUBH 637 Applications in Program Evaluation (3)	PUBH 610 Scientific Writing for the Health Sciences (1)	PUBH 687 Internship (3)
Spring Year 1 – both concentrations	Epidemiology concentration	Epidemiology concentration	
PUBH 609 Public Health Doctoral Seminar (1)	CMVM 615 GIS and Geographical Epidemiology (3)	PUBH 642 Advanced Epidemiologic Methods (3)	
PUBH 636 Advanced Research Methods (3)	KNS 521 Physical Activity Epidemiology Methods (3)	PUBH 687 Internship (6)	
STAT 532 Survey of Statistical Methods II (3), or STAT 538 Statistics for Research II (3), or SOWK 606 Analysis of Social Work Data II (3)	PUBH 541 Disease Outbreak Investigation (1)	PUBH 610 Scientific Writing for the Health Sciences (1)	
PUBH 552 Community Health Problem Solving (4) <sup>1</sup>	1 elective (3)		

<sup>1</sup> The student with a prior MPH may show course equivalency from the MPH degree, up to 17 hours.

**THEC Financial Estimate Form**  
**University of Tennessee, Knoxville**  
**DrPH Intent to Plan**  
Appendix 5

Five-year projections are required for baccalaureate and post-baccalaureate programs and certificates. Three-year projections are required for associate degrees and undergraduate certificates. Projections should include cost of living increases per year.

(Projected salaries based on 3% increase annually; Projected Tuition and Fees based on 5% increase annually)

	Year 1	Year 2	Year 3	Year 4	Year 5
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
<b>I. Expenditures</b>					
<b>A. One-time Expenditures</b>					
New/Renovated Space	NA	NA	NA	NA	NA
Equipment	NA	NA	NA	NA	NA
Library	NA	NA	NA	NA	NA
Consultants	5,000	NA	NA	NA	NA
Travel	NA	NA	NA	NA	NA
Other (marketing)	1,000	750	500	500	NA
Sub-Total One-time	\$ 6,000	\$ 750	\$ 500	\$ 500	NA
<b>B. Recurring Expenditures</b>					
<b>Personnel</b>					
<b>Staff</b>					
Salary	\$ 141,066	\$ 145,298	\$ 149,657	\$ 154,146	\$ 158,771
Benefits	46,394	47,786	49,220	50,696	52,217
Sub-Total Administration	187,460	193,084	198,877	204,842	210,988
<b>Faculty</b>					
Salary	\$ 878,787	\$ 905,151	\$ 932,305	\$ 960,275	\$ 989,082
Benefits	209,569	216,856	222,333	229,003	235,873
Sub-Total Faculty	\$ 1,088,356	\$ 1,122,007	\$ 1,154,638	\$ 1,189,278	\$ 1,224,955
<b>Graduate Assistants</b>					
Salary	\$ 119,978	\$ 123,579	\$ 127,289	\$ 131,108	\$ 135,040
Benefits	17,997.00	18,537.00	19,093.00	19,666.00	20,256.00
Tuition and Fees* (See Below)	116,020	121,821	127,912	134,308	141,023
Sub-Total Graduate Assistants	\$ 253,995	\$ 263,937	\$ 274,294	\$ 285,082	\$ 296,319
<b>Operating</b>					
Total Recurring	\$ 38,000	\$ 41,800	\$ 43,054	\$ 45,600	\$ 49,400
<b>TOTAL EXPENDITURES</b>	<b>\$ 1,573,811</b>	<b>\$ 1,621,578</b>	<b>\$ 1,671,363</b>	<b>\$ 1,725,302</b>	<b>\$ 1,781,662</b>
(A+B)					
*If tuition and fees for Graduate Assistants are included, please provide the following information.					
Base Tuition and Fees Rate	\$ 11,602.00	\$ 12,182.10	\$ 12,791.21	\$ 13,430.77	\$ 14,102.30
Number of Graduate Assistants	10	10	10	10	10
<b>II. Revenue</b>					
	Year 1	Year 2	Year 3	Year 4	Year 5
Tuition and Fees <sup>1</sup>	475,682	548,195	626,769	711,831	803,831
Institutional Reallocations <sup>2</sup>	1,098,129	1,073,384	1,044,594	1,013,471	977,831
Federal Grants <sup>3</sup>	-	-	-	-	-
Private Grants or Gifts <sup>4</sup>	-	-	-	-	-
Other <sup>5</sup>	-	-	-	-	-
<b>BALANCED BUDGET LINE</b>	<b>\$ 1,573,811</b>	<b>\$ 1,621,578</b>	<b>\$ 1,671,363</b>	<b>\$ 1,725,302</b>	<b>\$ 1,781,662</b>

**Notes:**

1. Tuition and Fees: based on current and projected total student enrollment, including MPH and PhD, with 5% increase in costs annually
2. The faculty, staff, and graduate assistant positions are all currently funded through the University of Tennessee. There are no increased costs for faculty, staff, or graduate assistants to establish the DrPH program
3. No current faculty, staff, or graduate assistant positions are dependent on federal grants.
4. No current faculty, staff, or graduate assistant positions are dependent on private grants or gifts.
5. No current faculty, staff, or graduate assistant positions are dependent on other sources of revenue.

University of Tennessee, Knoxville  
Review of Proposed Doctor of Public Health Degree Program

Dr. Deborah Viola  
Vice President, Director  
Health Services Research and Data Analytics Center  
Regional Healthcare Innovation Westchester (New York) Medical Center

Dr. Cynthia Harris  
Director and Professor, Institute of Public Health  
Florida Agricultural and Mechanical University

June 5, 2015

University of Tennessee, Knoxville

*Review of Proposed Doctor of Public Health Degree Program*

**1.1.20A – Mission**

The proposed *University of Tennessee, Knoxville (UTK) Proposed Doctor of Public Health (DrPH) Degree Proposal* is consistent with the missions of the UTK, College of Education, Health and Human Sciences, and Department of Public Health.

**1.1.20B – Curriculum**

In general, the proposed degree program meets the objectives of the Program and is measurable. The program proposal provides a clear set of core competencies to be attained upon graduation and the conferral of the DrPH degree. These competencies are under the overarching domains of advocacy, communication, community/cultural orientation, critical analysis, leadership, management, and professionalism and ethics. These domains are components of the Doctor of Public Health Core Competency Model of the Association of Schools of Public Health (now the Association of Schools and Programs of Public Health). Since the proposal degree program will be offered specifically in the area of community health education and in epidemiology, it is critical that specific competencies be developed also for each of these specialty areas. In regards to the required comprehensive examination, is it a generalist examination or is there a specialty exam in the specific concentration. Is the internship a general practice practicum or does it also inform the specific area of concentration (e.g., epidemiology); thus, does this practicum provide the opportunity to provide specific practice experience in the area of concentration? What are the specific competencies of the internship?

**1.1.20C – Academic Standards**

The admission standards for the proposed program are clearly stated. There is no indication that a specific GRE score is required or what is considered acceptable.

**1.1.20D – Faculty**

There are (10) full-time faculty and several adjuncts (10). These faculty appear to certainly be sufficient for the proposed program and currently results in an acceptable student: faculty ratio. It is not clear if these faculty are also teaching in other degree programs and/or what is the specific percent effort for the proposed program.

**1.1.20E –Library Resources**

The library resources of UTK are bountiful and will very likely meet the needs of doctoral students in conducting their dissertation research. I recommend that the program be more explicit in specifically delineating the public health and health-related journals and resources. In addition, please indicate the specific access hours (e.g., 24-hour?). Is there any internal resource area (internal to the Department)?

**1.1.20F – Administration/Organization**

The program provided a detailed organization chart and the lines of administration are clear. The program is within the Department of Public Health in the College of Education, Health and Human Sciences; thus, administered by the Department Chair and Dean, respectively. While the program proposes to change the name of the current PhD director to the DrPH director, it is not clear who is now over the Ph.D. program. There are three new students (Ph.D.) admitted for Fall 2015. Who will direct this program?

**1.1.20G – Support Resources**

The program will be supported by (4) highly qualified support staff. Thus, no additional support resources (personnel) are needed at this time. The program should delineate, in more detail, the responsibilities of these personnel, particularly their support to the DrPH program. What will be the percent effort to the DrPH program? Who and what is the level of student advisement? Is student advisement conducted by these staff regarding administrative matters?

**1.1.20H – Facilities**

Program facilities are sufficient. Please specify the number of classrooms accessible for the doctoral program and space for DrPH students. Will each student have their own office or cubicle for study? Is there a break room? Based on the number of projected DrPH students/year, are their additional assistantships available for these students (e.g., graduate assistantship, research assistants or pre-doctoral fellowships)? What is the projected number of part-time vs. full-time students?

**1.1.20I – Need and Demand**

The program has used a number of data sources and existing state and national reports and have done due diligence in supporting the need of a DrPH program at UTK (e.g., ASPPH data and other reports, employer needs/demand (state data), needs assessment and labor market analysis (previously conducted by ETSU) including trend analyses and future work need projections given the number of

**1.1.20M –Assessment/Evaluation and Accreditation**

The program has consistent evaluations and has created a culture of assessment. These evaluations include internal program evaluations as well as state requirements. In addition, there are substantial assessment tools for program stakeholders (e.g., employer, students) that are currently being implemented and will facilitate the continual monitoring of the proposed program to meet its goals and objectives.

**1.1.20P – Cost/Benefit**

This proposed program currently proposes no need for additional faculty lines or state appropriations. Thus, there will be substantial benefit to the state for the production of culturally competent public health leaders to address the huge magnitude of health concerns and provide a workforce with advanced public health competencies and research skills for the state. An additional recommendation is to provide information, from the first few cohorts of this program, on their future intents (e.g., government or academia) within the State of Tennessee.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: UT, Knoxville

ITEM: **UT, Knoxville, Faculty Handbook Revisions Regarding Outreach, Community Engagement, and Extension**

RECOMMENDATION: Approval

PRESENTED BY: Susan D. Martin, Provost and Senior Vice Chancellor  
The University of Tennessee, Knoxville

The Faculty Handbook for The University of Tennessee, Knoxville (also applicable to the faculty of UTIA and UTSI) includes the framework for appointment, evaluation, promotion and tenure of faculty. The campus administration and Faculty Senate have worked together this past year on several revisions that clarify faculty roles, responsibilities, and evaluation criteria. These revisions recognize in a more direct fashion the roles of faculty in outreach (including extension activities of UTIA faculty) and community engagement, a key part of the University mission.

Specifically, revision #1 adds scholarship and service embedded in community engagement activities to the criteria for professorial rank. Such scholarly work or creative activity typically advances disciplinary knowledge while also addressing a significant community problem or issue.

Revision #2 formalizes appropriate position and rank titles for faculty involved almost exclusively in the outreach and engagement arm of the Institute of Agriculture, UT Extension. This revision adds the ranks of Extension Assistant Professor, Extension Associate Professor, and Extension Professor to the recognized designations and career paths of other Non-Tenure Track faculty.

The proposed revisions document and validate the importance of outreach and community engagement across The University of Tennessee and will help improve recruitment and retention of valued faculty members.

The proposed revisions presented on the following pages have been approved by the Faculty Senate and Chancellors Arrington and Cheek. The proposed revisions have been further reviewed and are recommended for approval by the General Counsel and Secretary, the Vice President for Academic Affairs and Student Success, and the President.

**MOTION:**

**That the revisions to the Faculty Handbook for The University of Tennessee, Knoxville regarding outreach, engagement, and extension be approved.**

**REVISIONS TO THE FACULTY HANDBOOK**  
**Applicable to UT Knoxville, UT Institute of Agriculture, and UT Space Institute**  
**Approved by the Faculty Senate on February 2, 2015**

New language = underlined

Deleted language = ~~strikethrough~~

**REVISION #1**

**Chapter 3 Appointment, Evaluation, Promotion, Tenure and Review for All Tenure-track and Tenured Faculty**

**3.0 Board of Trustees Policy...**

**3.1 Process for appointment of new faculty to tenure-track positions...**

**3.2 Criteria for Appointment to Faculty Rank**

Professors are expected to

1. hold the doctorate or other terminal degree of the discipline, or present equivalent training and experience appropriate to the particular appointment
2. be accomplished teachers
3. have achieved and to maintain a nationally recognized record in disciplinary research / scholarship / creative activity/engaged scholarship<sup>1</sup>
4. have achieved and to maintain a record of significant institutional, disciplinary, and/or professional service or outreach engagement<sup>2</sup>
5. serve as mentors to junior colleagues
6. have normally served as an associate professor for at least five years
7. have shown beyond doubt that they work well with colleagues and students in performing their university responsibilities

---

<sup>1</sup> Outreach research, scholarship and creative activity extends faculty endeavors to serve the public. This may include: basic discovery research, applied or action research, original performances, and creative applied policy. These activities bring together faculty and community collaborators to address real world problems and opportunities. The best examples of outreach research and creative activities are those that engage faculty in advancing knowledge through the pursuit of their scholarly interests while simultaneously addressing specified community problems and issues, thereby benefiting the scholar, the discipline, the university, and society.

<sup>2</sup> Outreach service engages professional skills of faculty to benefit external communities and extends the intellectual resources of the university to seek solutions to problems.

Associate professors are expected to

1. hold the doctorate or other terminal degree of the discipline, or to present equivalent training and experience as appropriate to the particular appointment
2. be good teachers
3. have achieved and to maintain a recognized record in disciplinary research / scholarship / creative activity/engaged scholarship
4. have achieved and to maintain a record of institutional, disciplinary, and/or professional service or outreach engagement
5. have normally served as an assistant professor for at least five years
6. have demonstrated that they work well with colleagues and students in

## REVISION #2

### Chapter 4 Non-Tenure-Track Faculty

#### 4.1 Appointment and Renewal of Faculty to Non-Tenure-Track Positions

All appointments to non-tenure-track faculty positions, including part-time appointments, will be made in accordance with departmental and college by laws and subject to the provisions of this chapter. Except as otherwise provided in this chapter, tenured and tenure-track faculty, or a committee of tenured and tenure-track faculty, will evaluate credentials and vote on non-tenure-track appointments in accordance with departmental and college bylaws.

Notification of appointment is made by letter from the Office of the Chancellor. This appointment letter specifies (a) rank, (b) salary and related financial conditions, (c) general duties and expectations, and (d) duration. Previous correspondence between the department head, dean or director, and a prospective faculty member concerning these matters is unofficial and not binding on the University of Tennessee. A faculty member's written acceptance of the letter of appointment, together with the execution of normal university employment forms, completes the initial appointment. The employment of non-tenure-track faculty is governed by the terms of the appointment letter, applicable provisions of the *Faculty Handbook*, and applicable provisions of university policies and procedures.

Conditions necessary to perform assigned duties in a professional manner, including such things as appropriate office space, necessary supplies, support services, and equipment will be provided to non-tenure-track faculty members. Departments should have consistent criteria for deciding teaching assignments. Departments should consider the views of non-tenure-track faculty in setting schedules and other issues that impact quality of teaching and working conditions. Opportunities for faculty development, including travel to scholarly meetings, should be provided whenever possible. Depending on stipulations of departmental and college by-laws, non-tenure-track faculty may have the opportunity to participate in departmental, college, and/or university governance. Non-tenure-track faculty enjoy the same academic freedom as tenured and tenure-track faculty.

A non-tenure-track appointment (whatever its duration) may be renewed for a new term through the normal application and appointment process. Renewal decision will include consideration of available funding and the faculty member's performance. If a non-tenure-track appointment is not renewed in writing, it automatically expires at the end of its stated term. A non-tenure-track appointment may be, by its nature, funding-limited; the compensation amounts for the position may be funded through a grant, contract, or restricted donation, and it may automatically expire when funding lapses. Whenever feasible, however, subject to available funding, a non-tenure-track faculty member's department head should give the faculty member at least (a) one month's notice of termination of the faculty member's employment or (b) salary equivalent to that which would be paid in the event of one month's notice of the termination of the faculty member's employment.

4.1.2 Non-Tenure-Track Research Positions. . . .

4.1.3 Non-Tenure-Track Clinical Positions. . . .

4.1.4 Non-Tenure-Track Faculty of Practice . . . .

4.1.5 Non-Tenure Track Extension Positions

Non-Tenure-Track Extension faculty positions are filled as required to meet outreach and engagement needs and may occur at any time during the year. Bylaws of the applicable unit establish standards and procedures related to hiring and promotion of Extension faculty.

All non-tenure-track Extension appointments will be made for a definite term of up to five years. The following ranks or titles may be assigned to non-tenure-track Extension faculty: Extension assistant professor, Extension associate professor, Extension professor, adjunct Extension faculty and visiting Extension faculty.

**4.2 Criteria for Non-tenure-track Appointments to Faculty Rank. . . .**

4.2.1 Non-Tenure-Track Teaching Faculty. . . .

4.2.2 Non-Tenure-Track Research Faculty. . . .

4.2.3 Non-Tenure-Track Clinical Faculty. . . .

4.2.4 Non-Tenure-Track Faculty of Practice. . . .

4.2.5 Non-Tenure-Track Extension Faculty

Non-Tenure-Track Extension faculty are hired for specific outreach and engagement assignments. They generally are not expected to conduct traditional academic research or engage in for-credit, classroom teaching as a condition of their employment. Extension faculty are expected to conduct externally funded work and to publish the results of that work.

Extension assistant professor: This rank is for those who have completed a doctoral degree or terminal degree appropriate to the field. Individuals holding such positions demonstrate an ability to initiate and implement outreach and engagement programs or projects, publish, and obtain external funding.

Extension associate professor: This rank is for those who have completed a doctoral degree or terminal degree appropriate to the field and have demonstrated continuous improvement and contribution in Extension education supported through grants and contracts over a period of years. Extension associate professors have scholarly qualifications including publications and accomplishments consistent with those for appointment at the rank of associate professor. A designated group of faculty will review and evaluate hiring and promotion to this rank in accordance with applicable bylaws.

Extension professor: This rank is for those who have completed a doctoral degree or terminal degree appropriate to the field and have a record of outstanding outreach and engagement impacts with a strong record of publications as well as support by grants and contracts over a period of years. Extension professors have achieved national and/or international recognition in the discipline, and have documented accomplishments and qualifications consistent with the rank of professor. A designated group of faculty will review and evaluate hiring and promotion to this rank in accordance with applicable bylaws.

#### 4.2.56 Adjunct Faculty

....

#### 4.2.67 Visiting Faculty

....

#### 4.2.78 Joint Faculty Appointments

....

### 4.3 Evaluation

As is the case for tenured and tenure- track faculty, the performance of all non- tenure- track faculty members will be evaluated annually, with a written record of the evaluation maintained in departmental and human resources files. The criteria for evaluating non- tenure- track faculty for purposes of hiring and retention must be adopted by a vote in accordance with departmental bylaws and made available to all faculty.

The process for conducting an annual performance review or a retention review is outlined in the *Manual for Faculty Evaluation*. In the case of non- retention, every effort should be made to notify the faculty member as soon as possible.

Research and clinical faculty, ~~and~~ faculty of practice, and Extension faculty are subject to annual performance reviews appropriate to the positions and as outlined in the applicable bylaws.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: UT, Knoxville

ITEM: **UT, Knoxville, Faculty Handbook Revisions Regarding Faculty-Student Relationships**

RECOMMENDATION: Approval

PRESENTED BY: Susan D. Martin, Provost and Senior Vice Chancellor,  
The University of Tennessee, Knoxville

The Faculty Handbook for The University of Tennessee, Knoxville (applicable also to the faculty of UTIA and UTSI) includes the framework for faculty roles, rights and responsibilities. The campus administration and Faculty Senate have worked together this past year to develop a policy to clarify the faculty-student relationship and optimize the learning environment. The purpose of the proposed policy is to preserve the trust and respect that are essential to the faculty-student relationship and the instructional mission of the University.

The proposed policy, to be included in Chapter 2 of the Handbook (Faculty Rights and Responsibilities), clearly describes prohibited faculty-student relationships and poses guidance for avoiding, correcting, reporting and penalizing prohibited conduct if necessary.

The proposed revisions presented on the following pages have been approved by the Faculty Senate and Chancellors Arrington and Cheek. The proposed revisions have been further reviewed and are recommended for approval by the General Counsel and Secretary, the Vice President for Academic Affairs and Student Success, and the President.

**MOTION:**

**That revision of the Faculty Handbook for The University of Tennessee, Knoxville to incorporate a new policy on faculty-student relationships be approved.**

**REVISIONS TO THE FACULTY HANDBOOK**  
**Applicable to UT Knoxville, UT Institute of Agriculture, and UT Space Institute**  
**Approved by the Faculty Senate on February 2, 2015**

New language = **blue font**

Deleted language = ~~strikethrough~~

**Chapter 2 Faculty Rights and Responsibilities**

- ~~2.11~~-2.1.1 Academic Freedom
- ~~2.12~~-2.1.2 Tenure
- ~~2.13~~-2.1.3 Freedom as a Citizen
- ~~2.21~~-2.2.1 The Importance of Scholarship
- ~~2.22~~-2.2.2 Teaching
- ~~2.23~~-2.2.3 Research / Scholarship / Creative Activity
- ~~2.24~~-2.2.4 Service
- ~~2.25~~-2.2.5 Professional Conduct

**2.2.6 Relationships With Students**

**2.2.6.1 Definitions.** This policy applies to all faculty as defined in chapters 3 and 4 of the *Faculty Handbook*, whether employed full-time or part-time, whether paid or unpaid. For the purpose of this policy, “relationship” includes any amorous or sexual conduct, whether occurring one time, occasionally, or regularly. Colleges, departments, offices, or other units may impose more restrictive policies governing relationships with students, which shall take precedence over this policy except to the extent such policies violate any Board of Trustees policy or conflict with law.

**2.2.6.2 Purpose.** The purpose of this policy is to preserve the trust and respect that are essential to the faculty-student relationship and the instructional mission of the University of Tennessee. Trust and respect are diminished when a person in a position of authority abuses – or appears to abuse – his or her power. Faculty members are in positions of authority and exercise power over students in many ways, whether in giving praise or criticism, evaluating academic or clinical work, evaluating research, making recommendations for further studies or future employment, or in many other subtle expressions of authority over students. An amorous or sexual relationship with a student greatly increases the potential for a faculty member’s abuse of power because of the inherently unequal status of the persons involved in the relationship. Even in cases where

such a relationship begins with the mutual consent of the participating persons, it can result in exploitation of the student or the creation of a hostile learning or work environment for the student. Other students and employees may also be adversely affected by the amorous or sexual relationship because the faculty member is positioned to favor or advance one student's interest at the expense of others. In all such cases, the trust and respect essential to the university's instructional mission are diminished.

**2.2.6.3 Prohibited Relationships.** Amorous or sexual relationships between a faculty member and a student are prohibited when the faculty member has professional authority over, or responsibility for, the student. This professional authority or responsibility encompasses both instructional and non-instructional contexts as defined below:

1. *Relationships in the Instructional Context.* A faculty member shall not have an amorous or sexual relationship (consensual or otherwise) with a student who is simultaneously enrolled in a course being taught by the faculty member or whose academic or work performance is subject to supervision or evaluation by the faculty member. The instructional context includes not only classroom teaching and direct instruction, but also academic advising, mentoring, or tutoring.
2. *Relationships outside the Instructional Context.* Outside the instructional context, a faculty member shall not take any action or make any decision that may reward or penalize a student with whom he or she has, or has had, an amorous or sexual relationship. Faculty members must be especially cautious to avoid taking any action that rewards or penalizes the student, or influences others responsible for taking such action.

A faculty member violates this policy by engaging in an amorous or sexual relationship with a student over whom he or she has authority or professional responsibility, even when both parties have consented (or appear to have consented) to the relationship or conduct.

Relationships that do not fall under the prohibition in 2.2.6.3, above, even if they appear to be consensual, are strongly discouraged. Voluntary consent by a student to an amorous or sexual relationship with a faculty member is inherently suspect, given the fundamentally asymmetrical nature of the relationship. Furthermore, conduct that begins as consensual can become non-consensual at any time. Even when both parties initially consent to particular conduct, past consent does not preclude a finding of sexual harassment if the conduct was unwelcome (the standard for sexual harassment) or if later conduct was unwelcome. Moreover, conduct that is *consensual* for purposes of criminal statutes may be nonetheless *unwelcome* and therefore may constitute a violation of the University policy prohibiting Sexual Harassment (HR0280) or the UTK/UTIA Sexual Misconduct and Relationship Violence Policy.

**2.2.6.4 Disciplinary Sanctions.** When a faculty member is found to have violated this policy, an appropriate sanction, up to and including termination, will be imposed pursuant to the disciplinary procedures applicable to faculty (Board policies, this Faculty Handbook, and HR policies). Disciplinary sanctions may be appealed through any applicable appeal procedures.

**2.2.6.5 Administrative Actions.** Before – or in addition to – any disciplinary sanctions, University officials may take administrative actions (in consultation with the Chief Academic

Officer) for any of the following or similar reasons: to ensure the safety of any person; to protect the integrity of an academic course or other program; to end or prevent a hostile learning or work environment; to end or prevent retaliatory conduct; or for any other reason required to comply with state or federal law. Administrative actions may include (but are not limited to): temporary administrative leave pending investigation of an alleged violation of this policy; temporary reassignment of courses; temporary reassignment of research projects; or temporary removal from campus. Any such administrative action may be appealed through the applicable appeal process, but the administrative action will not be held in abeyance during the appeal.

**2.2.6.6 Reporting Violations of the Policy:** Faculty members who have knowledge of a possible violation of this policy are encouraged to report that concern to the Office of Equity and Diversity (OED) for review or investigation. Certain conduct described in this policy may also trigger a mandatory reporting obligation: (a) if the involved student is a minor; (b) if the conduct appears to violate the UTK/UTIA Sexual Misconduct and Relationship Violence Policy; or (c) if reporting is otherwise required by law or University policy. In the case of uncertainty about the reporting obligation, OED officials may be consulted without providing personally identifiable information in order to clarify the reporting obligation, or to get more information about how OED might handle a possible violation of this policy.

**2.2.6.7 Retaliation Prohibited.** Retaliation is prohibited against any person who reports possible violation of this policy or related policies. Retaliation is also prohibited against any person who participates in an OED investigation. Faculty members who have reason to suspect that the prohibition against retaliation has been violated or are the objects of retaliation themselves are directed to contact the OED.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: UT Chattanooga

ITEM: UT Chattanooga Strategic Plan, 2015-2020

RECOMMENDATION: Approval

PRESENTED BY: Steve R. Angle, Chancellor, UT Chattanooga

The UT Chattanooga Strategic Plan for 2015-2020 includes the campus vision, mission and values. The plan focuses on four fundamental goals:

1. Transform lives through meaningful learning experiences,
2. Inspire, nurture, and empower scholarship, creativity, discovery, innovation and entrepreneurial initiatives,
3. Ensure stewardship of resources through strategic alignment and investments, and
4. Embrace diversity and inclusion as a path to excellence and societal change.

The process for development of the plan was extensive and campus-wide, involving faculty, staff, students and community members. It is has been reviewed and approved by the office of the Vice President of Academic Affairs and Student Success and President DiPietro.

**MOTION:**

**That the UT Chattanooga Strategic Plan 2015-2020 be approved.**



**2015-2020**  
Strategic Plan

**DRAFT**



DRAFT

## Table of Contents

- Message from the Chancellor** . . . 3
- Vision** . . . . . 5
- Mission** . . . . . 6
- Values** . . . . . 7
- Strategic Plan Goals**
- Goal 1** . . . . . 8
- Goal 2** . . . . . 10
- Goal 3** . . . . . 12
- Goal 4** . . . . . 14
- Goal Assessment**
- Goal 1 Assessment** . . . . . 16
- Goal 2 Assessment** . . . . . 17
- Goal 3 Assessment** . . . . . 18
- Goal 4 Assessment** . . . . . 19
- Strategic Plan Process** . . . . . 20
- Communication Plan** . . . . . 22

## Message from the Chancellor

The University of Tennessee at Chattanooga is on a journey to excellence. We work with partners to harness our collective willpower and brainpower to find solutions that improve lives and build communities.

Over the past year, the UTC Strategic Planning Steering Committee has engaged in listening and learning with an eye and an ear toward impacting student success and building stronger ties between our campus and our communities.

At UTC we boldly embrace a passion for excellence in all that we do as we change lives and transform communities. The challenge for each of us, whether physically on campus, in the community or connected virtually by technology, is to feel, see and live this passion for excellence. The cornerstones of student success and community connections are in place. Our investments in faculty are critical to the long-term quality of the institution. Our strategy for the future is to equip our graduates with the knowledge and life learning experiences for the journey ahead.

UTC is a place to develop mind, body and spirit. We prepare our students for the challenges of tomorrow, collaborating with community partners, faculty and staff to find real world solutions. Our focus is on what we do and doing it well. Our commitment—each and every day—is to earn the trust and confidence of those we serve. Our goal is to make a difference in the life of our community and in the lives of our students. We will identify areas of distinction and pursue them with vigor and passion. New friendships and relationships will emerge. Success will be measured by actions that mirror our words. As a comprehensive regional university that works with a diverse group of partners, we will make connections at home and extend our reach to global connections as well.

Join us in our vision to Engage Students, Inspire Change and Enrich Community. The journey to excellence has begun.

*Steven Angle*  
Chancellor Steven Angle



DRAFT

“ A four year institution... well-rounded educational experience that has a long shelf life... science, engineering, business and the liberal arts... faculty there to help students excel... inspire students... active learning and connected with community.”

**VISION** We Engage Students, Inspire Change and Enrich Community. 



What differentiates **UTC** in impacting student success and building stronger community ties?

“ We nurture students through community connections... tied to our values and our region... grounded in Chattanooga... a great drawing card... we value our place.”

DRAFT

4

\*Every quote comes from comments made by students, faculty, staff and community partners who participated in the strategic planning process.

5

DRAFT

“At UTC... we develop a community on campus... enable students to go into the global community and achieve... provide a nurturing environment that connects students, community and opportunity.”



**MISSION** The University of Tennessee at Chattanooga is a driving force for achieving excellence by actively engaging students, faculty and staff; embracing diversity and inclusion; inspiring positive change; and enriching and sustaining our community.

DRAFT

6



# VALUES

- Students are the primary reason we exist as an institution.
- We live integrity, civility and honesty.
- We relentlessly pursue excellence.
- We embrace diversity and inclusion.
- Creativity, inquiry and scholarship are our culture.

“We teach... we learn... we interact... we nurture... we grow citizens for tomorrow... we do the basics and more.”

DRAFT

7

DRAFT

# GOALS



## GOAL 1: Transform lives through meaningful learning experiences.

- a. All undergraduates will complete an internship, practica, service project, research project, senior capstone, honors thesis, or international experience.
- b. Increase student participation in the Honors College and in Honors College programs.
- c. Expand the number of graduates from graduate programs in areas that align with workforce needs in our region and the state.
- d. Expand, integrate and assess virtual course delivery methods as a part of the overall educational experience.
- e. Provide exemplary student support services.
- f. Ensure engagement in learning connected to academics, athletics, extracurricular activities and student development programs through coordination and integration of these experiences.
- g. Create an environment that encourages intellectual and cultural engagement through the liberal and fine arts.



“At UTC... we create environments for students to learn and solve problems together... exchanging ideas.”

DRAFT

DRAFT

# GOALS



## GOAL 2: Inspire, nurture and empower scholarship, creativity, discovery, innovation and entrepreneurial initiatives.

- a. Increase participation by students, faculty and staff in scholarship and creative activities that impact the community through partnerships with business and industry, government and non-profit agencies.
- b. Establish mechanisms to encourage, implement and assess faculty, staff and student entrepreneurial activities.
- c. Identify, develop and evaluate community-based programs and courses to connect all outreach programming, continuing education, work-based training and executive education opportunities with University resources.
- d. Create, adopt and incorporate new learning technologies into the academic experience.



“We teach the liberal arts and fine arts as well as other disciplines so students grow into citizens... in the community and the world.”

# GOALS



## GOAL 3: Ensure stewardship of resources through strategic alignment and investments.

- a. Fully implement, assess, and align resources with the Complete College Strategic Plan finalized in April 2014.
- b. Implement and assess tools and processes to track students through enrollment, graduation and professional achievement to better communicate and build a loyal alumni base.
- c. Ensure facilities, technology and college/unit based plans align with the strategic plan.
- d. Align all resources with high priority programs and endeavors.
- e. Develop and implement a strategic enrollment management plan that includes a robust assessment plan.
- f. Expand revenue streams beyond traditional tuition and state funding.
- g. Develop and build an institutional culture of assessment with the Institutional Assessment and Effectiveness Committee's assistance.
- h. Develop and implement a faculty and staff compensation plan to reward meritorious performance.



1 We contribute substantially to campus and community experiences... share talents of our students, faculty and staff... and are challenged to make a difference in the world. ”

# GOALS



## GOAL 4: Embrace diversity and inclusion as a path to excellence and societal change.

- a. Develop, implement and assess an institutional diversity plan that defines and sets clear metrics for diversity of faculty, staff and students.
- b. Improve the cultural inclusion of and physical and technological access for students, faculty and staff with disabilities.
- c. Enhance and expand international partnerships that lead to more international students and faculty at UTC and increased opportunities for UTC students, faculty and staff to have international experiences.
- d. Build advisory boards and support groups that reflect a diversity of occupations, abilities and cultures.



# 4

Community... broadly defined... local and globally...  
stretching us to hold on to values... explore new ideas...  
expand our horizons.

## GOAL 1 ASSESSMENT

Transform lives through meaningful learning experiences.

ACTIVITY	ASSESSMENT	RESP. GROUP OR INDIVIDUAL	ACHIEVE BY
<b>A</b> All undergraduates will complete an internship, practica, service project, research project, senior capstone, honors thesis, or international experience.	<ul style="list-style-type: none"> <li>Audit all programs for potential experiences for all students</li> <li>Audit students for 100% participation</li> <li>Document experience student completed</li> </ul>	Academic Colleges/Departments, Office of Undergraduate Research and Creative Activity, Vice Chancellor for Research and Dean of the Graduate School, Experiential Learning Coordinator, Director of Community Partnerships, Institutional Assessment and Effectiveness Committee	FY18
<b>B</b> Increase student participation in the Honors College and in Honors College programs.	<ul style="list-style-type: none"> <li># of students participating in Honors College</li> <li>X% of undergraduate student population involved in Honors College programs</li> </ul>	Dean of the Honors College, Assistant Provost for Enrollment Services	FY18 Fall 2020
<b>C</b> Expand the number of graduates from graduate programs in areas that align with workforce needs in our region and the state.	<ul style="list-style-type: none"> <li>Number of programs approved and offered</li> <li># of students receiving graduate degrees in 4+1 programs</li> </ul>	Graduate Council, Academic Colleges	FY20
<b>D</b> Expand, integrate and assess virtual course delivery methods into the overall educational experience.	<ul style="list-style-type: none"> <li>Students can acquire General Education offered in virtual environment</li> <li>Minimum of one undergraduate degree program in each college offered virtually</li> </ul>	Academic Deans and Department Heads, Institutional Assessment and Effectiveness Committee	FY19 FY20 GenEd FY20 Degree programs
<b>E</b> Provide exemplary student support services.	<ul style="list-style-type: none"> <li>Benchmark services</li> <li>Determine response time</li> <li>Implementation of one-stop shop</li> <li>Use student perceptions from National Survey of Student Engagement data</li> </ul>	Directors of Student Support Services, Vice Chancellors, Institutional Assessment and Effectiveness Committee	FY16
<b>F</b> Ensure engagement in learning connected to academics, athletics, extracurricular activities, and student development programs through coordination and integration of these experiences.	<ul style="list-style-type: none"> <li>Establish current number of opportunities in each area</li> <li>Map current and new opportunities to academics, athletics, extracurricular activities and student development programs</li> <li>Track increase in number and types of new opportunities</li> <li>Analyze student involvement in activities against performance metrics and outcomes</li> </ul>	Academic Affairs, Director of Community Partnerships, Student Development, Institutional Assessment and Effectiveness Committee	FY17
<b>G</b> Create an environment that encourages intellectual and cultural engagement through the liberal and fine arts.	<ul style="list-style-type: none"> <li>Establish quantitative and qualitative measurements.</li> </ul>	Department Heads and Dean of the College of Arts and Sciences	FY17

Visit UTC.edu/StrategicPlan to download the full report including possible action items.

## GOAL 2 ASSESSMENT

Inspire, nurture and empower scholarship, creativity, discovery, innovation and entrepreneurial initiatives.



ACTIVITY	ASSESSMENT	RESP. GROUP OR INDIVIDUAL	ACHIEVE BY
<b>A</b> Increase participation by students, faculty and staff in scholarship and creative activities that impact the community through partnerships with business and industry, government and non-profit agencies.	<ul style="list-style-type: none"> <li>Establish baseline of participation and seek 20% increase</li> </ul>	Unit Heads, Director of Community Partnerships	FY17
<b>B</b> Establish mechanisms to encourage and implement faculty, staff and student entrepreneurial activities.	<ul style="list-style-type: none"> <li>Assess processes and programs that encourage entrepreneurial activities</li> <li># of entrepreneurial opportunities provided</li> <li># of faculty, staff, and students involved</li> <li># of entrepreneurial success stories</li> </ul>	Deans	FY17
<b>C</b> Identify, develop and evaluate community-based programs and courses to connect all outreach programming, continuing education, work-based training and executive education opportunities with University resources.	<ul style="list-style-type: none"> <li># of new community based programs</li> <li># of events provided</li> <li># of participants</li> <li>Participant evaluation of events</li> </ul>	Faculty, Deans, Continuing Education, Cadek Conservatory of Music, Challenger Center, Center for Community Career Education, WUTC, Children's Center, etc. Institutional Assessment and Effectiveness Committee	FY16 and continuing forward
<b>D</b> Create, adopt and incorporate new learning technologies into the academic experience.	<ul style="list-style-type: none"> <li>Document inclusion of new learning technologies</li> <li>Assess and evaluate impact on learning</li> <li>Document new technologies developed</li> </ul>	Faculty, Walker Center for Teaching and Learning, Center for Academic and Innovative Technologies, Associate Provost, Institutional Assessment and Effectiveness Committee	FY16 and continuing forward

Visit UTC.edu/StrategicPlan to download the full report including possible action items.



DRAFT

DRAFT

## GOAL 3 ASSESSMENT

### Ensure stewardship of resources through strategic alignment and investments.

ACTIVITY	ASSESSMENT	RESP. GROUP OR INDIVIDUAL	ACHIEVE BY
<b>A</b> Fully implement, assess, and align resources with the Complete College Strategic Plan finalized in April 2014.	<ul style="list-style-type: none"> <li>Evaluate implementation based on Complete College strategic plan goals</li> <li>Track funding and implementation of plan</li> </ul>	Provost, Vice Chancellors, Deans, and Department Heads (primary role), Director of Complete College Tracking and Assessment, Institutional Assessment and Effectiveness Committee	Fall 2016
<b>B</b> Implement and assess tools and processes to track students through enrollment, graduation and professional achievement to better communicate and build a loyal alumni base.	<ul style="list-style-type: none"> <li>Fully implement Customer Relationship Management tools to ensure timely communication with recruits, students and alumni</li> <li>Provide training to users of the Customer Relationship Management</li> <li>Outline ongoing training to be offered to new employees</li> <li>Increase student retention and graduation rates</li> </ul>	Assistant Provost for Enrollment Services, Alumni Affairs, Development	FY16
<b>C</b> Ensure facilities, technology, and college/unit based plans align with the strategic plan.	<ul style="list-style-type: none"> <li>Evaluate all plans against the University Strategic Plan</li> </ul>	Vice Chancellors, Institutional Assessment and Effectiveness Committee, University Planning and Resources Advisory Council	December 2015
<b>D</b> Align all resources with high priority programs and endeavors.	<ul style="list-style-type: none"> <li>Determine metrics to identify high impact programs and endeavors</li> <li>Track funding against program outcomes</li> </ul>	Provost, Deans, Department Heads, Executive Vice Chancellor Finance and Operations, University Planning and Resources Advisory Council (Advisory)	Beginning Fall 2015 and continuing
<b>E</b> Develop and implement a strategic enrollment management plan that includes a robust assessment plan.	<ul style="list-style-type: none"> <li>Measure admission, attendance, retention, progression and graduation against strategic enrollment management plan</li> <li>Establish recruiting characteristics beyond traditional GPA and test scores, e.g. grit, perseverance, attitudes, etc.</li> </ul>	Assistant Provost for Enrollment Services, Deans	Initiate August 2015, fully implemented May 2016
<b>F</b> Expand revenue streams beyond traditional tuition and state funding.	<ul style="list-style-type: none"> <li>Track the number of new revenue streams</li> <li>Track increased revenue available due to new or different revenue streams</li> <li>Analyze cost to generate new revenue streams against revenue generated</li> </ul>	University-wide, Executive Team, Provost, Executive Vice Chancellor Finance and Operations, Deans	FY19
<b>G</b> Develop and build an institutional culture of assessment with the Institutional Assessment and Effectiveness Committee's assistance.	<ul style="list-style-type: none"> <li>Audit departmental plans and outcomes to provide feedback</li> <li>Establish a university webpage providing up-to-date assessment data for all units</li> <li>All departments required to complete annual assessment plans</li> </ul>	Provost, Vice Chancellors, Institutional Assessment and Effectiveness Committee, Unit Heads	Initiate July 2015 and continuing
<b>H</b> Develop and implement a faculty and staff compensation plan to reward superior performance.	<ul style="list-style-type: none"> <li>Plan drafted and implemented</li> <li>Dollars set aside in annual budget for merit raises</li> </ul>	Chancellor, Vice Chancellors and Deans	Fall 2016

Visit [UTC.edu/StrategicPlan](http://UTC.edu/StrategicPlan) to download the full report including possible action items.

## GOAL 4 ASSESSMENT

### Embrace diversity and inclusion as a path to excellence and societal change.



ACTIVITY	ASSESSMENT	RESP. GROUP OR INDIVIDUAL	ACHIEVE BY
<b>A</b> Develop, implement and assess an institutional diversity plan that defines and sets clear metrics for diversity of faculty, staff and students.	<ul style="list-style-type: none"> <li>All units have diversity plans that support the University plan</li> </ul>	Unit Heads, Office of Equity and Diversity, Title IX Coordinator, Human Resources	FY16
<b>B</b> Improve the cultural inclusion of and physical and technological access for students, faculty and staff with disabilities.	<ul style="list-style-type: none"> <li>Establish an Accessible Technology Committee to assess and evaluate all aspects of accessibility for campus</li> <li>Document improvements of accessibility in all areas</li> </ul>	Accessible Technology Committee, Unit Heads	FY16 and continuing
<b>C</b> Enhance and expand international partnerships that lead to more international students and faculty at UTC and increased opportunities for UTC students, faculty, and staff to have international experiences.	<ul style="list-style-type: none"> <li>Document number of Memorandums of Agreement and Memorandums of Understanding signed and implemented</li> <li>Track number of students, faculty and staff engaged in international experiences</li> </ul>	Executive Director of International Programs & Services, Deans	FY16 and continuing
<b>D</b> Build UTC advisory boards and support groups that reflect a diversity of occupations, abilities and cultures.	<ul style="list-style-type: none"> <li>Review appointments to all advisory boards and support groups and modify membership as necessary to reflect diversity</li> <li>Report diversity of committees based on occupation, abilities and cultures</li> </ul>	Chancellor, Vice Chancellors	FY16

Visit [UTC.edu/StrategicPlan](http://UTC.edu/StrategicPlan) to download the full report including possible action items.



**DRAFT**

**DRAFT**

## Steering Committee

### UNIVERSITY MEMBERS

- Jerald Ainsworth, *Chair*
- Neslihan Alp, *College of Engineering and Computer Science*
- Dee Dee Anderson, *Student Development*
- Nancy Badger, *Counseling and Personal Development Center*
- Hinsdale Bernard, *Graduate School*
- Jennifer Boyd, *College of Arts and Sciences*
- Chris Brockman, *College of Business*
- Susan Davidson, *Faculty Senate*
- Robert Dooley, *Deans' Council*
- Laura Duncan, *Graduate Student Association*
- Tom Ellis, *Operations*
- Jeff Elwell, *College of Arts and Sciences*
- Jennifer Faires, *Employee Relations Council*
- Robert Fisher, *Student Government Association*
- Tyler Forrest, *Financial Affairs*
- Yancy Freeman, *Enrollment Services*
- Linda Frost, *Honors College*
- Laura Herron, *Athletics Administration*
- Andrew Horton, *Athletics Administration*
- Theresa Liedtka, *Library*
- Tonia Martin, *Exempt Staff Council*
- Aldo McLean, *College of Engineering and Computer Science*
- Michelle Morales, *Auxiliary Services*
- Brian O'Leary, *Council of Academic Department Heads*
- Laure Rodebaugh Pou, *Human Resources*
- Valerie Rutledge, *College of Health, Education and Professional Studies*
- Ken Scannapiego, *Scholarship Office*
- Randy Walker, *Graduate School*
- Christi Wann, *College of Business*
- Li Yang, *College of Engineering and Computer Science*

### COMMUNITY MEMBERS

- Max Bahner, *UC Foundation*
- Wade Hinton, *City Government*
- Bo Hixson, *Alumni Board*
- Dan Saieed, *County Government*
- Robert Sharpe, *K-12 Education*
- Macon Toledano, *Community Representative*

DRAFT

## PROCESS OVERVIEW

The advent of a new strategic planning period coincided with a dynamic time in UTC's history. With a new Chancellor and Provost, the time was right to align UTC's mission, vision and values to meet UTC's priorities—student success and community connections.

Listening and learning were paramount. This was a campus-wide and community-wide collaborative effort.

### Phase 1

During phase one UTC:

- Gathered input on the existing vision and mission statements.
- Tested key words and phrases.
- Communicated the evolution of goals and steps of the strategic planning process through a dedicated website linked to the UTC homepage.
- Gathered feedback from over 600 individuals using a web-based survey and focus groups, including:
  - Chancellor's Multicultural Advisory Council
  - Chancellor's Roundtable
  - Chattanooga Area Chamber of Commerce, Executive Committee
  - UC Foundation
  - River City Company, Board of Directors
  - Alumni GOLD Council
  - UTC's faculty and staff
  - Student Government Association & other student organizations



### Phase 2

The findings from the survey and the focus groups were presented to an expanded Strategic Planning Steering Committee in August 2014. The committee brainstormed four University goals that, after extensive input, became the final four goals below:

- Transform lives through meaningful learning experiences.
- Inspire, nurture and empower scholarship, creativity, discovery, innovation and entrepreneurial initiatives.
- Ensure stewardship of resources through strategic alignment and investments.
- Embrace diversity and inclusion as a path to excellence and societal change.

The four goals were then used to identify measurable action items from various groups:

- Provost's Council
- UTC faculty and staff
- UTC Faculty Senate
- Student Government Association
- Alumni groups in Hamilton County, Knoxville, Nashville, Cleveland and Atlanta.
- Local community interest groups including: entrepreneurs, members of the arts community and Hamilton County academic and career advisors.

Participants were also asked to share comments on UTC's values. Several consistent themes emerged that are reflected in five values statements:

- Students are the primary reason we exist as an institution.
- We live integrity, civility and honesty.
- We relentlessly pursue excellence.
- We embrace diversity and inclusion.
- Creativity, inquiry and scholarship are our culture.

The vision and mission statements were developed through the work of an ad hoc group of Strategic Planning Steering Committee members with feedback from faculty, staff and students:

- Vision Statement: *We Engage Students, Inspire Change and Enrich Community.*
- Mission Statement: The University of Tennessee at Chattanooga is a driving force for achieving excellence by actively engaging students, faculty and staff; embracing diversity and inclusion; inspiring positive change; and enriching and sustaining our community.

The entire package was then presented to students, faculty and staff in a December 2014 strategic planning meeting and a January 2015 feedback session.

DRAFT

## COMMUNICATION PLAN

**Our commitment is to create, share and build awareness and broad, diverse support for UTC's Vision to Engage Students, Inspire Change and Enrich Community**

### Communicate UTC's journey to excellence

- Share experiences, encourage students, faculty and staff to tell the UTC story to key constituents.
- Increase awareness of UTC's emphasis on transforming lives through initiatives that engage students, faculty and community partners.
- Develop students as ambassadors, putting a face and voice to UTC.
- Deliver to key audiences the value of a UTC education.
- Expand UTC communication channels, sharing the quality, initiatives and impact of UTC with diverse audiences.
- Connect alumni, business partners and prospective students with UTC as a first choice for higher education.

### Promote UTC as a first choice for higher education

- Increase visibility of UTC through the integration of emerging technologies and traditional media to reach key audiences.
- Invest in high impact marketing and public relations initiatives that connect UTC with students, communities and key external constituencies.
- Create a "What Differentiates UTC" platform to focus UTC messaging.
- Implement a data-driven measurement system to gauge impact on key audiences



DRAFT

Aspiring to excellence in all we do.

DRAFT

## 2015-2020 UTC Strategic Plan



community-engaged campus of the University of Tennessee System.  
UTC is an EEO/AAE/Title VI & IX/Section 504/ADA/ADEA institution.

**DRAFT**

[UTC.edu/Strategic-Plan](http://UTC.edu/Strategic-Plan)

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: All

ITEM: **Tenure Recommendations**

RECOMMENDATION: Approval

PRESENTED BY: Joseph A. DiPietro, President

Board policy defines tenure as a principle that entitles a faculty member continuation of his or her annual appointment until relinquishment or forfeiture of tenure, or until termination of tenure for adequate cause. The policy holds that a healthy tradition of academic freedom and tenure is essential to the proper functioning of a University. Faculty members eligible for tenure must serve a probationary period and must demonstrate continuing value to the institution.

Tenure is only granted by positive action by the Board of Trustees and is granted in a particular unit, department, school, or college of a campus. The following flowchart demonstrates the careful process that leads to the request for Board action to grant tenure. Following the flowchart is the list of faculty members recommended for tenure.

**MOTION:**

**That the Board of Trustees grant tenure to the faculty members recommended by the President.**

## **THE UNIVERSITY OF TENNESSEE SYSTEM TENURE PROCESS**

### **DEPARTMENT**

- Tenured faculty in Department review each candidate's credentials (teaching, research, service) and make recommendation to Department Head; may solicit opinions of out-of-state faculty peers.
- Head evaluates potential candidates for tenure in light of above, personal knowledge of individuals, and the Department's needs.
- Transmits his/her (positive and negative) recommendations to Dean.

### **DEAN**

- Evaluates Department Head's recommendations in light of personal knowledge of individuals and needs of the College or School, considers departmental faculty opinion, may use college-wide faculty advisory committee, may solicit opinions of out-of-state faculty peers.
- Approves, disapproves, or asks for departmental reconsideration.
- Transmits his/her (positive and negative) recommendations to Chief Academic Officer.

### **CHIEF ACADEMIC OFFICER**

- Evaluates college/school recommendations in light of general knowledge of individuals and needs of the campus.
- Investigates any controversial recommendations in-depth.
- Discusses all recommendations with Chancellor, who is responsible for final campus recommendations.
- Approves, disapproves, or asks for Dean's reconsideration.
- Transmits his/her (positive and negative) recommendations to Chancellor/President.

### **CHANCELLOR**

- Evaluates Chief Academic Officer's recommendations and discusses them with Chief Academic Officer.
- Approves, disapproves, or asks for Chief Academic Officer's reconsideration.
- Transmits his/her positive recommendations to Vice President for Academic Affairs.

### **VICE PRESIDENT FOR ACADEMIC AFFAIRS**

- Evaluates campus recommendations to ensure that University policies regarding qualifications, evaluations, and review have been followed.
- Confers with Chief Academic Officers and/or Chancellors to resolve questions and to ensure that Chancellors fully support all recommendations for tenure.
- Discusses all recommendations for transmittal to the Board of Trustees.

### **PRESIDENT**

- Discusses recommendations with Vice President for Academic Affairs, with special reference to any that merit special consideration.
- Authorizes Vice President for Academic Affairs to transmit President's recommendations to the Board of Trustees.
- Discusses the set of recommendations and its policy implications with the Board of Trustees.

### **BOARD OF TRUSTEES**

- Has final authority to grant tenure to faculty members recommended by the President.

THE UNIVERSITY OF TENNESSEE  
RECOMMENDATIONS FOR TENURE  
JUNE 25, 2015

THE UNIVERSITY OF TENNESSEE AT CHATTANOOGA

Professor

Springer, Carl  
Wang, Jin

Department/School/College/Unit

Modern & Classical Languages & Literatures  
Mathematics

Associate Professor

Romagni, Joanne  
Trussel, John

Biology & Environmental Sciences  
Accounting

Assistant Professor

Albu, Titus  
Butler, Frank  
Crawford, Elizabeth  
Gunasekera, Sumith  
Hamblen, Joshua  
Harvey, Jamie  
Ledoan, Andrew  
McGhee-Hilt, Felicia  
Ofoli, Abdul  
Onyango, Mbakisyia  
Thompson, Michael  
Wilkinson, Lane

Chemistry  
Management  
School of Education  
Mathematics  
Physics, Geology & Astronomy  
Health & Human Performance  
Mathematics  
Communication  
Electrical Engineering  
Civil Engineering  
History  
Library

THE UNIVERSITY OF TENNESSEE INSTITUTE OF AGRICULTURE

Professor

Clark, Richard

Department/School/College/Unit

4H Youth Development & Agricultural Leadership

Assistant Professor

Seddighi, Mohammad  
Yu, Tun-Hsiang Edward

Large Animal Clinical Sciences  
Agricultural & Resource Economics

THE UNIVERSITY OF TENNESSEE, KNOXVILLE

Professor

Craighead, Christopher  
Willems, Sean

Department/School/College/Unit

Marketing & Supply Chain Management  
Business Analytics & Statistics

Associate Professor

Duty, Chad E.

Mechanical, Aerospace, & Biomedical Engineering

Assistant Professor

Bell, John Edward  
Bharadwaj, Neeraj  
Cabana, Graciela Susana  
Cao, Qing Charles  
Coe, Dawn P.

Marketing & Supply Chain Management  
Marketing & Supply Chain Management  
Anthropology  
Electrical Engineering & Computer Science  
Kinesiology, Recreation, & Sport Studies

Colby, Sarah  
 Coleman-Lopatic, Marion Elizabeth  
 Fleming-May, Rachel A.  
 Ha, Sejin  
 Harlow, Luke  
 Holleman, Jeremy  
 Johnson, Elizabeth  
 Lind, Joan  
 Miller, Laura  
 Moeller, Trevor M.  
 Nagle, Nicholas  
 O'Meara, Brian  
 Reinbolt, Jeffrey  
 Sanft, Charles  
 Schwartz, Fernando  
 Shen, Tongye  
 Whiteside, Erin  
 Wozencroft (Sarratt), Angela  
 Zhao, Ling

Nutrition  
 Theory & Practice in Teacher Education  
 Information Sciences  
 Retail, Hospitality & Tourism Management  
 History  
 Electrical Engineering & Computer Science  
 Child & Family Studies  
 Mathematics  
 Communication Studies  
 Mechanical, Aerospace, & Biomedical Engineering  
 Geography  
 Ecology & Evolutionary Biology  
 Mechanical, Aerospace, & Biomedical Engineering  
 History  
 Mathematics  
 Biochemistry & Cellular & Molecular Biology  
 Journalism & Electronic Media  
 Kinesiology, Recreation, & Sport Studies  
 Nutrition

**THE UNIVERSITY OF TENNESSEE HEALTH SCIENCE CENTER**

Professor

Bissler, John  
 Chauhan, Subhash

Department/School/College/Unit

Pediatrics  
 Pharmaceutical Sciences

Associate Professor

Modanlou, Kian  
 Savage, Stephanie  
 Sharp, Marcia

Surgery  
 Surgery  
 Health Informatics & Information Management

Assistant Professor

Fan, Meiyun  
 Larabee, Ronald  
 O'Connell, Kristen  
 Powell, Benjamin

Pathology  
 Pathology  
 Physiology  
 Surgery

**THE UNIVERSITY OF TENNESSEE AT MARTIN**

Associate Professor

Roberts, Jason F.

Department/School/College/Unit

Agriculture, Geosciences, & Natural Resources

Assistant Professor

Cochran, John M.  
 Currie, Stuart M.  
 Glass, John V.  
 Hill, Melvin G.  
 Longacre, Jeffrey S.  
 McCormick, Sarah V.  
 Mehlhorn, Sandy A.  
 Owens, Douglas A., Jr.  
 Stout, Jason T.

Mathematics & Statistics  
 Health & Human Performance  
 English & Modern Foreign Languages  
 English & Modern Foreign Languages  
 English & Modern Foreign Languages  
 Visual & Theatre Arts  
 Agriculture, Geosciences, & Natural Resources  
 Music  
 Visual & Theatre Arts

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Advancement and Public Affairs

CAMPUS/UNIT: UT, Knoxville

ITEM: **Naming the Science Lab Building at UT, Knoxville**

RECOMMENDATION: Approval

PRESENTED BY: Jimmy G. Cheek, Chancellor  
University of Tennessee, Knoxville

The following memorandum from Chancellor Cheek outlines a proposal, approved by President DiPietro, to name the new science lab building at UT, Knoxville the “Ken and Blaire Mossman Building” in recognition of their exemplary leadership, selfless service, and significant contributions to science, as well their generous financial commitments to the University.

**MOTION:**

**That the science lab building at UT, Knoxville be named the “Ken and Blaire Mossman Building.”**



THE UNIVERSITY OF  
**TENNESSEE**  
KNOXVILLE

May 28, 2015

President Joseph A. DiPietro  
University of Tennessee System  
800 Andy Holt Tower  
Knoxville, TN 37996-0180

Dear President DiPietro:

In accordance with the Board of Trustees' Policy on Naming Facilities and Other Assets, I am writing to request approval to name the new science lab building, the Ken and Blaire Mossman Building. Construction is set to begin this fall at the Cumberland Avenue and 13th Street site, between the Jessie Harris Building and Hoskins Library. It will house portions of microbiology, biochemistry and cellular and molecular biology, psychology, and nutrition, as well as contain shared laboratories, a vivarium, and general-purpose classrooms. This new science building is not only a great addition to our campus, but also serves as a testament to Ken and Blaire's legacy for philanthropy as well as their contributions to science.

Blaire Mossman is a distinguished alumnae, whose professional career spanned nearly three decades. She received her BA degree in French from UT in 1971. Mrs. Mossman went on to serve as managing editor of *Pattern Recognition*, *Computers in Biology and Medicine*, *Computer Languages*, and *Computerized Medical Imaging and Graphics*. She was the founding managing editor of the latter two organizations. She was also president of the Council of Science Editors, and received the group's Distinguished Service Award in 2004. Additionally, she was a part of the professional staff at the National Biomedical Research Foundation in Washington D.C., a group that first developed the whole-body CT scanner.

At the time of Ken's passing in 2014, he was fulfilling an appointment from President Barack Obama as a member of the U.S. Defense Nuclear Facilities Safety Board. Ken was also a graduate from the University of Tennessee, Knoxville, where he credited the university with laying a strong foundation for his career in health physics and radiation biology. He received both his master's degree (1970) and a PhD (1973) in radiation biology from the Institute of Radiation Biology within the joint UT/Oak Ridge National Laboratory School of Biomedical Sciences.

Dr. Mossman was considered an international expert and a sought-after speaker on the effects of radiation exposure. He has authored or coauthored eight books, more than sixty scientific papers, and hundreds of book chapters, conference proceedings, and government publications. After the tsunami hit the Fukushima Daiichi Nuclear Power Plant in Japan, he was called upon to provide his expertise.

Prior to his appointment to the U.S. Defense Nuclear Facilities Safety Board, Dr. Mossman was a professor of radiation biology at Arizona State University. He was also the founding chair of the Department of Radiation Science at the Georgetown University Graduate School, where he taught and conducted research from 1980 to 1990. In addition, he was an administrative judge for the Nuclear

Office of the Chancellor

527 Andy Holt Tower Knoxville, TN 37996-0184  
865-974-3265 fax 865-974-4811 chancellor.utk.edu

**BIG ORANGE. BIG IDEAS.®**

Flagship Campus of the University of Tennessee System 

Regulatory Commission's Atomic Safety and Licensing Board and former president of the Health Physics Society.

Ken also made significant contributions to other prestigious scientific and professional organizations throughout the United States and the world. He was an active member of many professional organizations, including the American Association for the Advancement of Science (AAAS), the National Collegiate Honors Council, the New York Academy of Sciences, the Radiation Research Society, Phi Sigma Society, Phi Kappa Phi, Sigma Xi, and the Scientific Research Society. From 1996 to 1998, Ken served as a Sigma Xi Distinguished Lecturer. In 2001, he was elected a fellow of the AAAS in recognition of distinguished contributions to the field of health physics and for advancing the understanding of the health effects of low doses of ionizing radiation.

In 2010, after forty years of marriage and successful careers in the fields of science, publishing, and education, both Ken and Blaire paid tribute to their alma mater and its multifaceted role in their lives by establishing the Kenneth and Blaire Mossman Professorship in Biomedicine. The professorship recognizes collaborative research efforts between UT-Knoxville and Oak Ridge National Laboratory by awarding a salary supplement to a faculty member who is a teacher/scholar at the university and collaborates with scientists at ORNL.

Their estate commitment will significantly increase the endowment for the Mossman Professorship as well as establish an endowed scholarship for students of Romance languages in the Department of Modern Languages and Literatures. It will also fund the following two campus initiatives: the Mossman Lecture Series and scholarships for students participating in the Ready for the World program.

In recognition of this gift, as well as Ken and Blaire's exemplary leadership, selfless service, and significant contributions to science, we request approval from the Board of Trustees to name the new science lab building, the Ken and Blaire Mossman Building.

Sincerely,



Jimmy G. Cheek  
Chancellor

cc: Susan Martin, Provost & Senior Vice Chancellor  
Chris Cimino, Vice Chancellor for Finance & Administration  
Scott Rabenold, Vice Chancellor for Development & Alumni Affairs  
Theresa Lee, Dean, College of Arts & Sciences

Approved:   
Joseph A. DiPietro  
President, University of Tennessee System

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 25, 2015

COMMITTEE: Advancement and Public Affairs

CAMPUS/UNIT: UT, Knoxville

ITEM: **Naming the Black Cultural Center at UT, Knoxville**

RECOMMENDATION: Approval

PRESENTED BY: Jimmy G. Cheek, Chancellor  
University of Tennessee, Knoxville

In the following memorandum, Chancellor Cheek recommends that the Black Cultural Center at UT, Knoxville be named the Frieson Black Cultural Center in honor of Ronald E. Frieson and Donald E. Frieson, graduates of the Haslam College of Business, whose generous gift will be used to establish an endowment to support the following initiatives: inclusive excellence programming, diversity training workshops for students, a Black issues conference, programs for diversity educators, peer mentoring through the Multicultural Mentoring Program, and leadership development.

President DiPietro concurs in the Chancellor's recommendation.

**MOTION:**

**That the Black Cultural Center at UT, Knoxville be named the "Frieson Black Cultural Center" in honor of Ronald E. Frieson and Donald E. Frieson.**



THE UNIVERSITY OF  
**TENNESSEE**  
KNOXVILLE

June 23, 2015

President Joseph A. DiPietro  
University of Tennessee System  
800 Andy Holt Tower  
Knoxville, TN 37996-0180

Dear President DiPietro:

In accordance with the Board of Trustees' Policy on Naming Facilities and Other Assets and in recognition of the generosity of Ronald E. Frieson ('81) and Donald E. Frieson ('90), I am writing to request approval to name the University of Tennessee, Knoxville's Black Cultural Center, the Frieson Black Cultural Center. The Black Cultural Center serves as a home away from home for many of our students. The facility features a library/reading room, state-of-the-art computer lab, student lounge, gallery, multipurpose rooms, student organization suite, conference room, tutorial suites, and administration offices. The Center features numerous speakers, conferences, and events each year to advance diversity and inclusion on our campus. It is a place for all students to gather, learn, as well as share ideas and experiences.

Ron Frieson has held numerous leadership roles with a FORTUNE 500 company as well as several renowned non-profit organizations. He received his bachelor's degree in finance from the Haslam College of Business and his MBA from Georgia State University. He currently serves as the president of foundation and external affairs for Children's Healthcare of Atlanta, where he is responsible for all fundraising activities and non-clinical external facing operations. Prior to becoming foundation president, Ron was the organization's chief public policy officer. In that role, he focused on expanding and developing new opportunities within legislative and community outreach functions. Before moving to Children's Healthcare of Atlanta, Ron served in several leadership positions for AT&T, including president of Georgia operations as well as the organization's first chief diversity officer. Ron was also interim president of the Atlanta Urban League.

Ron remains very active with not only local organizations in the greater-Atlanta area, but also those on a national scale. He currently serves on the board of directors for the following organizations: American InterContinental University, Colorado Technical University, SunTrust Bank-Atlanta, and Zoo Atlanta. Ron is also past chair of the National Trustees of the American Kidney Fund and is a life member of Kappa Alpha Psi.

Ron's brother, Don Frieson, has an extensive career in corporate leadership and management, primarily with Walmart Stores Inc. He is a graduate from the Haslam College of Business,

Office of the Chancellor

527 Andy Holt Tower Knoxville, TN 37996-0184  
865-974-3265 fax 865-974-4811 chancellor.utk.edu

**BIG ORANGE. BIG IDEAS.®**

Flagship Campus of the University of Tennessee System 

receiving his bachelor's degree in operations management. Don has completed the Global 20/20 Executive Education Program at the Tuck School of Business at Dartmouth College. Don recently joined Sam's Club in November 2012 as senior vice president for replenishment and planning. Prior to his current role, he was chief integration officer for Massmart Holdings, a Walmart subsidiary with over 300 stores operating in 13 sub-Saharan African nations. Walmart purchased Massmart in 2011 and Don's responsibilities included supply chain, merchandising, global sourcing, and total integration responsibilities into the Walmart business.

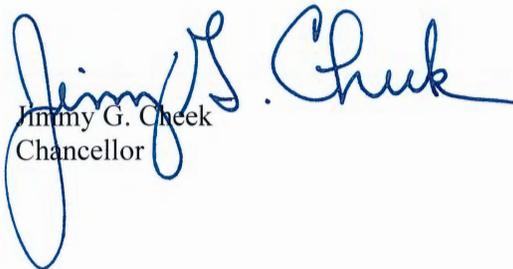
Don's career spans both logistics and operations with growing levels of responsibility across industries. Prior to the Massmart integration, Don was the senior vice president for supply chain at Walmart Stores. In that role, Don supported more than 30 distribution centers, which supplied merchandise to nearly 1,600 Walmart stores, Supercenters, and Neighborhood Markets in the eastern United States and Puerto Rico. Before becoming senior vice president for supply chain, Don was president of the company's central division, a sector with annual revenues of over \$40 billion.

Don is very active in a number of organizations. He is a member of UT's Retail, Hospitality, and Tourism Advisory Board and will be joining the UT Alumni Board of Directors this fall. He is also a member of the Board of Regents for Morgan State University and the Morgan State University Foundation Board. He serves as an executive in residence at the University of Arkansas and is also a life member of Kappa Alpha Psi.

Ron and Don's gift will be used to establish an endowment that will support the following initiatives: inclusive excellence programming, diversity training workshops for students, a black issues conference, programs for diversity educators, peer mentoring through the Multicultural Mentoring Program, and leadership development.

In recognition of Ron and Don's leadership, generosity, and their commitment to diversity as well as the African American community, we request approval from the Board of Trustees to name the Black Cultural Center, the Frieson Black Cultural Center.

Sincerely,

  
Jimmy G. Cheek  
Chancellor

cc: Rickey Hall, Vice Chancellor for Diversity  
Chris Cimino, Vice Chancellor for Finance & Administration  
Scott Rabenold, Vice Chancellor for Development & Alumni Affairs

Approved:



Joseph A. DiPietro  
President, University of Tennessee System

**BOARD OF TRUSTEES MEETINGS  
AND COMMITTEE MEETINGS**

**2015-2016**

- Aug 24:                Audit and Compliance Committee, Nashville**
- Sept 9-10:            Workshop (location to be determined)**
- Oct 8-9:                Fall Meeting of the Board, Knoxville**
- Dec 7:                  Audit and Compliance Committee, Nashville**
- Mar 31-Apr 1:        Spring Meeting of the Board, Martin**
- June 22-23:          Annual Meeting of the Board, Knoxville**
- Aug 10:                Workshop (location to be determined)**
- Oct 13-14:            Fall Meeting of the Board (tentative)**